

# Assimilation of visible channels: Experiments in convective-scale short-range NWP

**Ch. Köpken-Watts**, L. Bach, L. Scheck, Ch. Stumpf,  
O. Stiller, C. Schraff, M. Weissmann, U. Blahak, R. Potthast

DWD, Germany

# Why assimilate visible channels?

## → Added and complementary value to IR / WV channels:

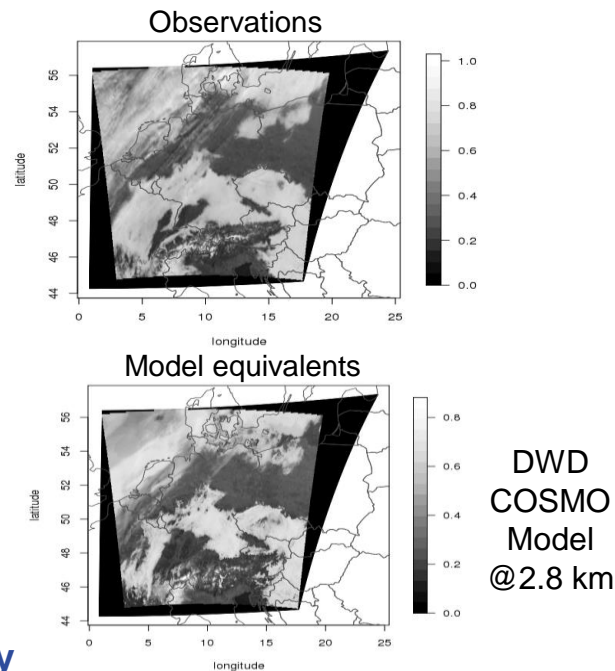
- Visibility of low clouds
- Only cloud sensitive, sensitive to cloud water/ice

## → Aim is to improve:

- Cloud analysis & forecast
- Convection - capture initial convective stage (CI)
- Representation of cloud related processes such as precipitation, radiation & boundary layer dynamics
- Application: short range forecasts, renewable energy

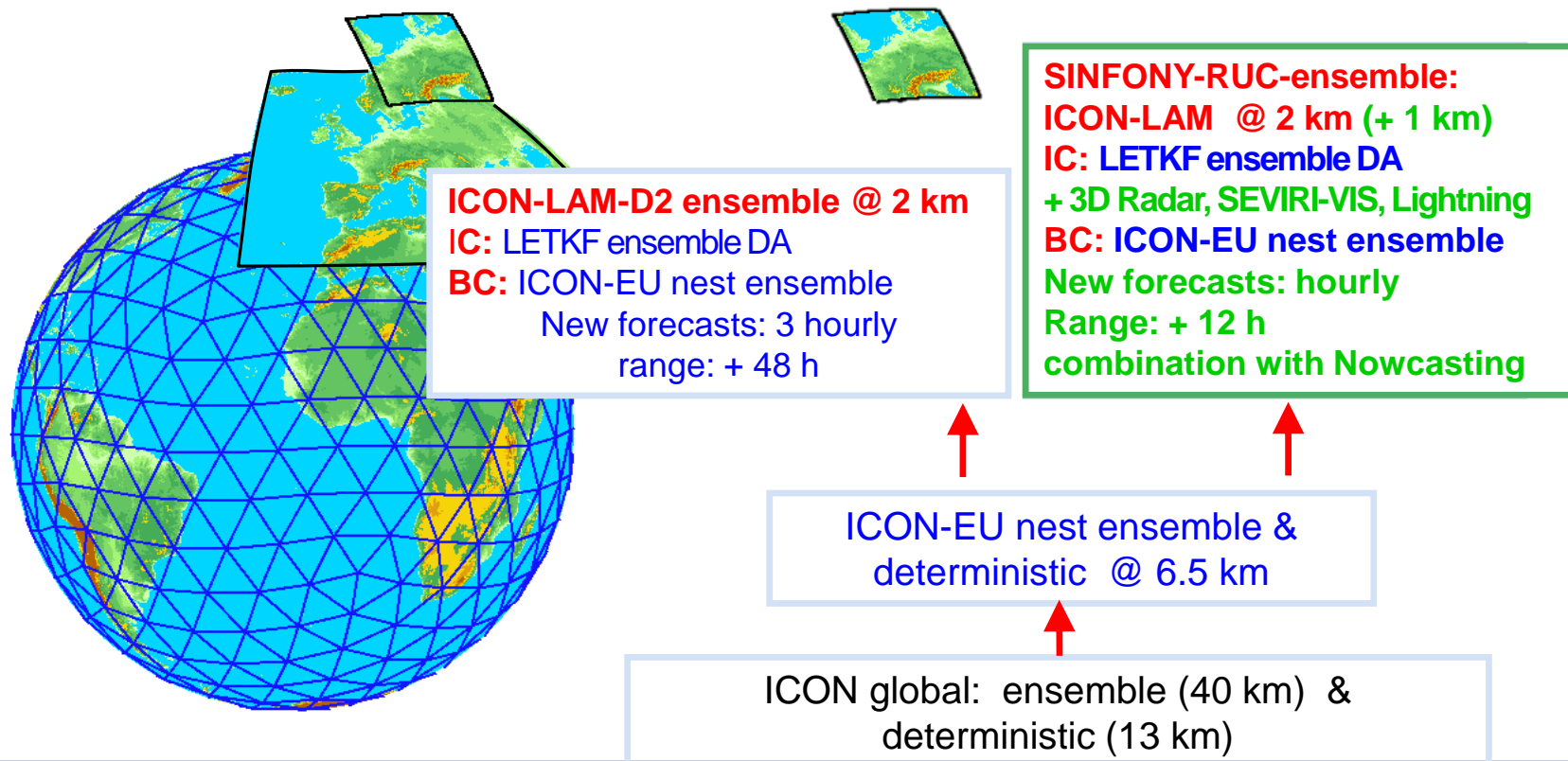
## → In terms of categories in overview paper Geer et al., 2017, mainly

- Initializing cloud and precipitation
- Improved modelling of cloud and precipitation



# Context: Very short-range & convection-resolving NWP

## SINFONY - project



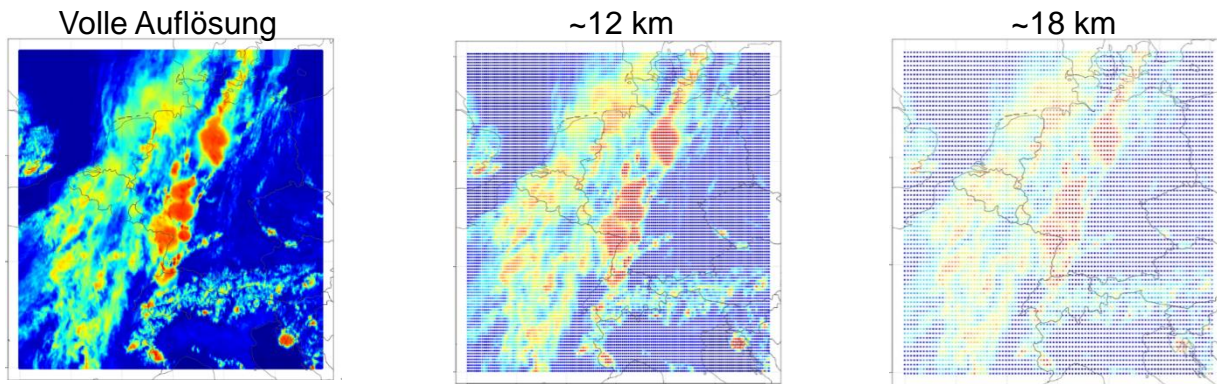
- Observations and forward operator MFASIS
- Convective scale data assimilation system
- Results:
  - Case study
  - Single observation experiment
  - Numerical experiments with new ICON-LAM-D2
- Key challenges for VIS assimilation
- Summary & outlook

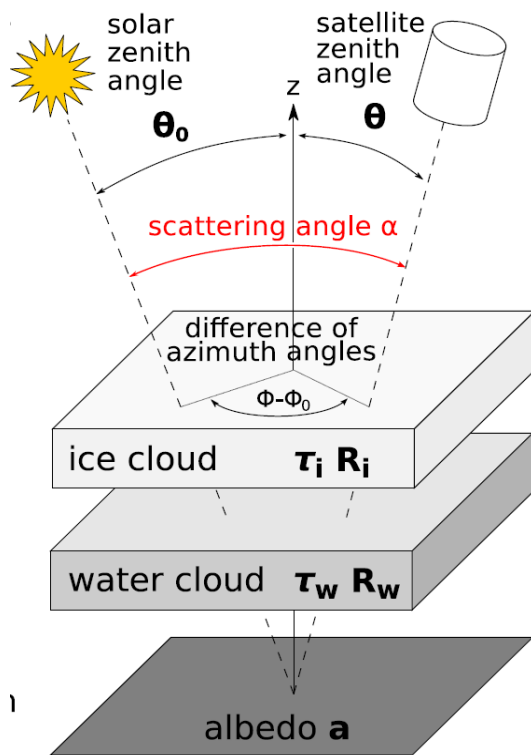
- Observations and forward operator MFASIS
- Convective scale data assimilation system
- Results:
  - Case study
  - Single observation experiment
  - Numerical experiments with new ICON-LAM-D2
- Key challenges for VIS assimilation
- Summary & outlook

- ➔ **Visible imager** channels ( $0.6 \mu\text{m}$ )
- ➔ Geostationary **SEVIRI** on MSG ( $0^\circ/0^\circ$ ):
  - Temporal resolution: 15 minutes
  - Horizontal: 6 km x 3 km (over German ICON-LAM model domain)
  - **Reflectance** observations:
    - Percentage of reflected solar radiation by clouds and earth surface
    - Sensitive to cloud properties (optical thickness - number of particles, effective radii)



- Data are used as super-obbed reflectance values
  - Balancing conventional and remote sensing observations
  - Reduction of representativity errors, double penalty problems
  - Computation time of LETKF
  - Model FG values are super-obbed in the same way





- Very fast forward operator for VIS radiation (reflectance) in presence of clouds
- Uses a look-up table (LUT) approach
- Available in RTTOV (v12.2, v12.3)
- LUTs tuned on DISORT implementation: RTTOV-DOM

LUTs contain:

**Fourier coefficients of reflectance**

w.r.t.  $\theta_0 + \theta$  and  $\theta_0 - \theta$

**for given conditions of**

- scattering angle, optical cloud properties, albedo
- $\alpha$ ,  $\tau_w, \tau_i, R_w, R_i$ ,  $a$



- Observations and forward operator MFASIS
- Convective scale data assimilation system
- Results:
  - Case study
  - Single observation experiment
  - Numerical experiments with new ICON-LAM-D2
- Key challenges for VIS assimilation
- Summary & outlook

→ KENDA: 4D-LETKF (using model equivalents at observation time), 40 members

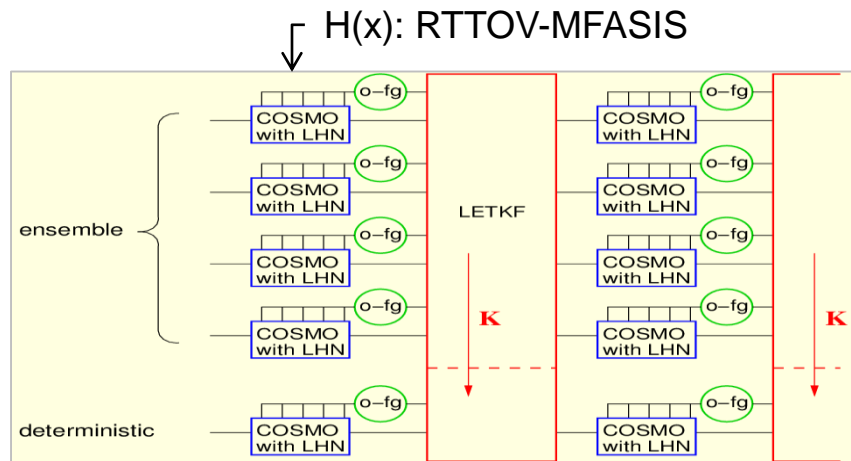
→ State vector consists of

- Temperature
- Specific humidity
- Pressure
- Wind components (u, v)
- Cloud water
- Cloud ice

cloud variables

→ Flow-dependent increments depend on covariances and inter-variable cross-covariances from ensemble

→ Localization in observation space



*LETKF implementation described in Schraff et. al, 2016*

Assumptions in LETKF (and many other DA approaches) :

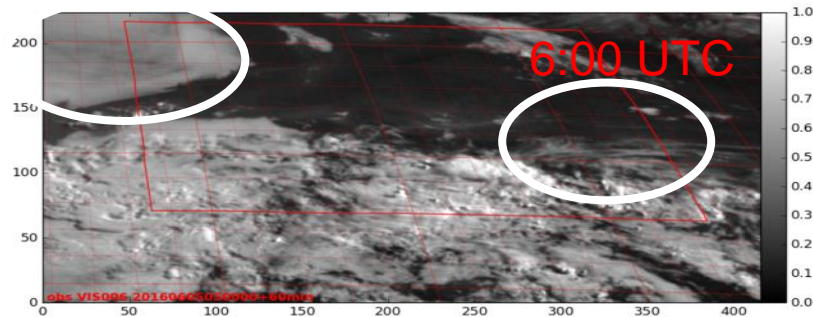
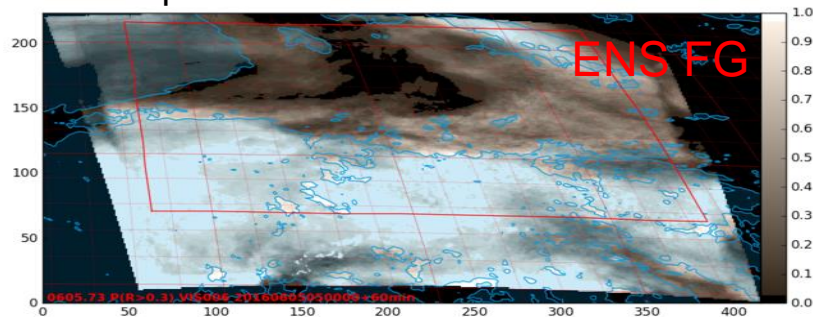
- Gaussianity of departures for the VIS reflectances
- No systematic biases
- Linearity of forward operator
- Localization of observation

- Observations and forward operator MFASIS
- Convective scale data assimilation system
- Results:
  - Case study
  - Single observation experiment
  - Numerical experiments with new ICON-LAM-D2
- Key challenges for VIS assimilation
- Summary & outlook

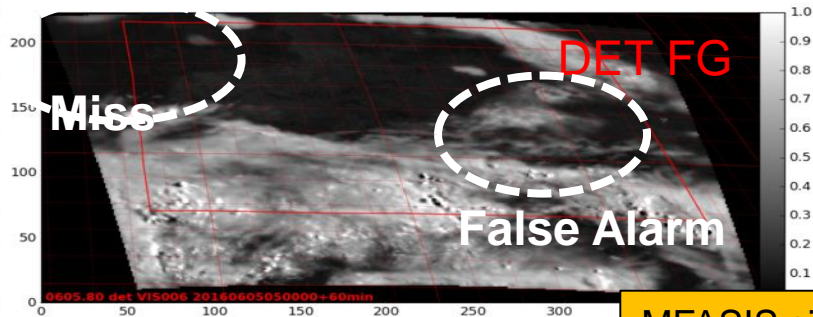
# Case study I: First guess at 06 UTC

COSMO model

Proportion of clouds in ensemble



Observation



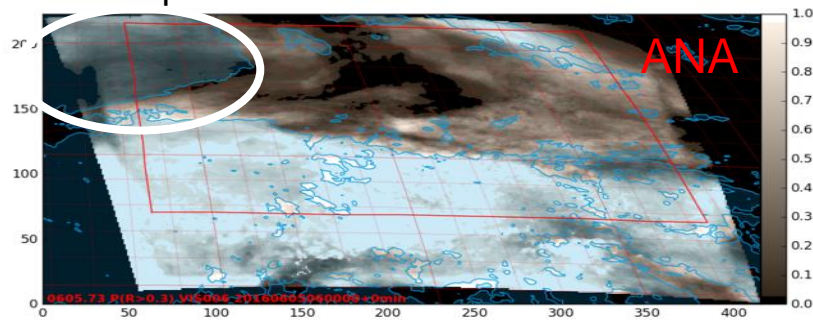
Deterministic run

MFASIS simulation of  
model reflectance

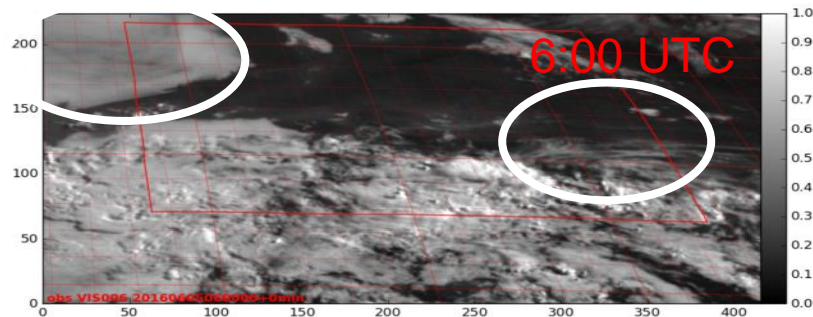
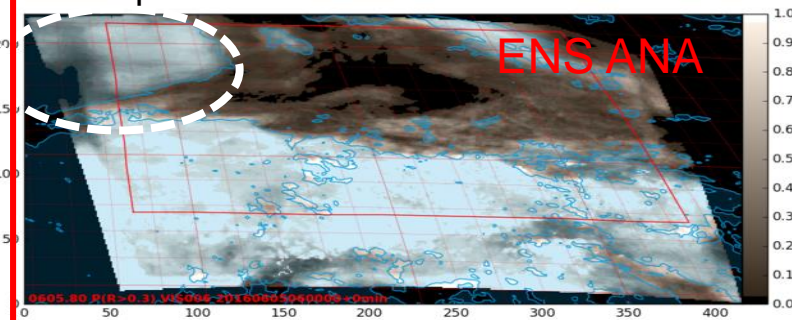
# Case study I: Analysis at 06 UTC

With SEVIRI

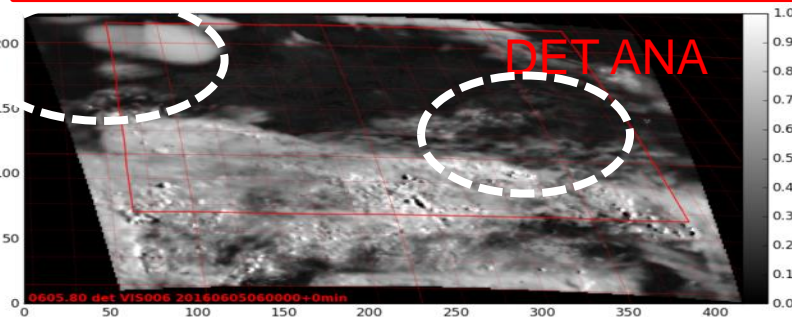
Proportion of clouds in ensemble



Proportion of clouds in ensemble



Observation

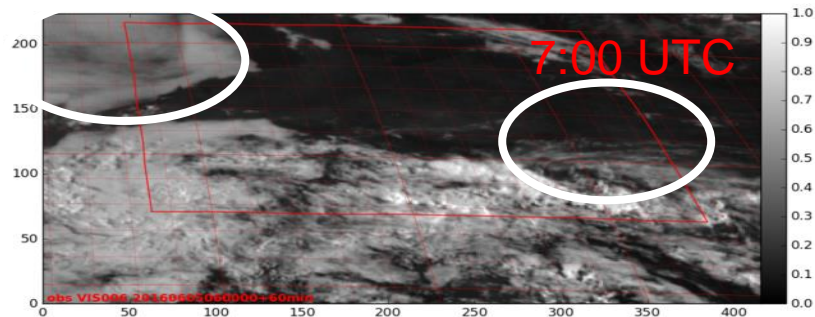
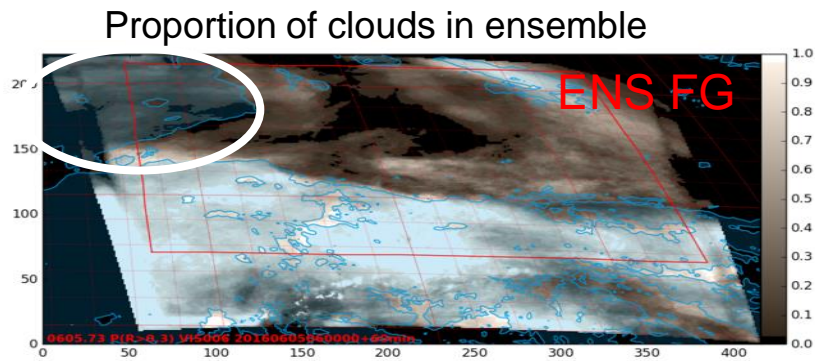


Deterministic run



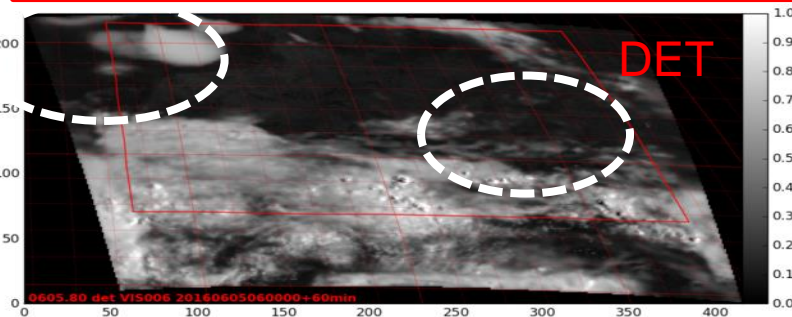
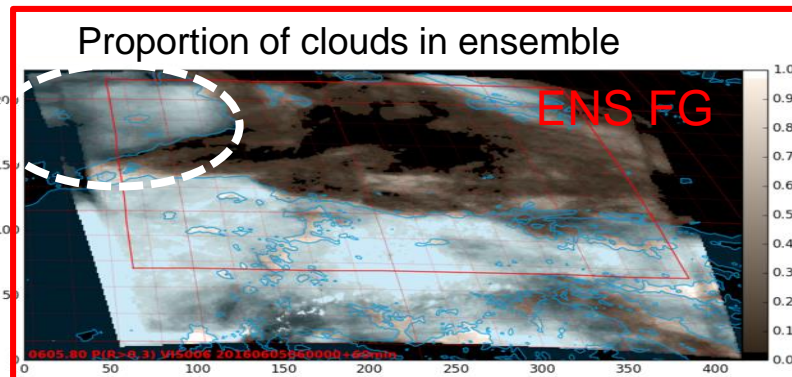
# Case study I: First Guess at 07 UTC

The improvement is kept in the 1h forecast



Observation

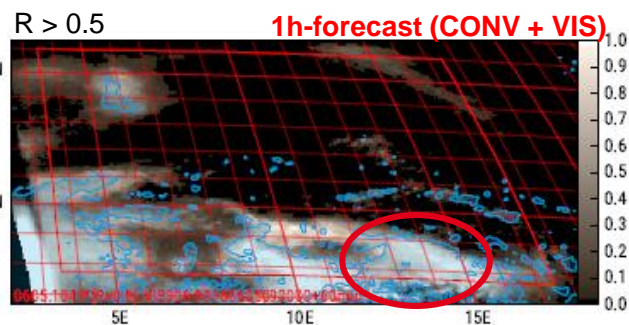
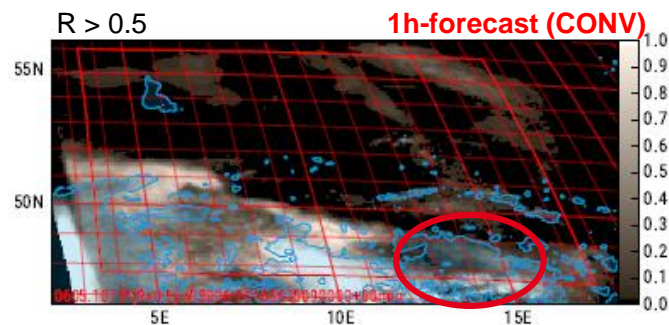
With SEVIRI



Deterministic run

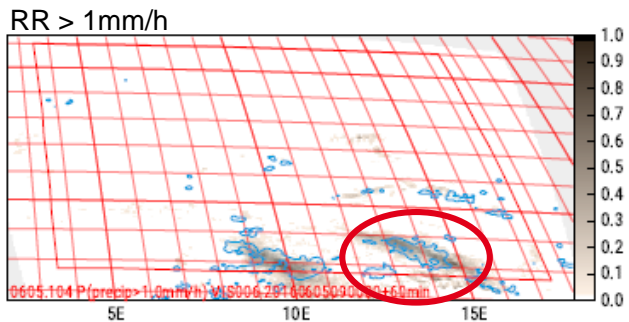
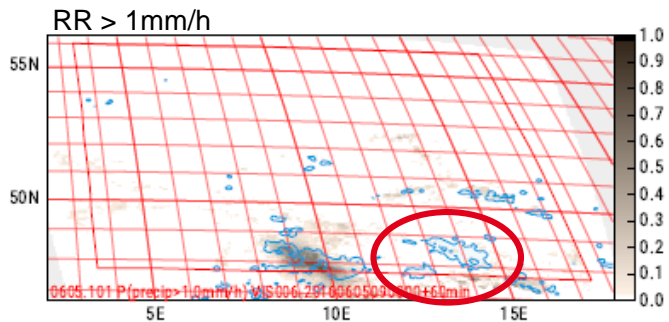
# Case study II: Clouds & precipitation

COSMO model: June, 5th 2016; 10 UTC



Shading:  
percentage of cloudy  
ensemble members

Blue:  
SEVIRI refl. > 0.5



Shading:  
percentage of rainy  
ensemble members

Blue:  
radar rain rate > 1 mm

(See also: Scheck et. al, 2019, submitted)



- Observations and forward operator MFASIS
- Convective scale data assimilation system
- Results:
  - Case study
  - Single observation experiment
  - Numerical experiments with new ICON-LAM-D2
- Key challenges for VIS assimilation
- Summary & outlook

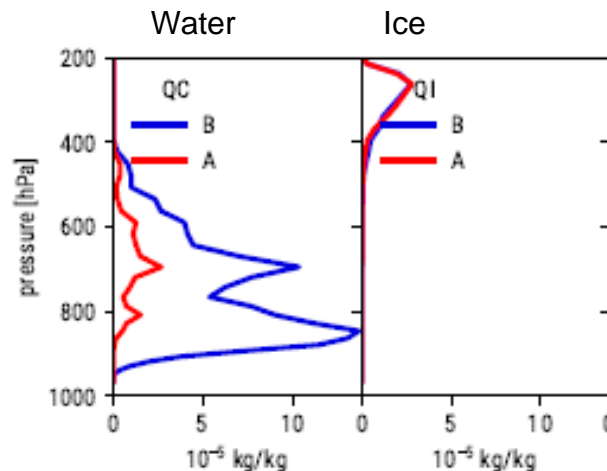
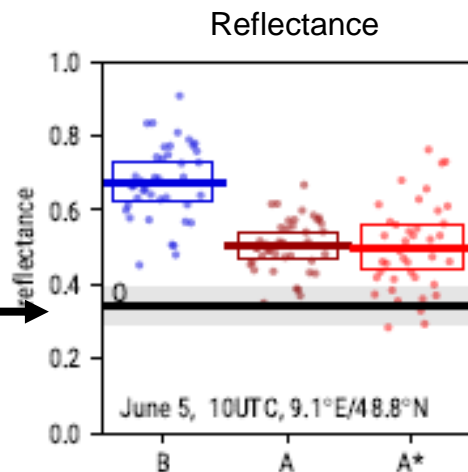
# Single Observation Experiment I

- FG: Extended water cloud below thin ice cloud  
Reflectance: too high
- Analysis: Reduction of cloud water contents and reflectance  
Improved ensemble mean and distribution

B First Guess  
A Analysis

First Guess  
Linear analysis  
Non-linear analysis

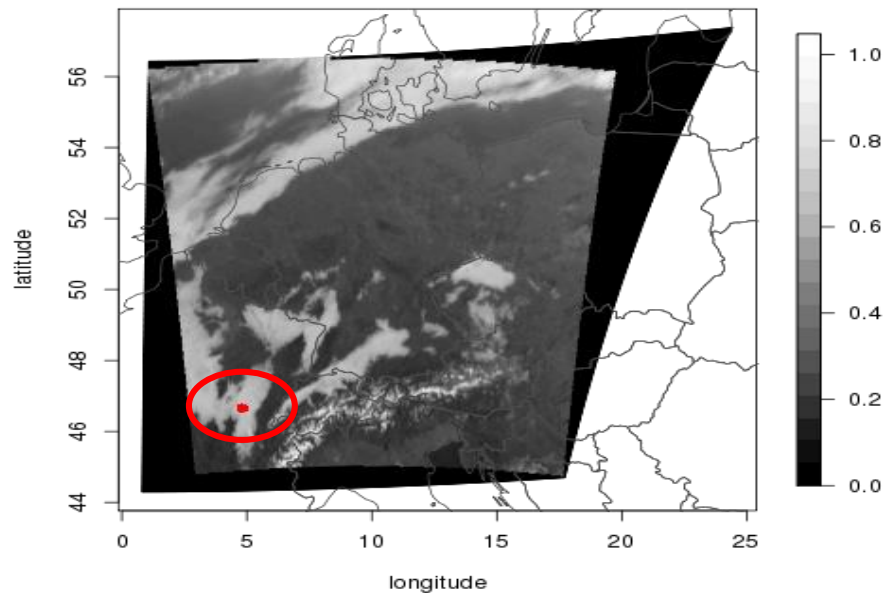
Observation



- Low stratus in Southern Germany/France
- 30 Dec 2016

## Two Experiments testing localization

1. No vertical localization
2. Position at 950 hPa + narrow vertical localization

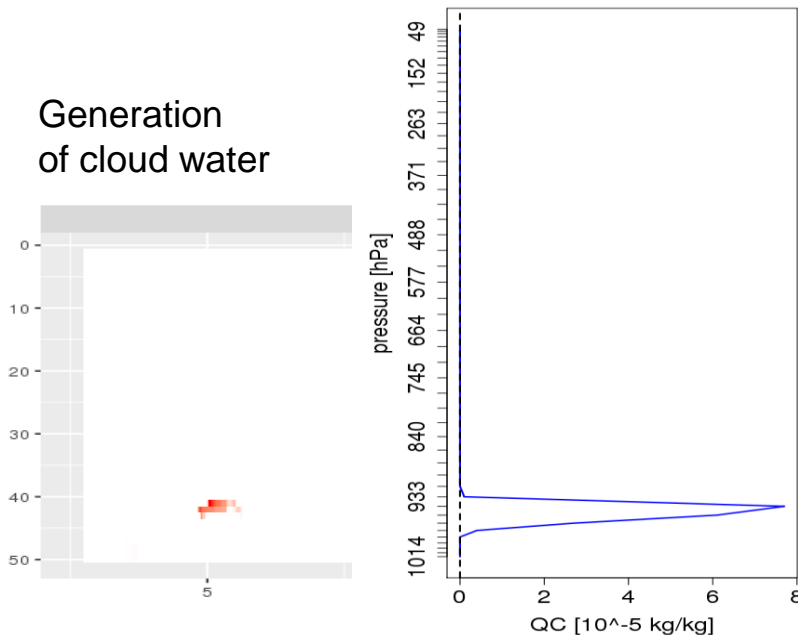


# Analysis increments without vertical localization

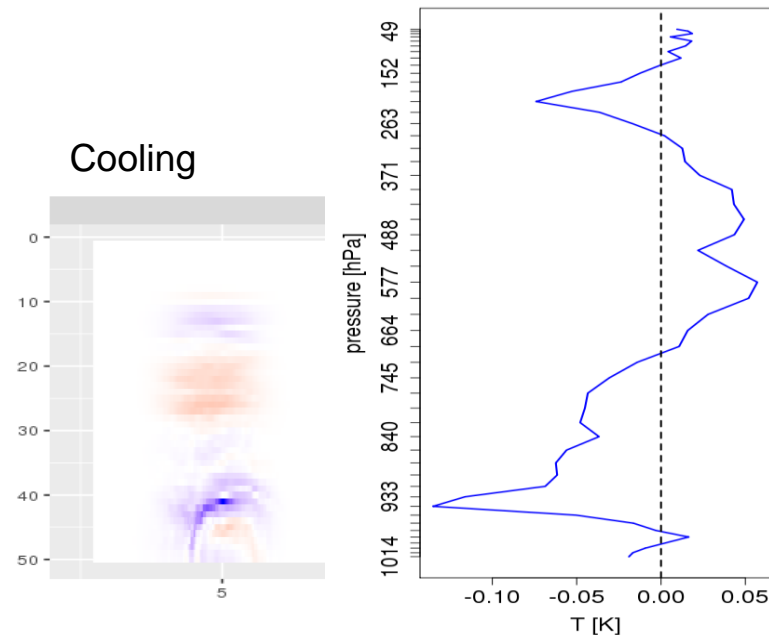
Assimilation of reflectance obs leads to physically consistent increments

- Cloud water
- Corresponding humidity and temperature increments (here cooling)

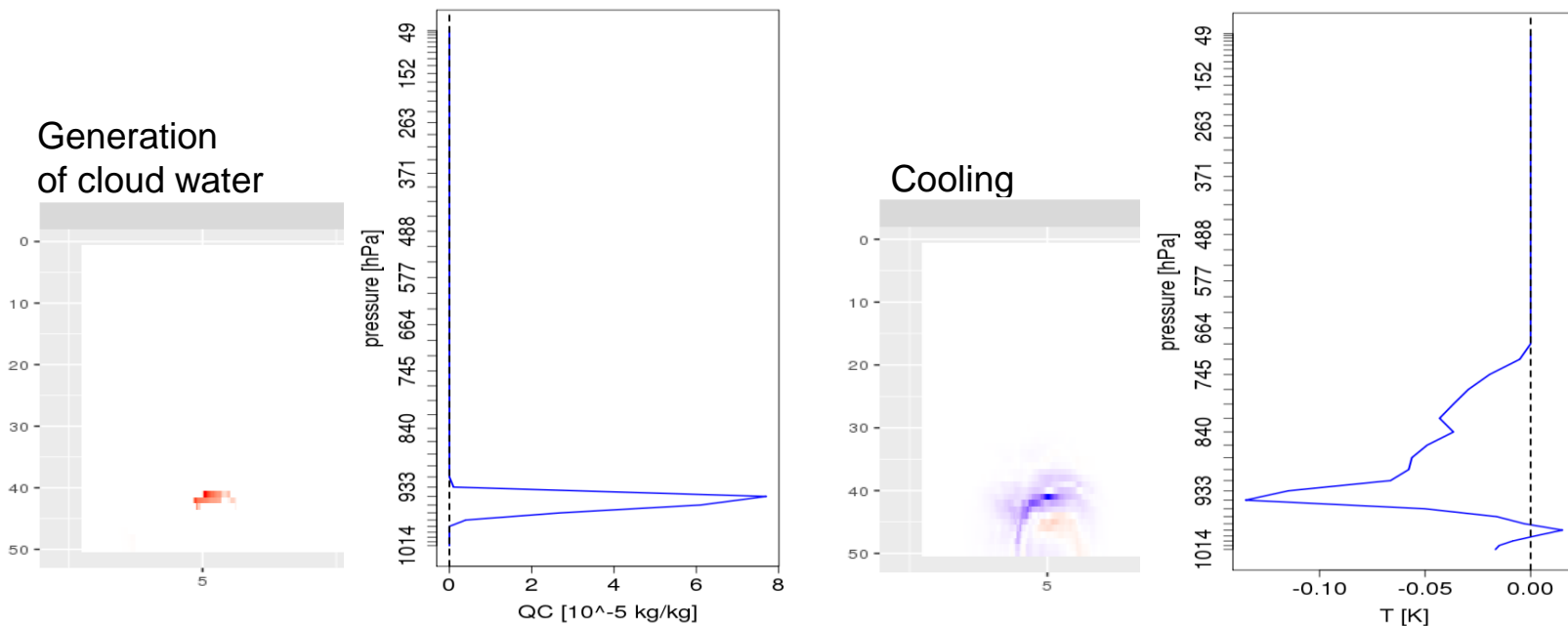
Generation  
of cloud water



Cooling



Vertical localization: Key challenge!



- Observations and forward operator MFASIS
- Convective scale data assimilation system
- Results:
  - Case study
  - Single observation experiment
  - Numerical experiments with new ICON-LAM-D2
- Key challenges for VIS assimilation
- Summary & outlook

## I. First developments and experiments in COSMO/KENDA

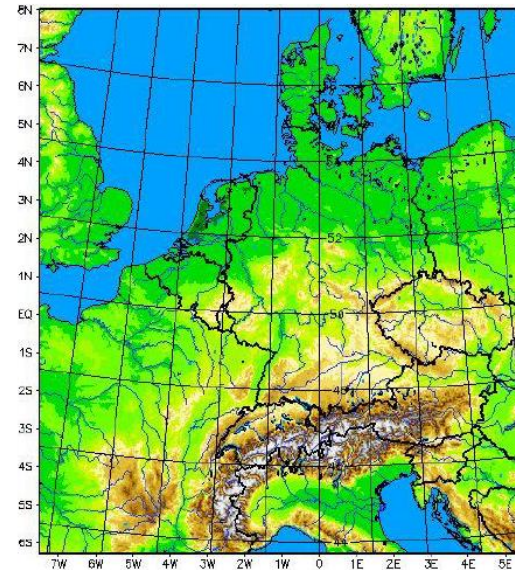
- Convective and stratus situations
- Single obs experiments
- Tuning experiments
- Longer experiments (~ 2-4 weeks)

} See also  
Scheck et al., 2019, submitted

## II. Implementation of VIS assim in new ICON-LAM

- Different model (dynamics and microphysics)
- Initialisation: IAU
- Longer experiments (since winter 2019)
- At the same time:
  - Physics implementations ongoing,  
e.g. using the 2-moment scheme, ec-rad

- Study period: 1 – 14 June 2019
- VIS observations (setup as optimized in previous COSMO studies)
  - Superobbing ( ~ 12 km)
  - Hourly images
  - OBS error (reflectance): 0.2
  - No vertical localization (vloc=10; hloc=35km)
- Other data:
  - Conventional (RS, SYNOP, AIREP, MODE-S, wind profiler, BUOYS)
  - Radar rain rates (in this exp. via Latent Heat Nudging)
- Experiments:
  - ILAM\_VIS\_001: IAU (without update of cloud ice)
  - ILAM\_VIS\_002: IAU (with update of cloud ice)
  - ILAM\_VIS\_003: as 001 but super-obbing ~18 km
  - Reference: without VIS data



ICON – LAM  
D2 domain @ 2.2 km



## I. Improvements in

- Clouds (reflectance)
- Rain rates & 3D radar reflectivities

## II. Potentially improvements in

- Surface screen variables
- Humidity fields

within SINFONY focus range:

+00 to +06 (+12) hours

## III. At least neutral verification versus

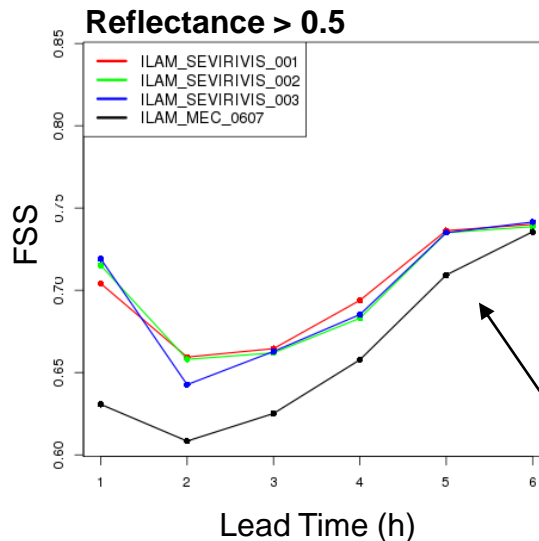
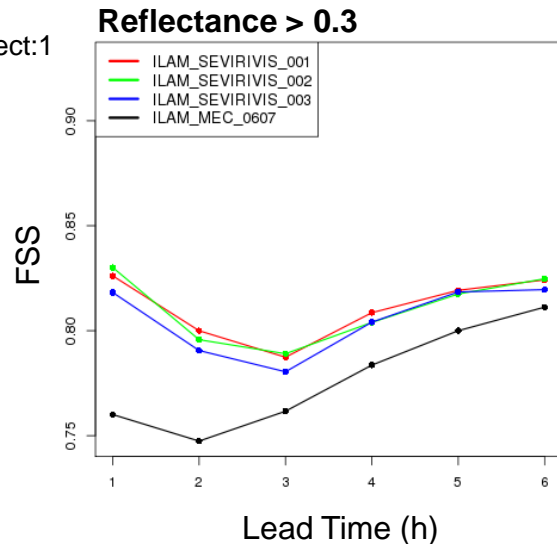
- Conventional data in forecast range + 12h to +24 hours  
(to use same data setup also in standard short-range NWP)

# I) Reflectances: spatial verification

Forecasts: starting at 12 UTC  
Range: 6 hours  
Average: 6 days

Score: Fractional Skill Score  
Scale: 7 Pixels ( $\sim 21 \cdot 42 \text{ km}^2$ )

Perfect:1



ILAM-VIS\_001: IAU (without  $q_i$ ); Iso=12km  
ILAM-VIS\_002: IAU (with  $q_i$ ); Iso=12km  
ILAM-VIS\_003: IAU (without  $q_i$ ); Iso=18km

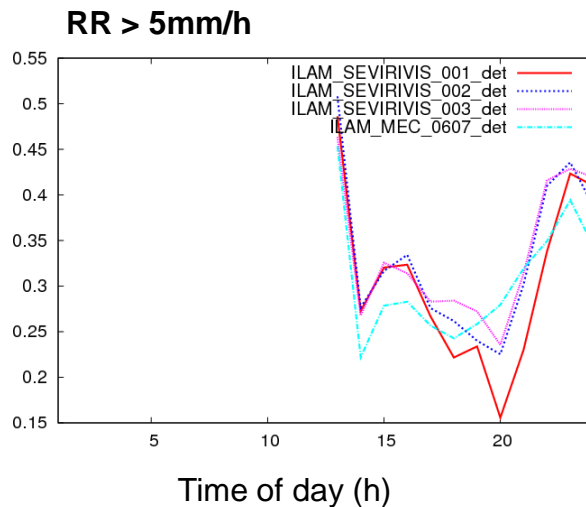
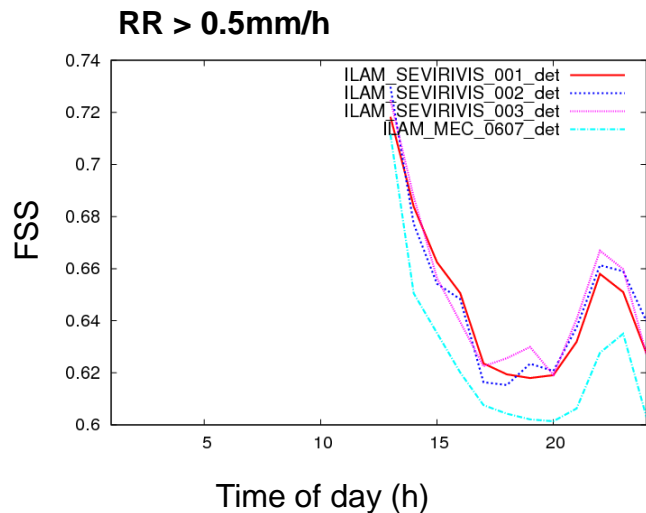
Reference

Diurnal cycle effect

## II) Rain rates: spatial verification with radar

Forecasts: starting at 12 UTC  
Range: 12 hours  
Average: 7 days

Score: Fractional Skill Score  
Scale: 11 Pixels ( $\sim 33 \cdot 66 \text{ km}^2$ )



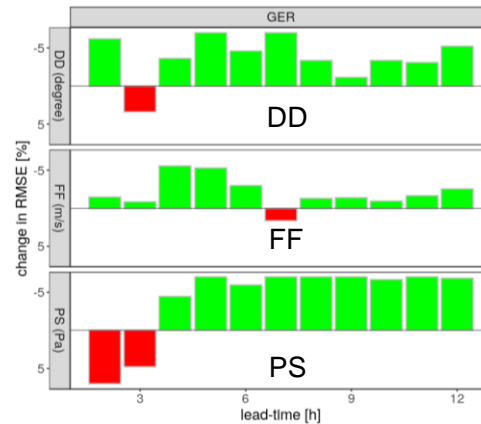
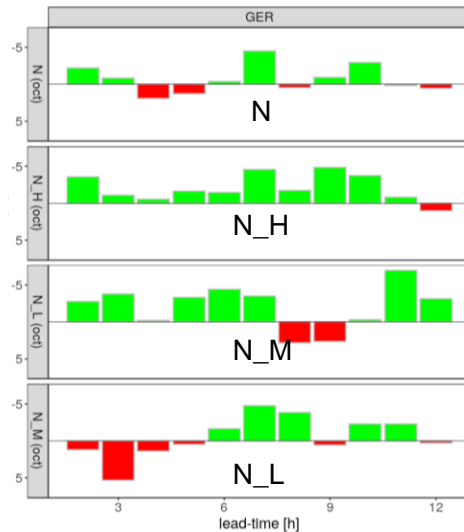
ILAM-VIS\_001: IAU (without  $q_i$ ); Iso=12km  
ILAM-VIS\_002: IAU (with  $q_i$ ); Iso=12km  
ILAM-VIS\_003: IAU (without  $q_i$ ); Iso=18km  
Referenz

### III) Surface variables

Forecasts: starting at 12 UTC, 18 UTC  
Range: 12 hours  
Average: **14 days**

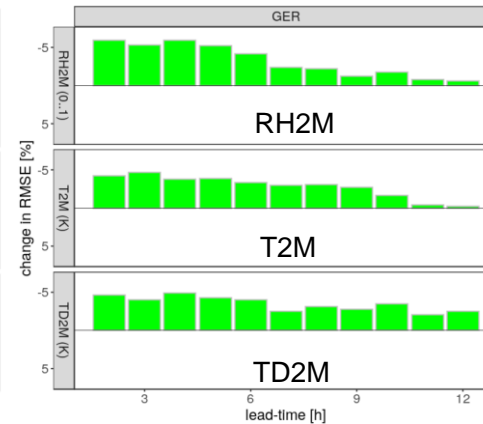
Verification versus SYNOP stations

Relative change in RMSE [%]



Lead Time (h)

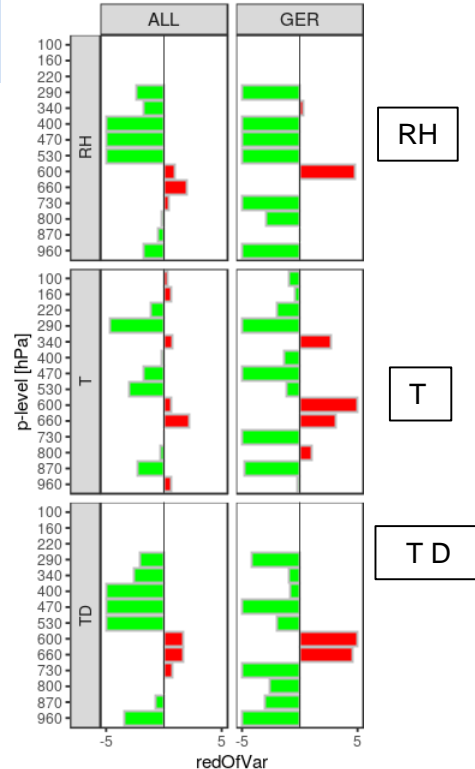
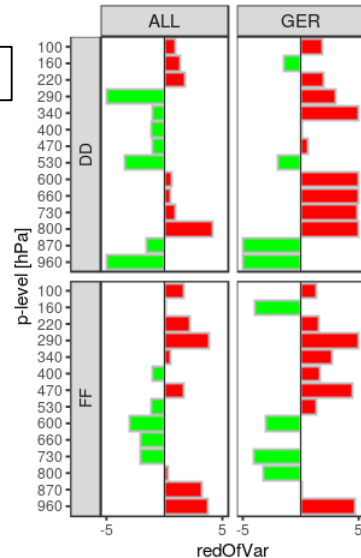
ILAM-VIS\_001: IAU (without q\_i)  
Reference



# IV) Upper air fields: RS verification

Forecasts: starting at 12 UTC, 18 UTC  
Range: 12 hours  
Average: **14 days**

ILAM-VIS\_001: IAU (without  $q_i$ )  
Reference



- Evaluation of 6h, 12h forecasts
- Improvements in humidity, T
- Mixed result / degradation in winds
- Note:
  - degradation visible also in 1h forecasts  
→ spin-up / noise issues

- Observations and forward operator MFASIS
- Convective scale data assimilation system
- Results:
  - Case study
  - Single observation experiment
  - Numerical experiments with new ICON-LAM-D2
- Key challenges for VIS assimilation
- Summary & outlook

## (I) Volume of assimilated satellite observations

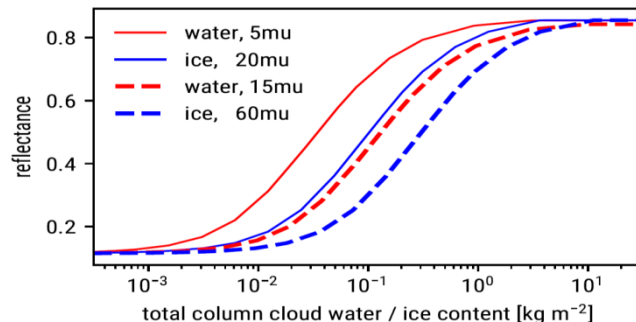
- Balancing high-resolution satellite data with conventional data
- Fit of analysis vs. induced forecast spin-up / error-growth

### **Solution: Tuning experiments regarding**

- Observation superobbing scale
- Horizontal localization radius
- Observation error
- Number of used 'images' per assimilation window

## (II) EnKF assumes linearity and Gaussianity

- Relationship between cloud particles and reflectance is non-linear
- First guess-departures can be non-Gaussian

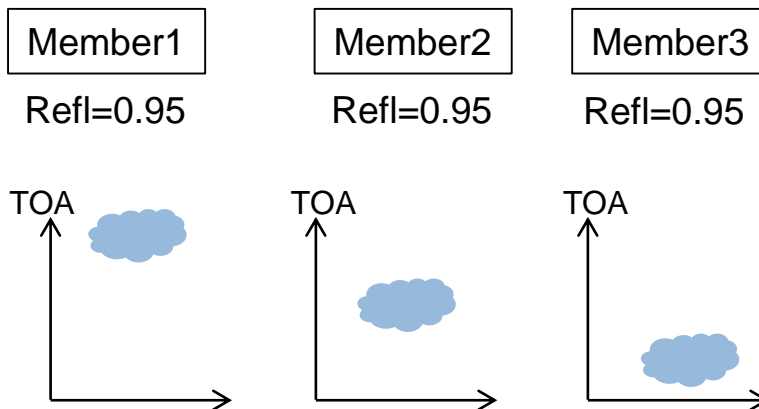
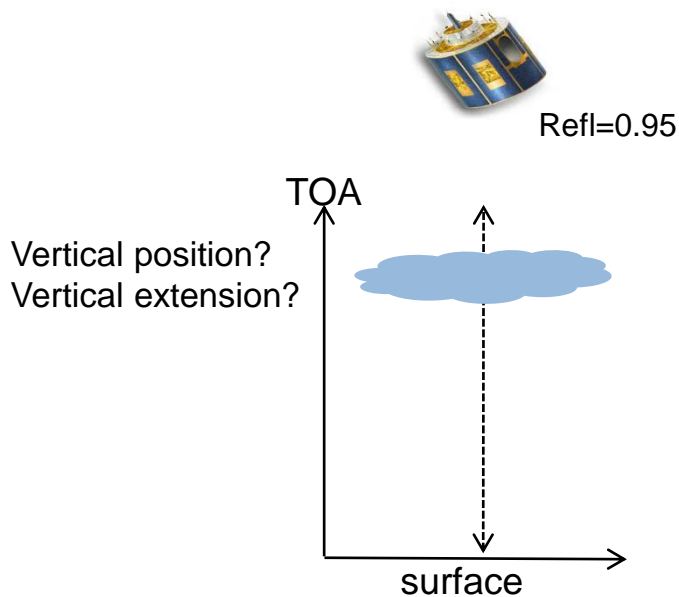


### Possible solution: Application of particle filters

- Particle filter DA in development & testing at DWD ( → see talk by R. Potthast, Session 4 )
- May raise efficiency of all-sky assimilation in the future



## (III) Unknown vertical position & extension of observed cloud



First approach:

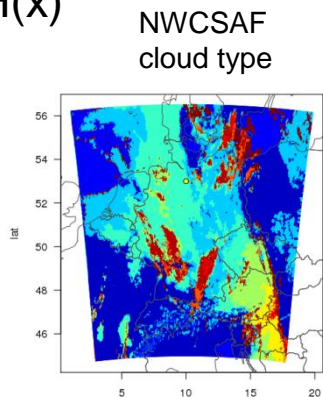
- no vertical localization
  - forward operator is applied to all vertical levels
- All members obtain equal weight in the analysis

## (III) Unknown vertical position & extension of observed cloud

### Solutions we are working on

#### (A) Vertical localization using cloud type information

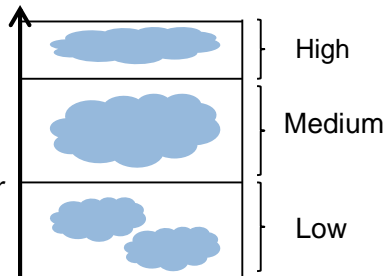
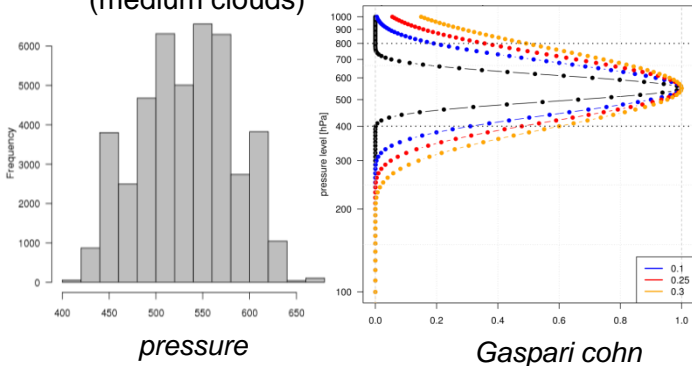
- of VIS observations
- of forward operator  $H(x)$



Specify  
Gaspari-Cohn

Localization of  
forward operator

NWCSAF Cloud Top Pressure  
(medium clouds)



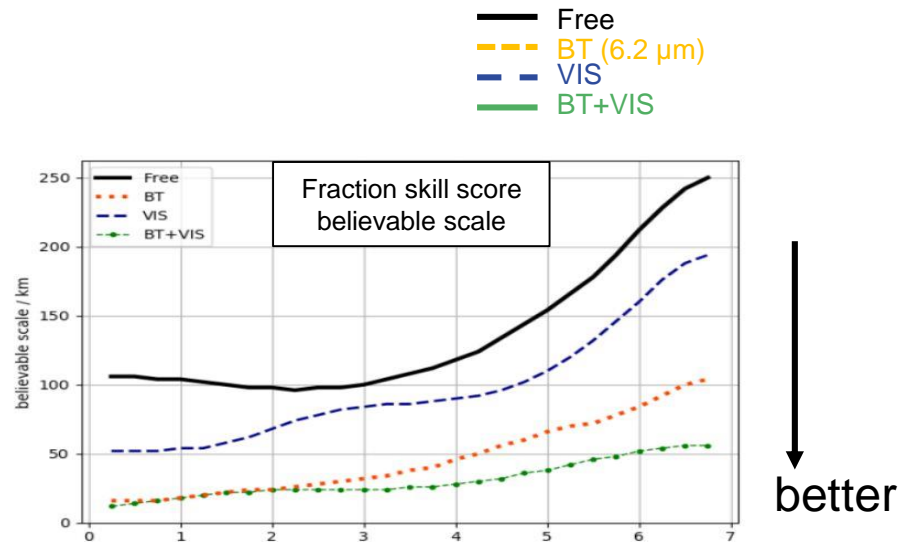
## (III) Unknown vertical position & extension of observed cloud

### Solutions we are working on

#### (B) Combination with other IR channels

OSSE experiment for case study

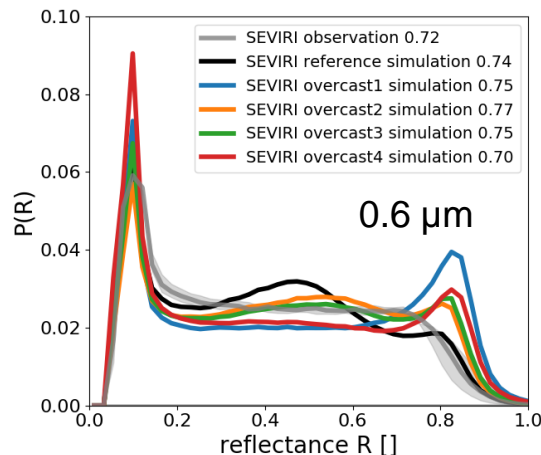
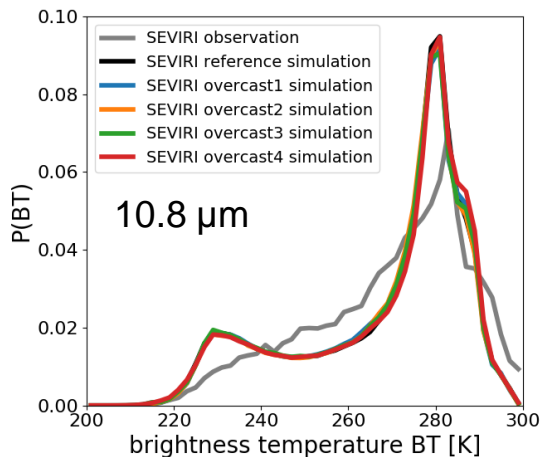
- combination of IR + VIS
- positive results for FSS (rain rate > 1 mm/hh)



From Schrötle, Weissmann, Scheck, Hutt: "Assimilating visible and thermal radiances in idealized simulations of deep convection", in preparation.

## (IV) Consistency of model physics and forward operator

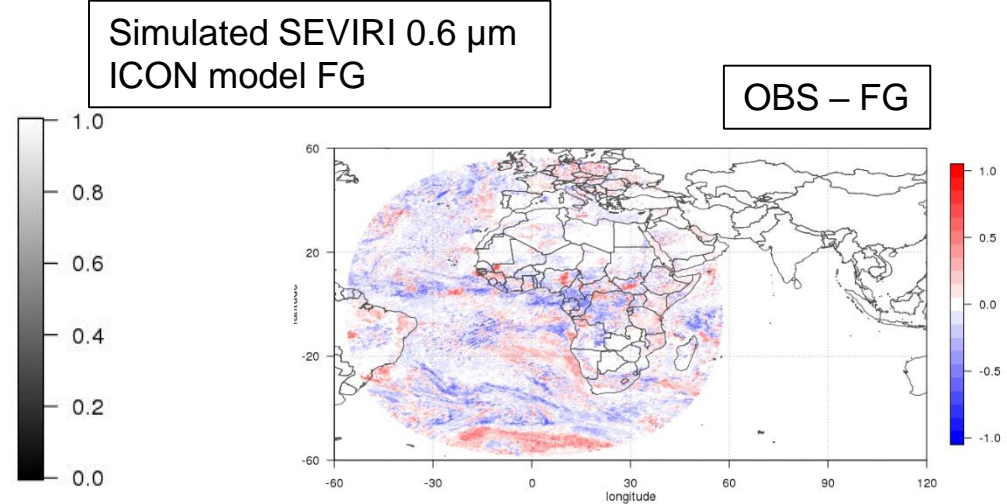
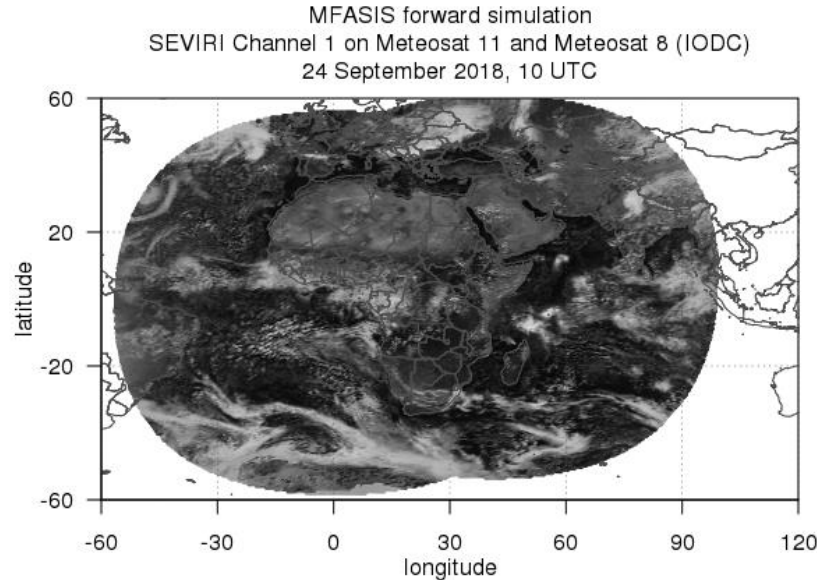
- Tests using various model physics setups (sub-grid scale cloud parameterizations)
- Evaluation using SEVIRI IR and VIS



- IR  $10.8 \mu m$  is nearly blind to these changes in subgrid clouds
- VIS  $0.6 \mu m$  is sensitive
- Using full spectral range (also VIS) improves model physics developments - and assimilation
- Adding further channels will enhance benefit (e.g.  $1.6 \mu m$ )

Courtesy:  
S. Geiss

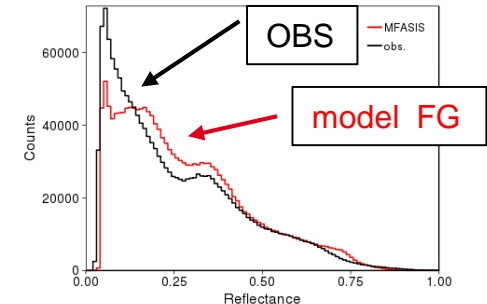




Evaluation and improvement of

- MFASIS over full range of cloud situations
- Model physics

..... and ultimately assimilation



- Use of VIS reflectances : cloud ice and cloud water information, low clouds
- Promising impact for very short range forecasts (clouds, screen level variables, precipitation) in LETKF setup
- State vector contains cloud water and cloud ice
- Key challenges to obtain a more efficient data assimilation are being addressed

## Outlook

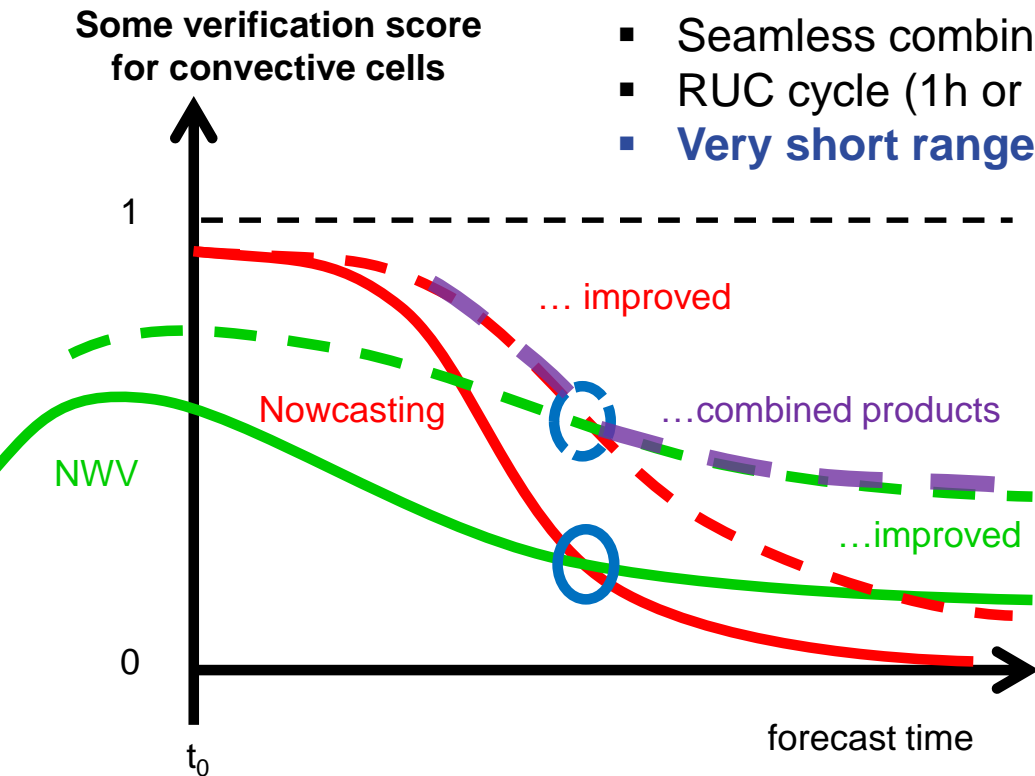
- Towards operationalisation in ICON-LAM-D2 for seamless forecasting system SINFONY
- Work ongoing for:
  - Vertical localization using additional cloud products
  - Combination with WV / IR channels (all-sky) to reduce ambiguities
  - Model physics: e.g. use of 2-Moment-scheme to better represent cloud micro-physics

Thank you !

Questions ?

## Aims:

- Seamless combination of NWP with obs-based nowcasting
- RUC cycle (1h or less) with very short cut-offs (~10 min.)
- **Very short range forecasting range: 1- 6 (12) h**



e.g. Radar reflectivities  
(NWP output of 5 min.  
simulated volume scans)