

Deltares

Enabling Delta Life



**Improving hydrological prediction through data assimilation:
results from the H2020 IMPREX and eWaterCycle II projects**

Albrecht Weerts

Deltares – Operational Water Management / Wageningen University & Research



Introduction

- Background DA/hydrological forecasting work
- Results IMPREX
model
DA lake levels
DA streamflow all Rhine tributaries
- Conclusions
- Outlook Ewatercycle II project

Challenges

- What triggered me to have an interest in hydrological data assimilation?



<http://www.robots.ox.ac.uk/~misard/condensation.html>

→ **Hydrological Model?**

→ **Which algorithm?**

→ **Which measurements?**

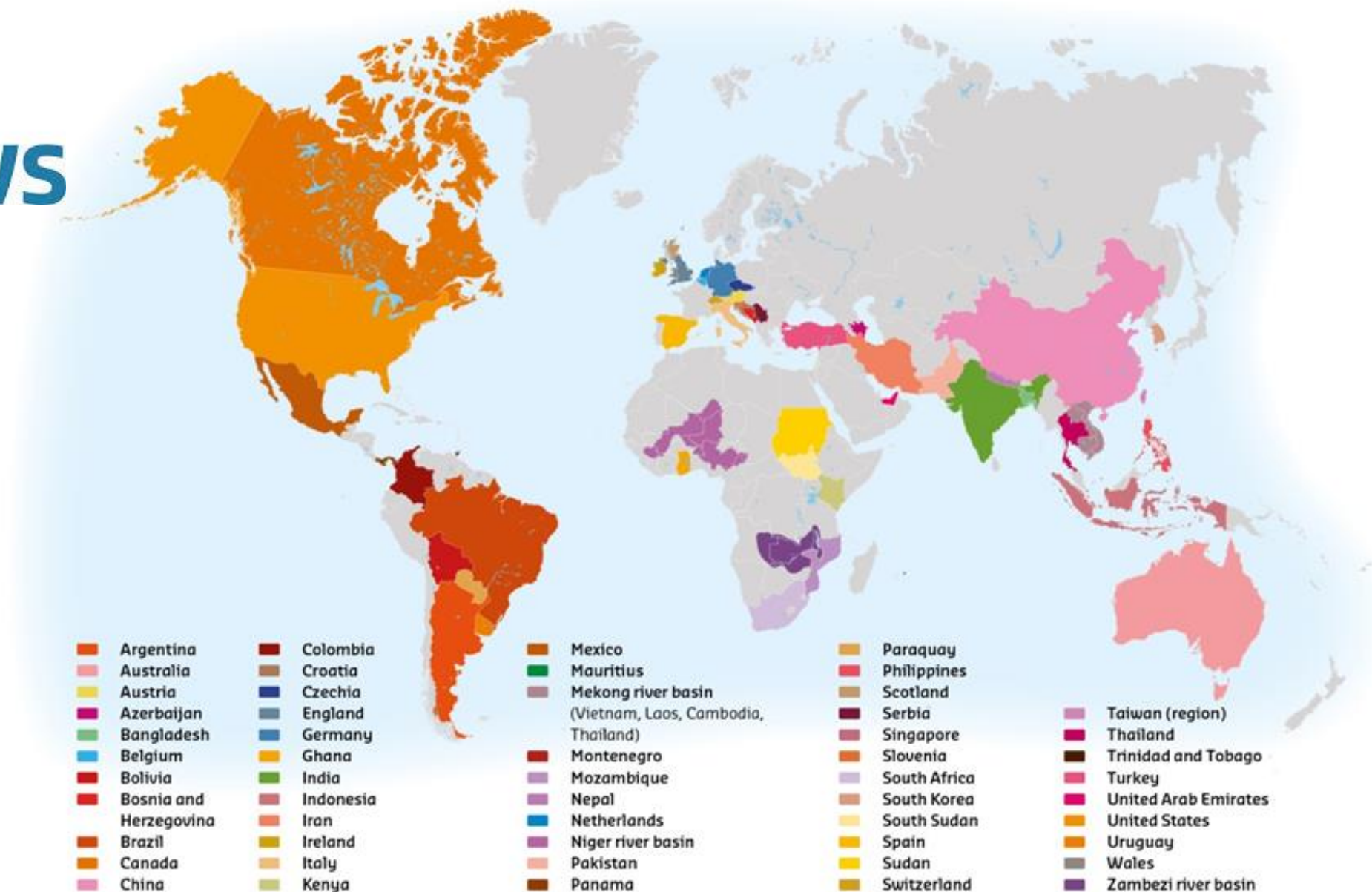
→ **How to define the uncertainties?**

→ **Operational applicability?**

→ **How much improvement spatially
and as function of leadtime?**



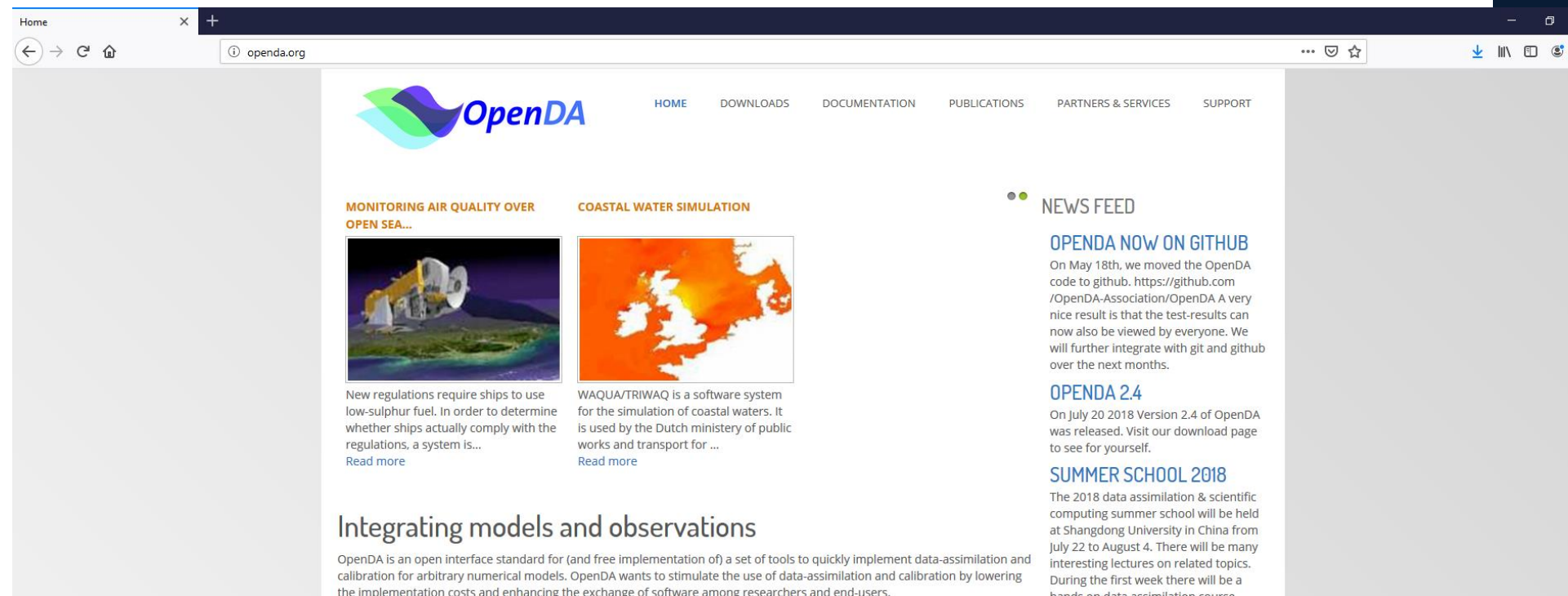
Delft-FEWS



What is OpenDA?

- OpenDA is an open interface standard for (and free implementation of) a set of tools to quickly implement data-assimilation and calibration for arbitrary numerical models. OpenDA wants to stimulate the use of data-assimilation and calibration by lowering the implementation costs and enhancing the exchange of software among researchers and end-users.

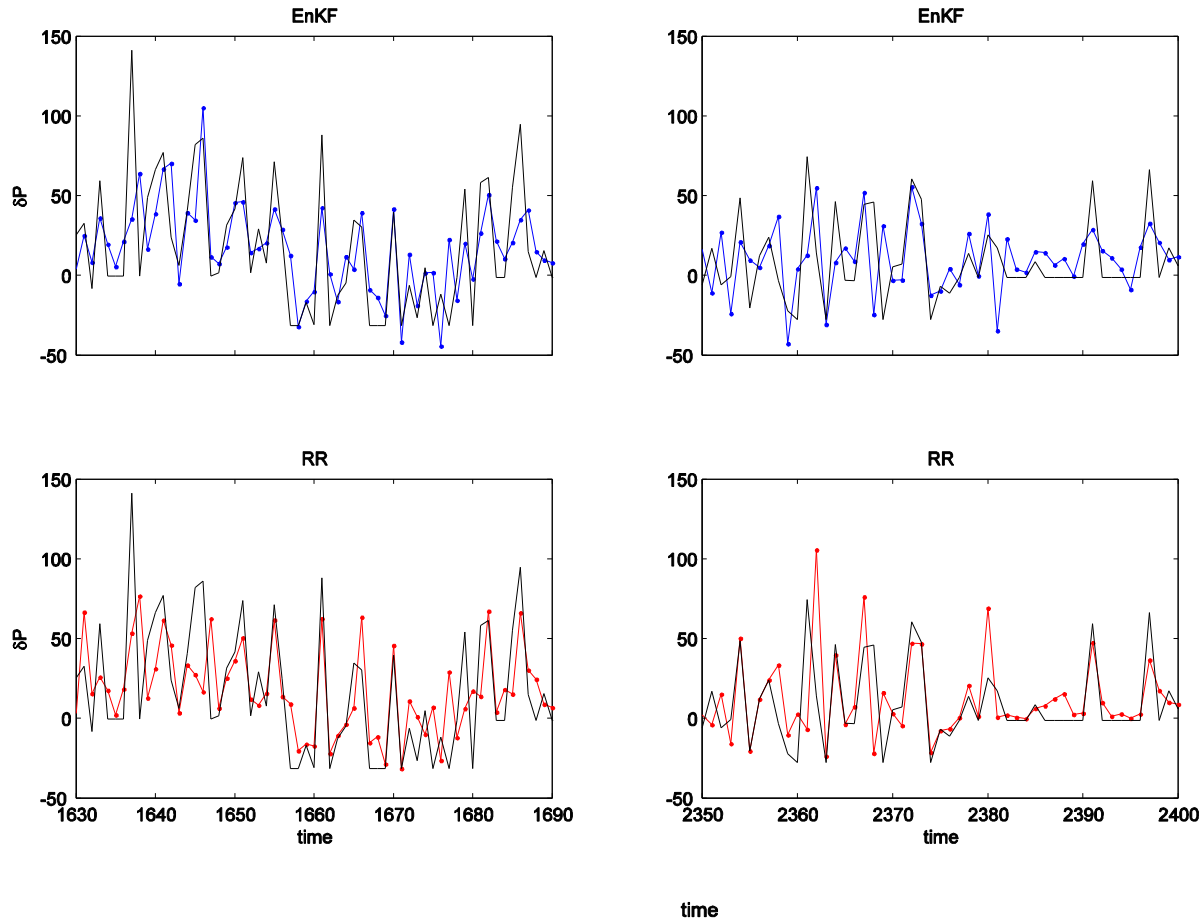
- See [OpenDA.org](https://openda.org)



Current=>IMPRES=> and beyond

	Current	IMPRES	and beyond
Flood Forecasting	<p>Lumped HBV96</p> <ul style="list-style-type: none"> -hourly -height zones linked to station certain height -fixed PET profiles -fixed interception (g/f) -big calibration effort (last time 2008) -many correction factors, WB fiddling -closed source 	<p>wflow_hbv</p> <ul style="list-style-type: none"> -hourly, 6hourly, daily -distributed P, T, PET - PET formulation harmonized with rest of RWSOS -<u>ensemble DA</u> -open source 	<p>wflow_sbm</p> <ul style="list-style-type: none"> -hourly, 6hourly, daily -distributed P, T, PET -<u>improve fidelity process formulations</u> -LAI, Landuse etc fully distributed -<u>PTFs</u> -<u>ensemble DA</u> -forecasted P, Eref, T -open source
Policy	<p>HBV96</p> <ul style="list-style-type: none"> -daily -big calibration effort (completed 2014) -multiple parameter sets -lumped P&T generator 	<p>wflow_hbv</p> <ul style="list-style-type: none"> -daily or subhourly -distributed P, T, PET -multiple parameter sets 	<p>wflow_sbm</p> <ul style="list-style-type: none"> -daily or subhourly -<u>landuse scenarios</u> -multiple parameter sets?

Lumped hydrological model HBV-96 & Algorithms & Noise



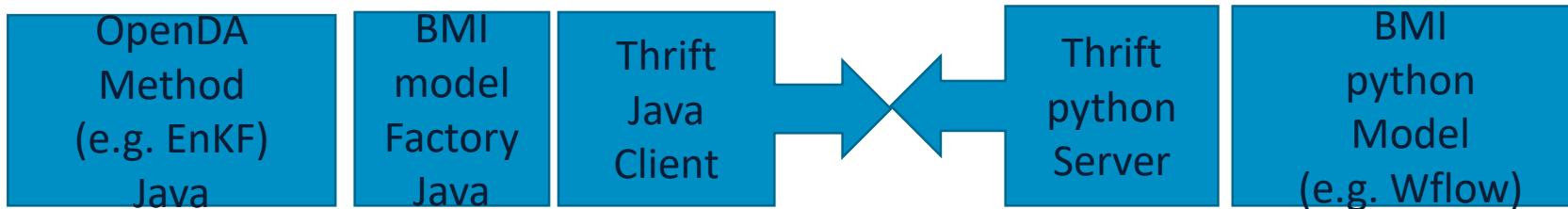
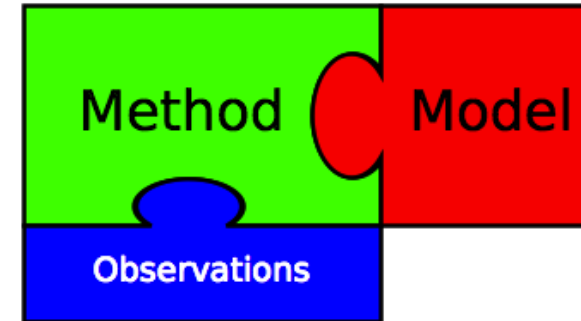
Weerts and El Serafy, WRR, 2006

- Can we estimate errors in precipitation? Yes, but..
- need of Markov property state x_{t+1} depends only on x_t
- EnKF robust for operational purposes, PF more likely for academic studies (in hydrology)
- When freezing outflow governed by groundwaterstore/bucket

OpenDA-WFLOW



OpenDA is an open source toolbox for data assimilation and parameter calibration in a generic modeling context.



Drost et al., 2015

Weerts et al., (in prep)

BMI=> http://csdms.colorado.edu/wiki/BMI_Description

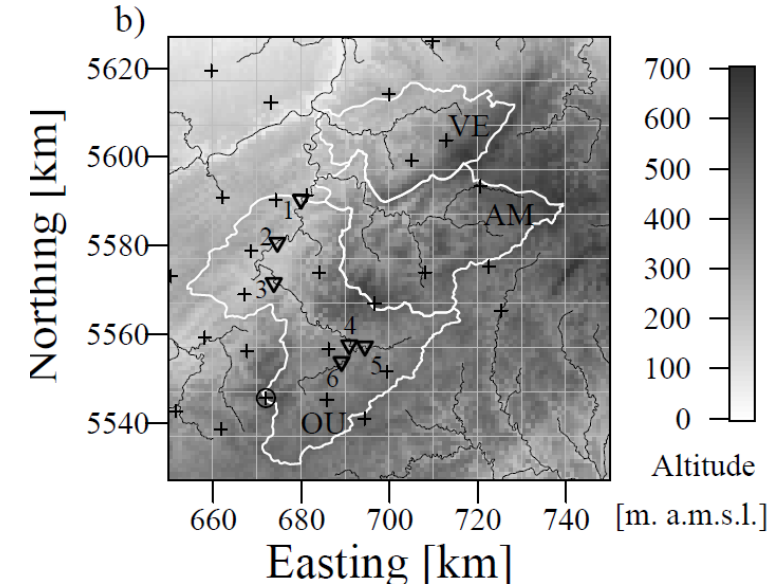
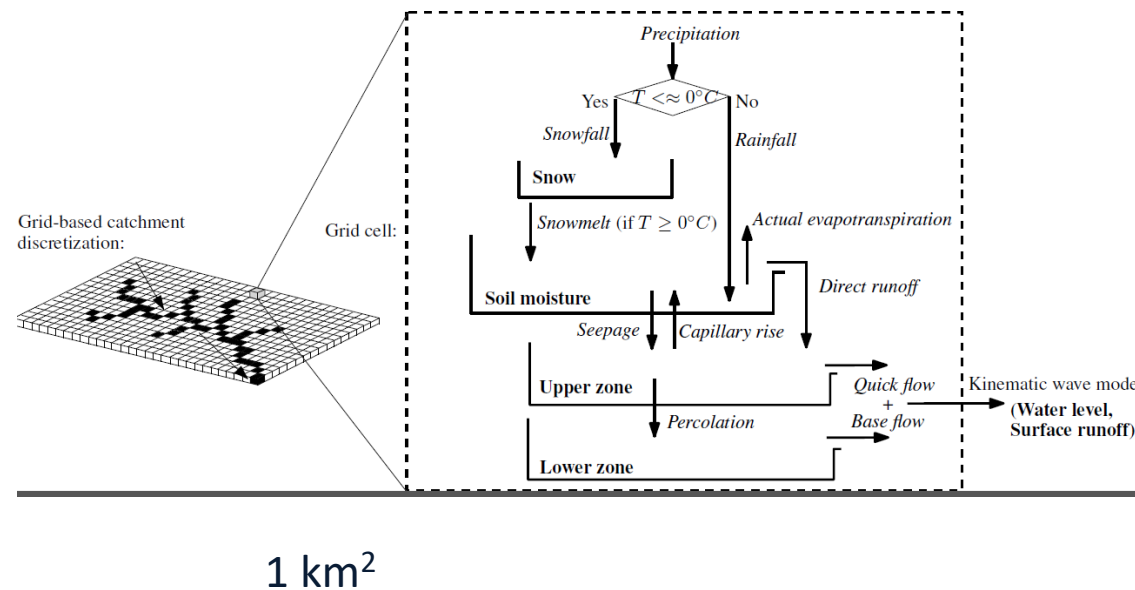
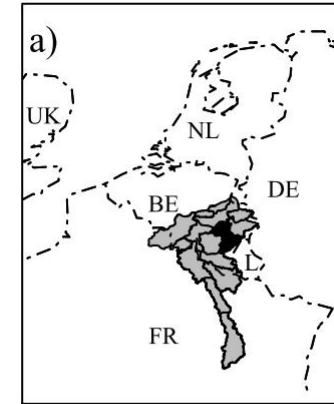
<https://github.com/openstreams/wflow>
<https://wflow.readthedocs.io/en/latest/>

Deltares

distributed models (wflow_hbv)

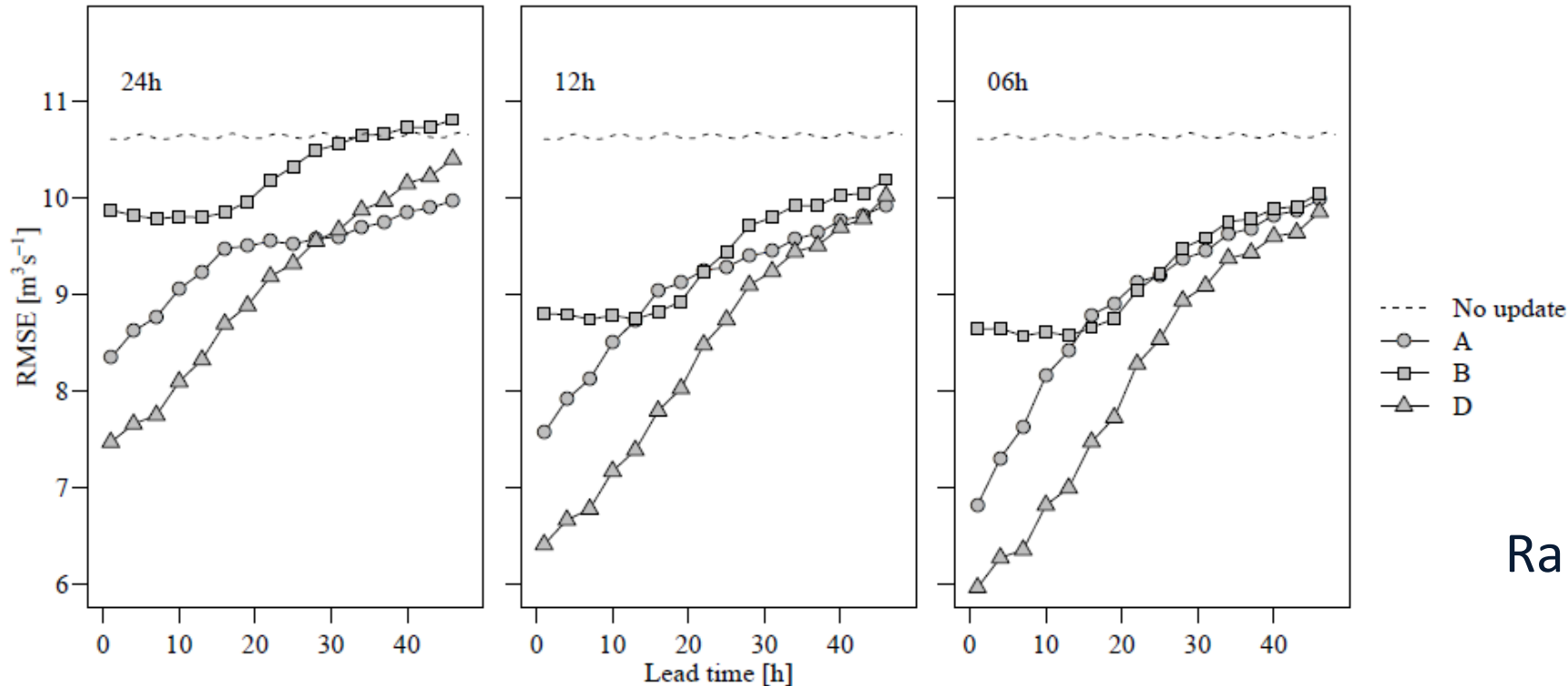
- First effort using distributed model with HBV clone (wflow_hbv)

Rakovec et al., HESS, 2012, Rakovec et al., HESS, 2015



- Kinematic wave routing (state x_{t+1} depends only on x_t) => how much information does the discharge have about states upstream?

Distributed models (wflow_hbv) II

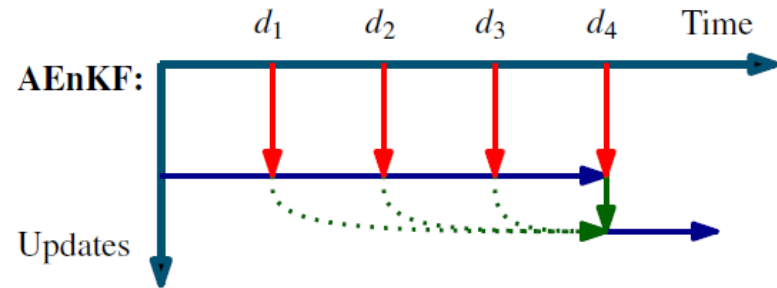


Rakovec et al., 2012

Best results in terms of the RMSE were achieved using all observations, which includes all six discharge gauges. Given the travel time of the catchment, an updating frequency of 12 h seems to be the most appropriate. Most sensitivity in routing stores

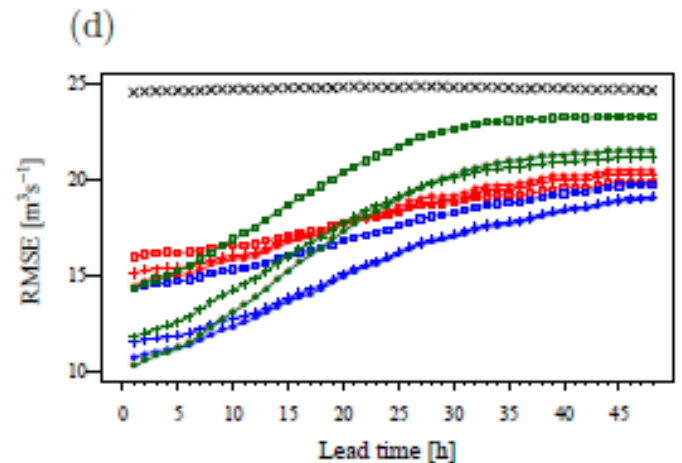
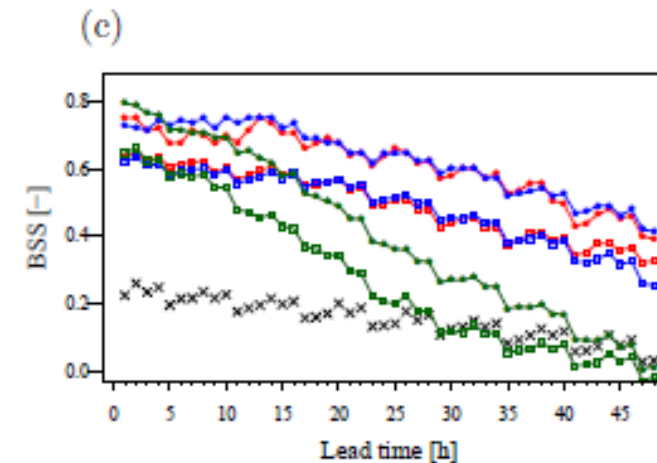
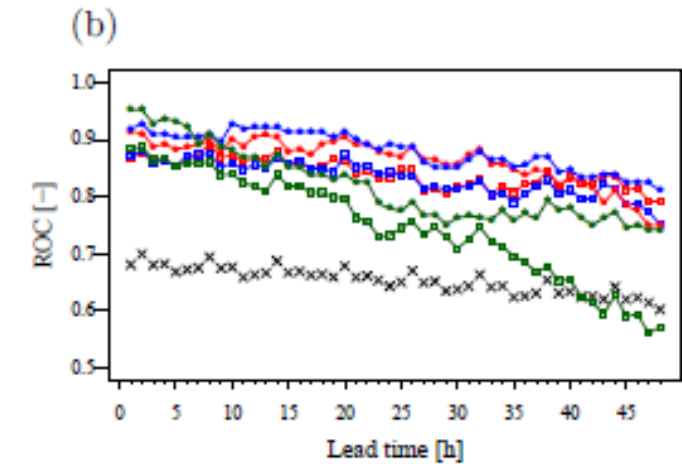
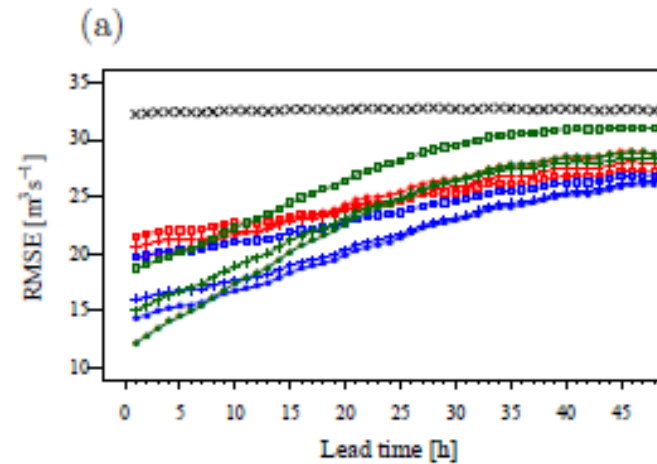
Algorithm (Asynchronous EnKF) and backpropagation of information

Asynchronous Ensemble Kalman Filter (*Sakov et al., 2010*)
updates model at the analysis step using past observations over a time window:



The Asynchronous EnKF is particularly attractive from a forecasting perspective as more observations can be used with **hardly any extra additional computational time!**

Rakovec et al., HESS, 2015



Update:

no update
all
noSM
HQ

Augmentation

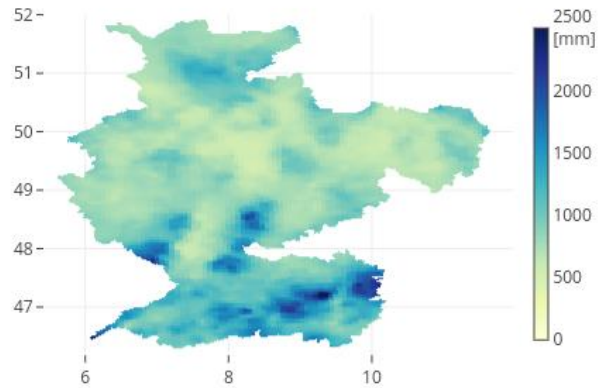
—□— 0
—+— 5
—●— 11

Current=>IMPRES=> and beyond

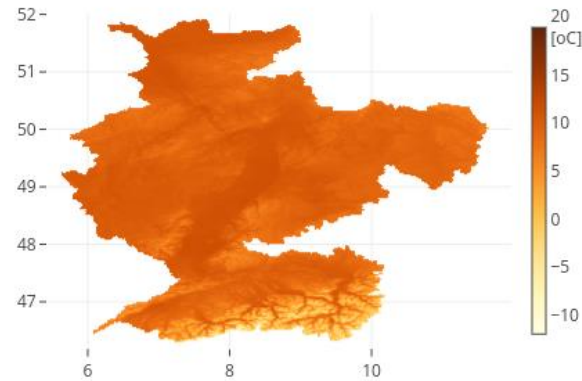
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Forcing and other data

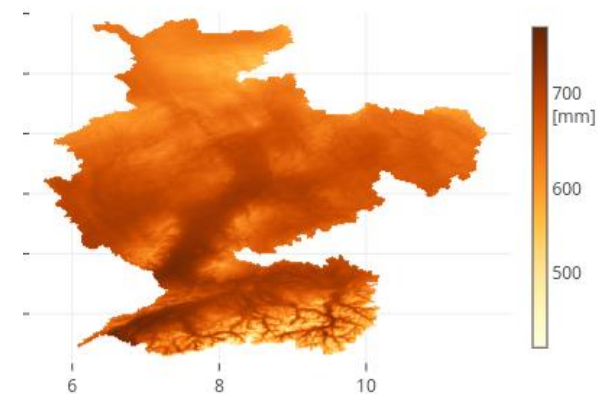
Avg. yearly precipitation sum



Avg. daily mean temperature

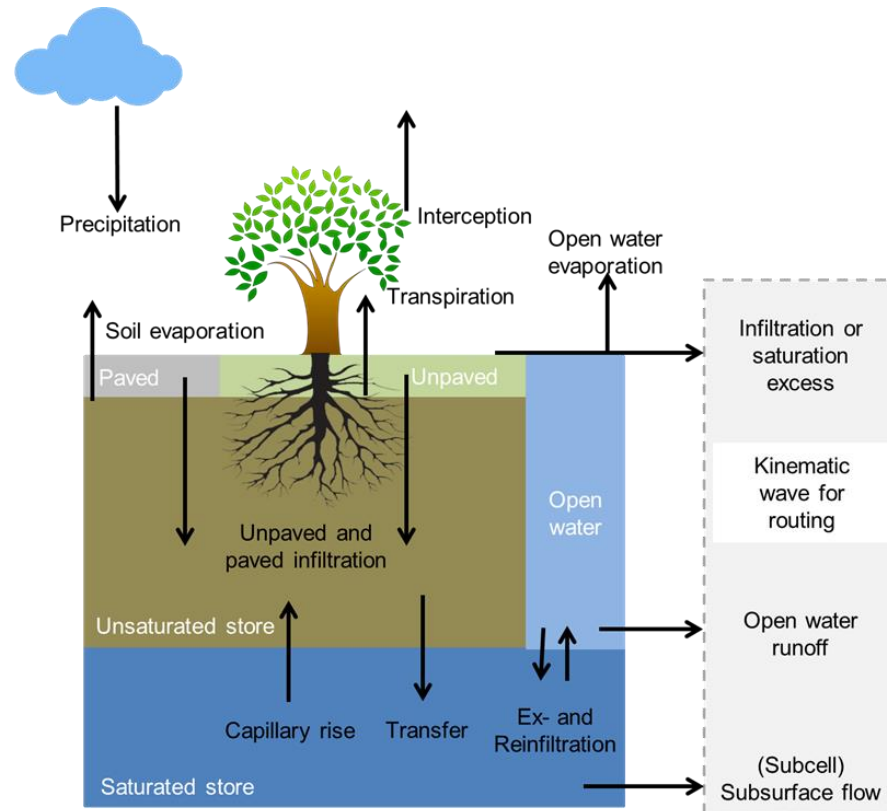


Avg. yearly PET sum

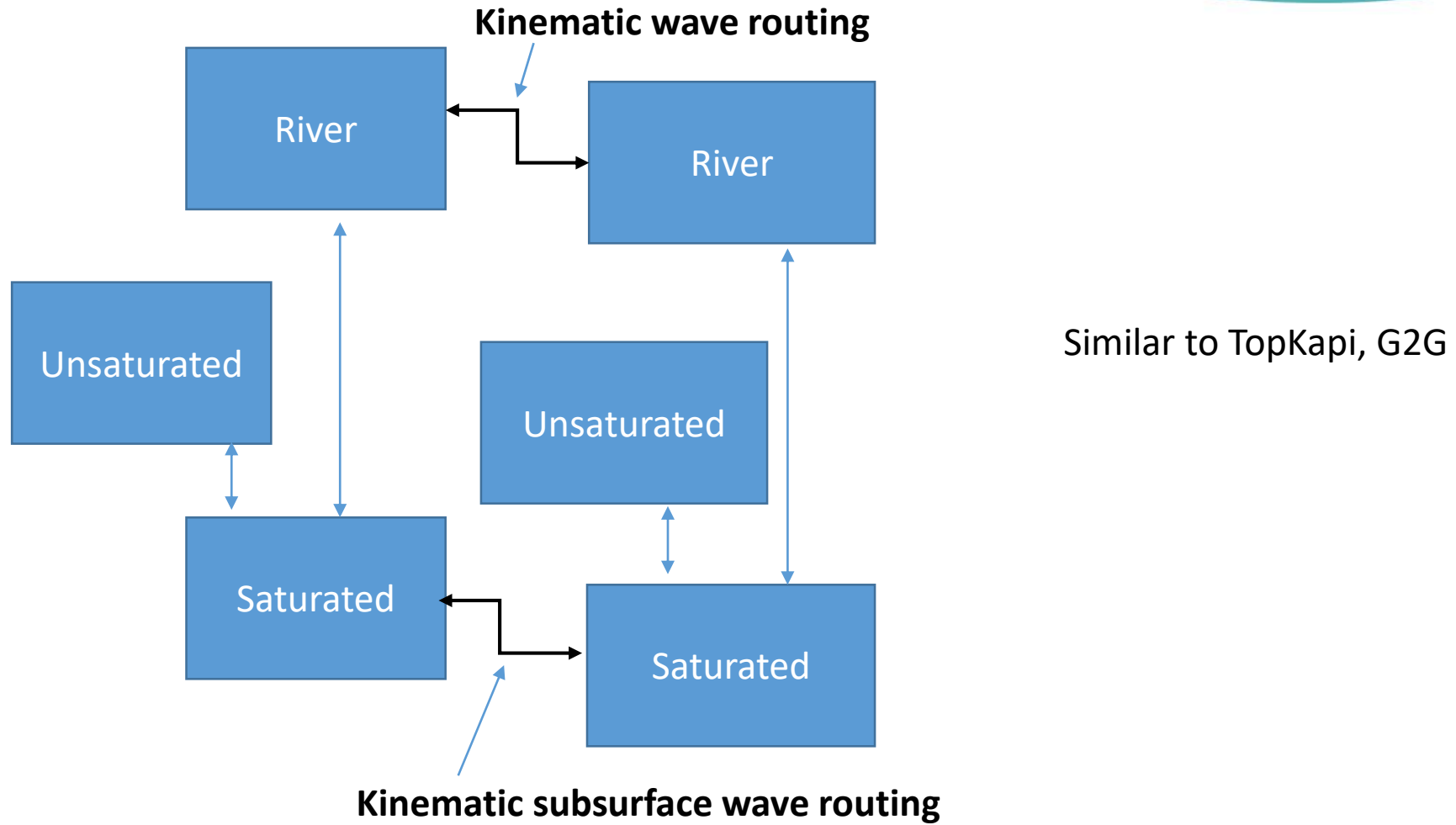


- Forcing (6 hourly at 1200x1200 m²):
 - From hourly P (genRe), T, PET for period 1996-2015 [van Osnabrugge et al., 2017, 2019]
- Soil data, e.g.:
 - ISRIC global SoilGrids 250 m [Hengl et al., 2017]
 - ESDAC for Eurasia [ESDAC, 2004; Panagos et al., 2012]
 - Corine for Landuse

wflow_sbm (simple bucket model)



- Parameters represent environmental characteristics
- Bucket model with kinematic wave surface and subsurface flow between cells
- ET and interception losses (Gash/Rutter)
- Percolary rise
- Flow routing on PCRaster D8-network [Karssenberg, et al., 2010]
- Use of multiple soil layers
- Glaciers
- Reduction coefficient for ET_{act} from the unsaturated zone [Feddes et al., 1978]



Hypothesis and approach taken

- Hypothesis: we can use point scale PTFs from pedometric community at 250m (based on soilgrids, Hengl et al. 2017);
- Use upscaling techniques (similar to what is used in MPR and others):
 - to achieve flux matching
 - to obtain consistent seamless parameters across scales;
- Validate this approach using a high resolution distributed hydrological model;

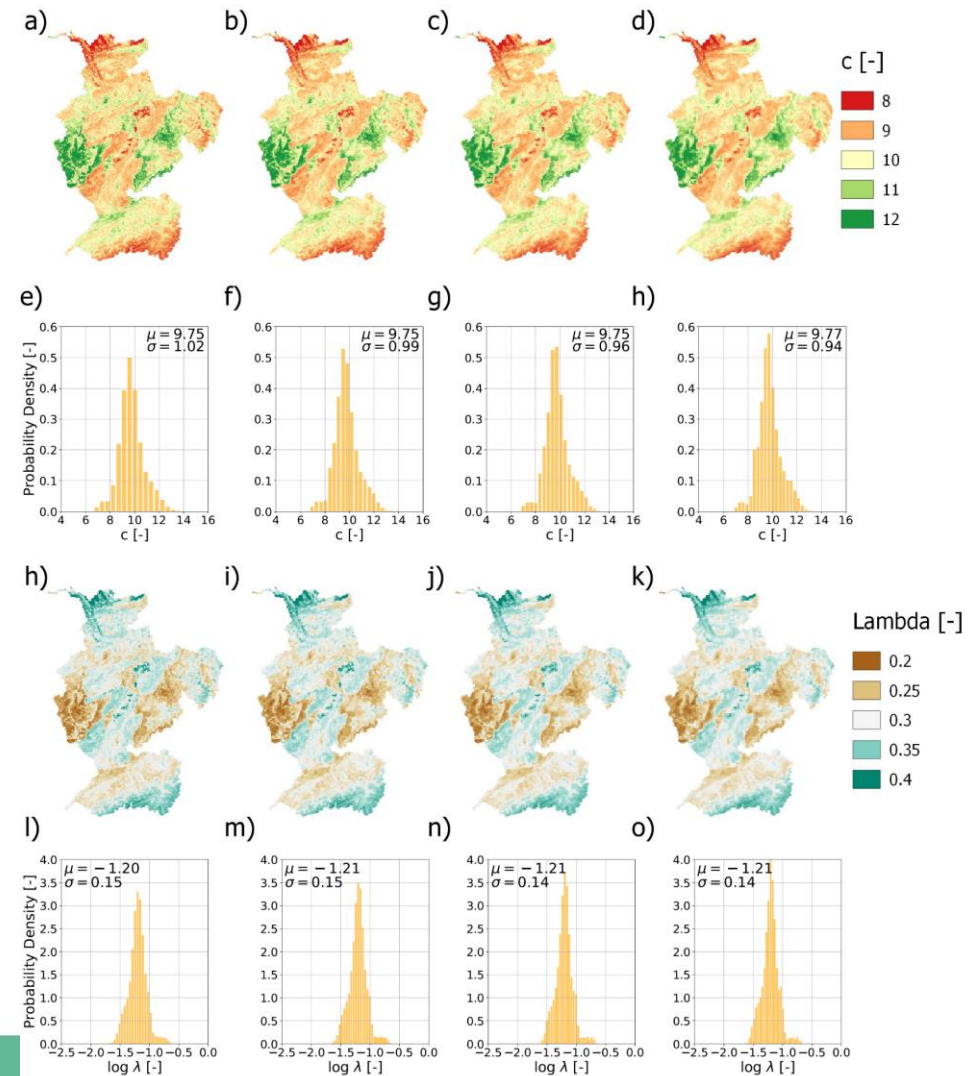
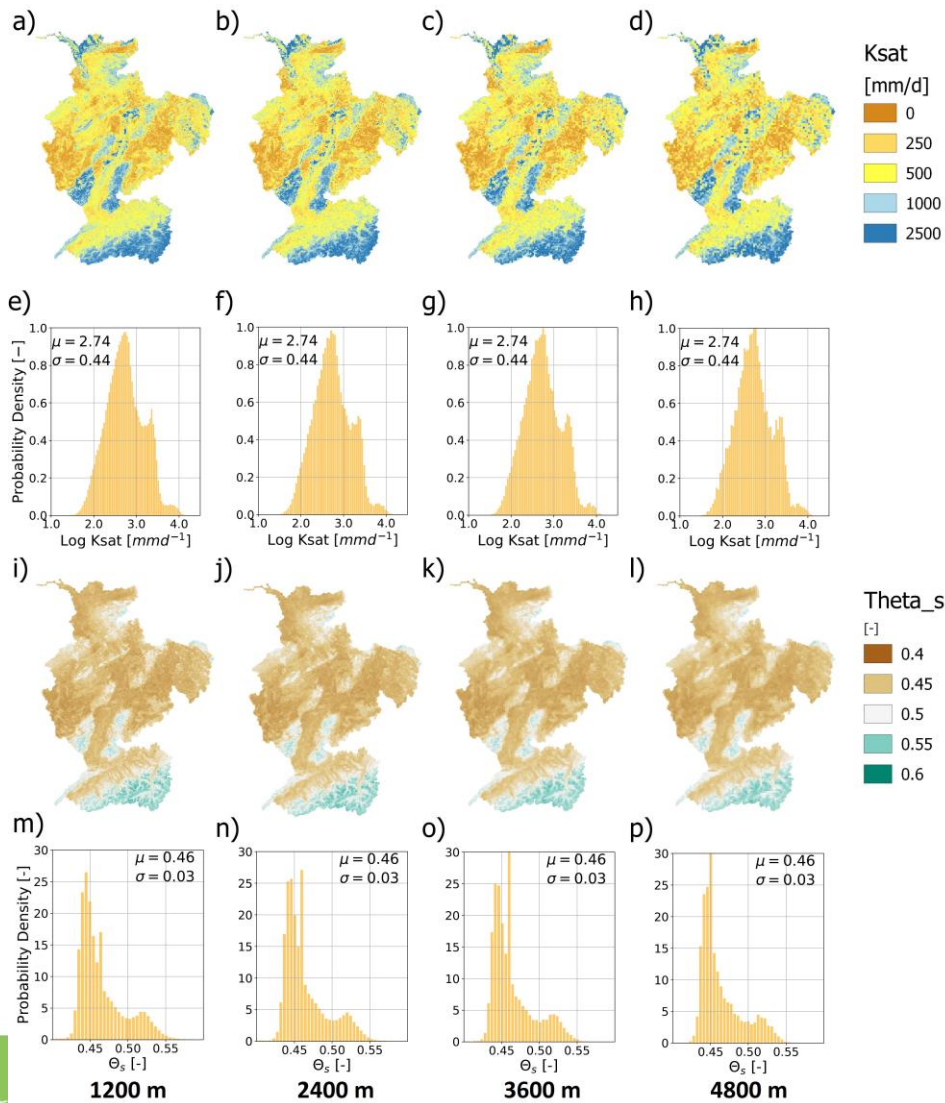
Upscaling rules

Parameter	Pedo-transfer function by	Upscaling operator	Additional notes
c	Rawls and Brakensiek (1989)	log A	λ upscaled with log A, c determined from λ at model resolution
Kext	Van Dijk and Bruijnzeel (2001)	A	Look-up table from land cover
KsatVer	Brakensiek et al. (1984)	log A	Derived as exponential decay-function from KsatVer at 7 depths
LAI	Myneni et al. (2015)	A	
M			
N	Engman (1986) and Kilgore (1997)	A	Look-up table from land cover
N_River	Z. Liu et al. (2005)		Derived at model resolution, depends on Strahler order
RootingDepth	Schenk and Jackson (2002); Fan et al. (2016)	A	d ₇₅ rooting depth
Sl	Pitman (1989) and S. Liu (1998)	A	Look-up table from land cover
Slope	(Farr et al., 2007)	A	PCRaster-functionality (Karssenberget al., 2010), based on DEM
SoilThickness	Hengl et al. (2017) and ESDAC (2004)	A	
Swood	Pitman (1989) and S. Liu (1998)	A	Look-up table from land cover
thetaR	Tóth et al. (2015)	A	
thetaS	Tóth et al. (2015)	A	

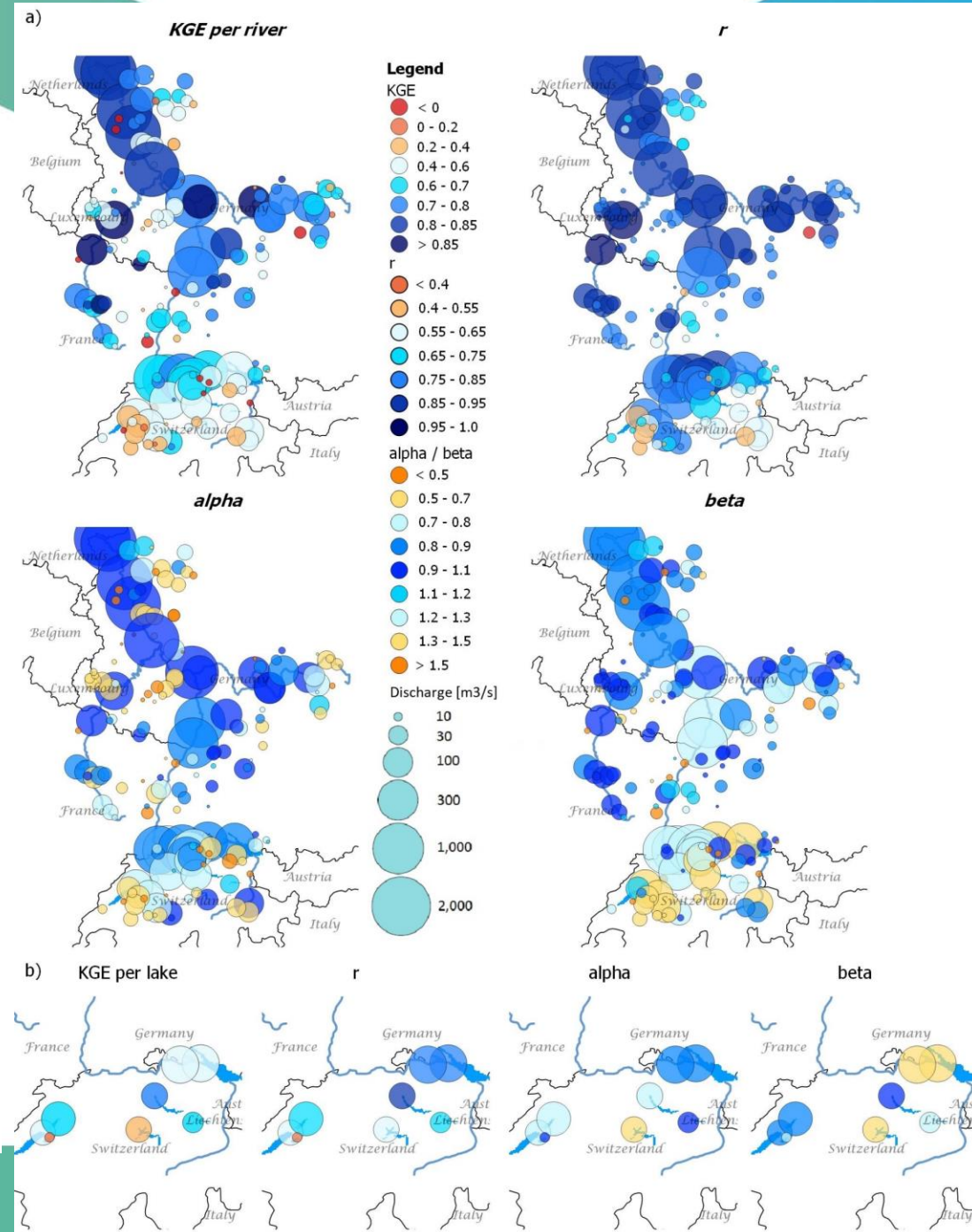
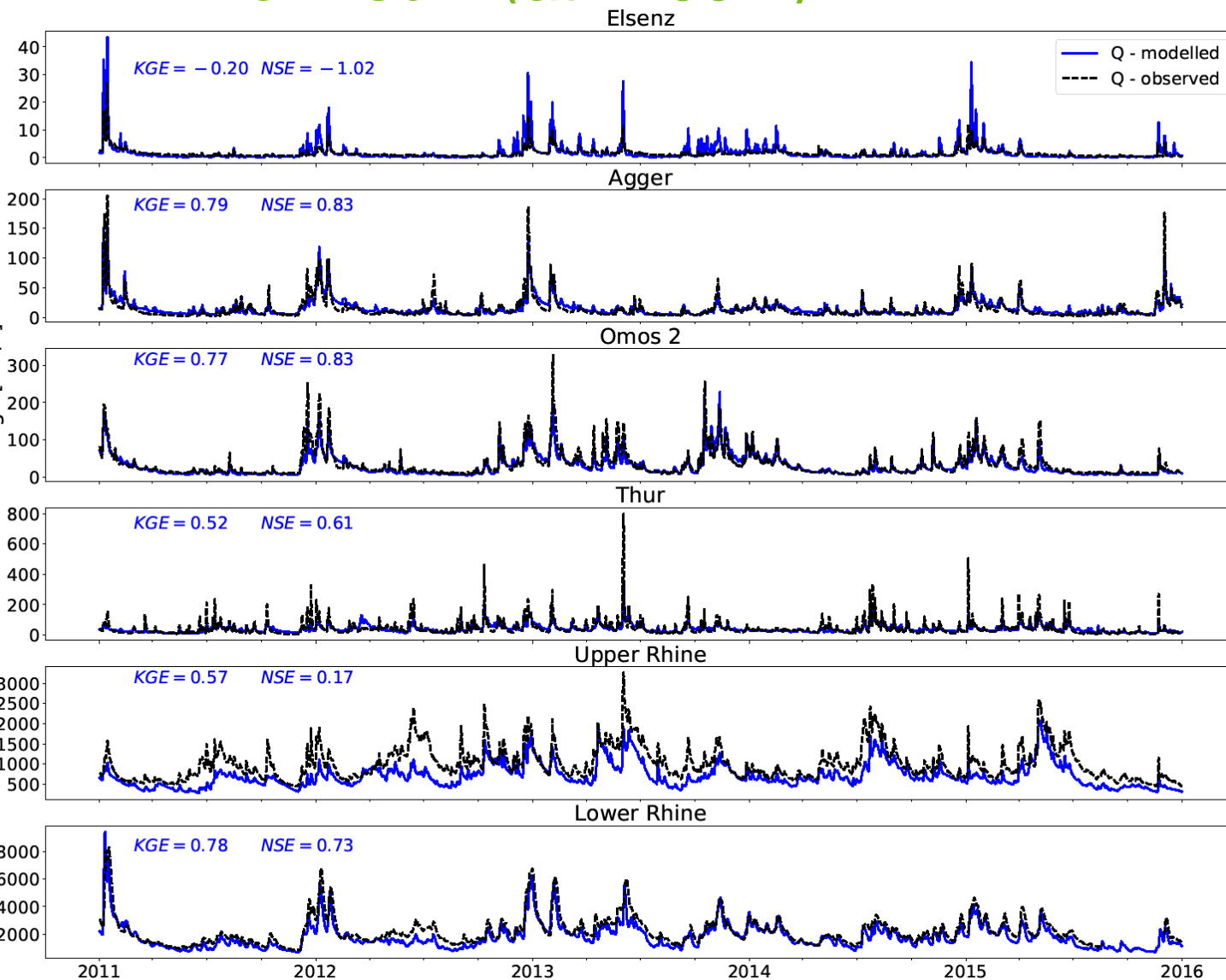
Results

Imhoff et al., 2019 resubmitted to WRR

model parameters at different scales

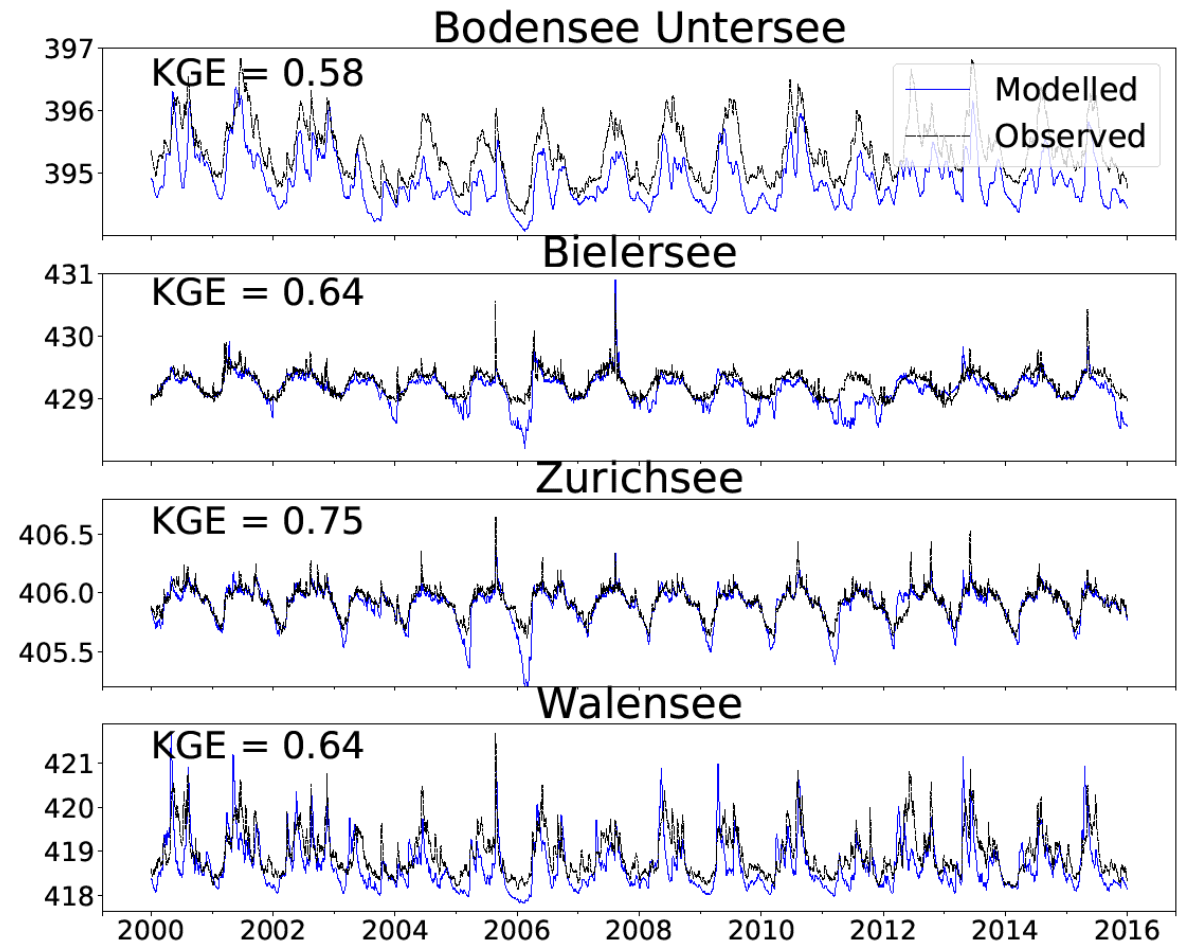
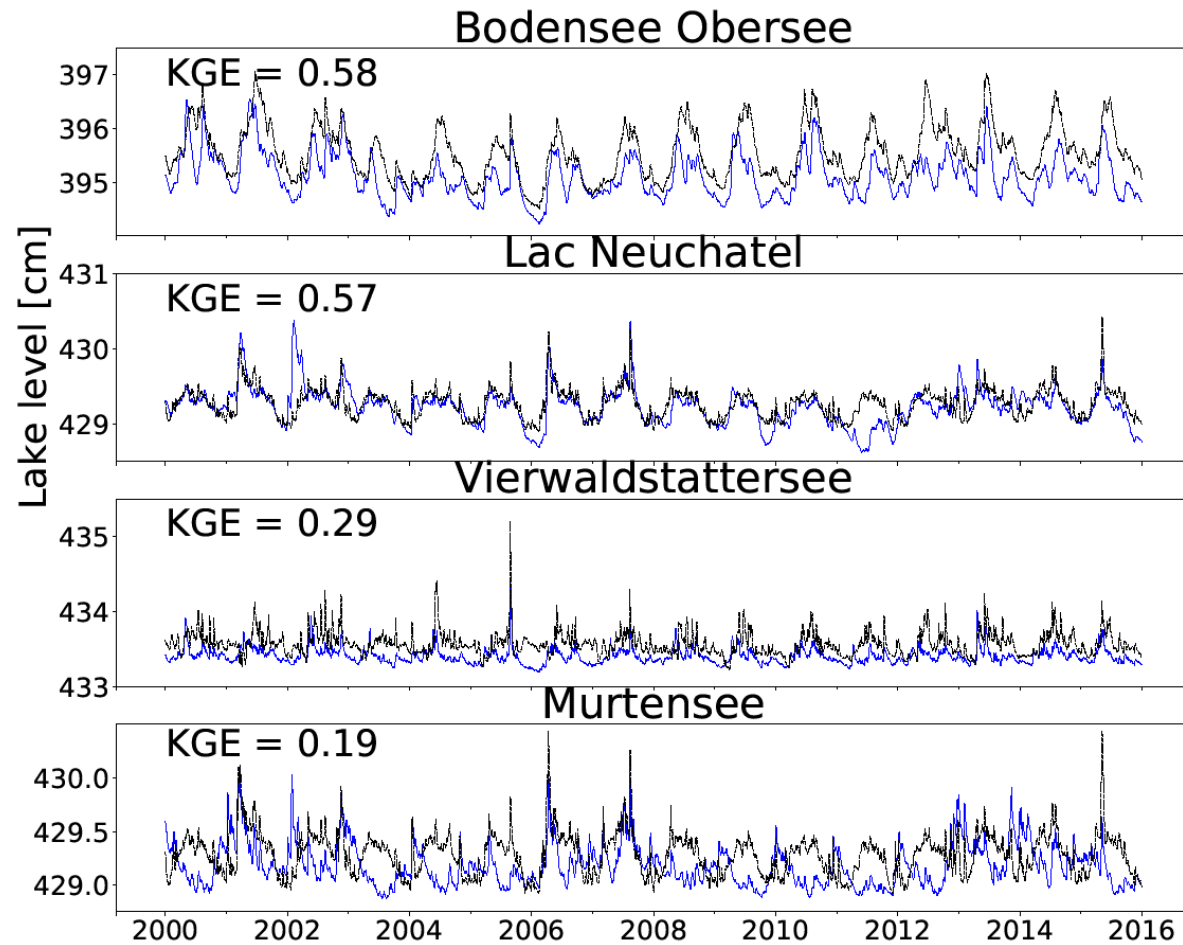


wflow sbm (at 1200m)

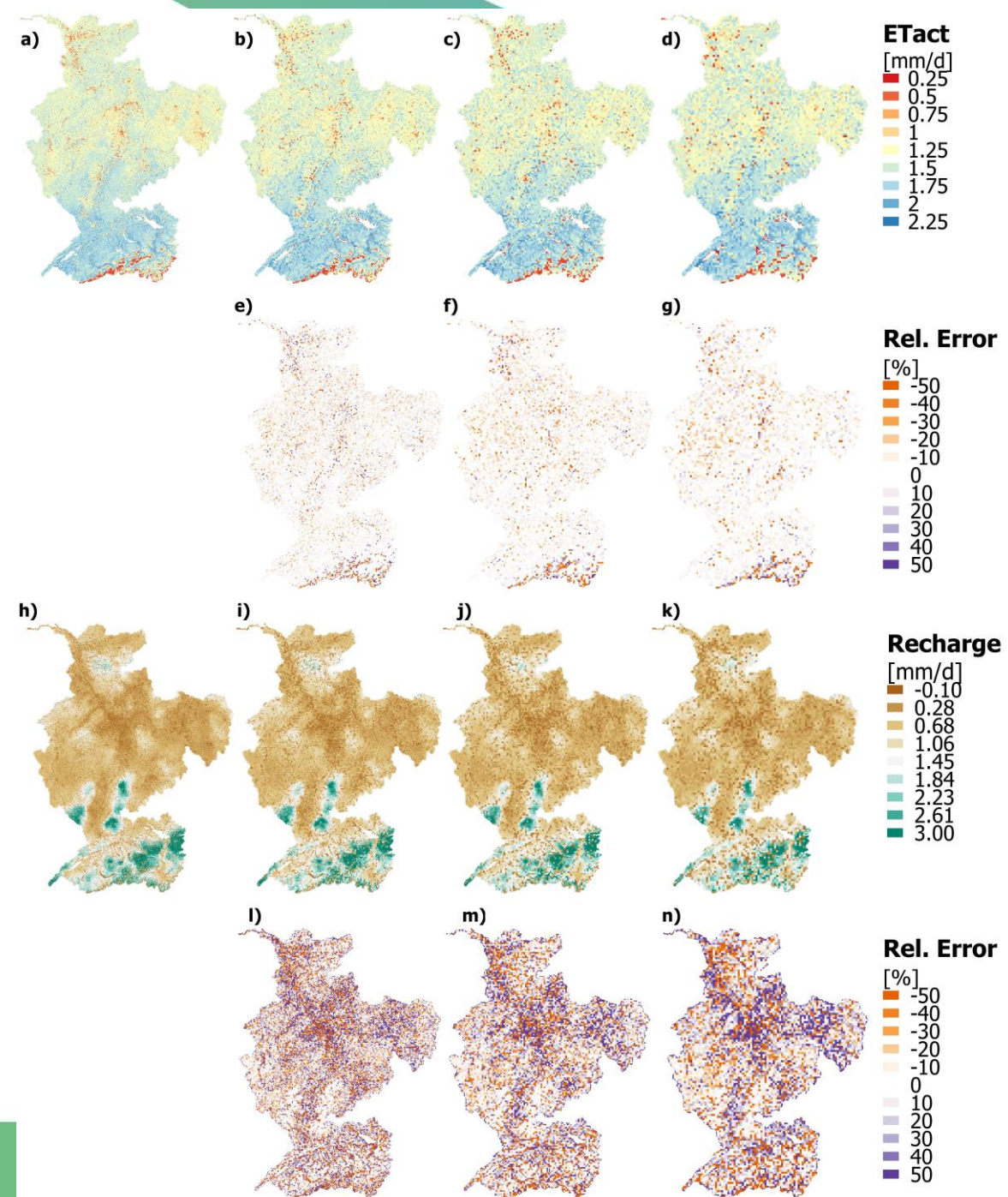


$$KGE = \sqrt{(1 - r)^2 + (1 - \alpha)^2 + (1 - \beta)^2}$$

Performance Lakes

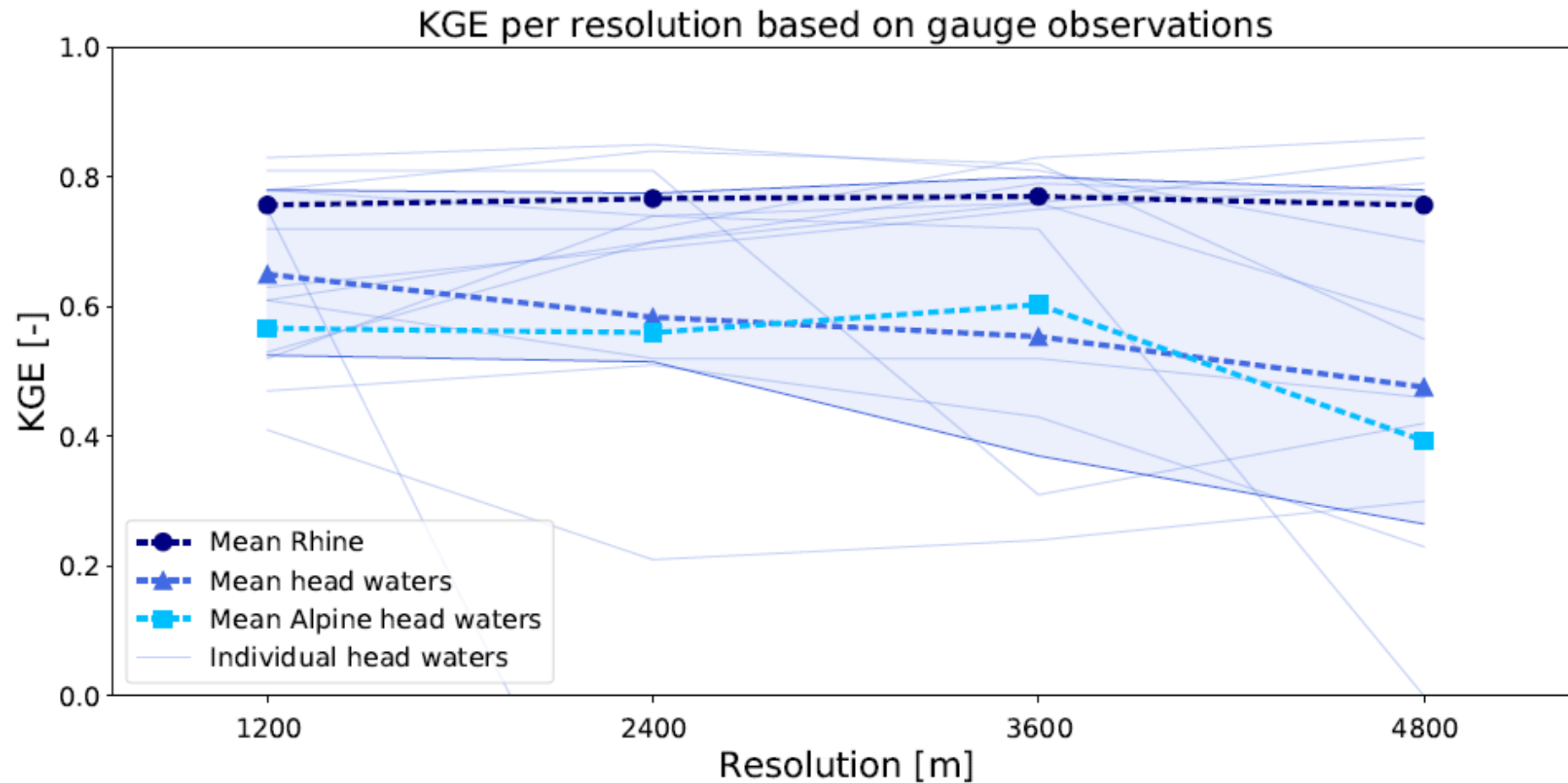


Fluxmatching



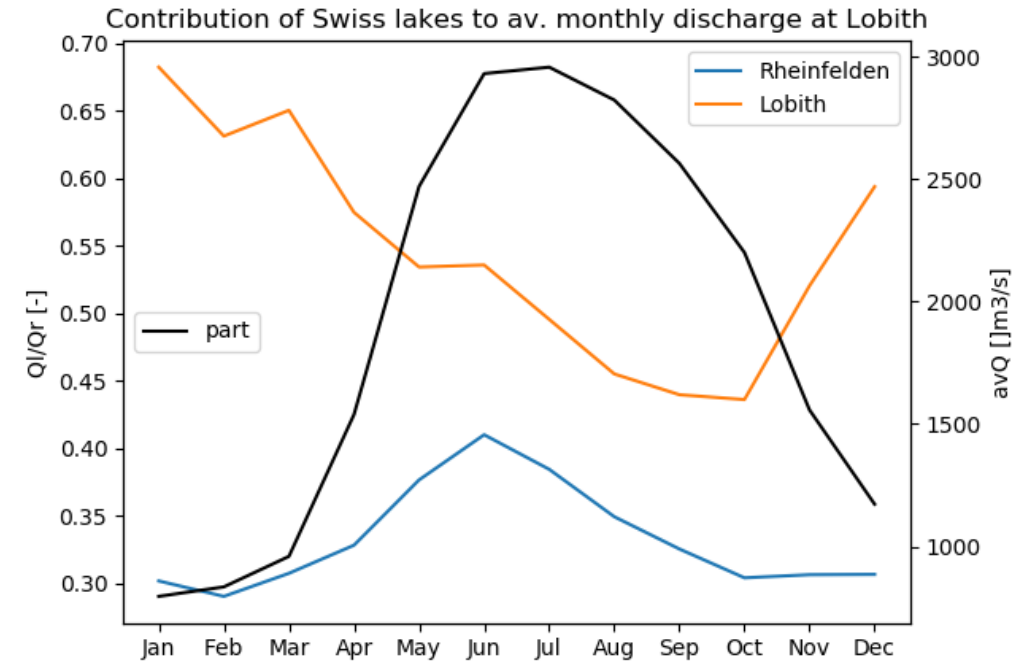
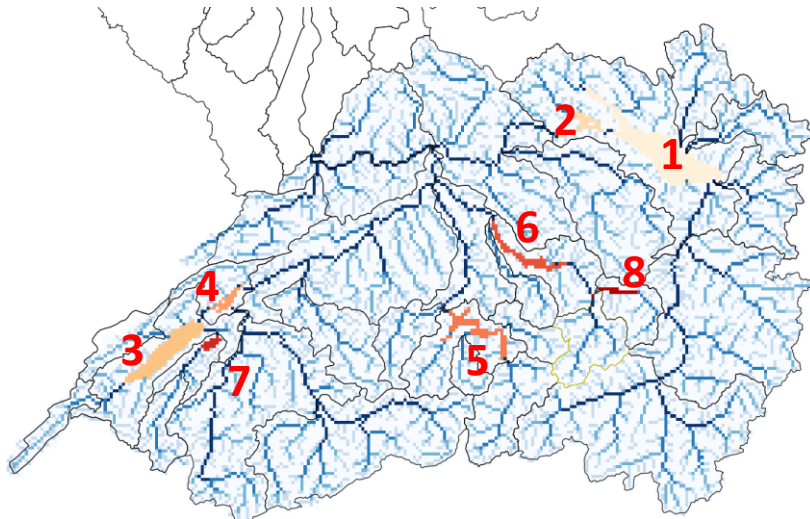
Imhoff et al., 2019 resubmitted to WRR

KGE at different model resolutions



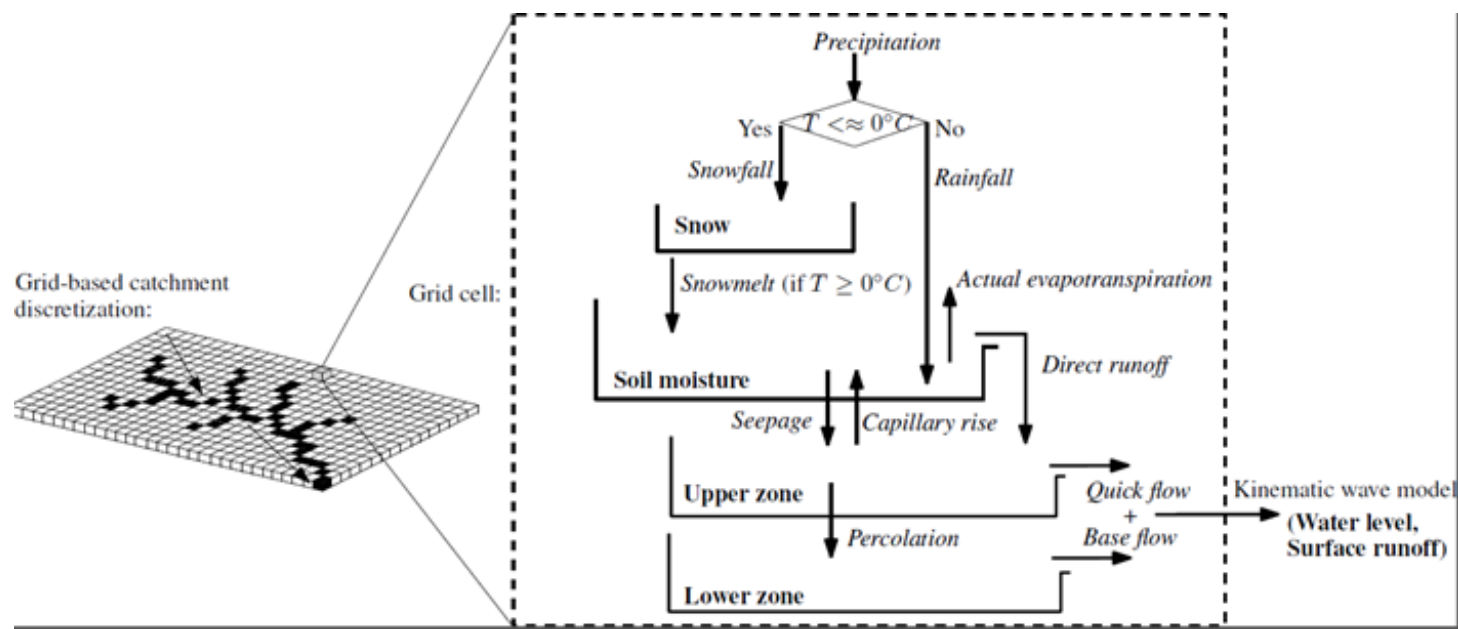
Assimilating waterlevels of 8 Swiss lakes

- Why? Lakes matter...



Assimilating waterlevels of 8 Swiss lakes

- HBV policy model (daily timesteps)
- Synthetic and real world experiments



'Real world experiment'

- Perfect forcing
Reforecasts for 2003
- Direct Insertion of lake levels,

vs.

- EnKF on lake level

EnKF settings:

Ensemble members = 32

Perturbation noise (spatial):

$$\sigma_P = 0.5 * P$$

$$\sigma_T = 2^{\circ}\text{C}$$

Observation noise:

$$\sigma_Q = 0.1 * Q$$

$$\sigma_H = 0.01m$$

Updated states:

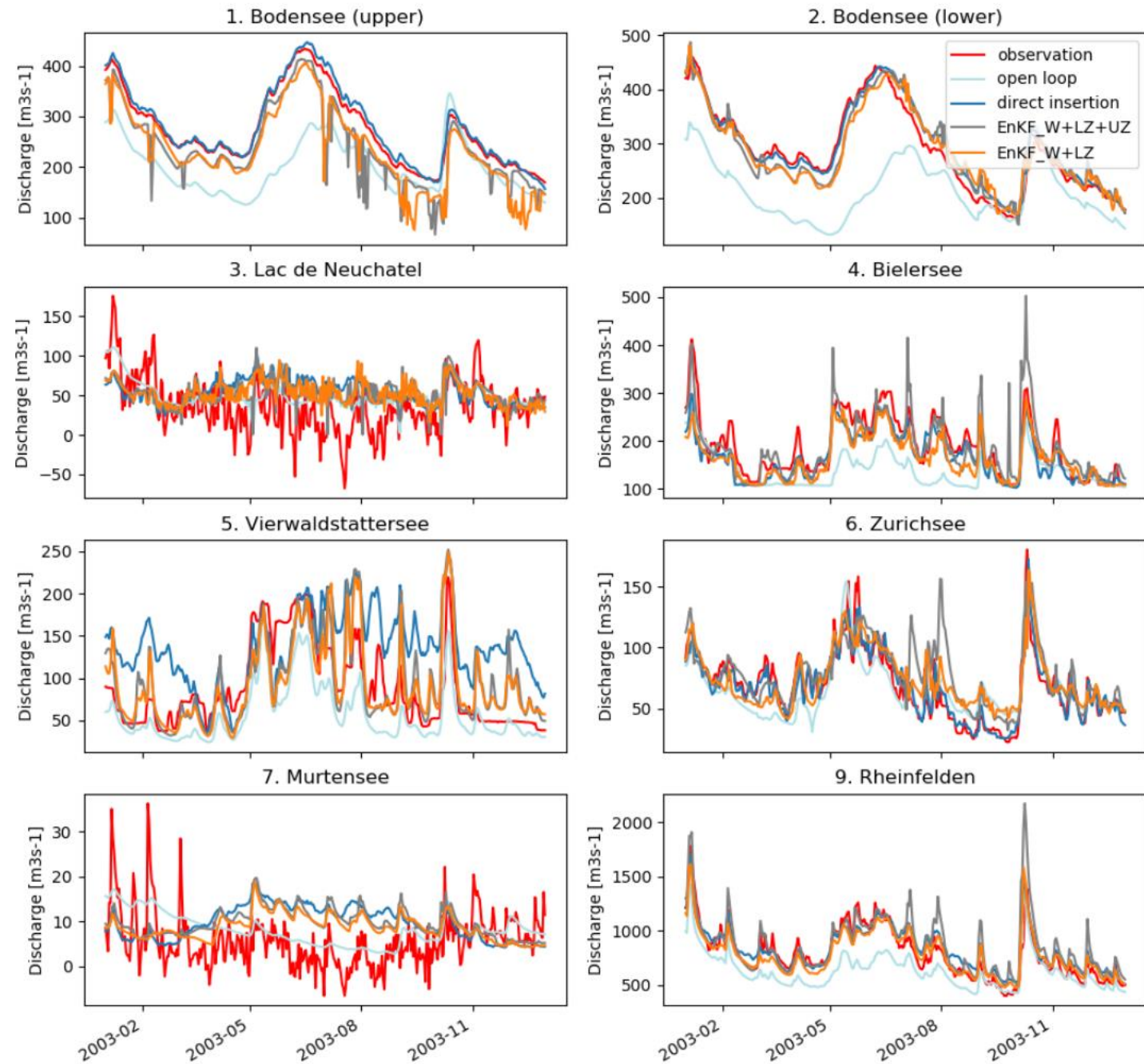
Lake Level

Water level river

(UpperZone)

LowerZone

Historical simulation

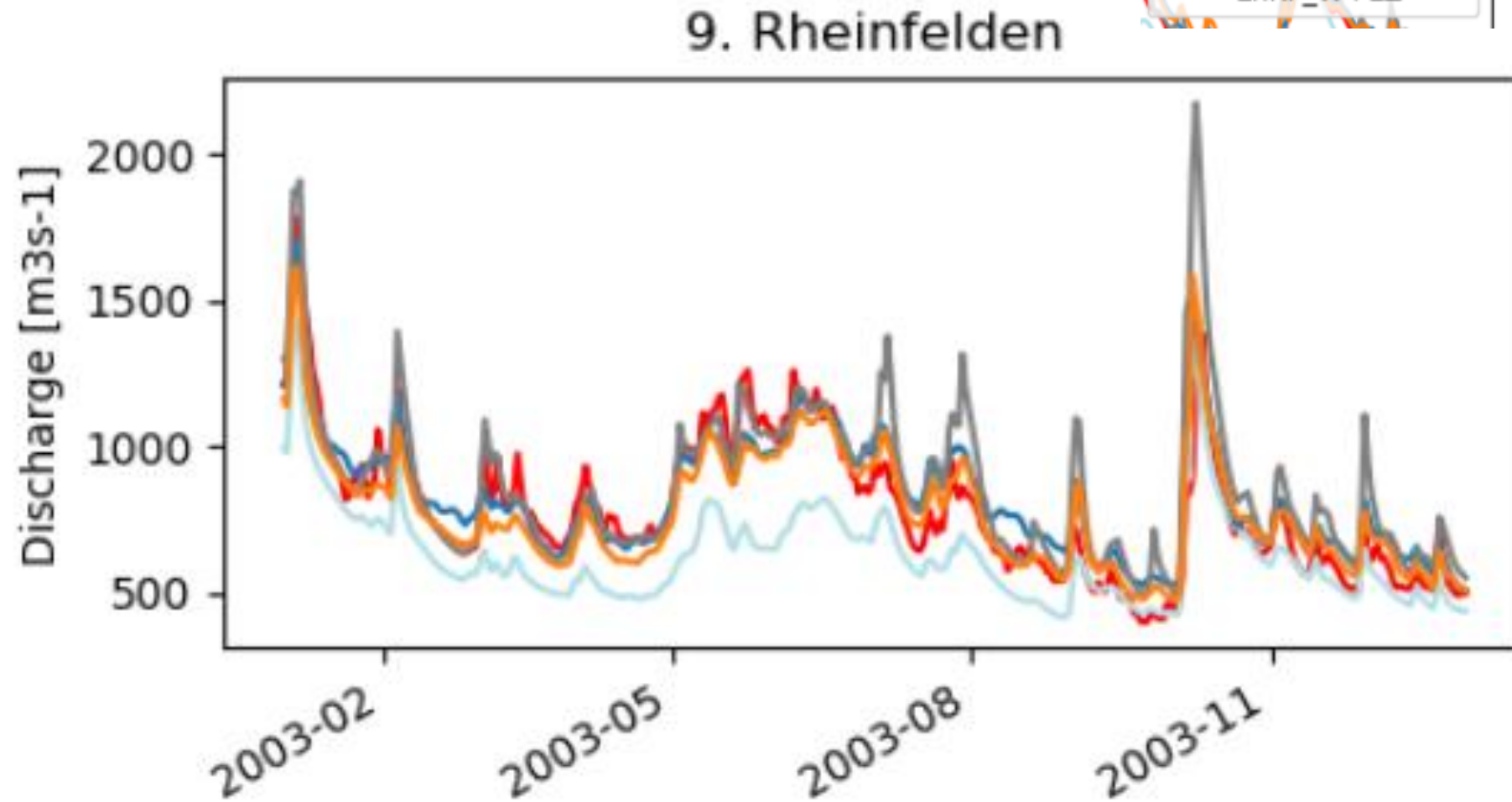


Osnabrugge et al., 2019, submitted to JH

Conclusions historical simulation

- Lake levels contain information about hydrological states upstream
- Updating the upper zone leads to overestimated peak flows in dry times...
...assimilating only the lower zone store is more robust
- Direct insertion is viable alternative to EnKF
- Lake outflow simulation often needs improvement

Historical simulation

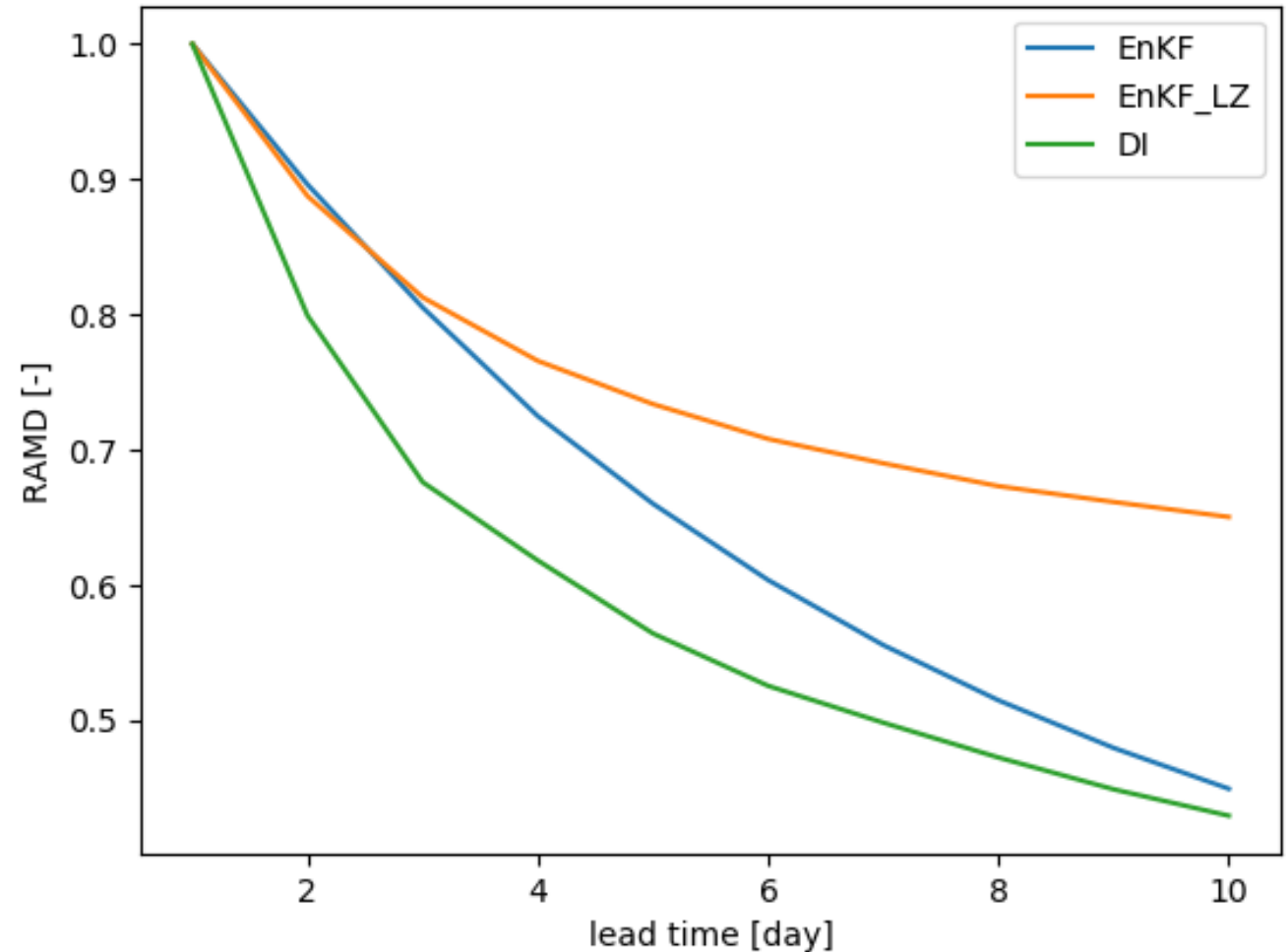


Forecasting at Rheinfelden

- DA has longer memory than DI

→ updating hydrological states has potential

Relative absolute mean distance to open loop as function of lead time



Conclusions 'real world experiment'

Assimilating lake levels is useful but ...
... requires more attention to the lake models ...
... and...
... more attention to assimilation set up

Basin wide study on DA

- Wflow_hbv model with Rhine clipped into 12 subbasins:

Ahr	Main	Rhine above Maxau
Erft	Mosel	Ruhr
Lahn	Nahe	Sieg
Lippe	Neckar	Wupper

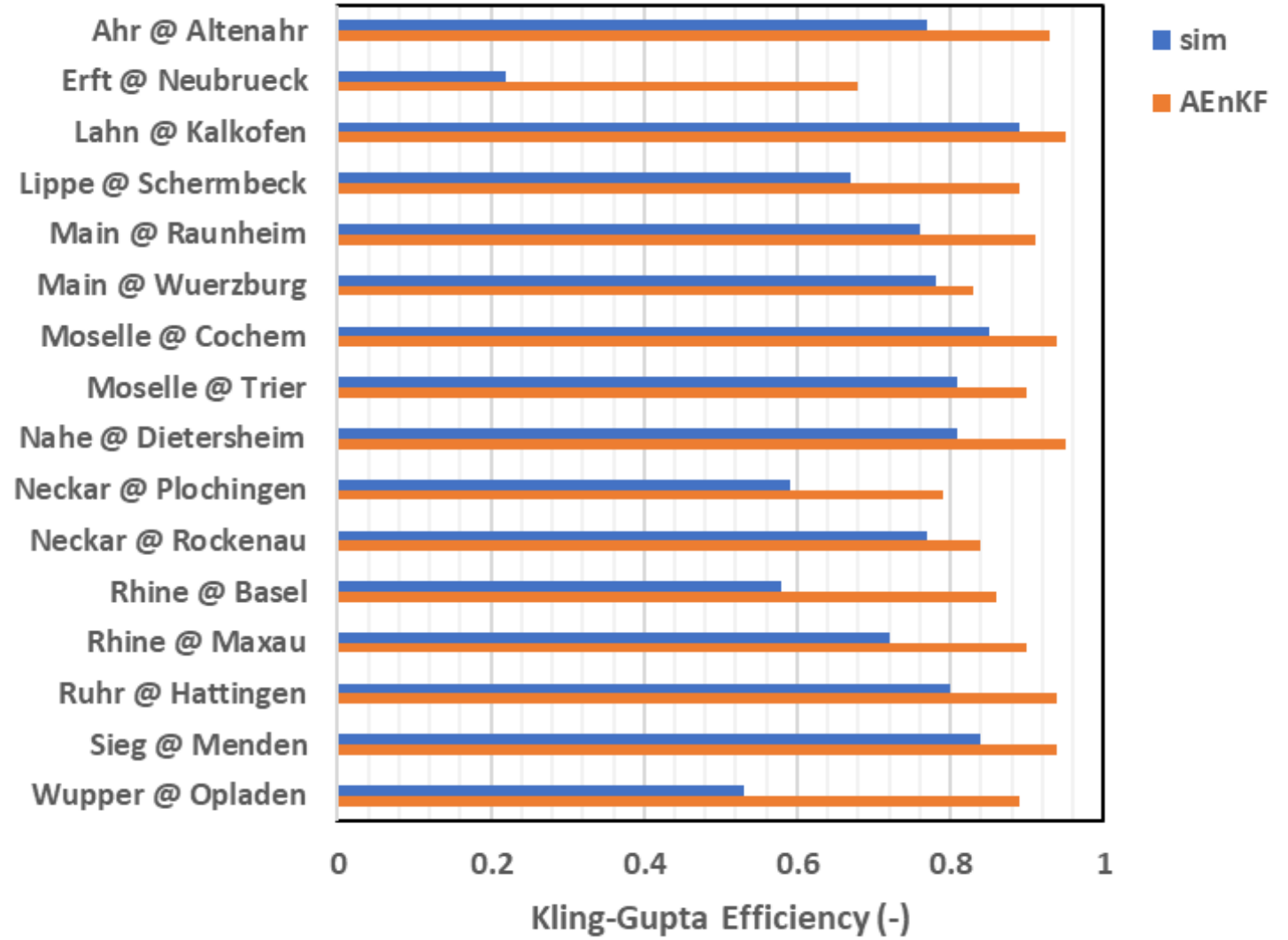
- Timestep is 1 hour, but with parameters derived from policy model
- Historical simulation with GenRE P (van Osnabrugge et al., 2017, WRR), and T and PET grids (van Osnabrugge et al., 2019, HESS)
- Forecasts with ECMWF-ENS reforecasts, 10 members
- Period 1998 – 2015 (excl. 1.5 year warm up)

Data assimilation

- Main German tributaries:
 - Asynchronous EnKF at 6 hour intervals (32 members)
 - perturb P and T, and update Q, H, LowerZone and UpperZone
 - No finetuning, just the settings of Rakovec et al., 2015
- Rhein above Maxau
 - Direct Insertion of lake levels in the 8 largest lakes
- Forecasts depart from mean states, every 3 to 4 days

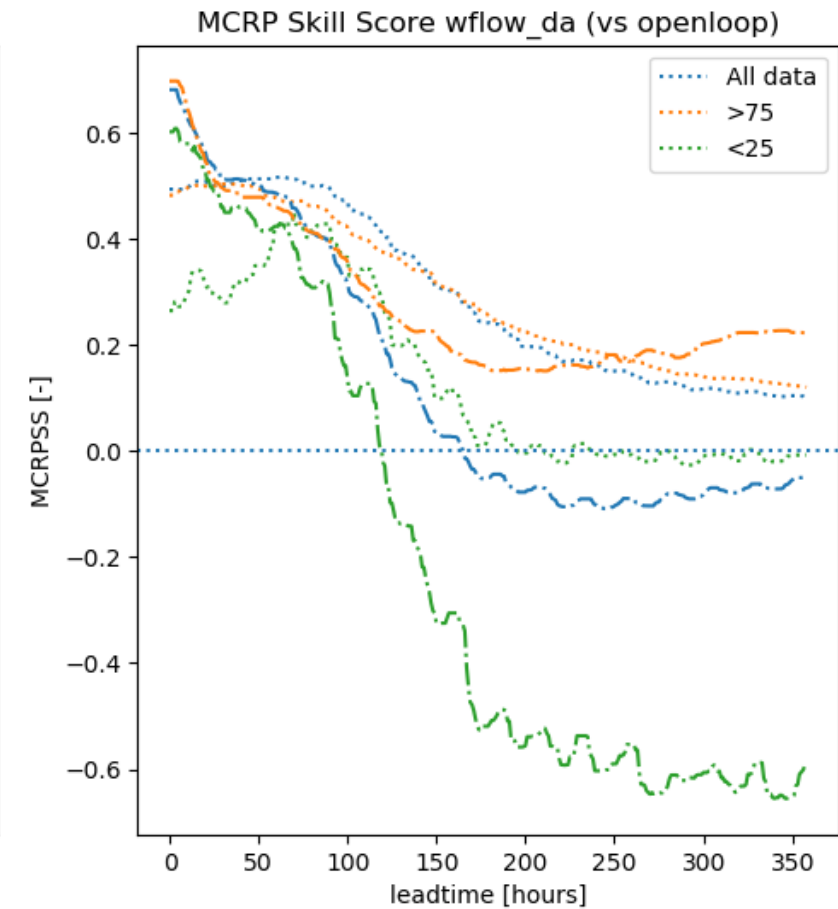
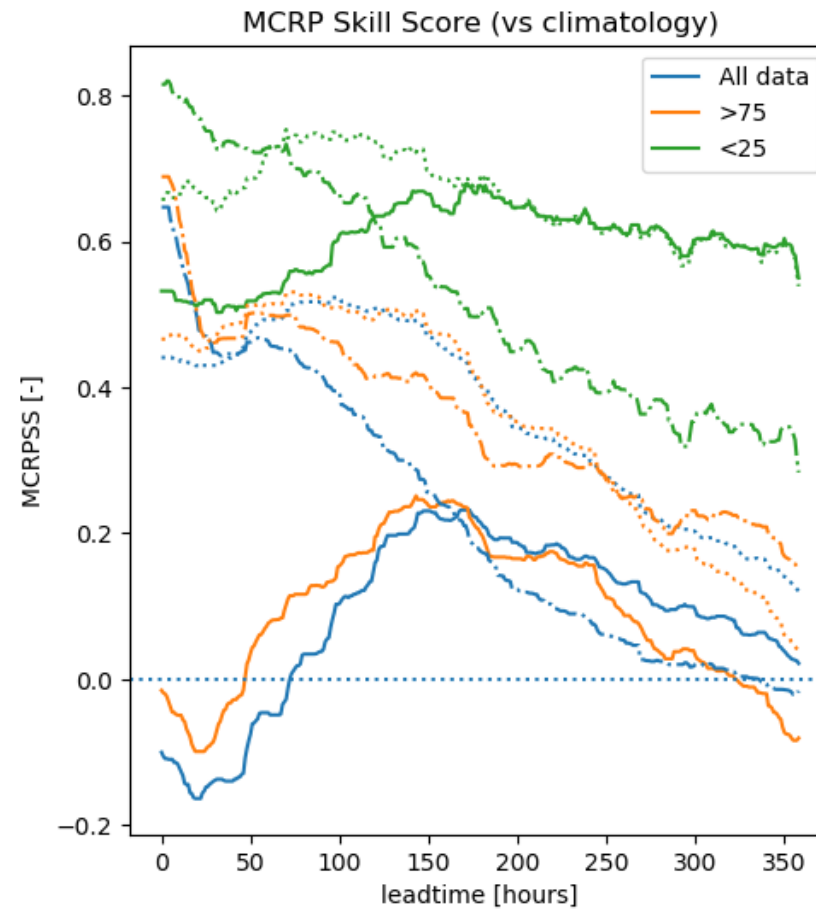
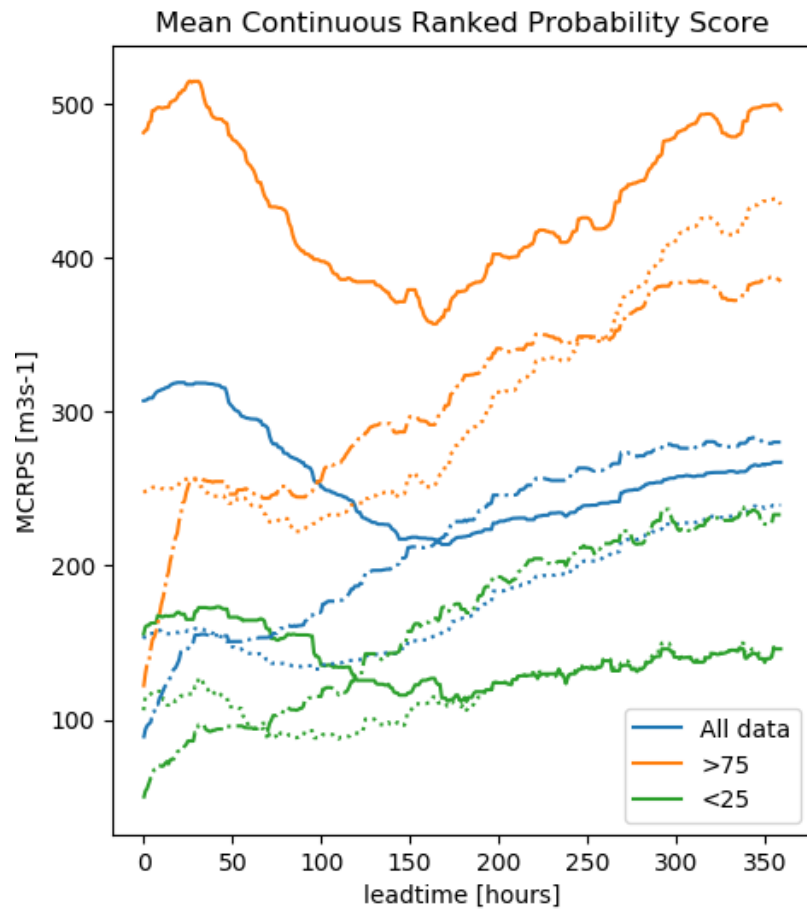
Results – historical analysis

- Model performs alright, or even good...
...except for Erft basin
- Data assimilation is beneficial...
...particularly when the model skill is low
- All large subbasins have KGE scores well above 0.8



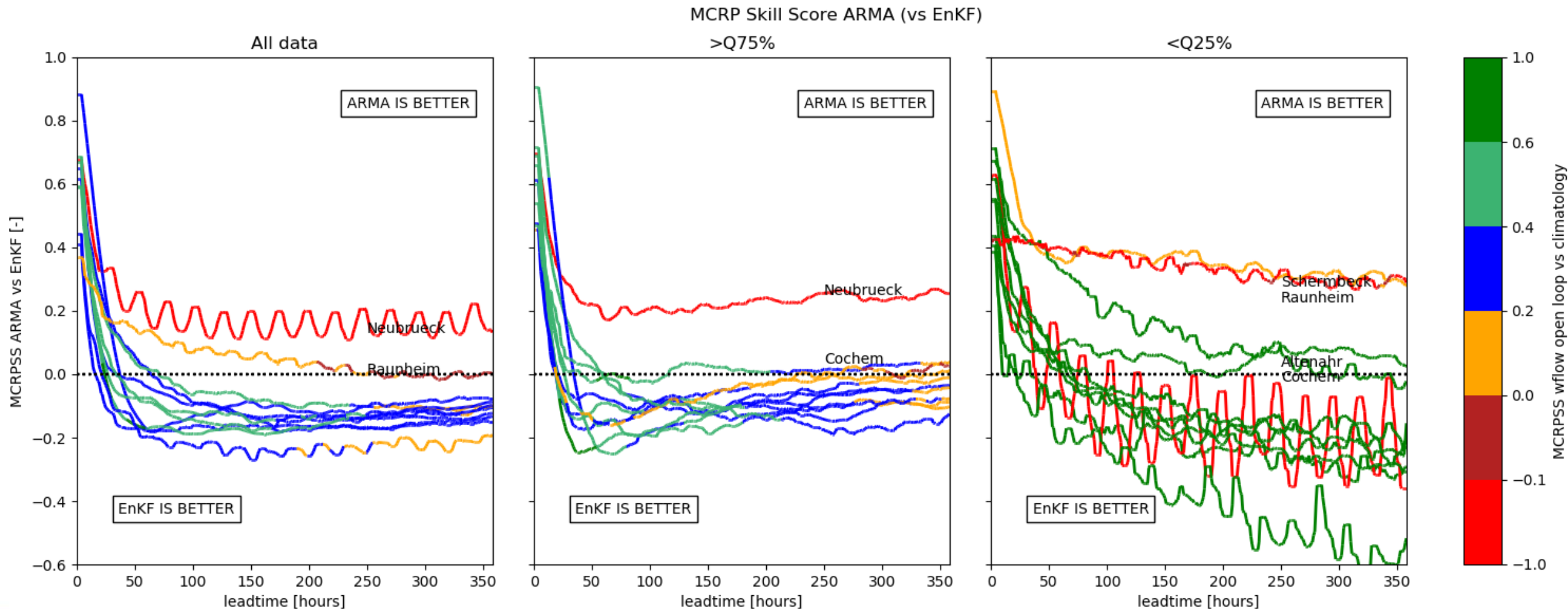
Forecasting with DA - Rhine above Maxau

continuous line: HBV
dash-dotted line: HBV + ARMA
dotted line: HBV + DA



Overview all subbasin

- DA usually outperforms ARMA for longer lead times



Winners at longer lead times

winners at longer leadtimes	all flows				>Q75				<Q25				low flows	high flows
	climate	HBV	HBV + ARMA	HBV + DA	climate	HBV	HBV + ARMA	HBV + DA	climate	HBV	HBV + ARMA	HBV + DA		
Ahr				1				1			0.5	0.5	1	
Erft	1				1							1	1	
Lahn				1				1				1	1	
Lippe				1		1					1		1	
Main			0.5	0.5		1					1		1	
Moselle		0.5		0.5		0.33	0.33	0.33		1			1	
Nahe				1				1				1	1	
Neckar				1				1				1	1	
Rhine @ Maxau				1			0.5	0.5		1				1
Ruhr				1		1						1	1	
Sieg			0.5	0.5			0.5	0.5				1	1	
Wupper				1				1				1	1	
TOTAL	1	0.5	1	9.5	1	3.33	1.33	6.33	0	2	2.5	7.5	11	1

Conclusions

- Seamless distributed parameter maps can be obtained for the gridded hydrologic model wflow_sbm with transfer-functions from literature.
- Application of wflow_sbm with these seamless parameter maps yield simulation results with high KGE and NSE across the Rhine basin.
- Fluxes matched across model scales for evapotranspiration, but this match less for fluxes affected by (sub)surface flows.
- We developed a distributed hydrological model ready for data assimilation of HSAF or other project (IMPRES-HSAF testbed)
- There is a negative bias in the precipitation data over the Swiss Alps
- DA assimilation of waterlevels of Swiss lakes either with Direct Insertion or EnKF yield considerable better forecasts
- Modelling of lakes may need more attention
- Streamflow data assimilation for most Rhine tributaries beneficial
- Ensemble DA outperformance ARMA error correction for longer leadtimes and vice versa for shorter leadtimes

Work underway in collab with Ewatercycle II

- Enable joint assimilation of point streamflow and gridded surface soil moisture observation using the distributed hydrological model wflow_sbm model for the Rhine developed within H2020 IMPREX.
- OpenDA (and wflow) was extended to enable local analysis to make this possible (conducted with Ewatercycle II)
- This will be tested also as part of the HEPEX-HASF testbed for the Lahn (ongoing)

References

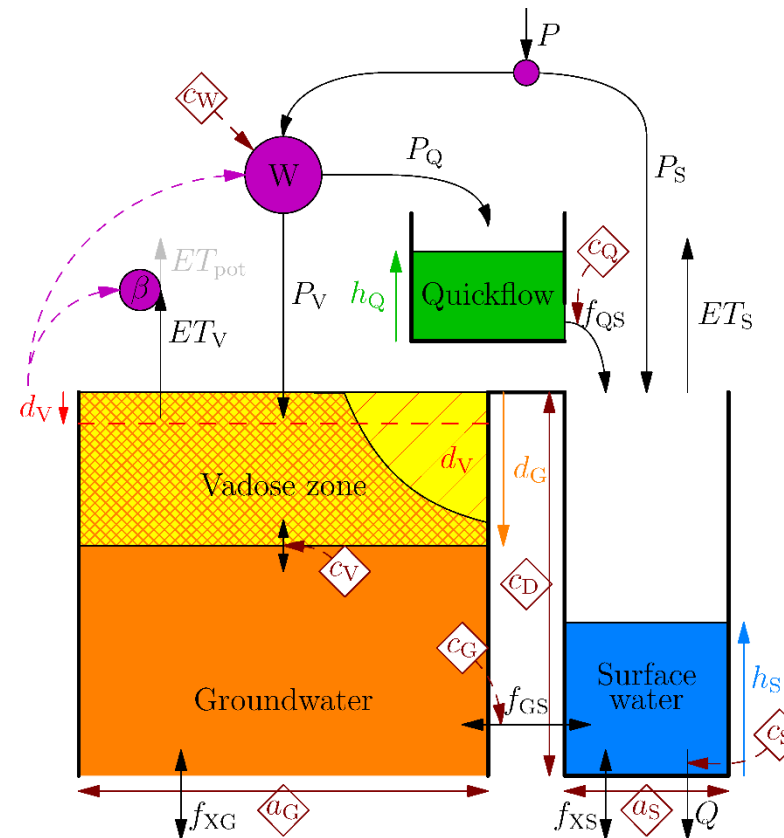
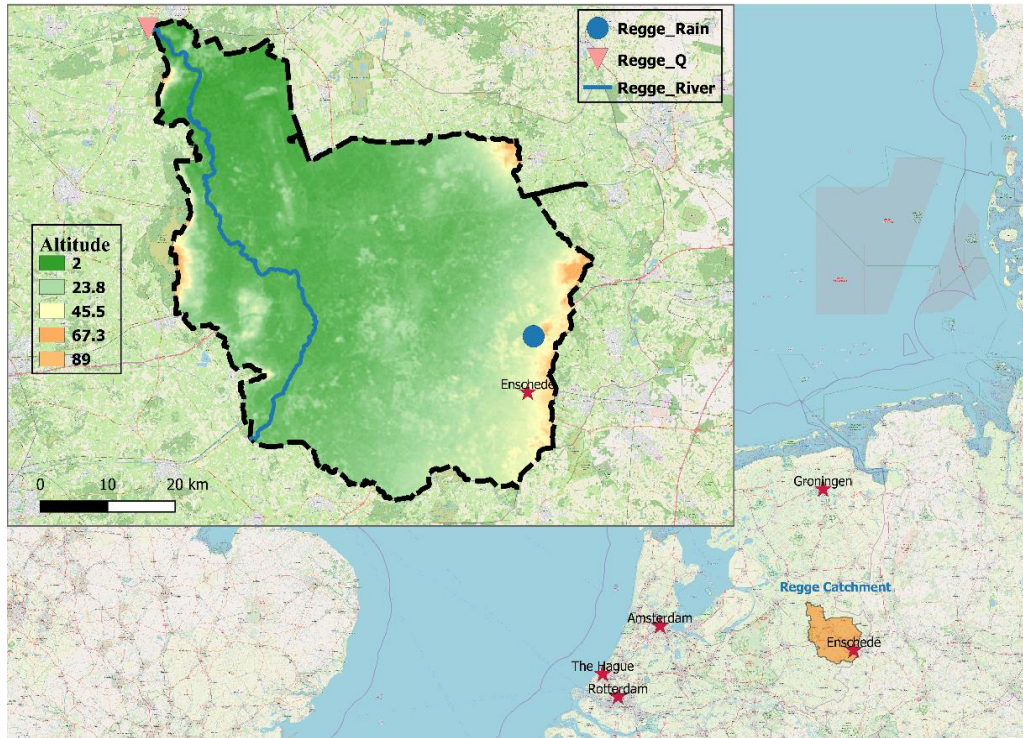
- Van Osnabrugge, B., A.H. Weerts, R. Uijlenhoet, 2017. genRE: A Method to Extend Gridded Precipitation Climatology Data Sets in Near Real-Time for Hydrological Forecasting Purposes. Water Resources Research (doi:10.1002/2017WR021201)
- Van Osnabrugge, R. Uijlenhoet, A.H. Weerts, 2019. Contribution of potential evaporation forecasts to 10-day streamflow forecast skill for the Rhine River. HESS (<https://www.hydrol-earth-syst-sci.net/23/1453/2019/>)
- Imhoff, R.O., W. van Verseveld, B. van Osnabrugge, A.H. Weerts, 2019. Scaling point-scale pedotransfer functions parameter estimates for seamless large-domain high-resolution distributed hydrological modelling: An example for the Rhine river. (re)Submitted to Water Resources Research.
- Van Osnabrugge, B., R. Uijlenhoet, A.H. Weerts, 2019. Assimilation of multiple lake levels in an operational integrated catchment model of the Swiss Rhine basin. Submitted to Journal of Hydrology X.
- Van Osnabrugge, B., M. Smoorenburg, R. Uijlenhoet, A.H. Weerts, 2019. Large scale data assimilation for the Rhine tributaries: assessment of skill using NWP reforecasts, to be submitted to HESS.

Datasets

- Osnabrugge, B. van, R. Uijlenhoet, A.H. Weerts, 2017. Gridded precipitation dataset for the Rhine basin made with the genRE interpolation method <https://data.4tu.nl/repository/uuid:c875b385-ef6d-45a5-a6d3-d5fe5e3f525d>.
- Osnaburgge, B. van, A.H. Weerts, 2018. Gridded Hourly Temperature, Radiation and Makkink Potential Evaporation forcing for hydrological modelling in the Rhine basin <https://data.4tu.nl/repository/uuid:e036030f-c73b-4e7b-9bd4-eebc899b5a13>.

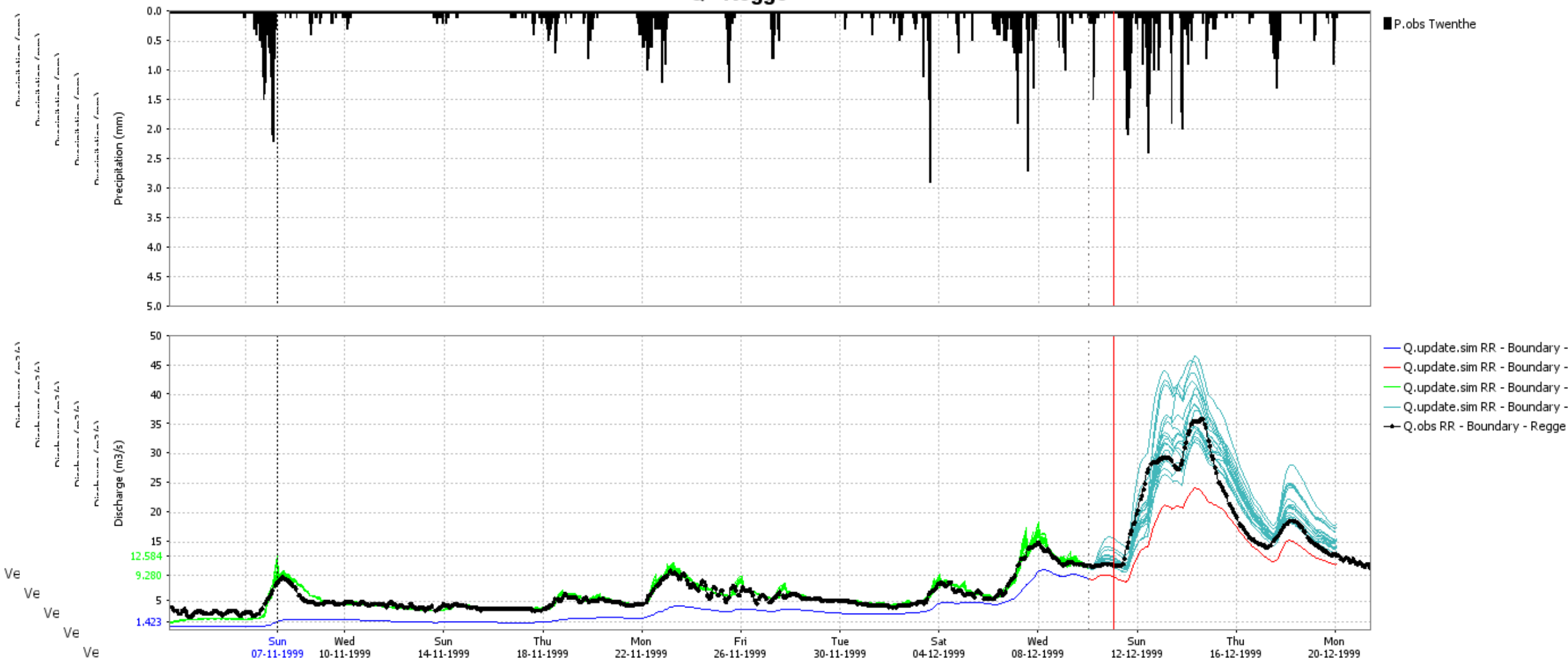
Lumped models continued (& algorithms)

- However when routing/delay doesn't play a big role DA can work quite nicely



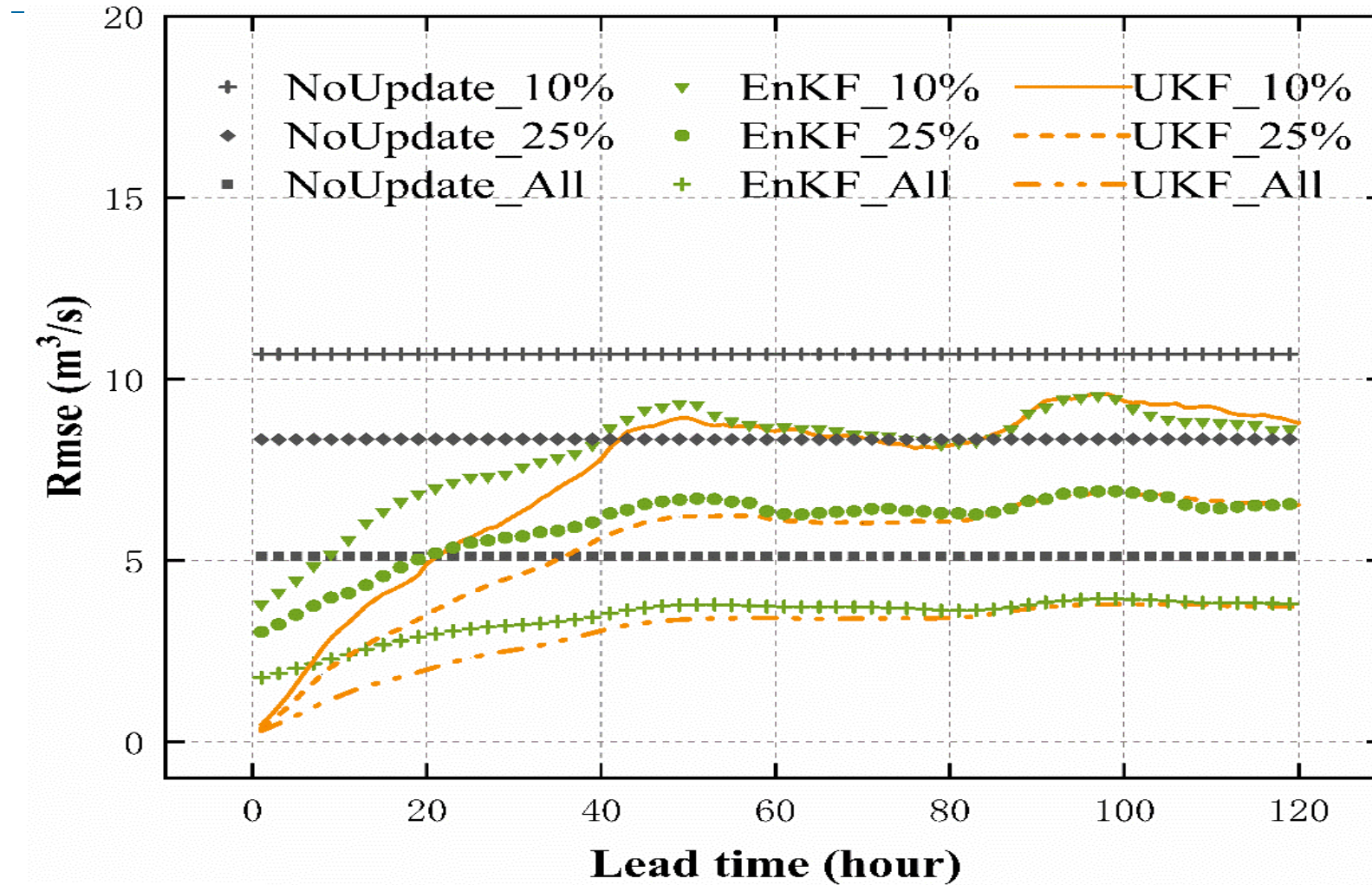


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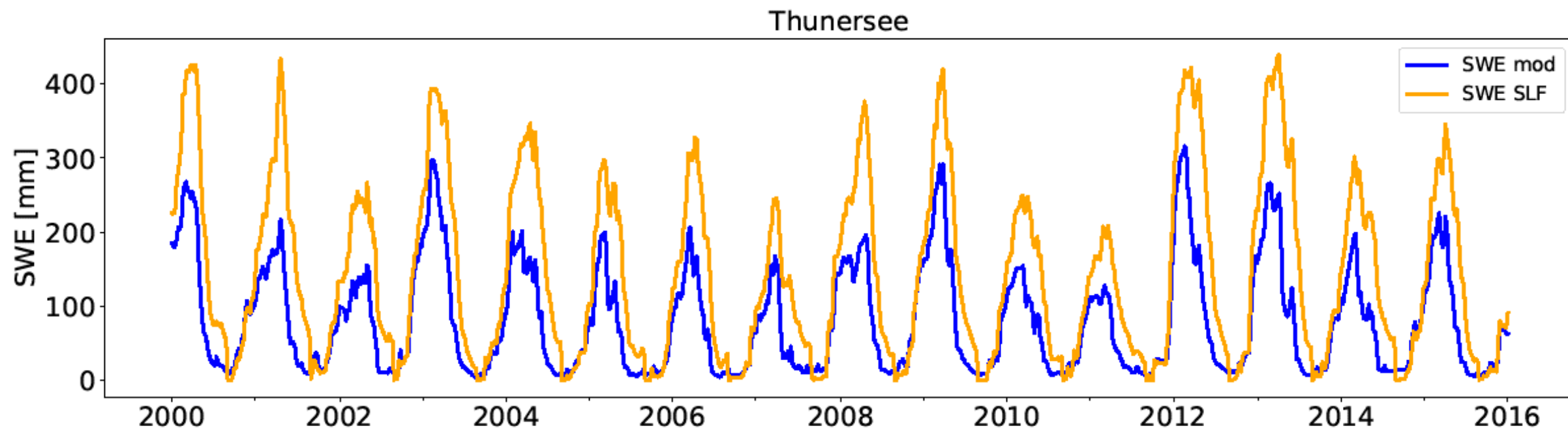


Vecht_Update_Forecast: [1] Fri 10-12-1999 01:00:00 MET Current

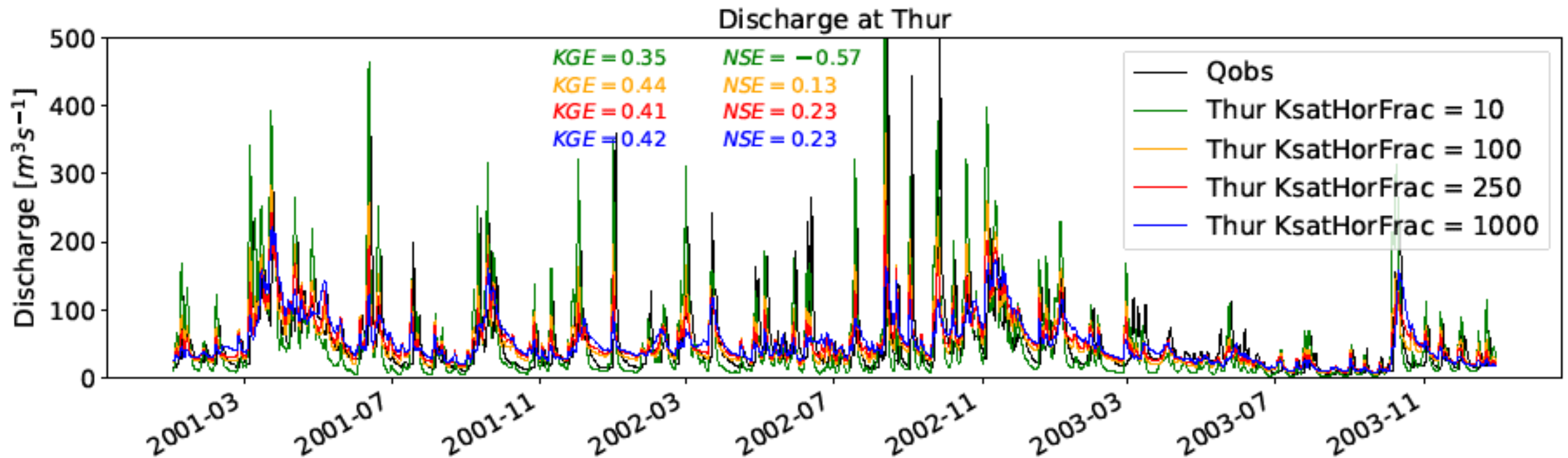
Lumped models continued (& algorithms) III



Modelled SWE Thunersee (wflow_sbm and SLF)



Performance as function of ksathorfrac



Comparison wflow_sbm versus wflow_hbv and mHM

