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# Informing anticipatory humanitarian action

**A framework for using ECMWF forecasts effectively**

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# Context



- Start Network: 42 NGOs, working together to release funding for humanitarian efforts before, during and after crises
- Challenge: difficulties in making use of forecasts due to
  - Not knowing where to find forecast
  - Not knowing how to interpret forecast / confidence
  - Group communication tricky with short lead times
  - Information filtered through one or two key people
  - Difficulty balancing the lead time of confidence versus lead time for action



# Example: heatwave in Pakistan, 2017



- Network members spotted forecast for heatwave but were unsure how to act or whether the forecast justified action
- Start encouraged submission of an alert for heatwave
- Difficulty with pre-event communication between network members: no clear framework or consistent language
- Alert note eventually submitted with heatwave already under way
- Committee did not release funding

# Example: cyclone in Philippines, 2016



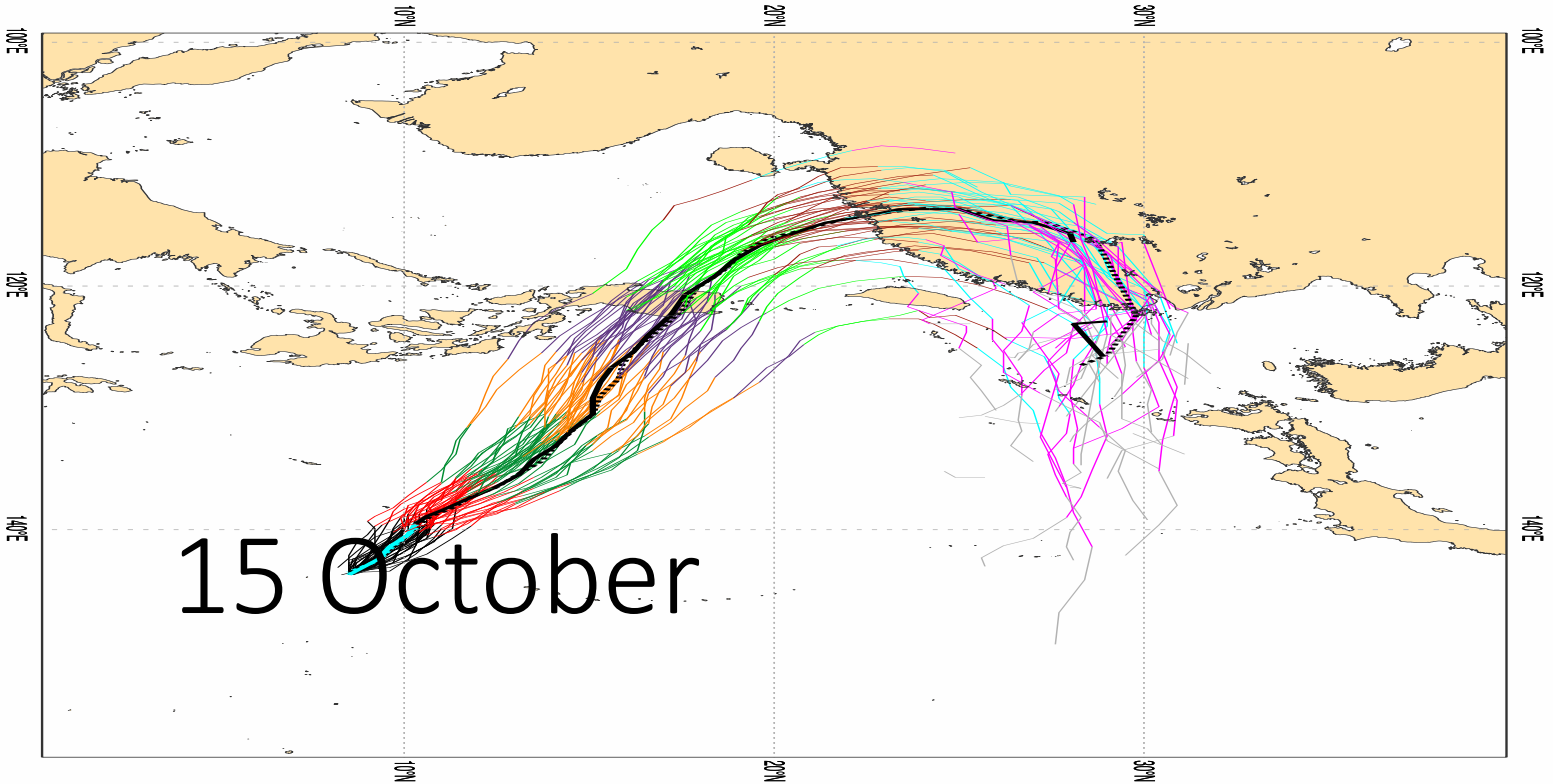
- Only one anticipatory alert note for cyclone has ever been submitted to the Start Network
- Network members spotted cyclone Haima/Lawin approaching
- Alert note submitted on 18<sup>th</sup> Sept
- Cyclone made landfall on 19<sup>th</sup> Sept

Date 2016101500 UTC @ECMWF

Individual trajectories for **HAMA** during the next 240 hours

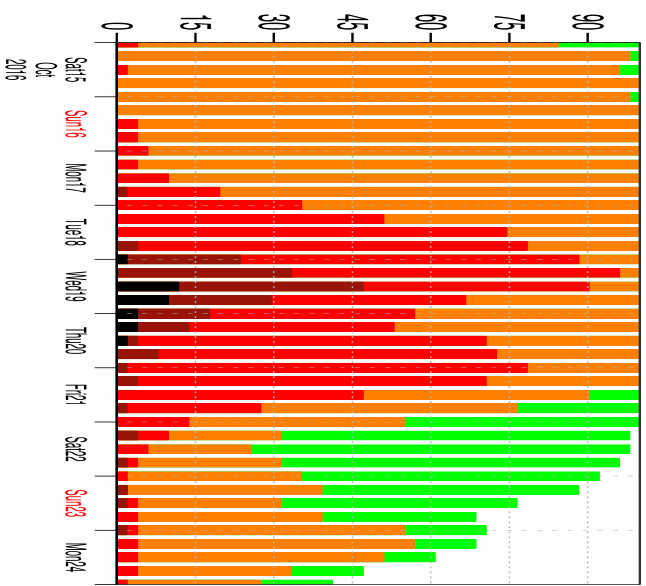
tracks: **thick solid**=HRES; **thick dot**=CTL; **thin solid**=EPS members [coloured]

0-24h **24-48h** **48-72h** **72-96h** **96-120h** **120-144h** **144-168h** **168-192h** **192-216h** **216-240h**

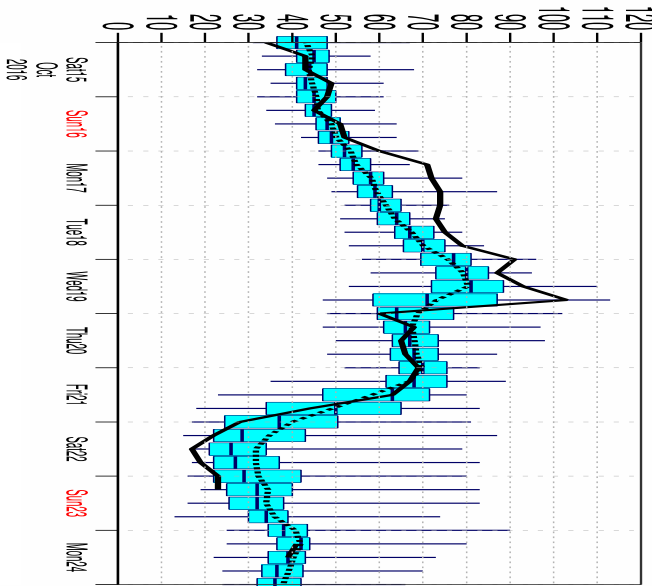


Probability (%) of Tropical Cyclone Intensity falling in each category

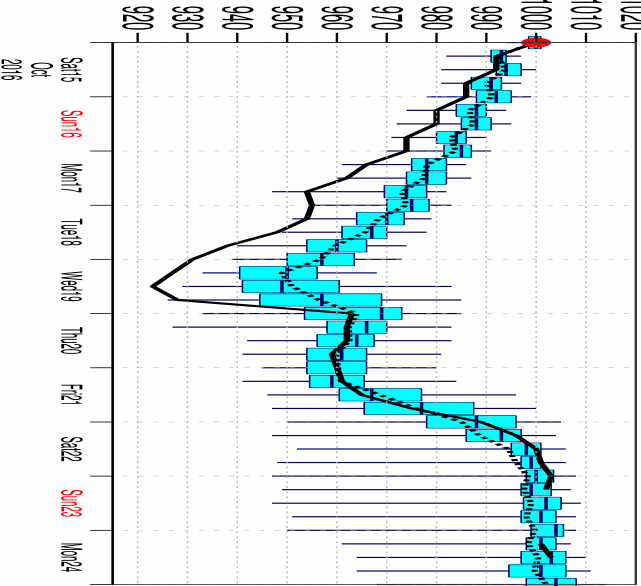
**TD**[up to 33] **TS** [34-63] **HR1** [64-82] **HR2** [83-95] **HR3** > 95 kt]



10m Wind Speed (kt) **solid**=HRES; **dot**=EnS Mean



Mean Sea Level Pressure in Tropical Cyclone Centre (hPa) **solid**=HRES; **dot**=EnS Mean

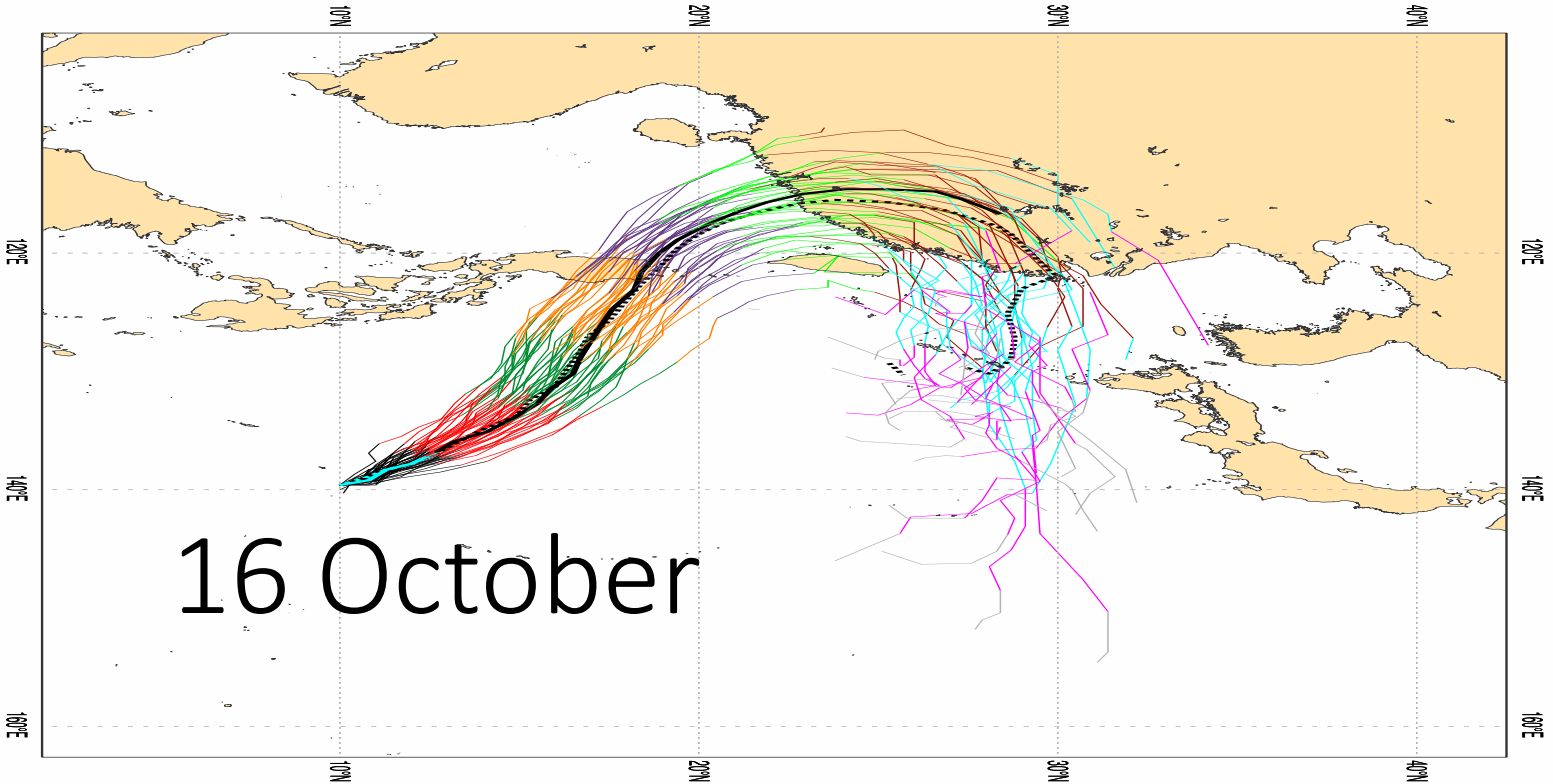


Date 20161016 00 UTC @ECMWF

Individual trajectories for **HAMMA** during the next 240 hours

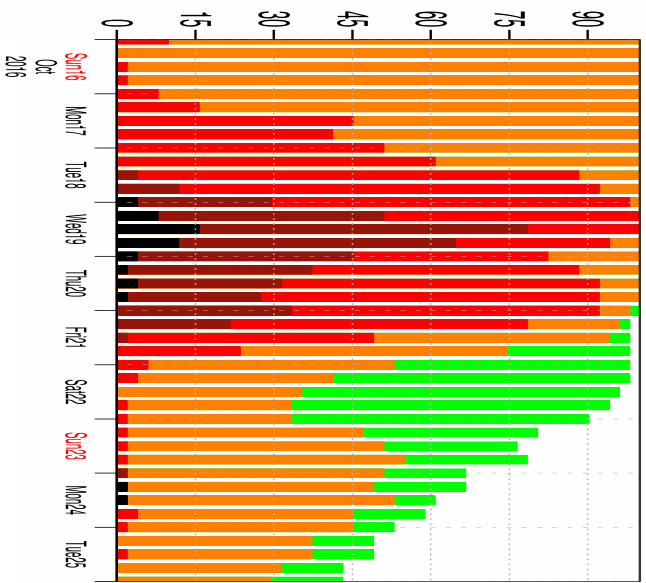
tracks: **thick solid**=HRES; **thick dot**=CTRL; **thin solid**=EPS members [coloured]

0-24h **24-48h** **48-72h** **72-96h** **96-120h** **120-144h** **144-168h** **168-192h** **192-216h** **216-240h**

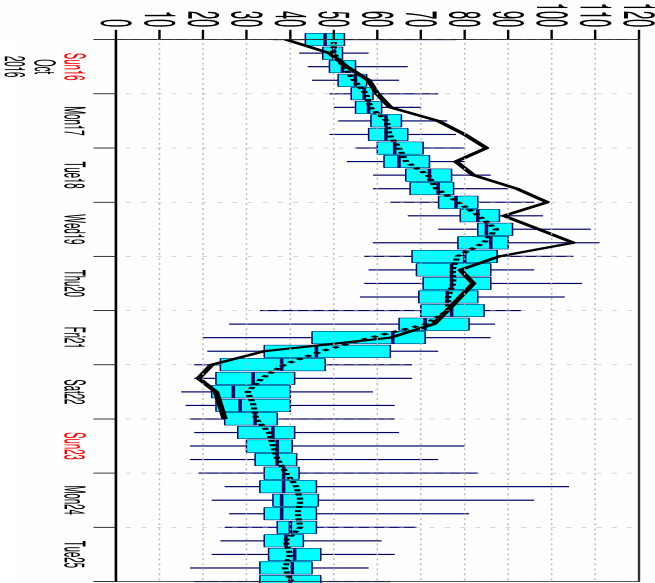


Probability (%) of Tropical Cyclone Intensity falling in each category

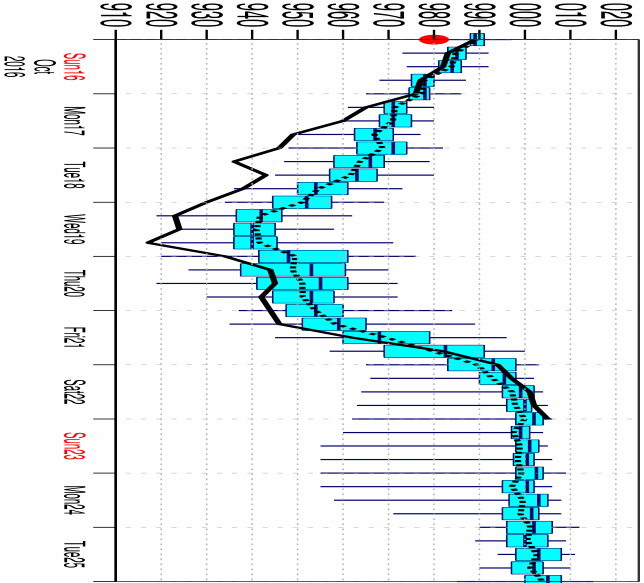
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Mean Sea Level Pressure in Tropical Cyclone Centre (hPa) **solid**=HRES; **dot**=EnS Mean



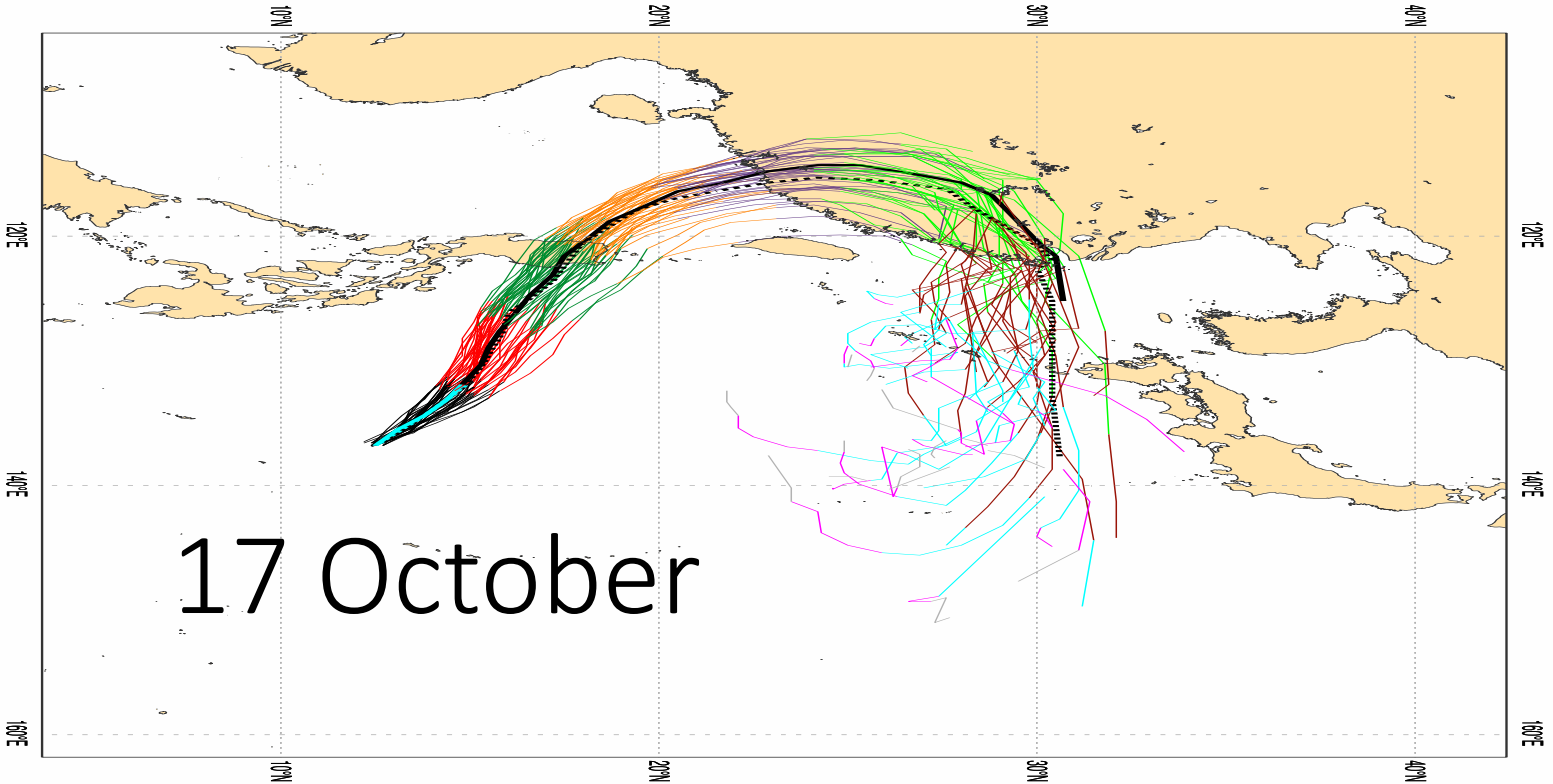


Date 20161017 00 UTC @ECMWF

Individual trajectories for **HAMA** during the next 240 hours

tracks: **thick solid**=HRES, **thick dot**=CTRL, **thin solid**=EPS members [coloured]

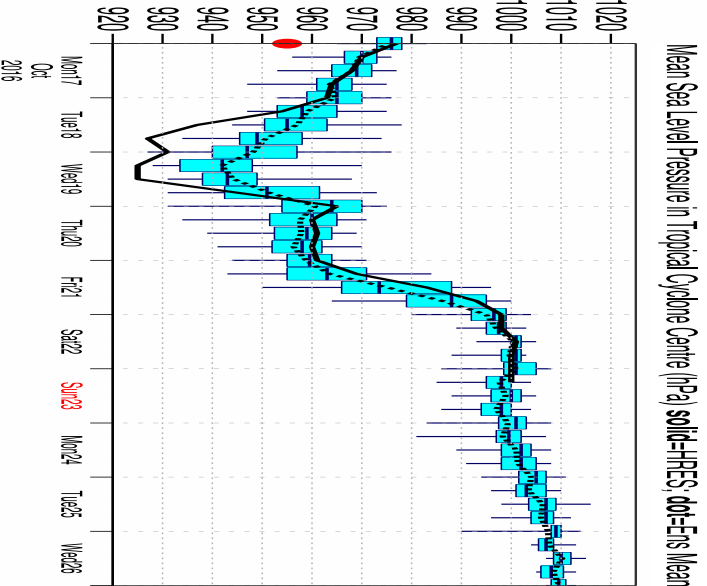
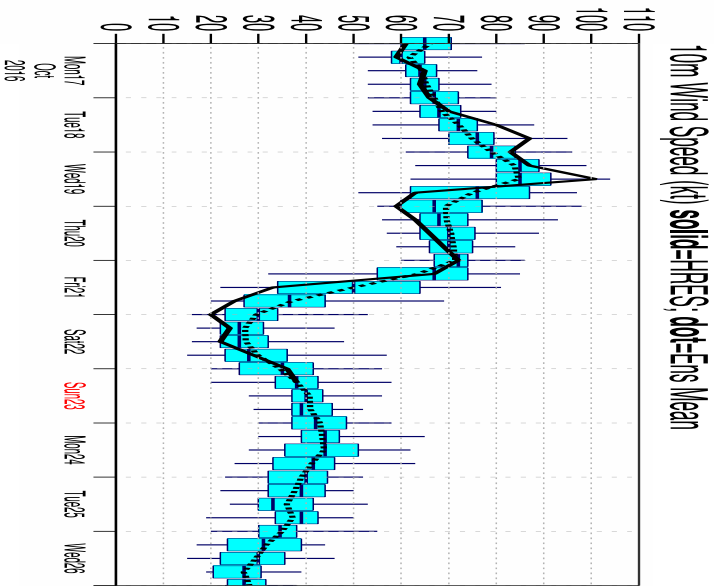
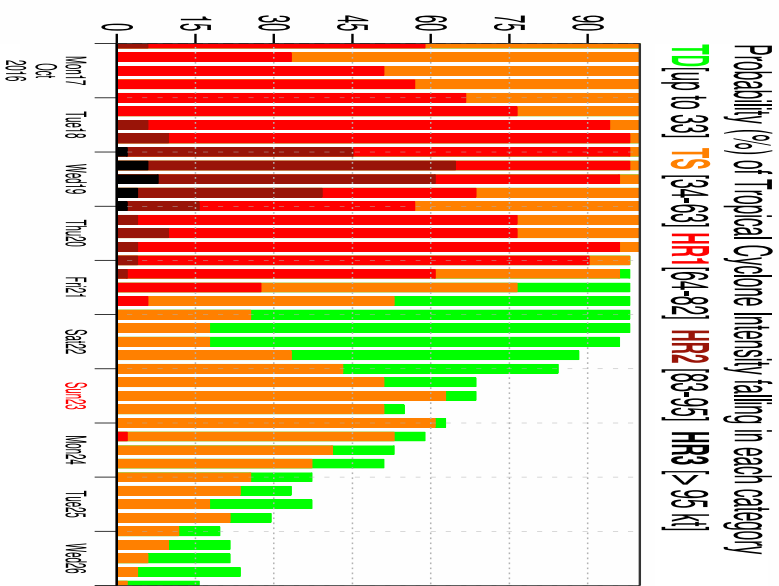
0-24h **24-48h** **48-72h** **72-96h** **96-120h** **120-144h** **144-168h** **168-192h** **192-216h** **216-240h**



List of ensemble members numbers forecast Tropical Cyclone

Intensity category in colours: **TD** [up to 33] **TS** [34-63] **HR1** [64-82] **HR2** [83-95] **HR3** > 95 kt]

+024h	17	67	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+048h	17	67	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+072h	17	67	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+096h	17	67	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+120h	17	67	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+144h	17	67	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+168h	17	67	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+192h	17	67	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+216h	17	67	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+240h	17	67	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50

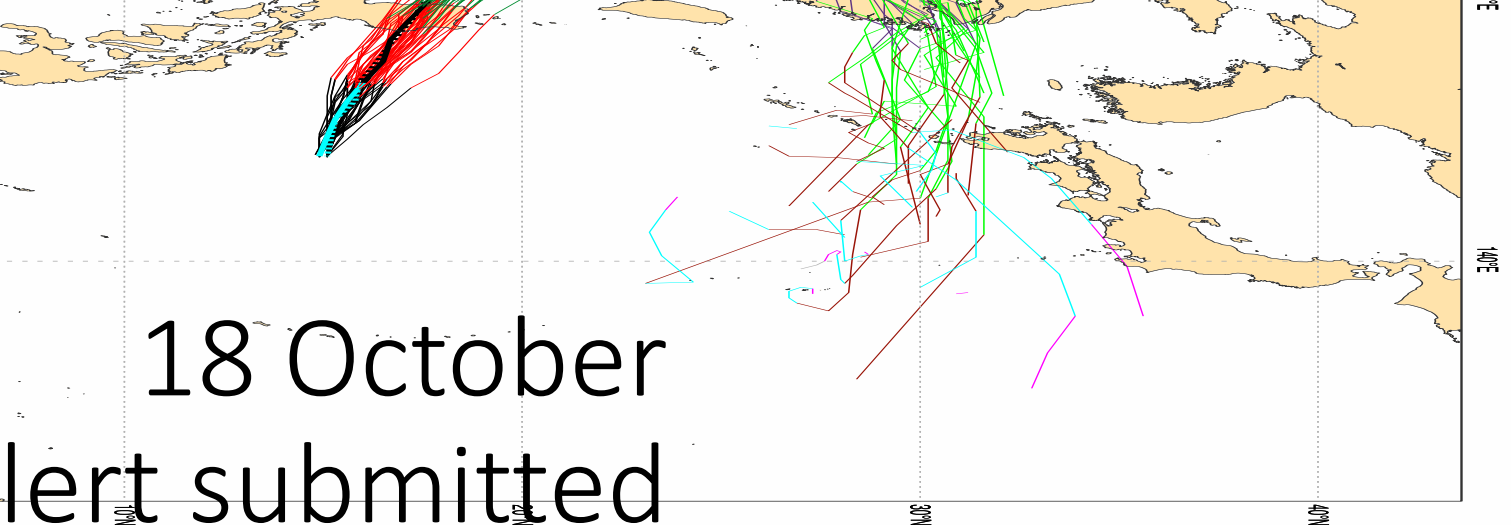


**@ECMMWF**

**HAIMA** during the next 240 hours

thick dot=CTRL, thin solid=EPS members [coloured]

96-120h 120-144h 144-168h 168-192h 192-216h 216-240h



18 October  
Alert submitted

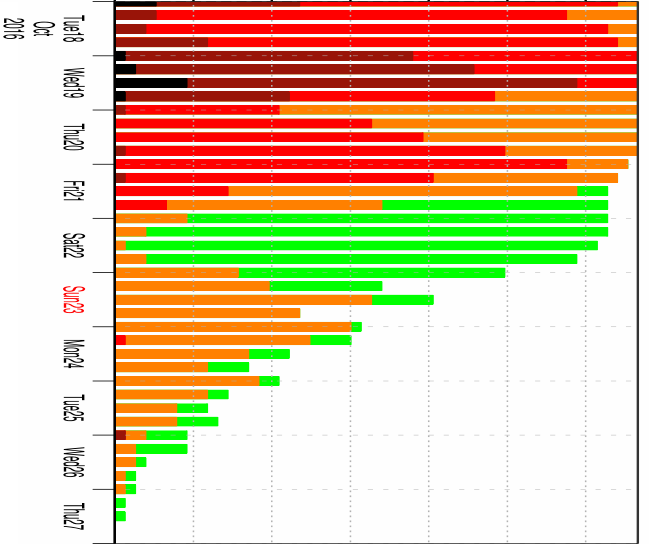
## Members forecast Tropical Cyclone

0-33] **TS**[34-63] **HR1**[64-82] **HR2**[83-95] **HR3**[>95 kt]

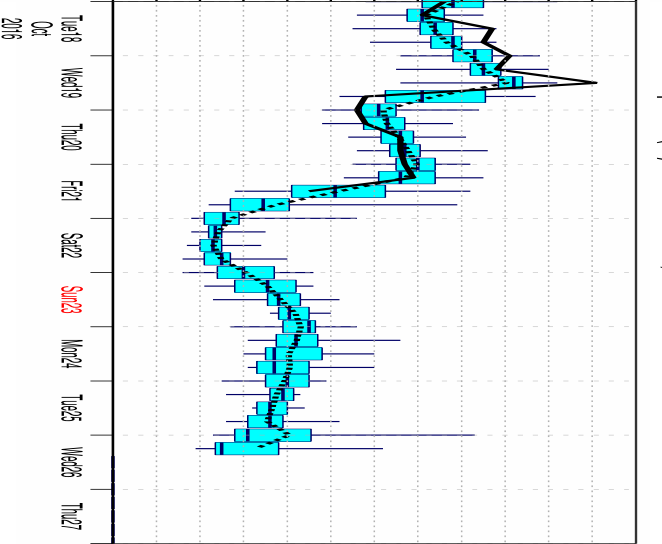


Probability (%) of Tropical Cyclone Intensity falling in each category

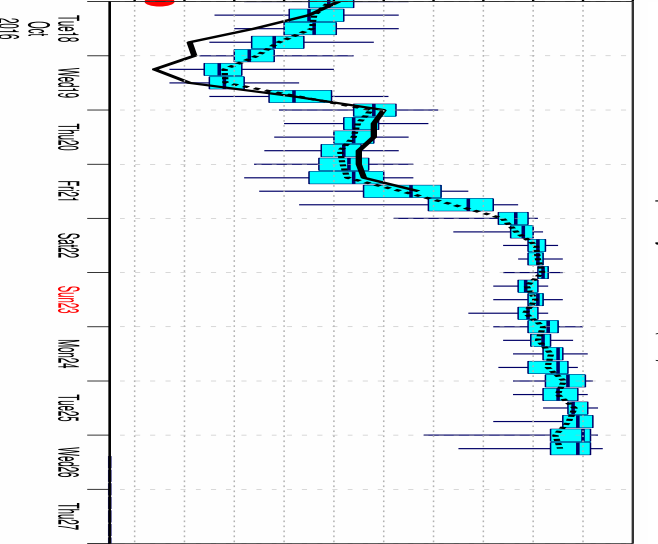
**TD** [40-33] **TS** [34-63] **HR1** [64-82] **HR2** [83-95] **HR3** [96-100]



10m Wind Speed (kt) solid=HRES, dot=Ens Mean



Mean Sea Level Pressure in Tropical Cyclone Centre (hPa) solid=HRES, dot=Ens Mean



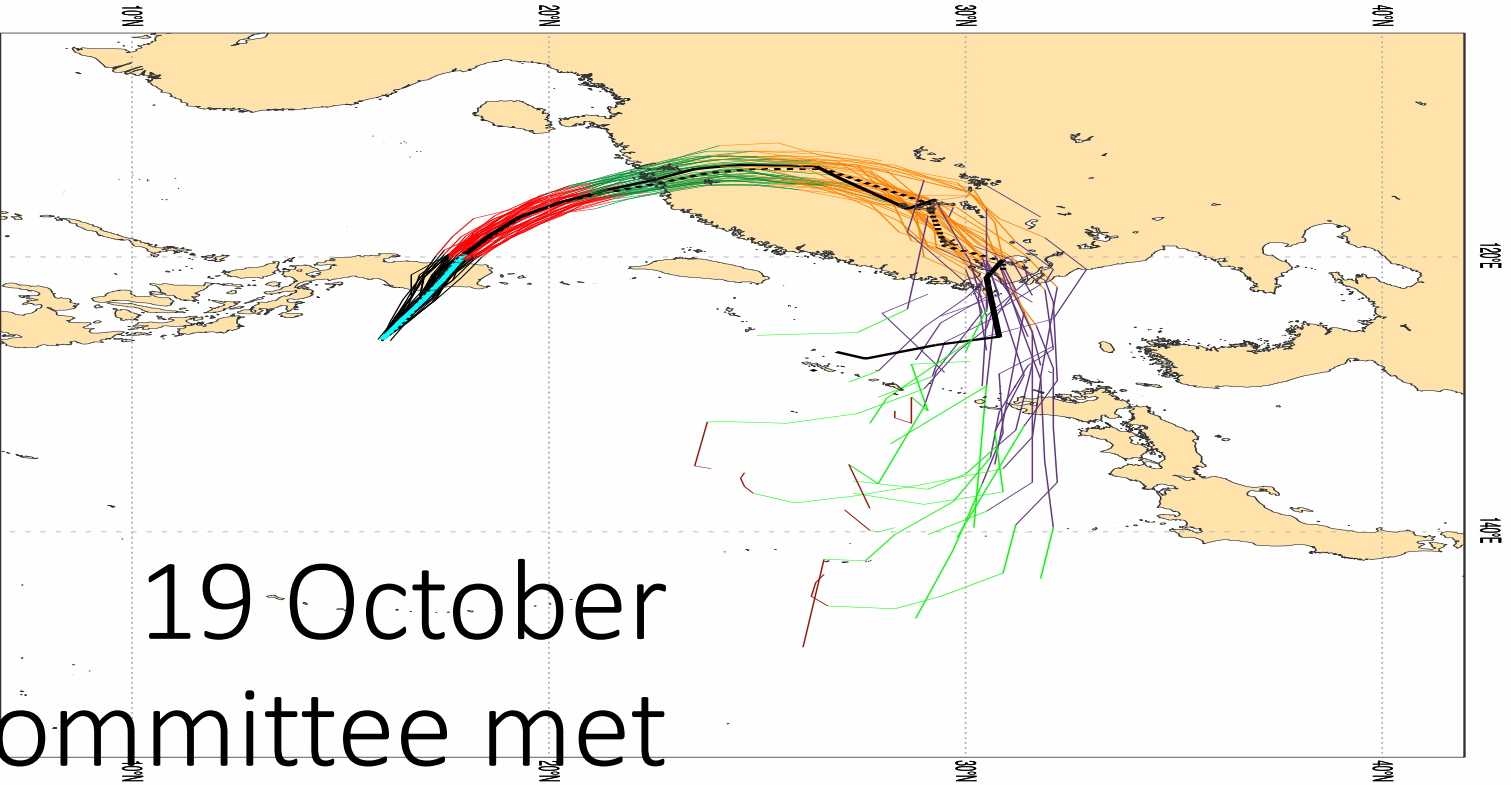


Date 2016:10:19 00 UTC @ECMWF

Individual trajectories for **HAMMA** during the next 240 hours

tracks: **thick solid**=HRES; **thick dot**=CTRL; **thin solid**=EPS members [coloured]

0-24h **24-48h** **48-72h** **72-96h** **96-120h** **120-144h** **144-168h** **168-192h** **192-216h** **216-240h**

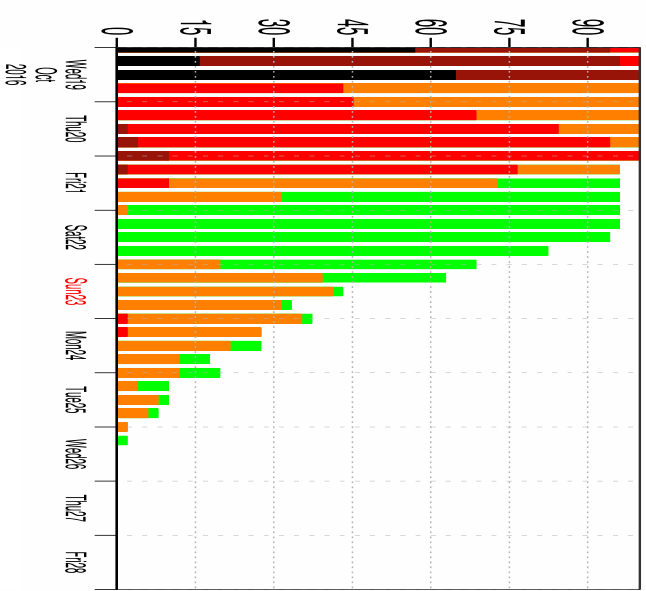


# 19 October Committee met Not activated

List of ensemble members numbers forecast Tropical Cyclone

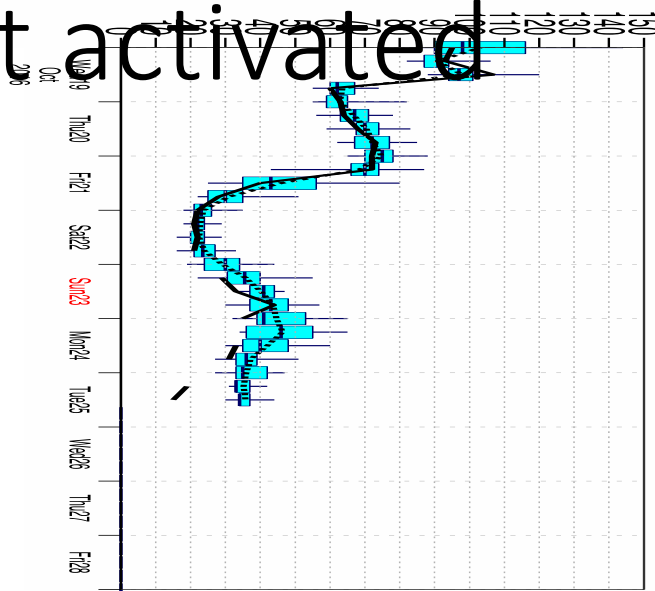
Intensity category in colours: **TD** [up to 33] **TS** [34-63] **HR1** [64-82] **HR2** [83-95] **HR3** [96-kt]

+024h	17	40	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+048h	17	40	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+072h	17	40	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+096h	17	40	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+120h	17	40	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+144h	17	40	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+168h	17	40	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+192h	17	40	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+216h	17	40	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50
+240h	17	40	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50

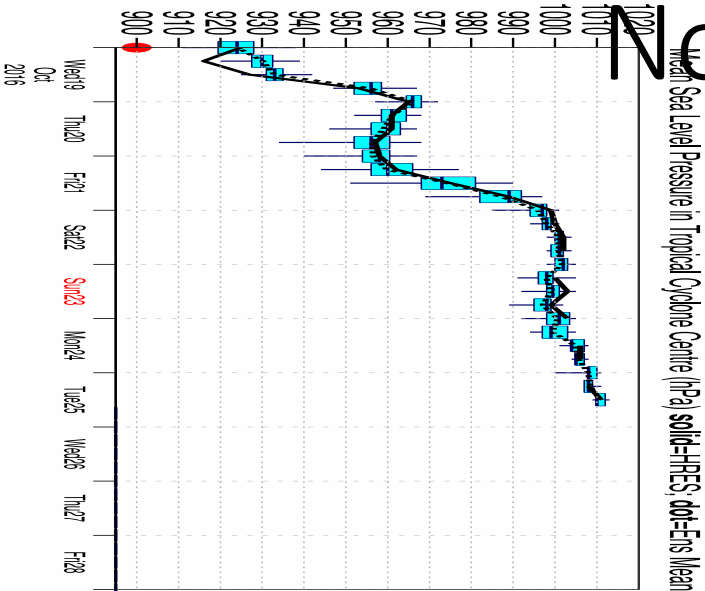


Probability (%) of Tropical Cyclone Intensity falling in each category

**TD** [up to 33] **TS** [34-63] **HR1** [64-82] **HR2** [83-95] **HR3** [96-kt]



10m Wind Speed (kt) **solid**=HRES; **dot**=EPS Mean



Mean Sea Level Pressure in Tropical Cyclone Centre (hPa) **solid**=HRES; **dot**=EPS Mean

# Aims



## The aims:

- Clarity about lead time for confidence in forecast versus lead time of useful and feasible actions
- Equipping many members to contribute to decisions, not just a few
- Suggesting ways to improve the alert and activation process
- Keep it simple!

## **Not** the aims:

- Fully automated alert/activation procedure (cf Red Cross)
- Decision based solely on forecast

# Complementary to Red Cross scheme



Start Network Anticipation Window	Red Cross Forecast-based Financing (FBF)
More “subjective” procedure	More “objective” procedure
Funding is released by a committee	Triggers for release of funding are predefined
(Can be) more flexible	(Can be) quicker to act
Some level of forecast understanding or analysis is required in real time	Forecast understanding/analysis can be subcontracted out pre-event
Easier to integrate other relevant factors such as recent political or physical events	Other factors contributing to vulnerability need to be pre-defined as well
Less reliance on technical experts	Greater use of technical forecast information

Total effort involved?

Risk to charitable funds?

Trust in process?

Success in meeting own objectives?

Missed events?

# Improving the procedures



- The forecast itself is only one part of the challenge here
- Works best with simple, general understanding of forecast confidence (is this an oxymoron?!)
  - Too much info: at best makes process longer, at worst causes decision paralysis
  - Need messages to be tailored by event type
- Timescales are necessarily short
- Anticipation implies lack of certainty, implies missed events **will** happen
  - Heatwave: a “missed” heatwave is likely still a very hot day
  - Cyclone: what does a “miss” entail? Depends how targeted the action is towards strong wind and storm surge hazards (very localized to track position) rather than flooding/landslides/etc (often much wider spread)

# Improving the procedures

- Especially important here for the forecast users to feel ownership/confidence/familiarity
- Concept:
  1. Look at previous occurrences of the event of interest: is this definitely well-related to the humanitarian impact? (/are previous humanitarian events generally experienced at the same time as the extreme weather?)
  2. Evaluate previous forecasts of the event of interest: what levels of confidence are found at which lead times?
  3. What lead times allow for **useful, feasible** actions?
  4. What degree of forecast confidence at each lead time allows for **reasonably confident funding allocation**? (depends also on cost of action/s)
  5. Can the funding procedures take into account any particular characteristics of the event or forecast?

# Heatwave in Pakistan



1. Previous events  
Yes: heatwaves regularly cause significant excess deaths across Pakistan
2. Previous forecasts  
Yes: there is good predictability on the timescale of 7-10 days in the region
3. Useful lead times  
Limited to actions which complement government activity: primarily awareness and public information campaigns. A few days are needed to print materials etc.
4. Forecast confidence  
Because a “missed event” is still a hot day in a hot season, in a particularly hot country, a very high level of confidence is not super-important
5. Improve procedures  
Mainly communicating where to monitor best information, that the forecasts are reliable, the downsides of a “miss” are low anyway, and that there should be a window of around a week for anticipation activity.



# Example: heatwave in Pakistan, 2018



- Network members spotted forecast for heatwave in an already-hot region (Sindh province) and during observance of Ramzan (Ramadan)
- Internal discussions identified communities at risk and monitored forecast
- Alert note written and submitted on 22<sup>nd</sup> May, activated on the 23<sup>rd</sup>
- Projects began on 26<sup>th</sup> May, including targeted educational materials and “heatwave camps” providing water, shade, seating and info, plus demonstrations on first aid related to heat stroke.

# Cyclone in the Philippines



- 1. Previous events**

Yes: cyclones regularly cause damage and loss of life in the Philippines. Impact related to rainfall as much as to high wind (eg recent Tropical Depression Usman was unexpectedly damaging).
- 2. Previous forecasts**

Varies: some cyclones have much more confident forecasts than others but the ensemble is generally a good guide to uncertainty
- 3. Useful lead times**

Nothing later than 24h in advance due to evacuation protocols. Could pre-prepare post-crisis actions but this is not covered by anticipatory funding.
- 4. Forecast confidence**

Communication of average track errors tends to make forecast look very uncertain. Focusing on potential rainfall (flooding, landslide) instead of forecast position gives a longer lead time for confident action.
- 5. Improve procedures**

Awareness of ECMWF ensemble forecast is low but examples clarify its use. Since the alert process takes time, consider writing an early alert with an option to confirm or withdraw after a further 24 or 48h. Committee can meet in 12h if needed.

Note: RSMC forecast takes precedence over model guidance

# Take-homes



- Facilitating genuine real-time use of the forecast is about more than just making a good forecast;
- One size doesn't fit all: the risk profile of the forecast user affects what they are able to do at different confidence levels, and they may not be able to quantify those confidence levels a priori;
- We started with the easier event types, where sufficient confidence is often available close enough to the event to be able to take action before it occurs (in general this often may not happen)
- Don't jump in at the deep end: a hand-hold through the basics may be more helpful than detailed technical analysis.



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