

# Dynamics of Sudden Stratospheric Warmings

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&

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# Background

- Sudden Stratospheric Warmings (SSWs) are a manifestation of strong (planetary) wave-mean flow interactions.
  - source of planetary waves: troposphere (mostly...)
  - likelihood of SSWs  $\sim$  strength of upward flux of planetary wave activity from the troposphere (e.g., SH versus NH)
- Does this mean that SSWs occur more likely following bursts of planetary wave activity from the troposphere?
- Is a burst of tropospheric planetary wave activity needed to force a sudden warming?  
(given sufficient climatological forcing)

# Quasi-linear Theory – Matsuno (1971)

- Linear wave propagation on the sphere, combined with (non-linear) wave-mean flow interaction
- switch-on wave forcing prescribed at lower boundary
- Initial value problem for non-linear transient wave-mean flow evolution

## Wave 2 Forcing

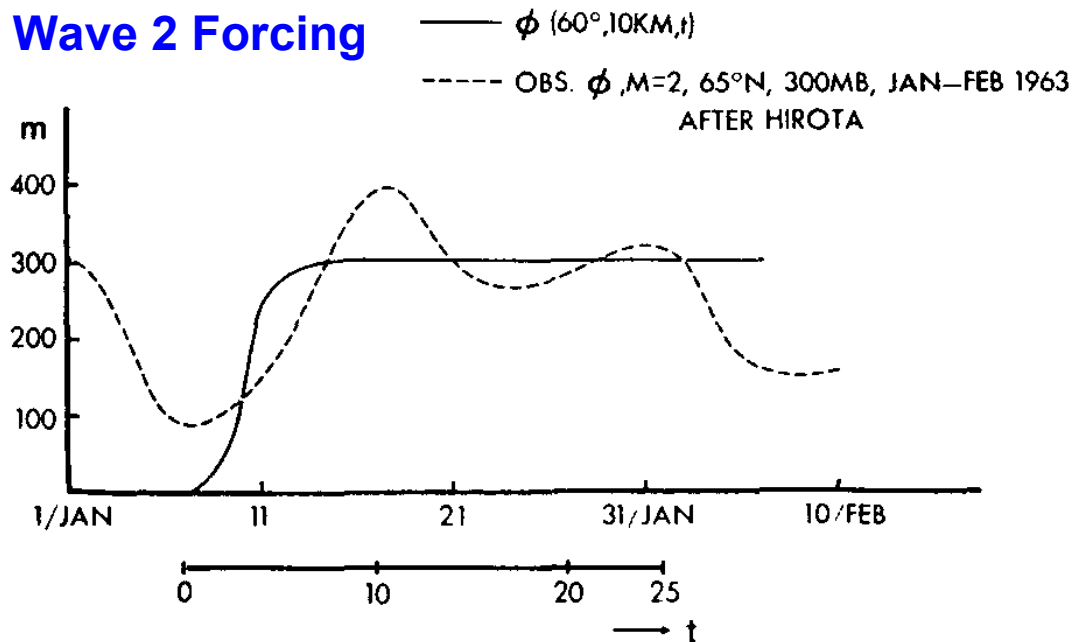
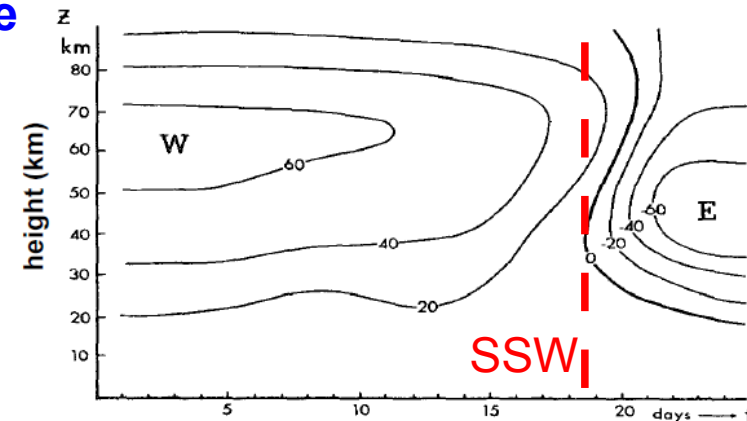


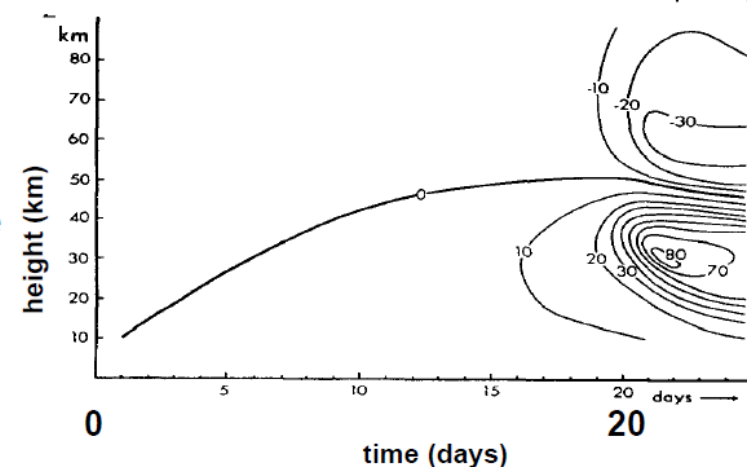
FIG. 3. The amplitude of the waves forced at the lower boundary (solid line). The dashed line shows the observed amplitude of the  $m=2$  wave at 300 mb in January–February 1963, (data from Dr. Hirota).

## Response

zonal-mean  
zonal wind



zonal-mean  
temperature



# Quasi-linear Theory – Holton-Mass (1976)

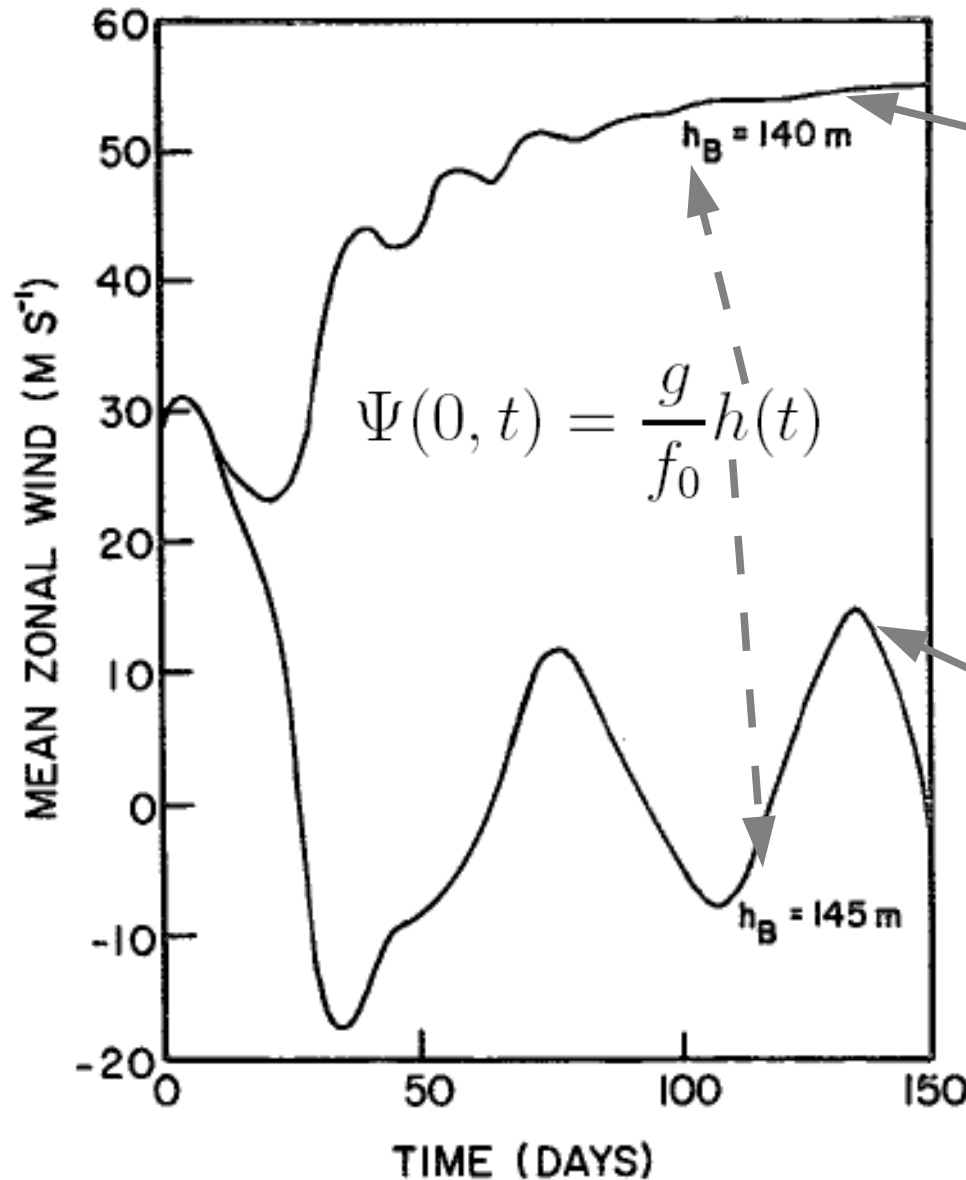
## Stratospheric Vacillations

Quasigeostrophic,  $\beta$ -channel,  $60^\circ\text{N} \pm 30^\circ$ ,  $10\text{km} \leq z \leq 80\text{km}$ ,  
Coupled equations for linearized PV and zonal mean flow:

$$\begin{aligned} (\partial_t + \bar{u}\partial_x) q' + \beta' \partial_x \psi' + \frac{f_0^2}{\rho} \partial_z \left( \frac{\alpha \rho}{N^2} \partial_z \psi' \right) &= 0 \\ \partial_t \left[ \partial_{yy} \bar{u} + \frac{f_0^2}{N^2 \rho} \partial_z (\rho \partial_z \bar{u}) \right] &= - \frac{f_0^2}{N^2 \rho} \partial_z [\alpha \rho \partial_z (\bar{u} - U_R)] \\ &+ \frac{f_0^2}{N^2} \partial_{yy} \left[ \rho^{-1} \partial_z \left( \overline{\rho \partial_x \psi' \partial_z \psi'} \right) \right] \end{aligned}$$

Prescribed wave forcing via bottom boundary ( $\sim$ tropopause)  
condition for  $\Psi'$  ( $\sim$ geopotential height perturbation)

$U(z=25\text{km}, t)$



**Radiative Solution**  
( $h < h_{\text{crit}}$ )

Standing wave caused by reflection in strong upper westerlies, virtually no eddy heat flux.

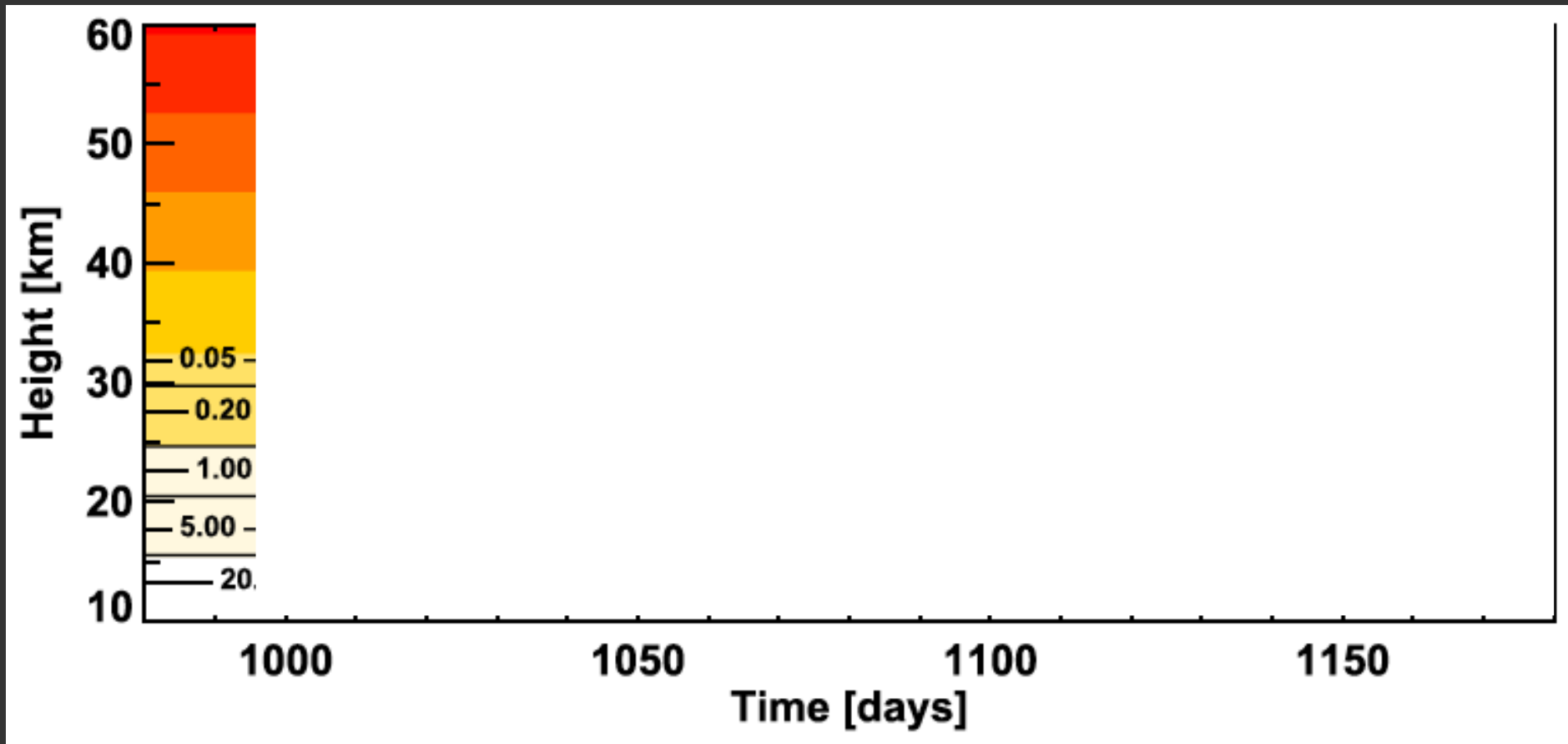
**Vacillation ~ SSWs**  
( $h > h_{\text{crit}}$ )

Strongly oscillating eddy heat flux & mean flow

FIG. 2. Time evolutions of the mean zonal wind at 25 km and midchannel for the steady regime ( $h_B = 140$  m) and the vacillating regime ( $h_B = 145$  m) for zonal wavenumber 2 forcing.

**Is a burst of wave activity flux from the troposphere needed to trigger a SSW?**

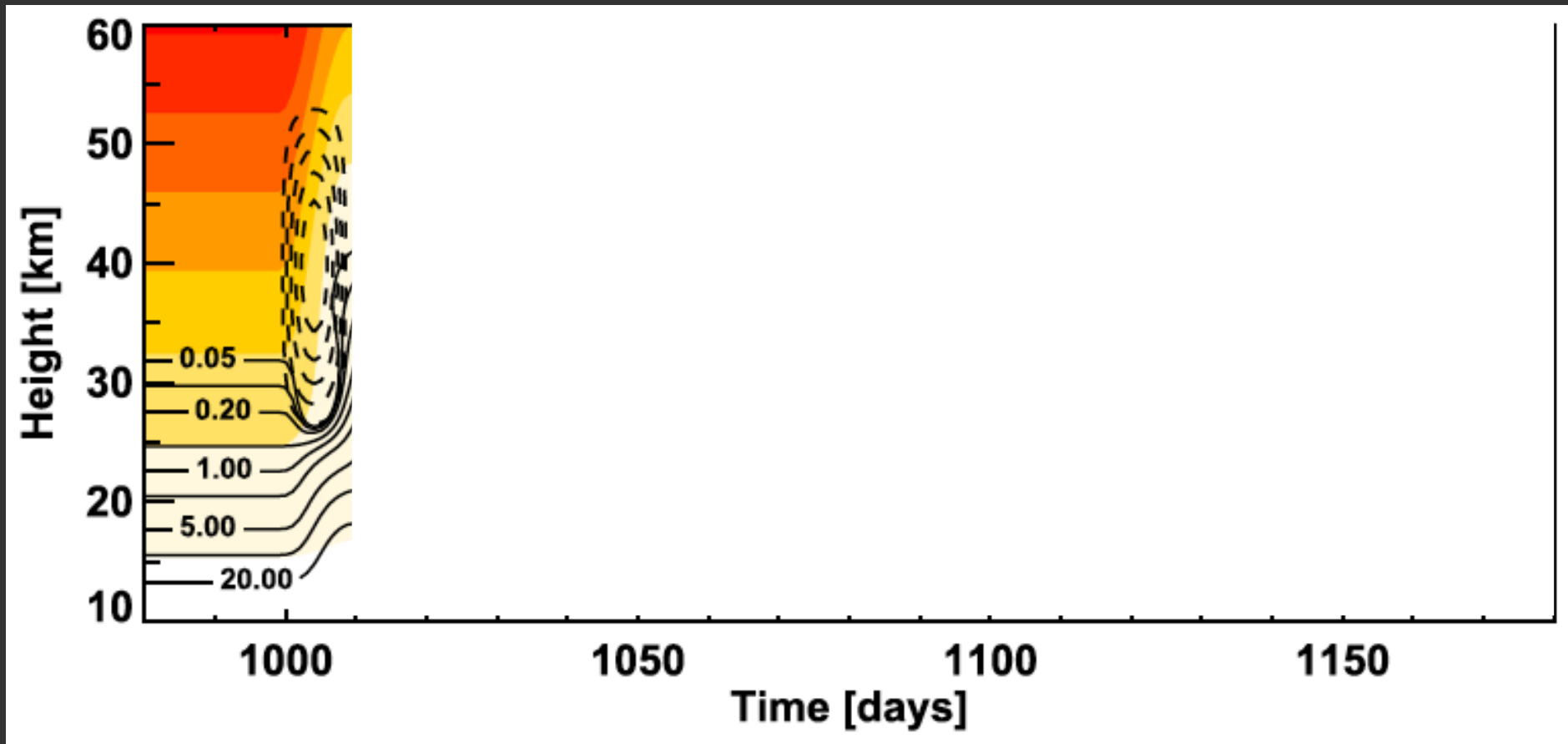
Sjoberg & Birner (2014): modified Holton-Mass model with prescribed bottom boundary upward wave activity flux



- Constant incoming wave forcing at lower boundary

Sjoberg & Birner (2014): modified Holton-Mass model with prescribed bottom boundary upward wave activity flux

→ *response to stratospheric zonal wind perturbation:*

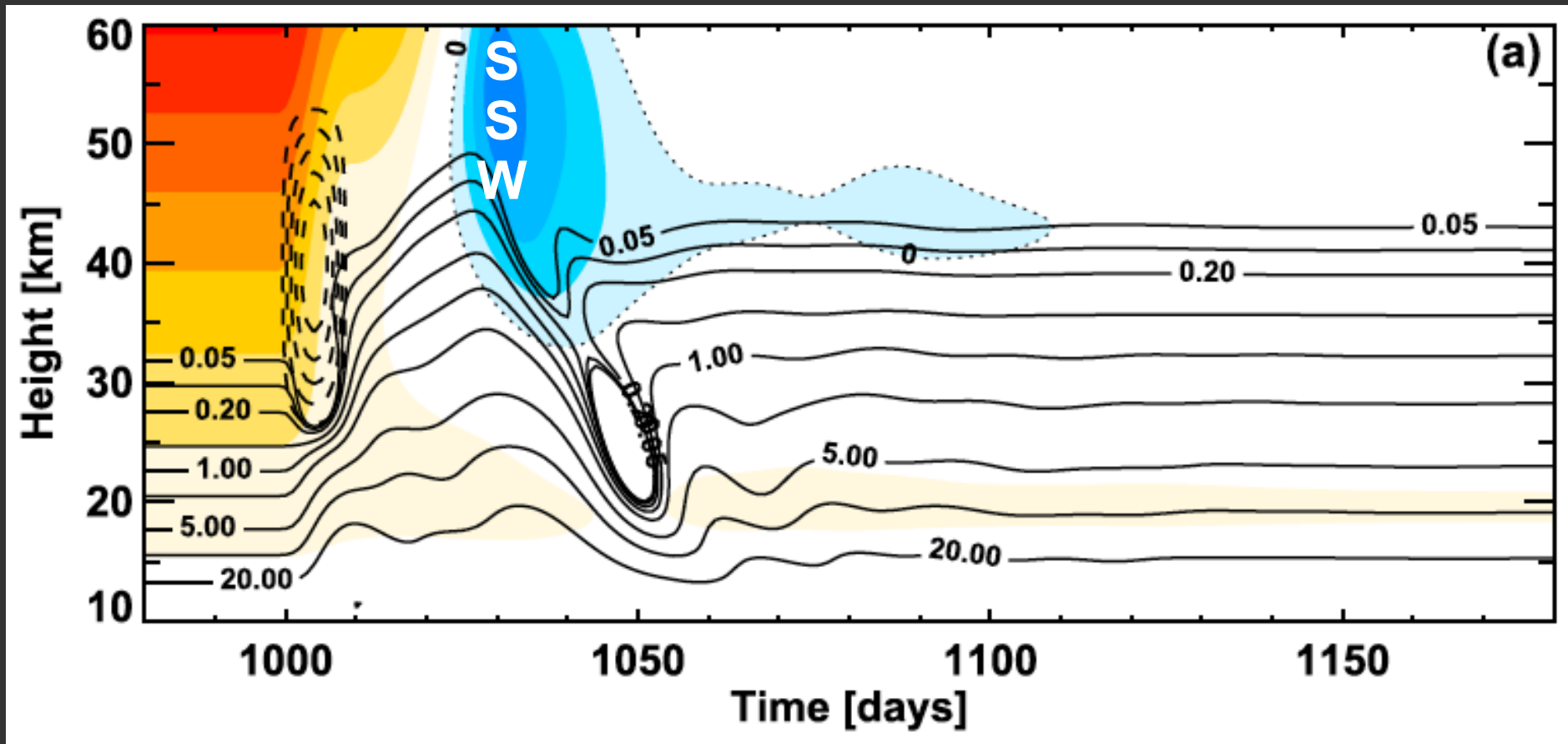


- Constant incoming wave forcing at lower boundary



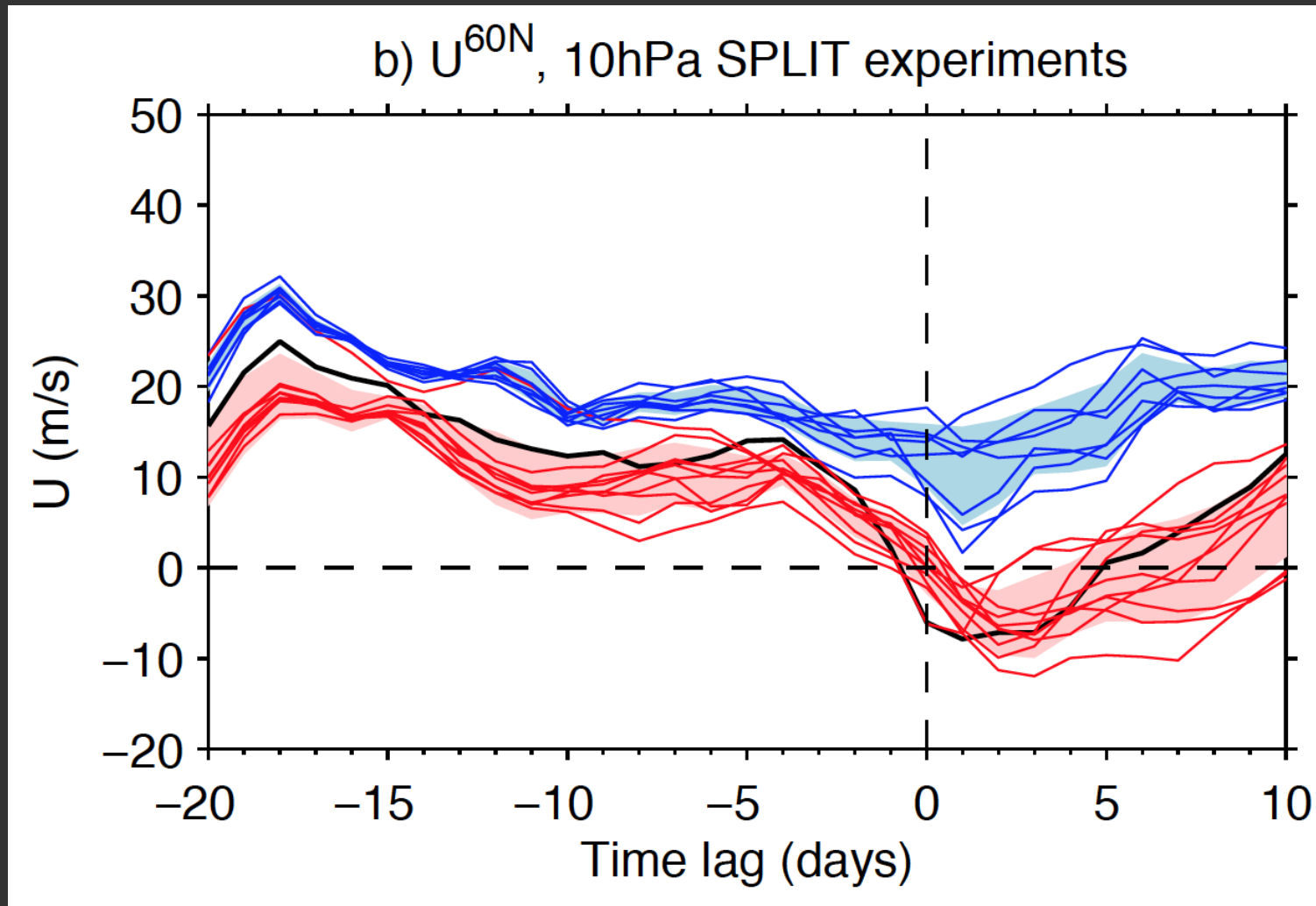
Sjoberg & Birner (2014): modified Holton-Mass model with prescribed bottom boundary upward wave activity flux

→ *response to stratospheric zonal wind perturbation:*



- Constant incoming wave forcing at lower boundary
- **Imposed initial stratospheric deceleration → SSW**

# Sensitivity of Sudden Stratospheric Warmings to stratospheric initial conditions:



*de la Cámara et al., 2017*

Tropospheric evolution is nudged to control run, i.e., all differences due to stratospheric initial conditions

# **Sudden Stratospheric Warmings (SSWs) triggered by internal stratospheric dynamics:**

- Clark (1974): 'tuning' vortex geometry to support resonance (cf. Albers & Birner, 2014)
- Plumb (1981): self-tuned resonance; also, e.g., Esler & Scott (2005); Matthewman & Esler (2011)
- Scott & Polvani (2004, 2006): SSWs can arise due to internal stratospheric dynamics in idealized GCM simulations

# **Are sudden stratospheric warmings preceded by anomalous tropospheric wave activity?**

*Birner & Albers (2017)*

*de la Cámara, Birner, Albers (2019)*

# Upward Wave Activity Flux Events

- Based on upward Eliassen-Palm flux of a given (planetary) wave number and at a given level, averaged over 45°–75°N
- Wave Event = 10-day averaged upward EP-flux exceeds two standard deviations (during November – March, 1979 – 2016, from ERA-interim data)
- Here: lower tropospheric wave events (at ~700 hPa = LTWEs), 21 wave 1 events, 32 wave 2 events

# Sudden Stratospheric Deceleration Events

= SSDs

- Based on zonal mean zonal wind ( $U$ ) at 10 hPa, averaged over 45–75 N
- Deceleration Event = 10-day change in  $U$  falls below  $-20$  m/s (during November – March)
- 32 events between 1979 – 2016 (ERA-Interim)
- Most SSWs included
- Similar event definition used by Martineau & Son (2013, 2015)

# 2018/19 SSW: strongest warming and deceleration (SSD) happened ~7-10 days before wind reversal!

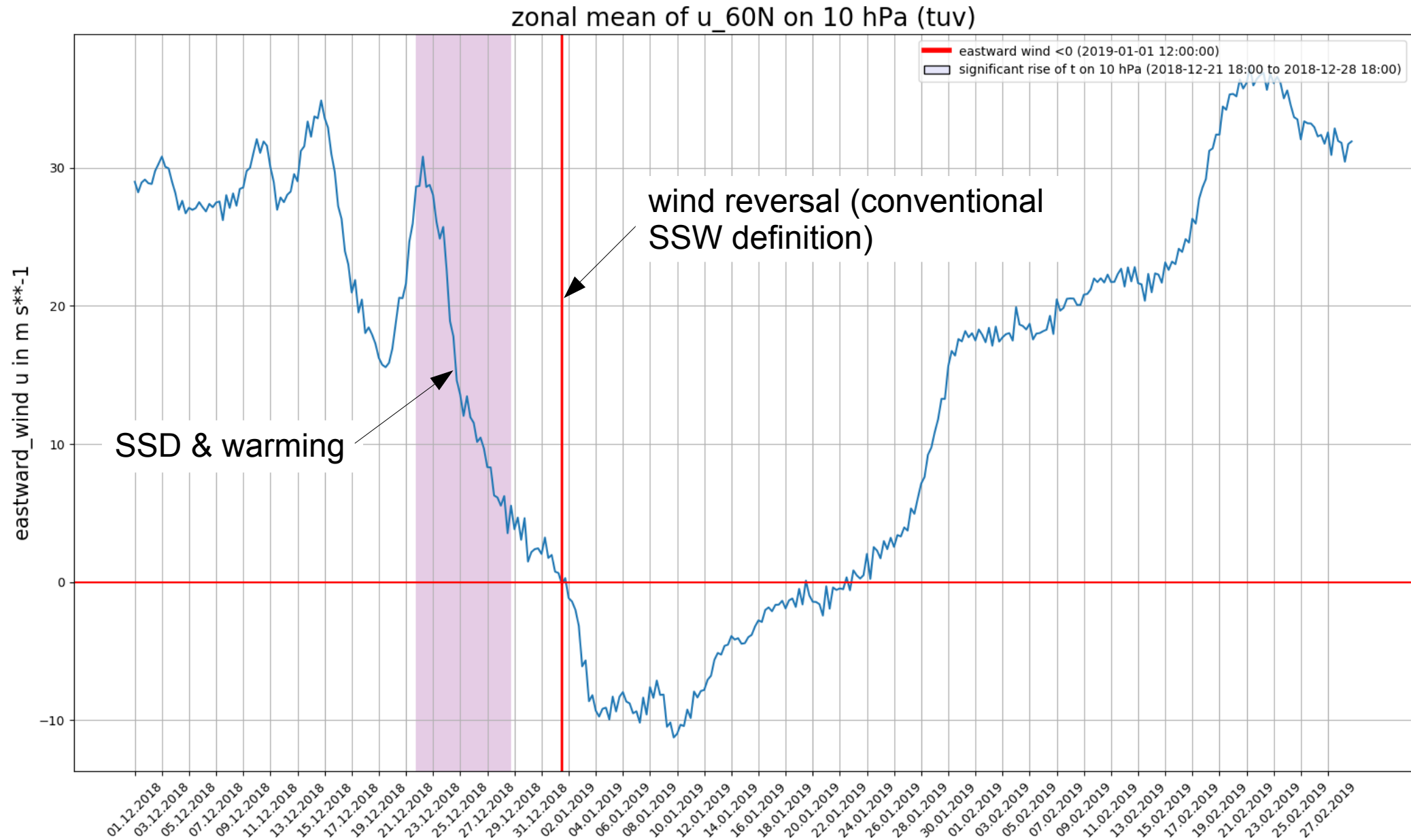
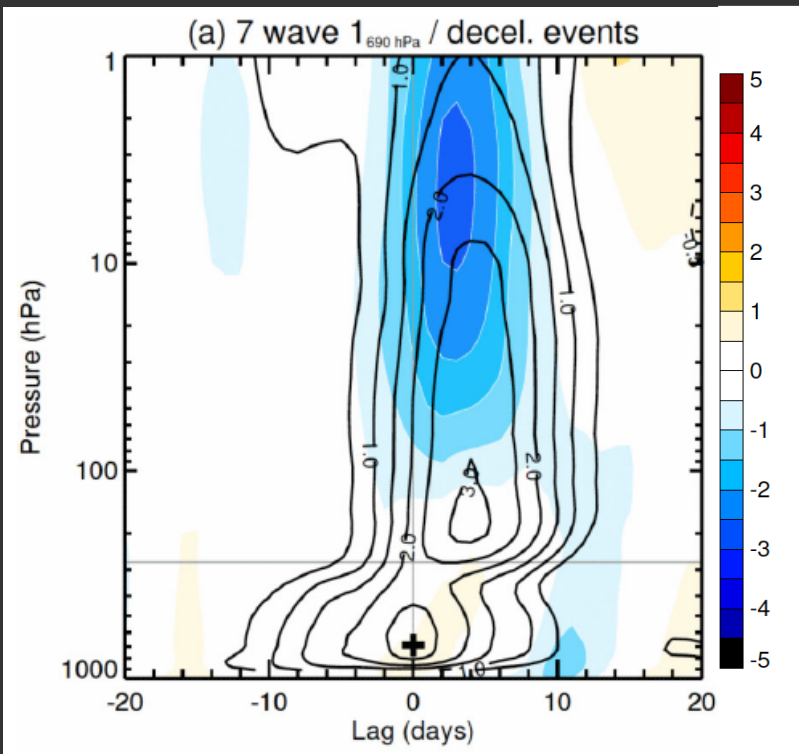


Fig. courtesy Julia Kolb (LMU)

# Events in lower tropospheric upward wave activity flux

## *21 Wave 1 Events*

wave 1 & deceleration event



Colors: wind tendency, normalized by std dev

Contours: upward wave activity flux (wave 1), normalized by std dev

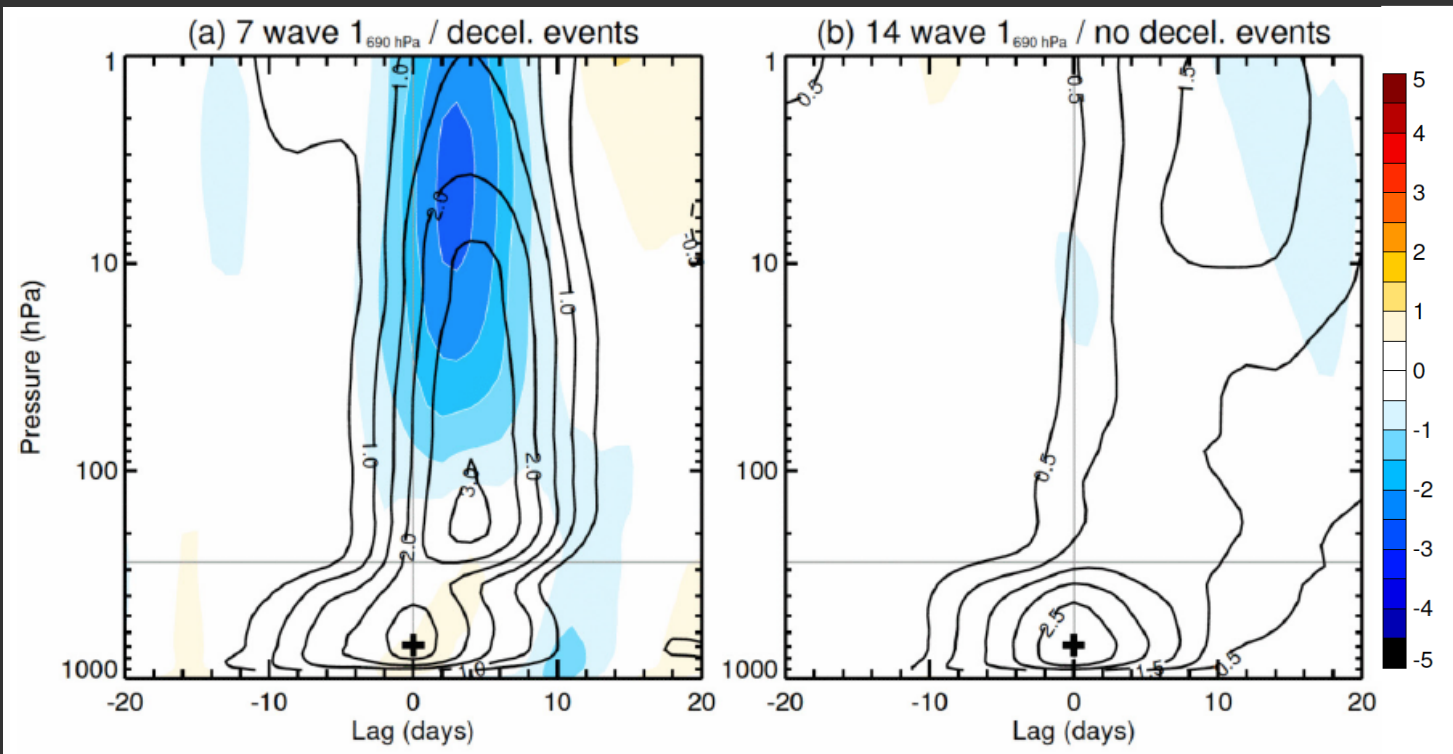
(averages between 45-75 N)



# Events in lower tropospheric upward wave activity flux

## *21 Wave 1 Events*

wave 1 & no deceleration event



Colors: wind tendency, normalized by std dev

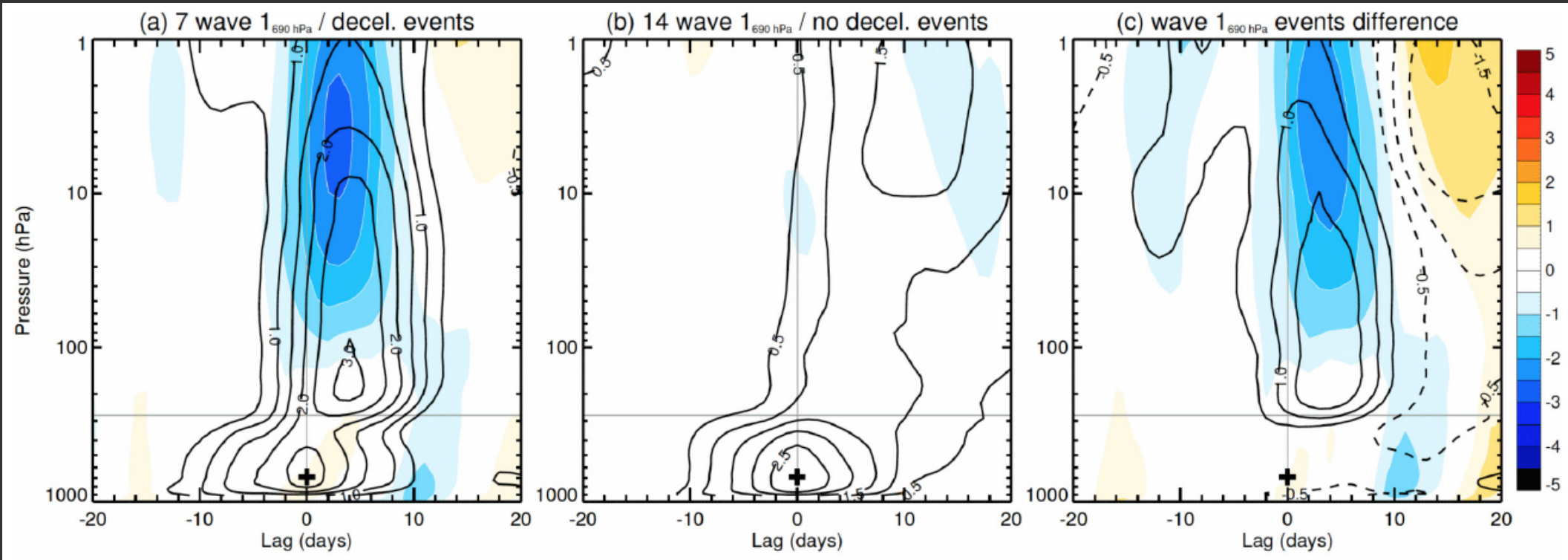
Contours: upward wave activity flux (wave 1), normalized by std dev

(averages between 45-75 N)

# Events in lower tropospheric upward wave activity flux

## *21 Wave 1 Events*

difference

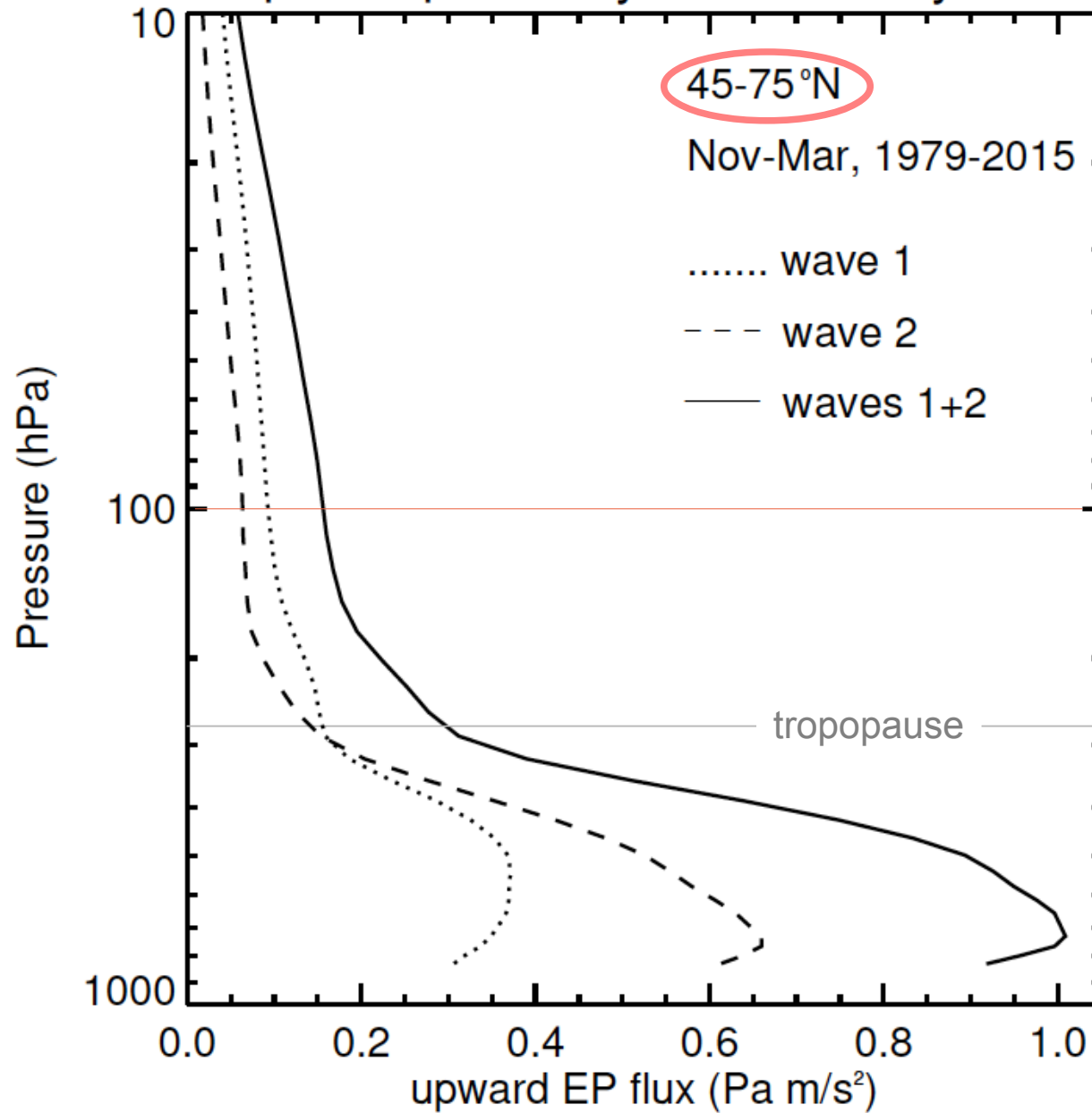


Colors: wind tendency, normalized by std dev

Contours: upward wave activity flux (wave 1), normalized by std dev

(averages between 45-75 N)

# upward planetary wave activity flux

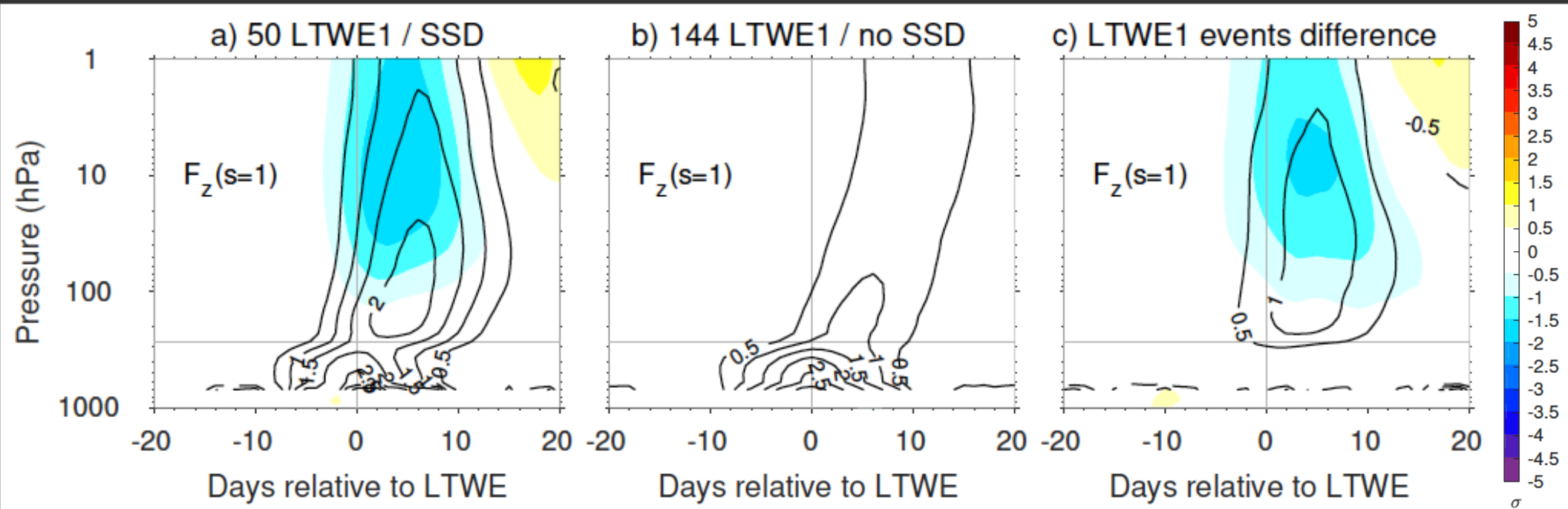


→ ~25% of maximum wave 1 upward wave activity flux past 100 hPa (~10% for wave 2)

→ Improved statistics from climate model simulation (de la Cámara, Birner, Albers, J. Climate, 2019):

- Whole Atmosphere Community Climate Model (WACCM) forced with observed SSTs 1955-2014
- 4 members: total of 240 years of data
- LTWEs versus SSDs, each split into wave 1 & 2
- Very similar results for ERA-20C (“Reanalysis” using only surface observations, 1900-2010)
- Results consistent with White et al. (2019)

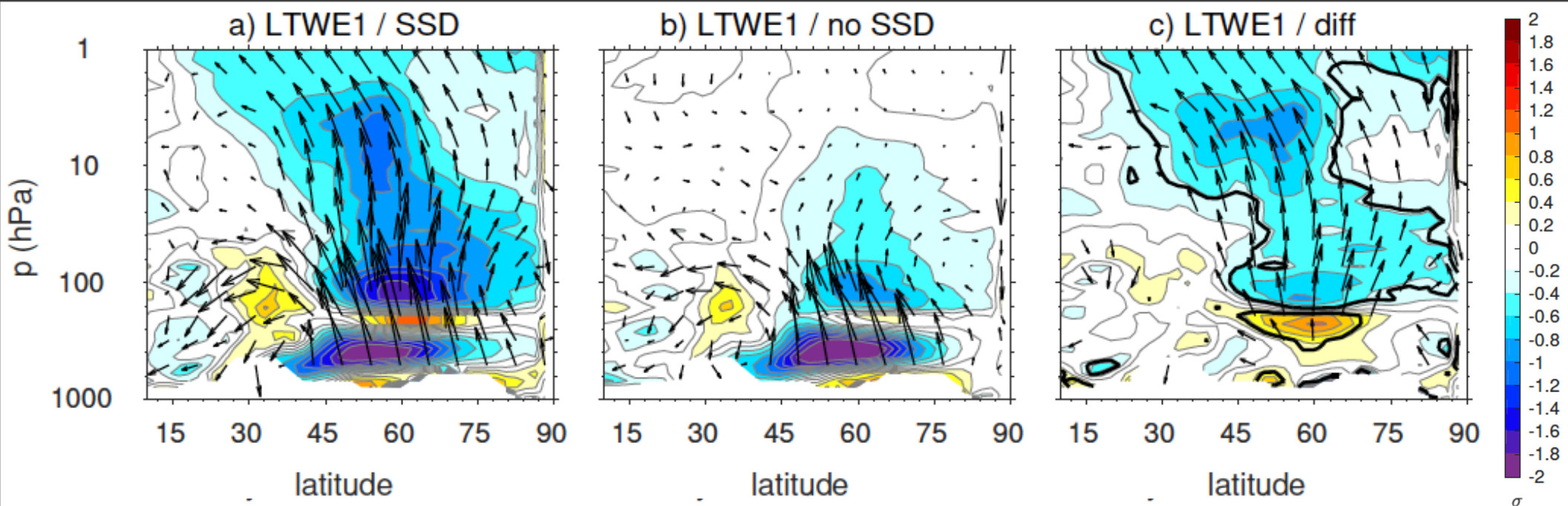
# 194 lower tropospheric wave 1 events from WACCM



Colors: wind tendency, normalized by std dev

Contours: upward wave activity flux (wave 1), normalized by std dev  
(averages between 45-75 N)

# 194 lower tropospheric wave 1 events from WACCM

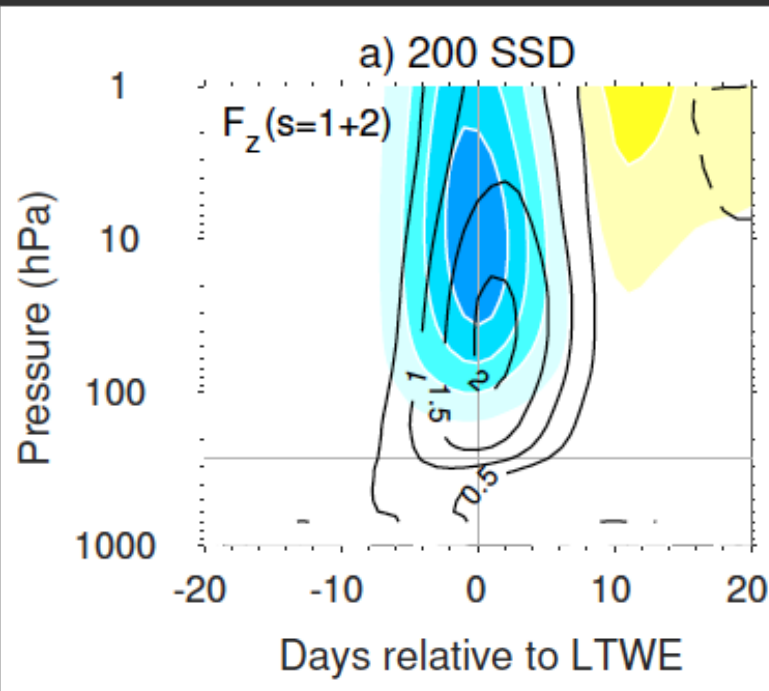


Colors: wind tendency, normalized by std dev

Arrows: normalized wave activity (EP) flux (wave 1)

# **Sudden Deceleration Events (SSDs)**

# 200 sudden deceleration (SSD) events from WACCM



Colors: wind tendency, normalized by std dev

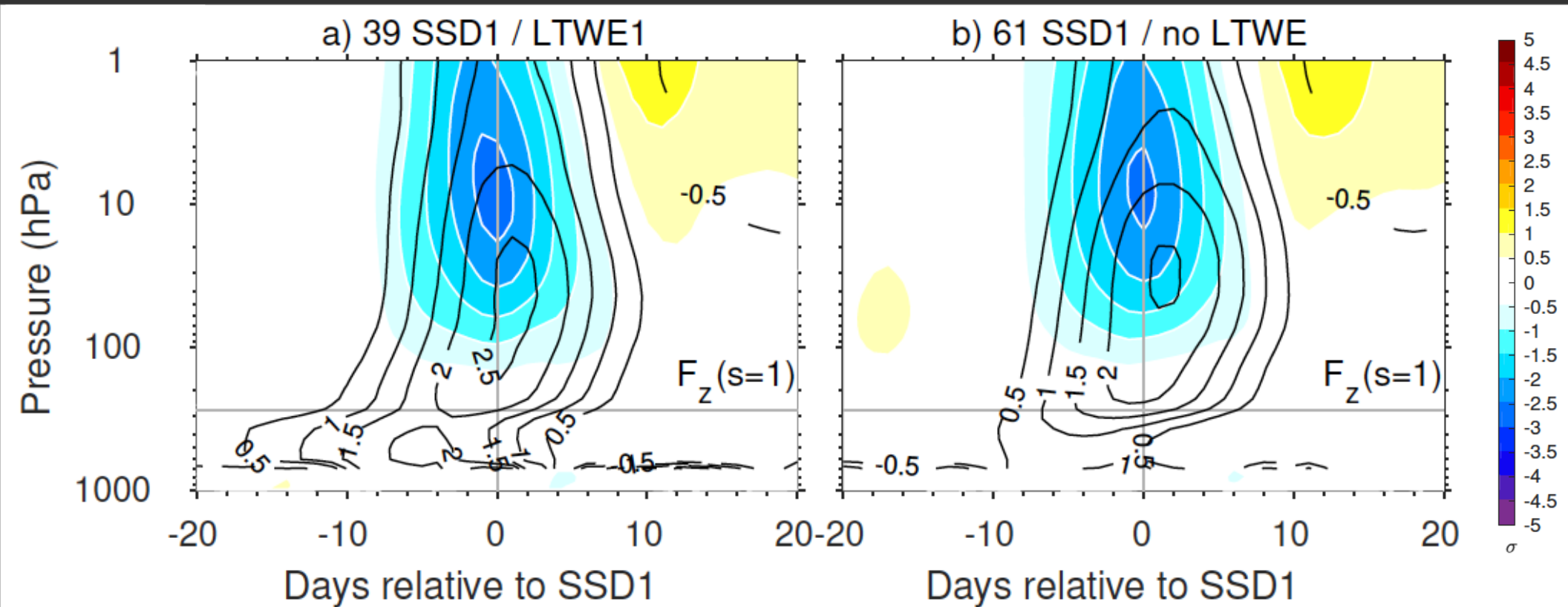
Contours: upward wave activity flux, normalized by std dev

(averages between 45-75 N)





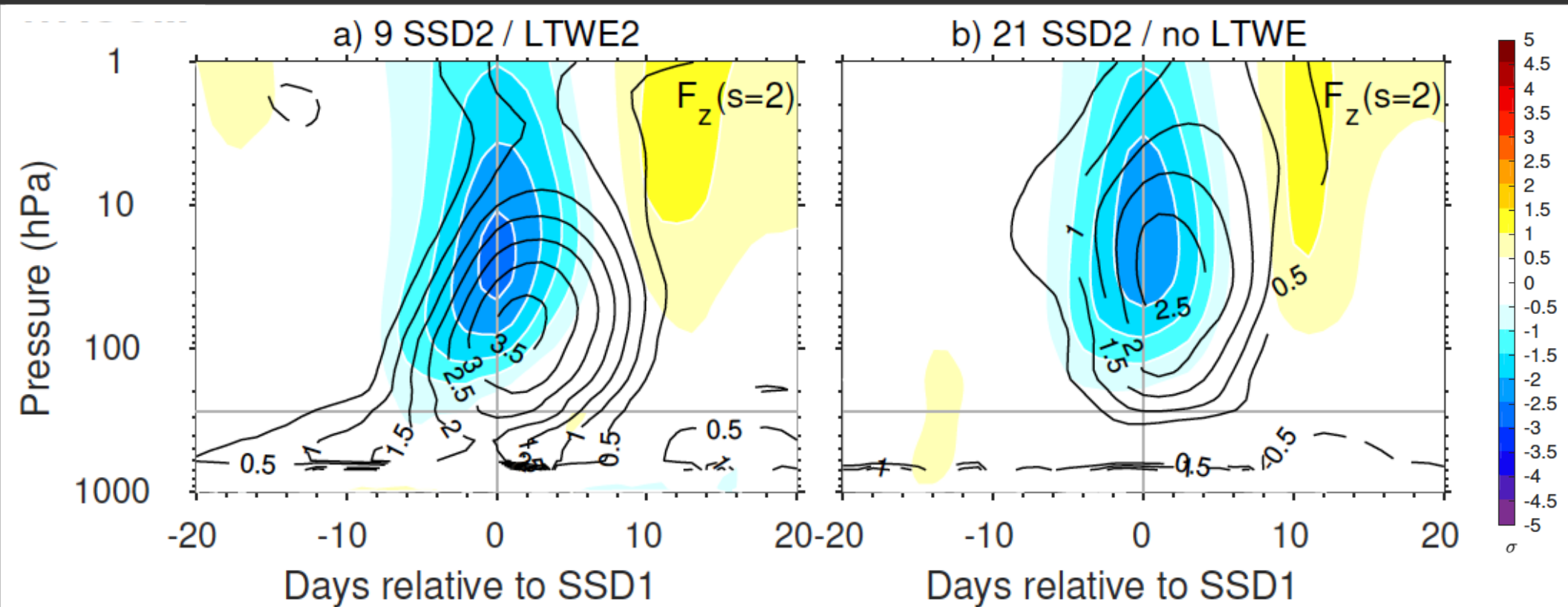
# Wave 1 SSD's (~displacement SSWs): role of preceding lower tropospheric wave 1 event?



Colors: wind tendency, normalized by std dev

Contours: upward wave activity flux (wave 1), normalized by std dev  
(averages between 45-75 N)

# Wave 2 SSD's (~split SSWs): role of preceding lower tropospheric wave 2 event?



Colors: wind tendency, normalized by std dev

Contours: upward wave activity flux (wave 2), normalized by std dev  
(averages between 45-75 N)

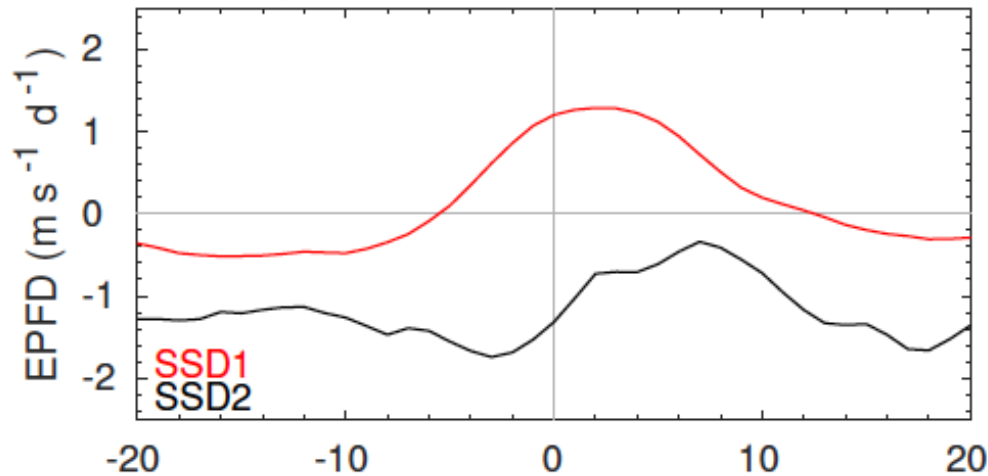
# **Tropopause-Level Wave Source**

# Net wave-induced forcing (anomaly+climatology)

→ source of wave 1 just above tropopause

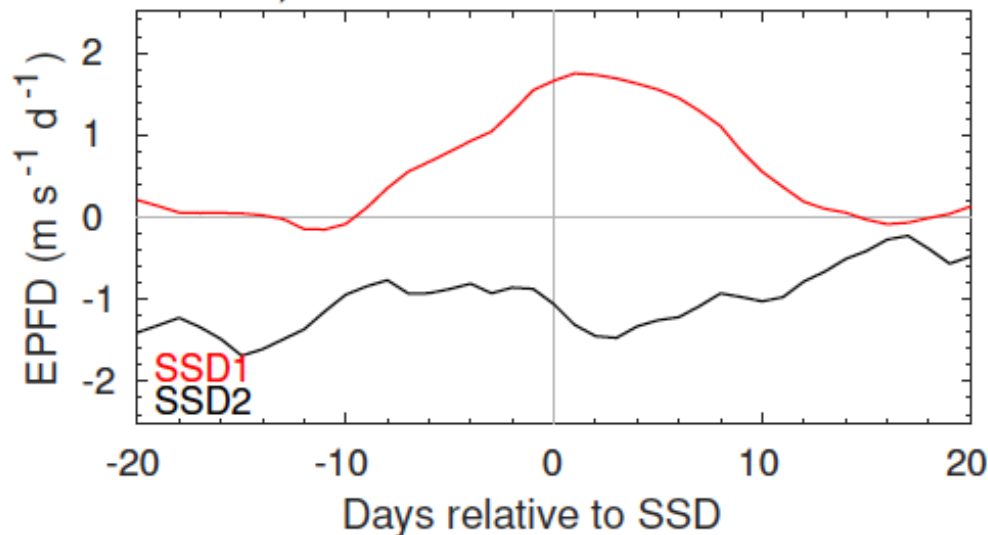
**WACCM**

a) EPFD 250hPa SSD/LTWE



**ERA-20C**

c) EPFD 250hPa SSD/LTWE

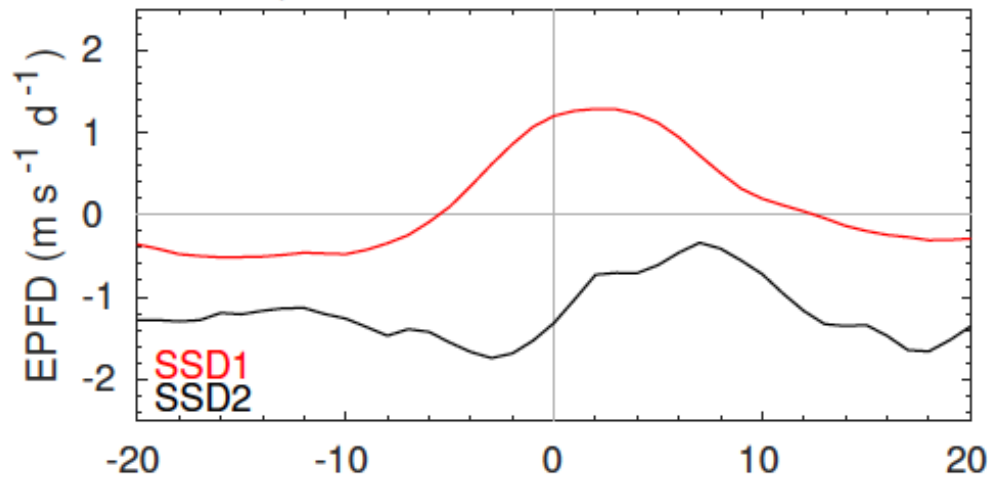


# Net wave-induced forcing (anomaly+climatology)

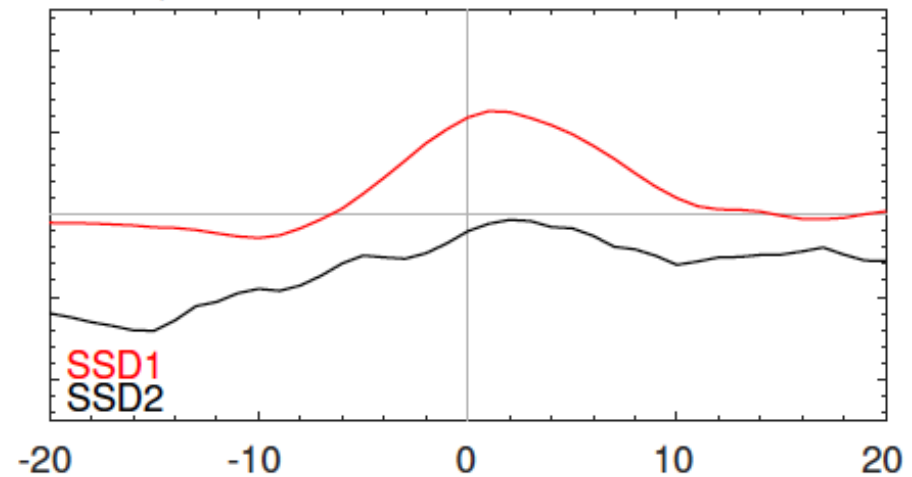
→ source of wave 1 just above tropopause

**WACCM**

a) EPFD 250hPa SSD/LTWE

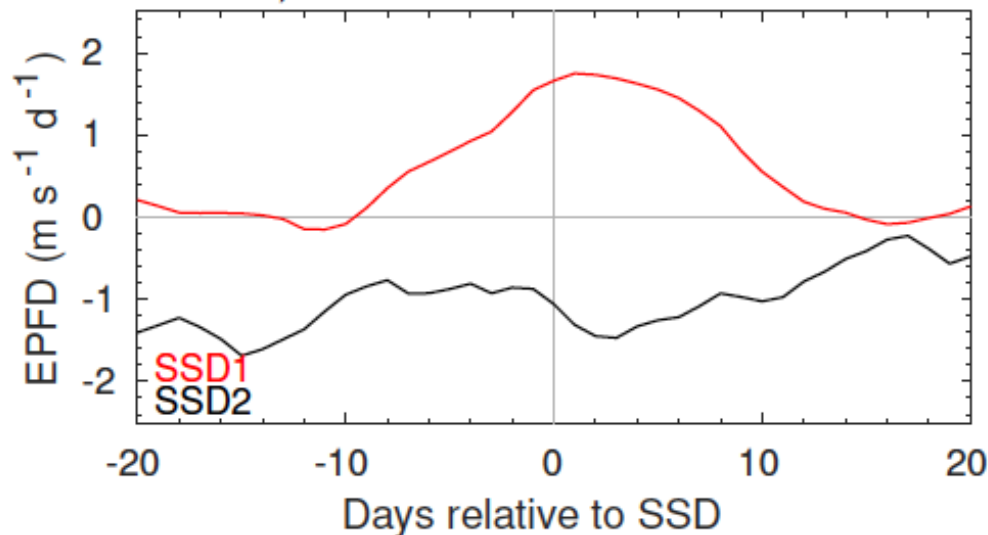


b) EPFD 250hPa SSD/no LTWE

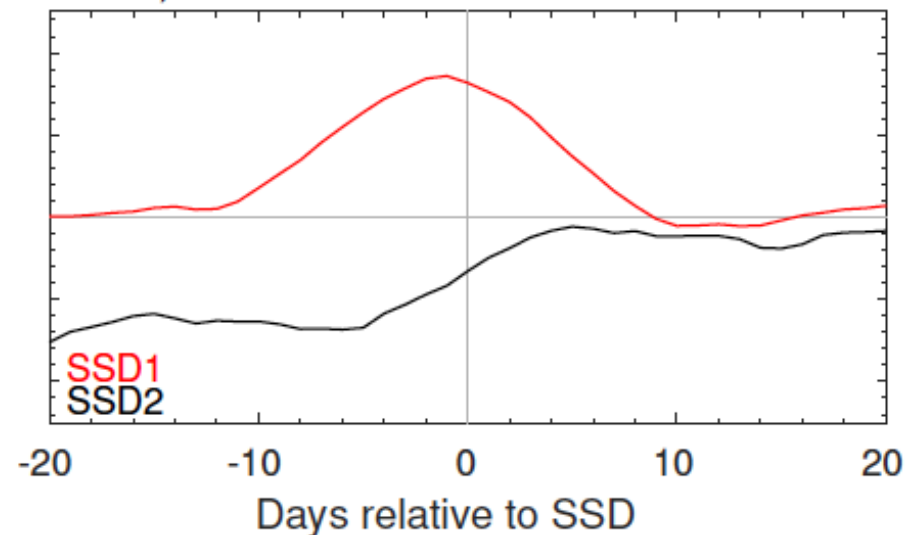


**ERA-20C**

c) EPFD 250hPa SSD/LTWE



d) EPFD 250hPa SSD/no LTWE



# Conclusions

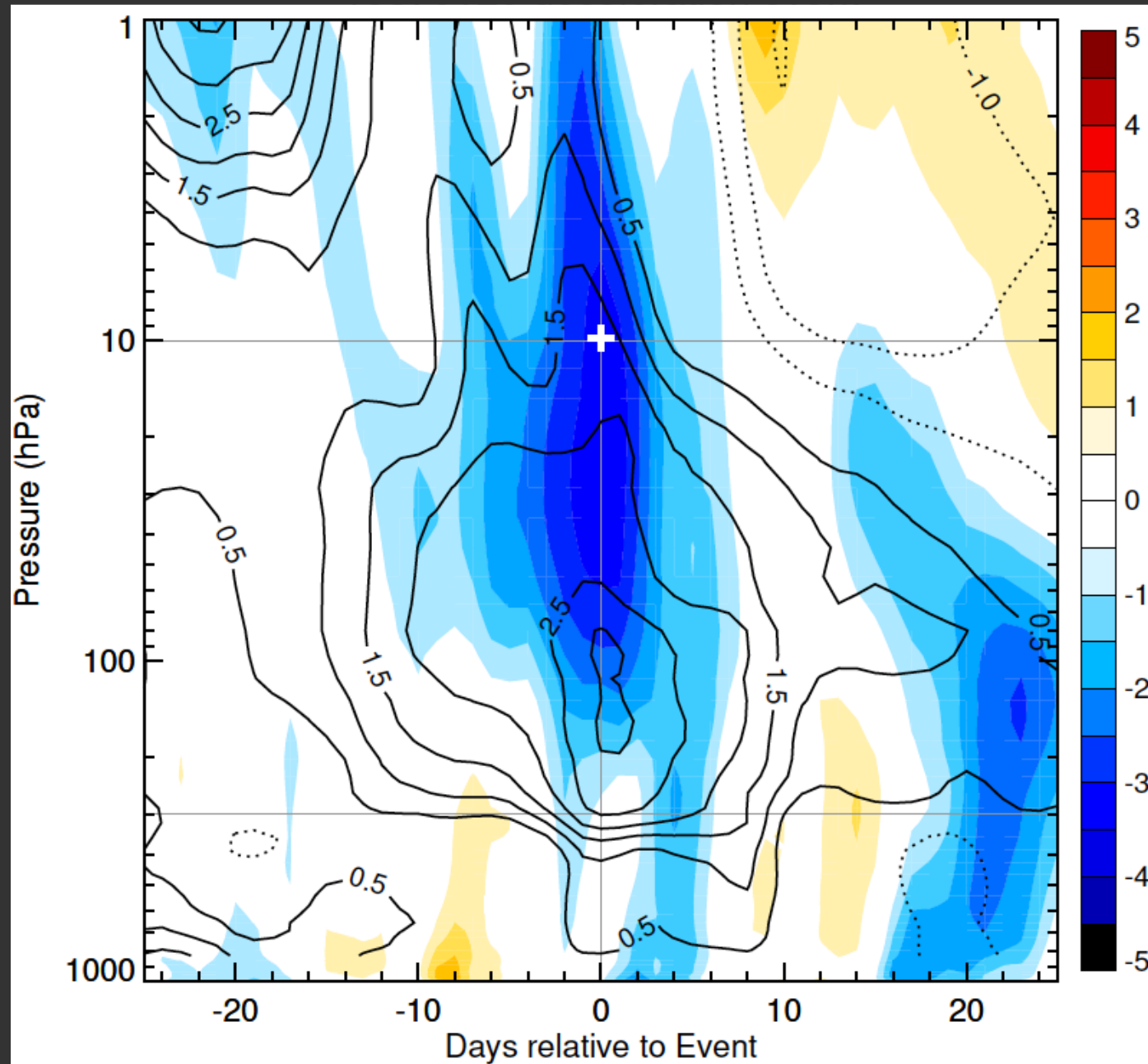
- most SSWs/SSDs appear to be caused by redistribution and/or generation of wave activity flux in the “tropopause communication layer” (~300-200 hPa)
  - interpreting upward wave activity flux at 100 hPa as “input from the troposphere” is misleading
- bursts of wave activity from the troposphere seem less important (may play role for up to 40% of stratospheric events, see also White et al., 2019)
- local source of planetary wave 1 just above tropopause associated with many SSDs ...

*(similar phenomenon exists in idealized dry dynamical core experiments: ongoing work with Postdoc Lina Boljka)*





Example: January 1987 deceleration / SSW event  
Standardized wind tendency & wave 1 upward EP-flux



Example: February 1979 deceleration / SSW event  
Standardized wind tendency & wave 2 upward EP-flux

