

# The effect of clouds, radiation and turbulence on upper-level PV

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Heini Wernli<sup>1</sup>, and Hanna Joos<sup>1</sup>

1 Institute for Atmospheric and Climate Science, ETH Zürich  
2 ECMWF, Reading

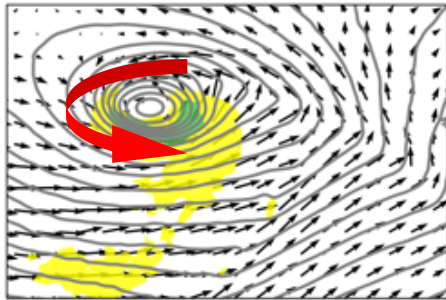
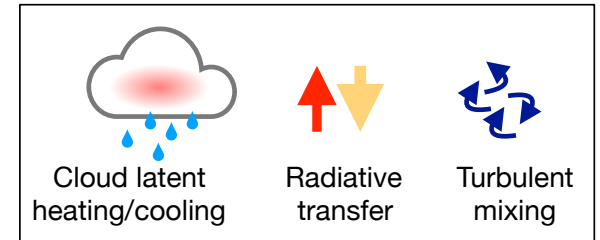
WCB Workshop  
11 March 2020



## Introduction

- Intensification of extratropical cyclones

e.g., [Davis and Emanuel \(1991, MWR\)](#); [Stoelinga \(1996, MWR\)](#);  
[Binder et al. \(2016, JAS\)](#)





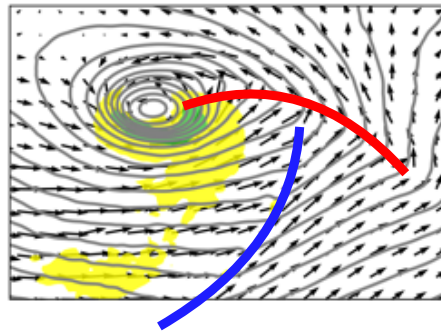
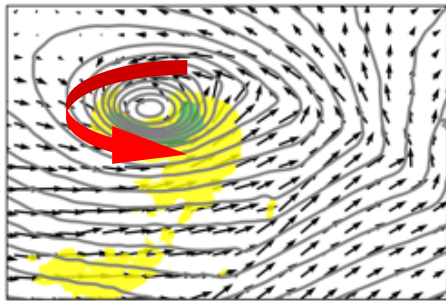
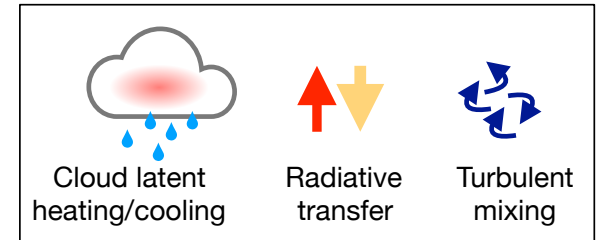
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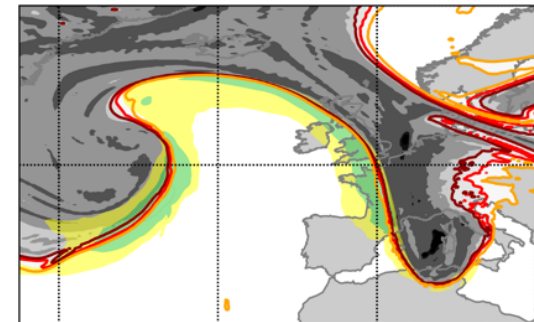
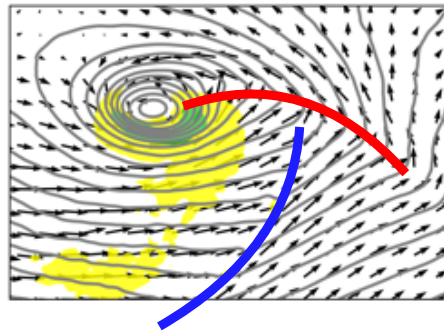
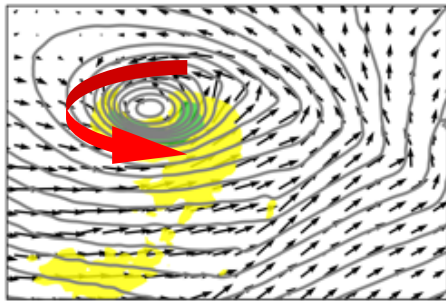
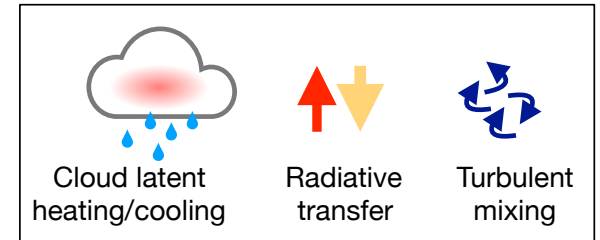
- Evolution of surface fronts

e.g., Lackmann (2002; MWR); Forbes and Clark (2003, QJRMS); Crezee et al. (2017; JAS)



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- Modification of tropopause structure  
e.g., Ziel and Wirth (1997, JGR); Pomroy and Thorpe (2000, MWR); Chagnon et al. (2013, QJRMS); Saffin et al. (2017, JGR)



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e.g., Ziel and Wirth (1997, JGR), Pomroy and Thorpe (2002, MWR); Chagnon et al. (2013, QJRMS); Saffin et al. (2017, JGR)

How do individual physical processes modify potential vorticity near the tropopause?

## Methods: Diabatic PV modification

$$\text{PVR} = \frac{D}{Dt} \text{PV} = \frac{1}{\rho} \left( \boldsymbol{\eta} \cdot \nabla \dot{\theta} + \nabla \times \mathbf{F} \cdot \nabla \theta \right)$$

tendencies of  
**temperature** &  
**momentum**

Direct diabatic PV modification

Potential vorticity (PV)

$$\text{PV} = \frac{1}{\rho} \boldsymbol{\eta} \cdot \nabla \theta$$

vorticity      gradient of potential temperature

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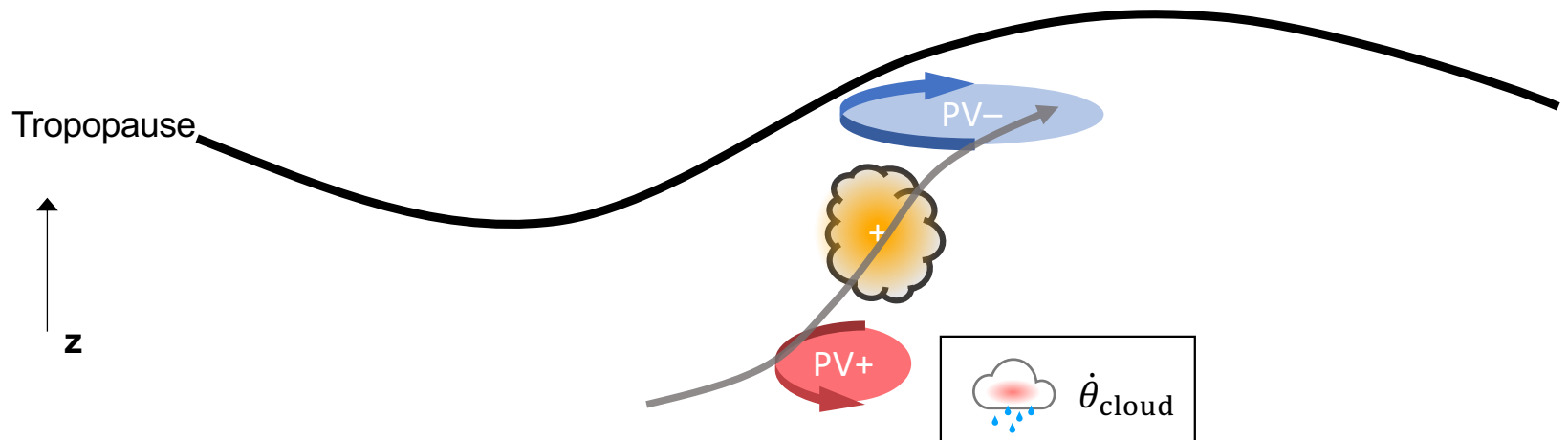
Direct diabatic PV modification

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e.g., Wernli and Davies (1997, QJRMS)



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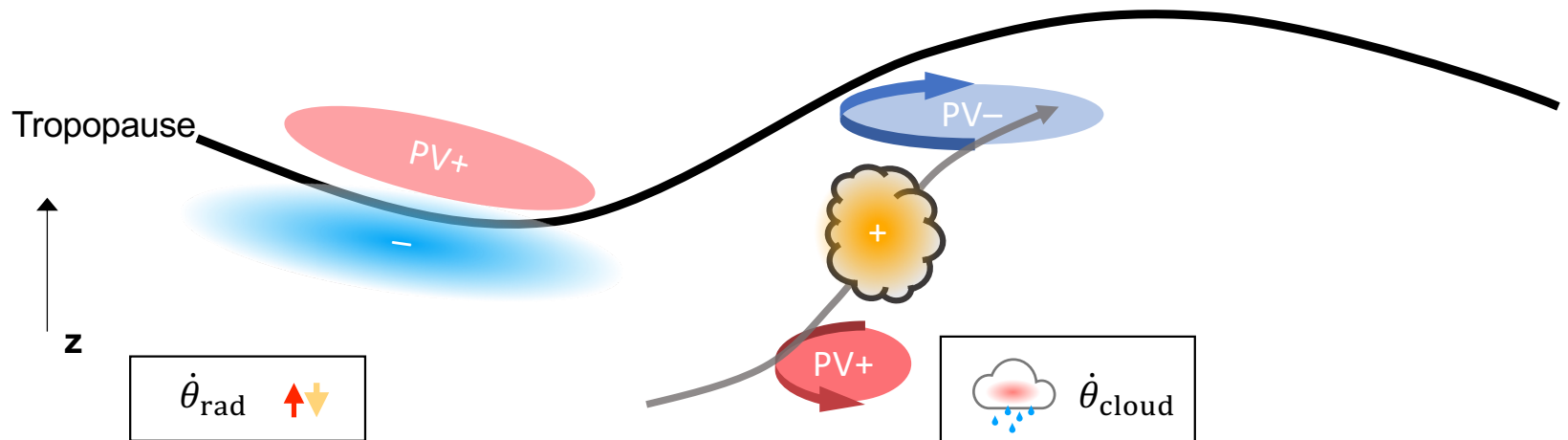
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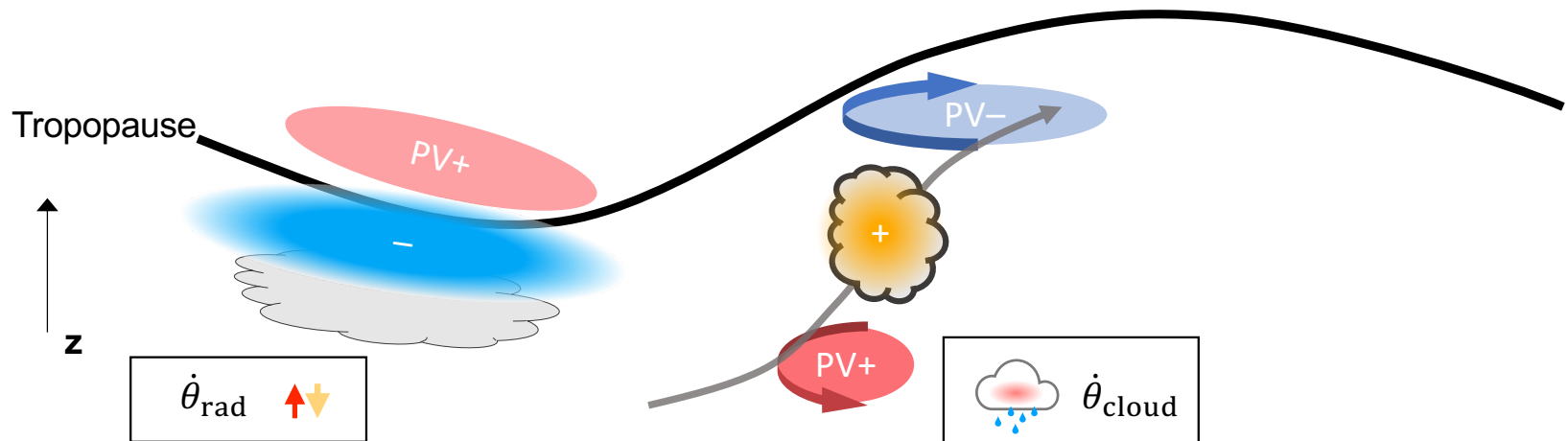
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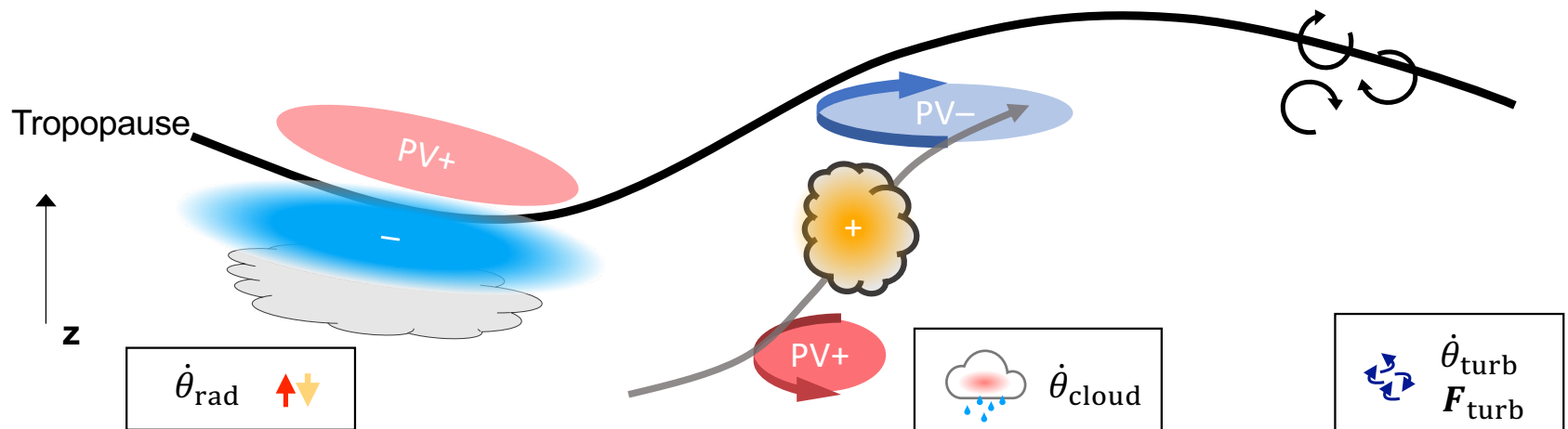
Direct diabatic PV modification

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e.g., Shapiro (1976, MWR)





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tendencies of **temperature** & **momentum**

Direct diabatic PV modification

Lagrangian accumulated PV along trajectories:  
following [Crezee et al. \(2017, JAS\)](#)

$$\text{APV}(\mathbf{x}(t_0), t) = \int_t^{t_0} \text{PVR}(\mathbf{x}(\tau), \tau) d\tau$$

→ for each parametrized process

Reverse domain filling: Backward trajectories from each grid point  
→ 3D-field of APV

Potential vorticity (PV)

$$\text{PV} = \frac{1}{\rho} \boldsymbol{\eta} \cdot \nabla \theta$$

vorticity      gradient of potential temperature



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## Methods: Model simulations

**Simulations** with the global model of the ECMWF

- Horizontal resolution ~16 km
  - Output of all instantaneous **temperature & momentum tendencies** from parametrized physics
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- Horizontal resolution ~16 km
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#### Physical tendencies:

Large-scale cloud

Moist convection

Long-/short-wave radiation

Vertical diffusion and gravity wave drag



→ complete physical budget

## Methods: Model simulations

### Simulations with the global model of the ECMWF

- Horizontal resolution ~16 km
  - Output of all instantaneous **temperature & momentum tendencies** from parametrized physics
- > One case study simulation
- > Three monthly simulations (DJF)

#### Physical tendencies:

Large-scale cloud

Moist convection

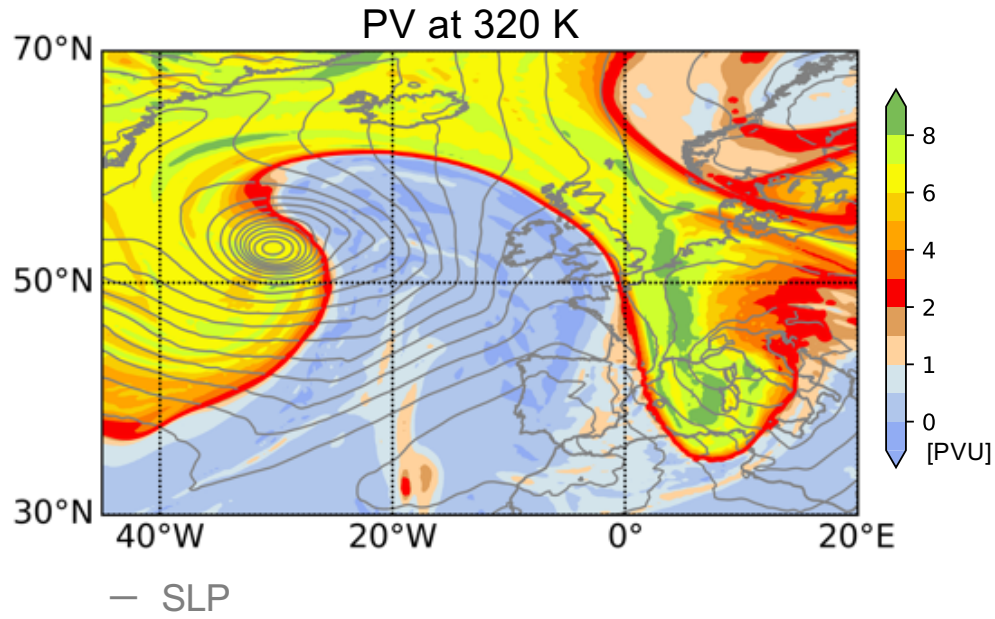
Long-/short-wave radiation

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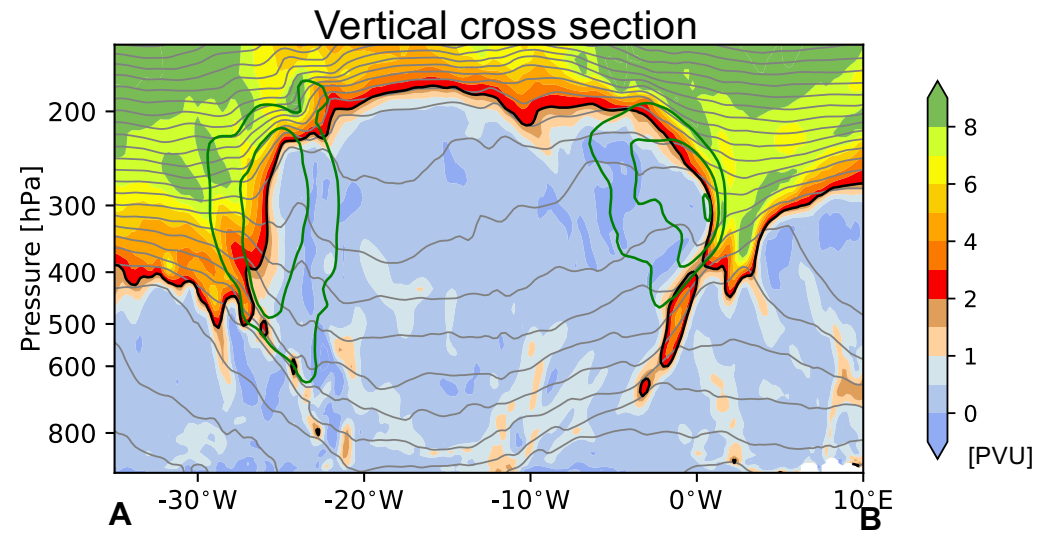
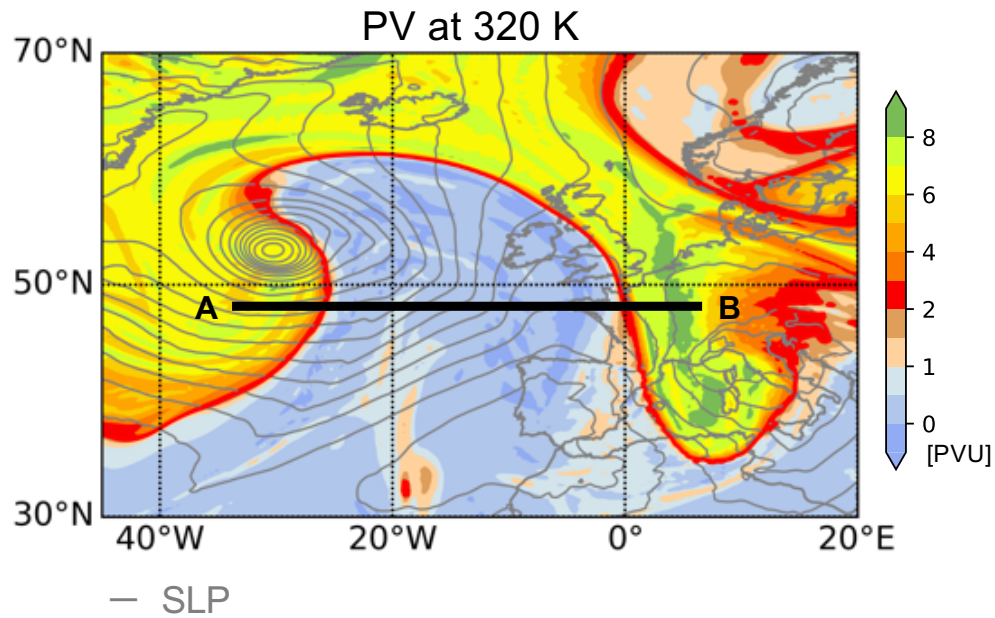


> Complete physical budget

# I. Near-tropopause PV modification: Case study

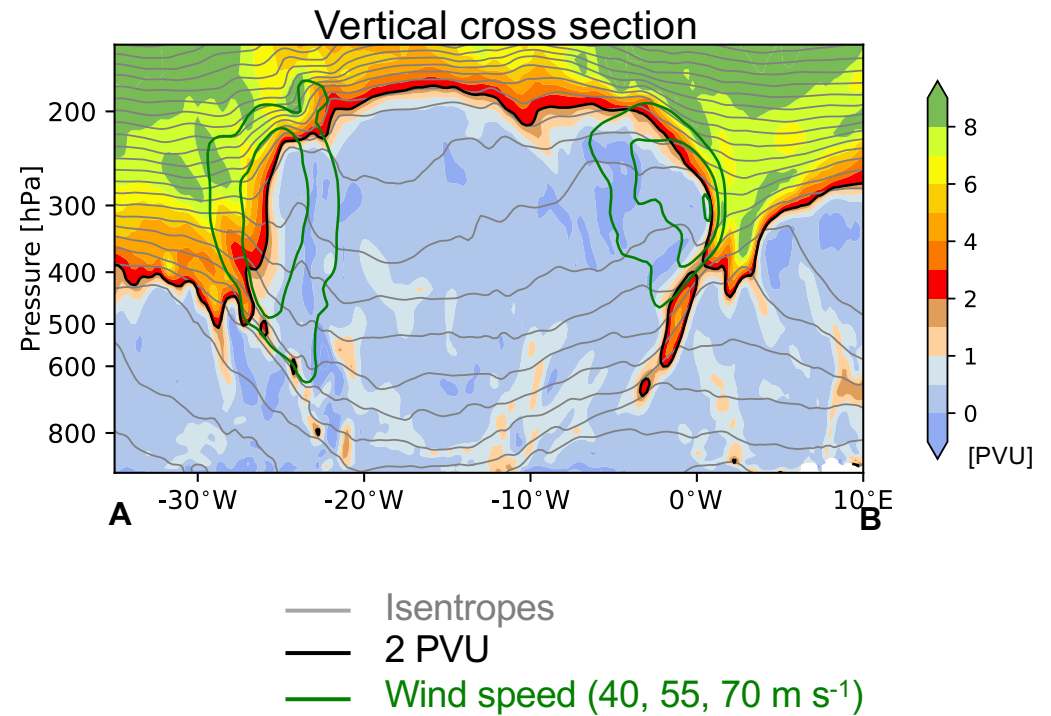
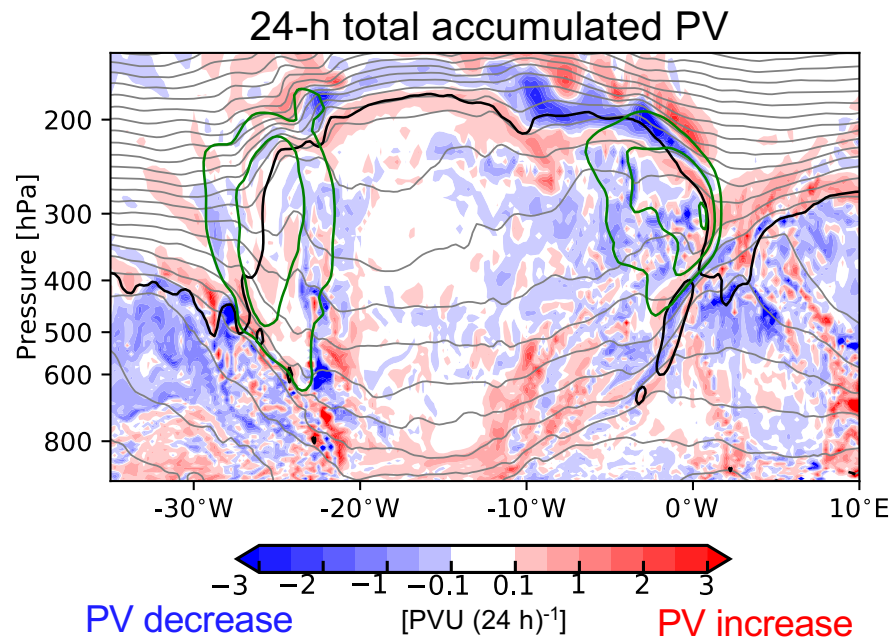


# I. Near-tropopause PV modification: Case study



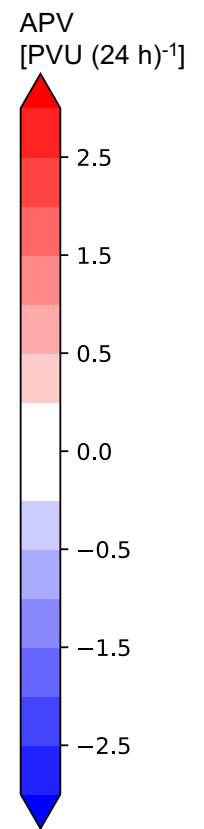
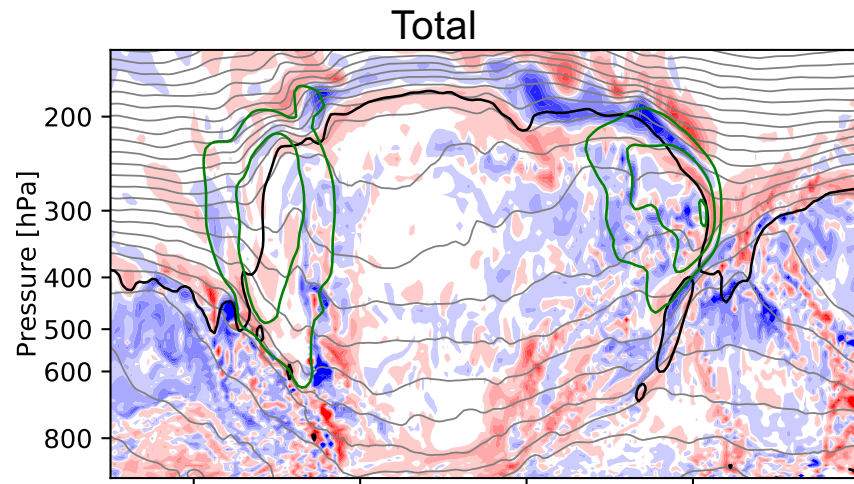
- Isentropes
- 2 PVU
- Wind speed (40, 55, 70 m s<sup>-1</sup>)

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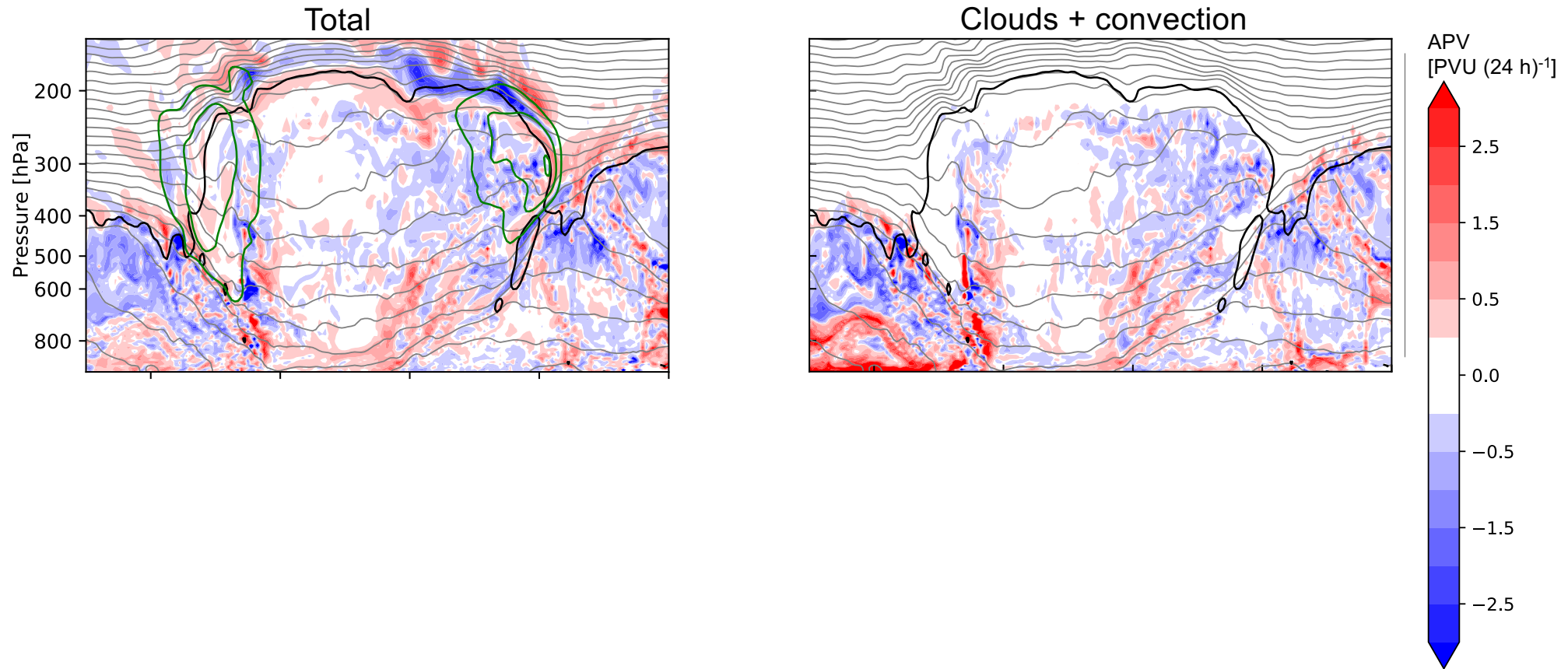
24-h accumulated PV





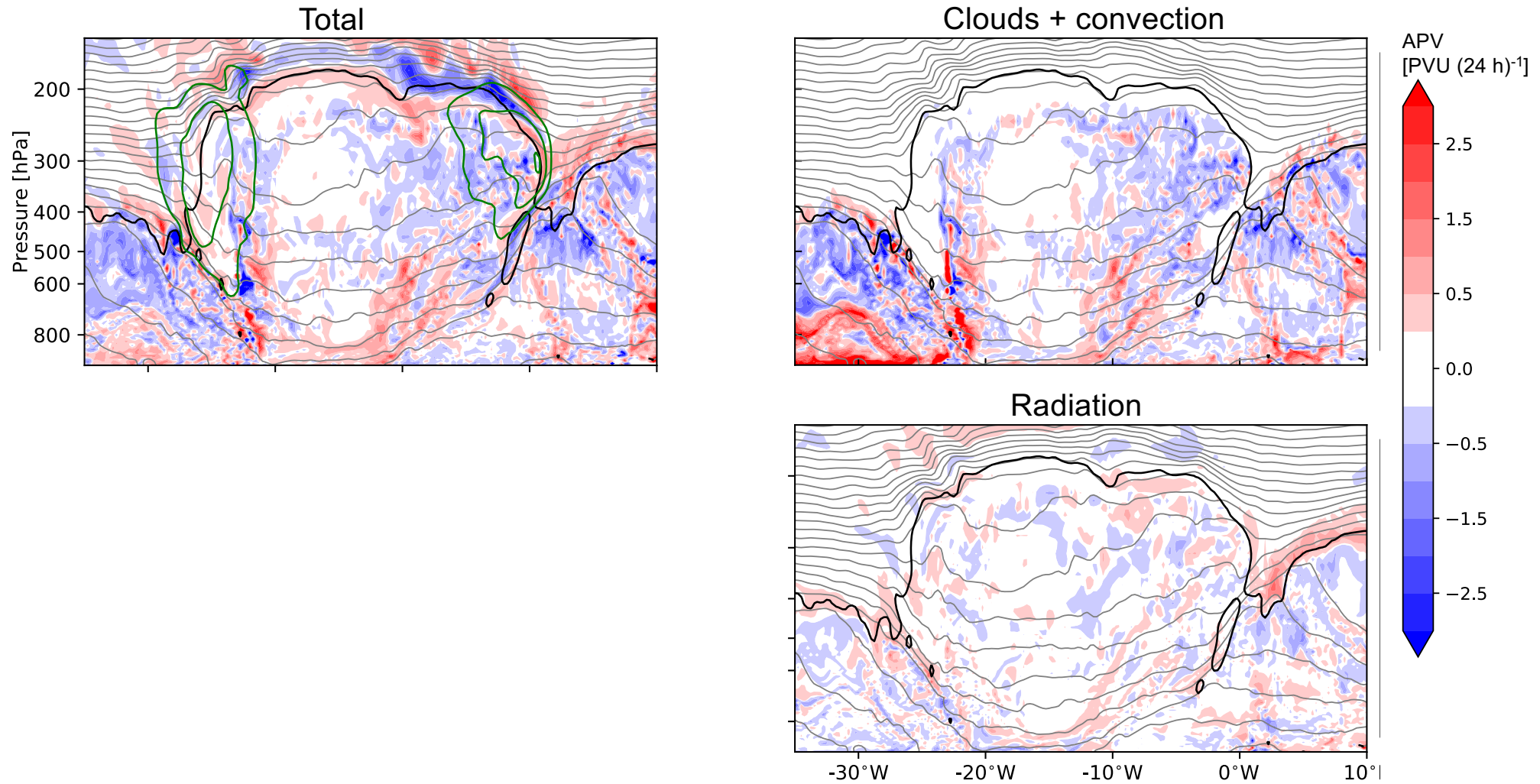
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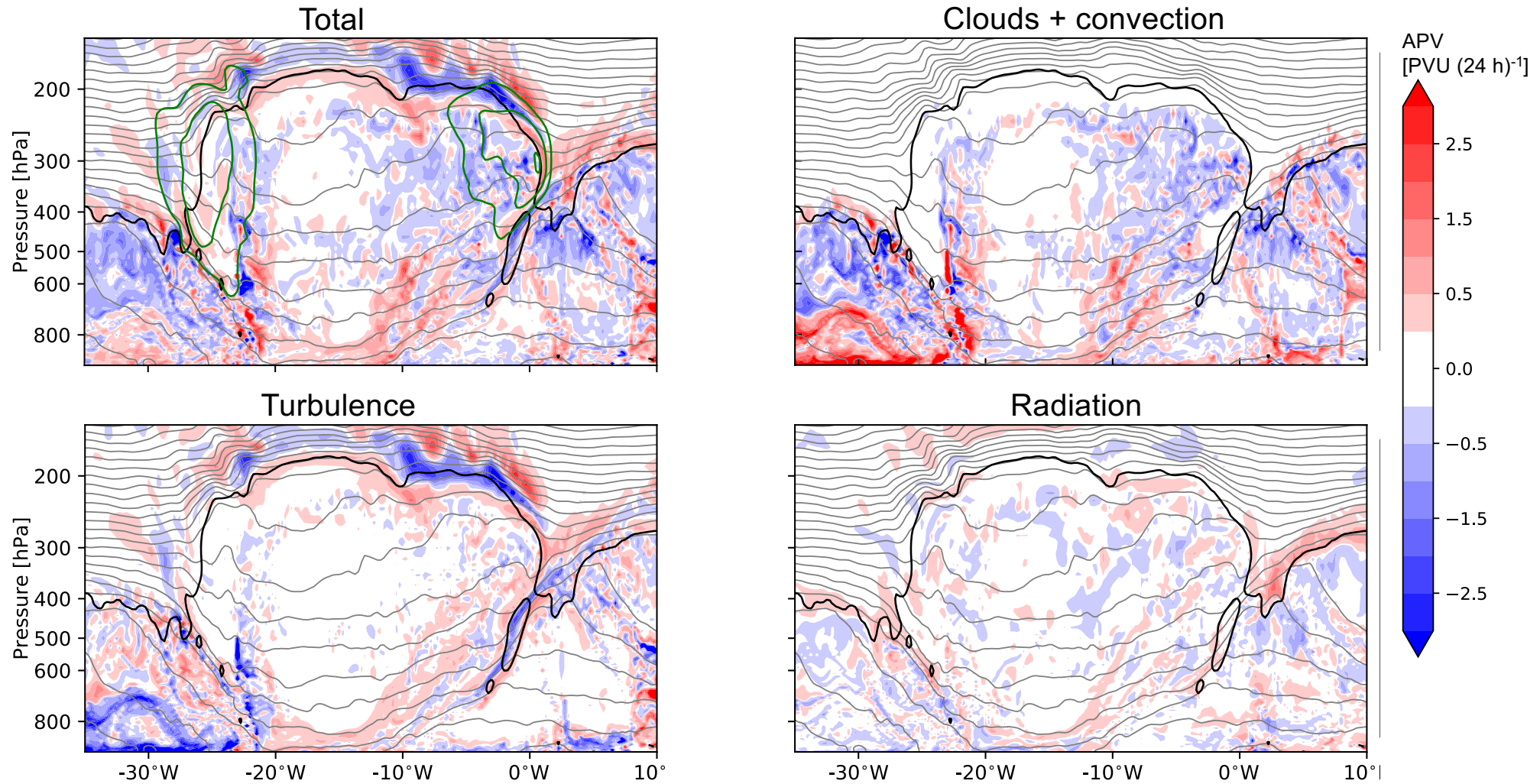
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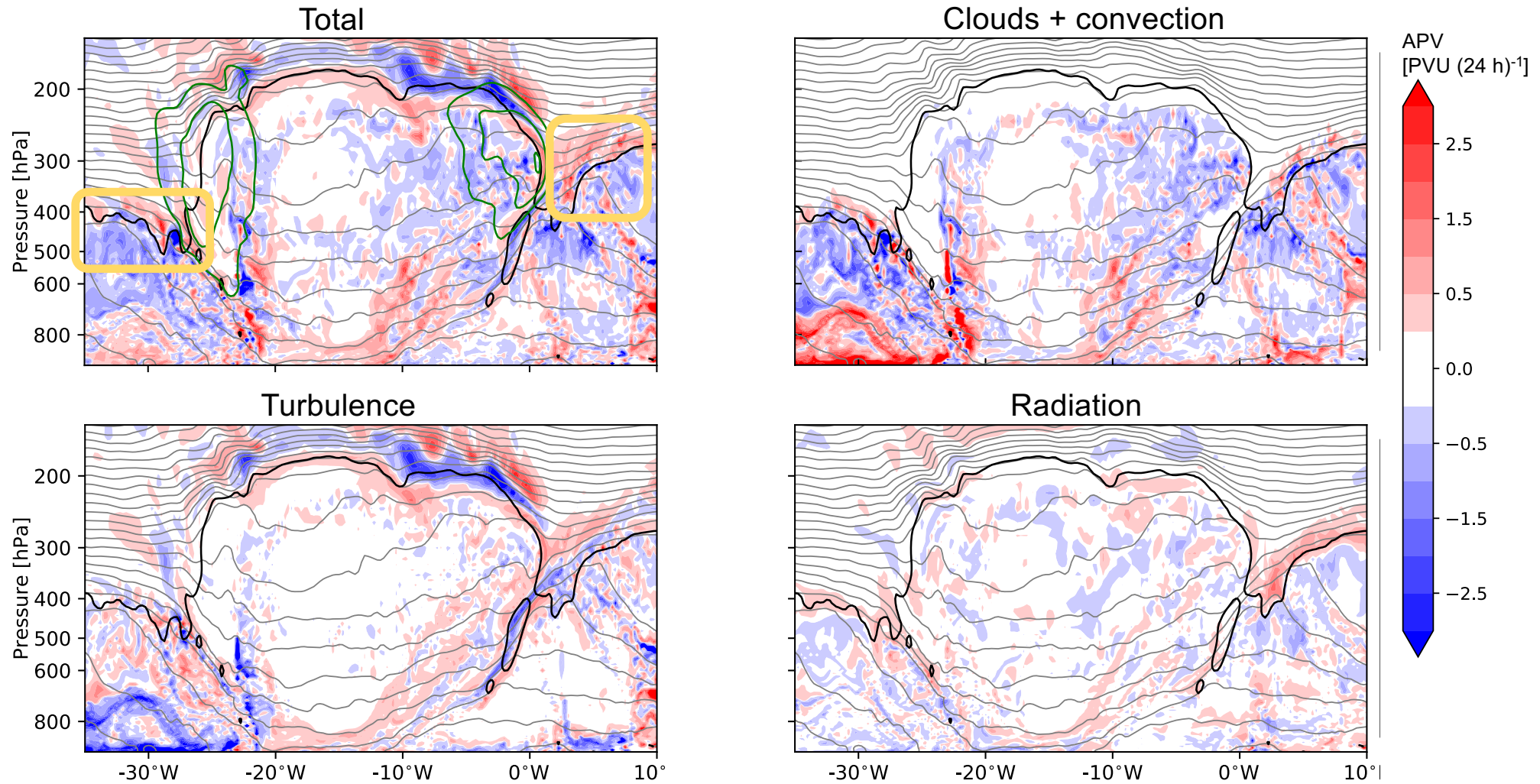
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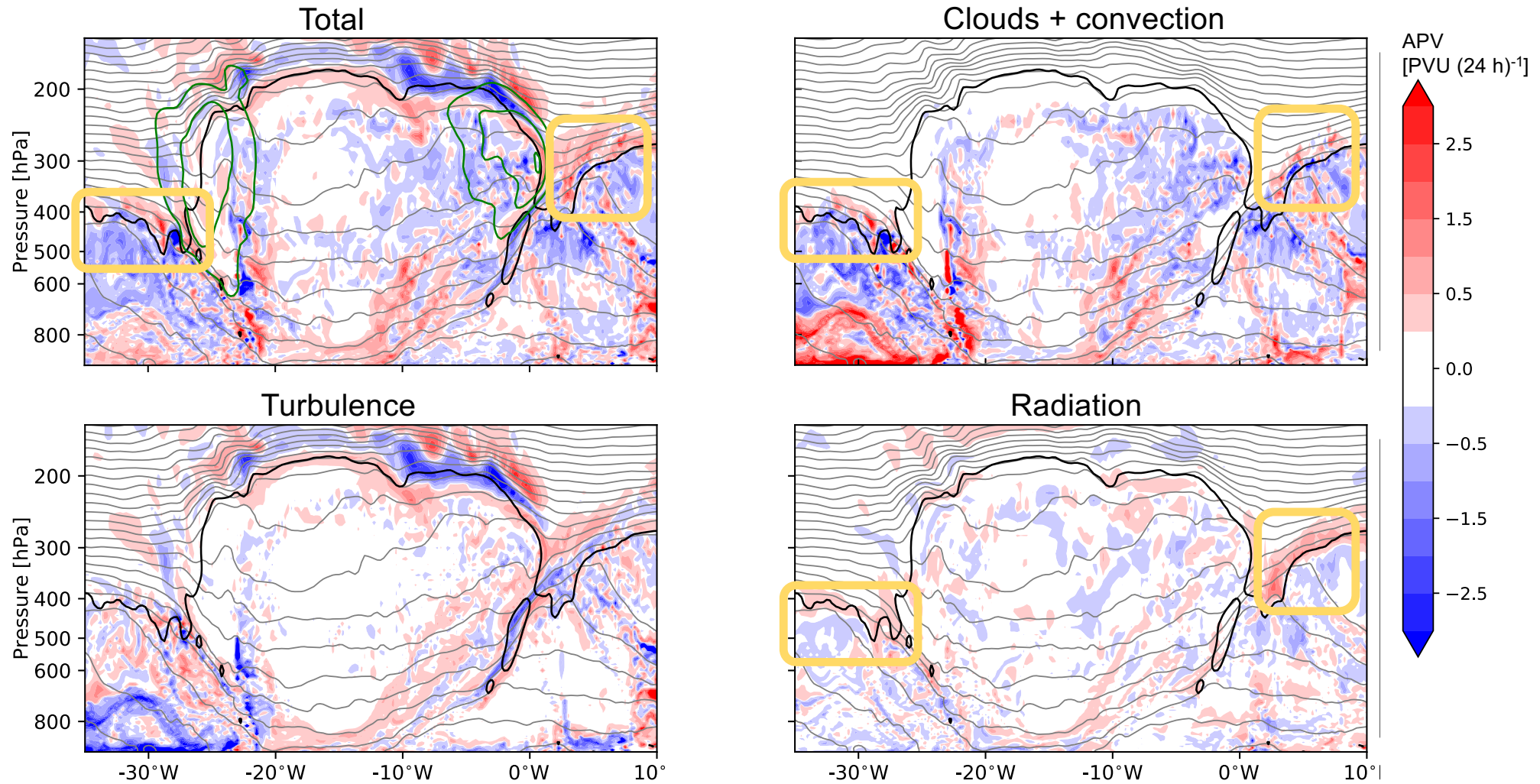
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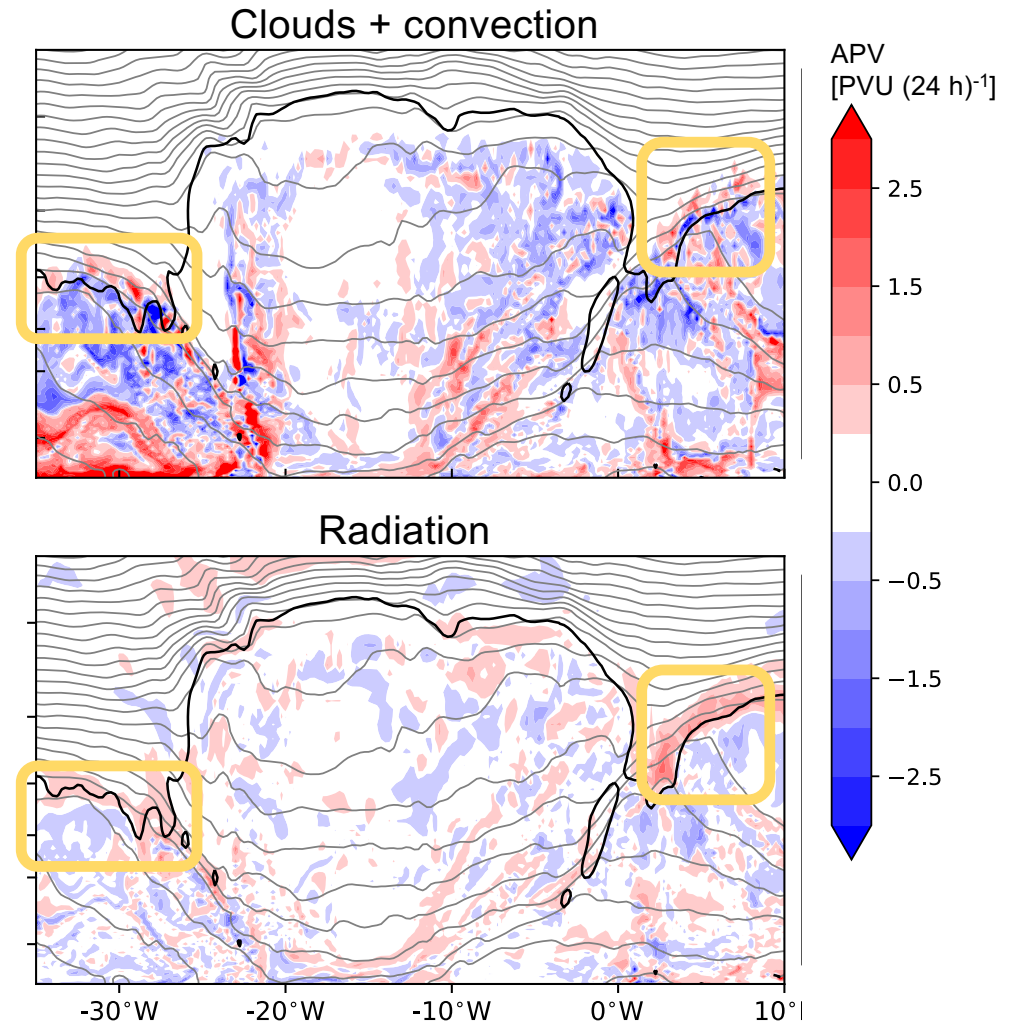
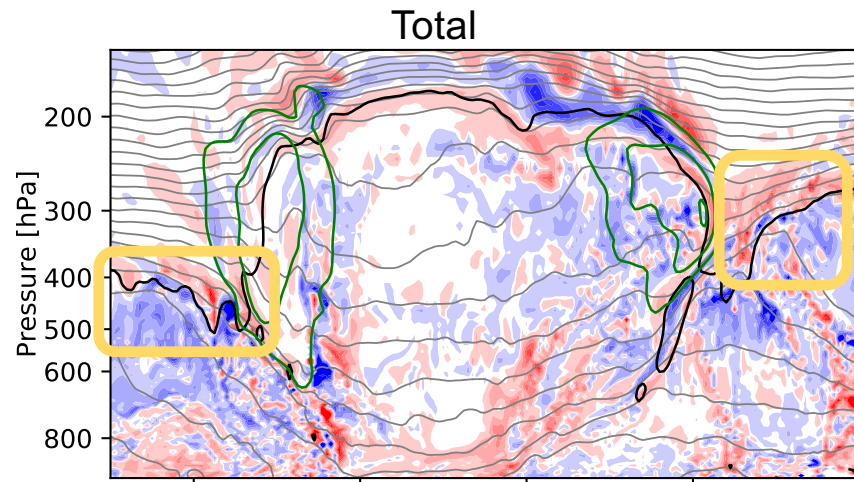
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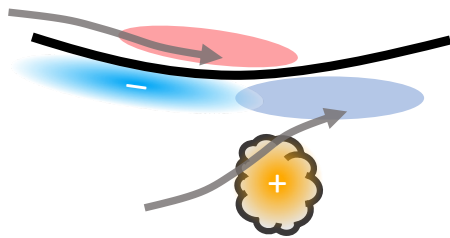


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24-h accumulated PV



Vertical PV dipole

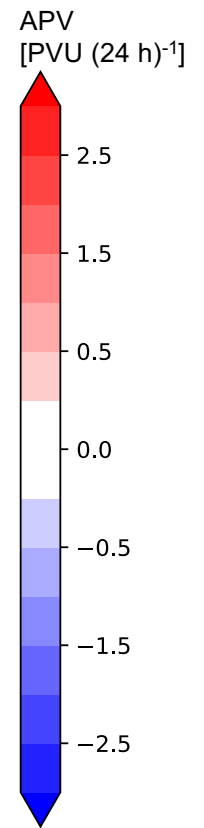
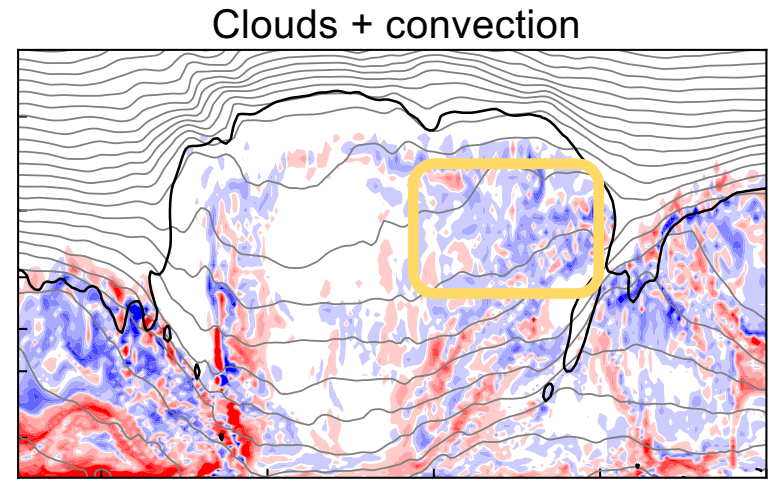
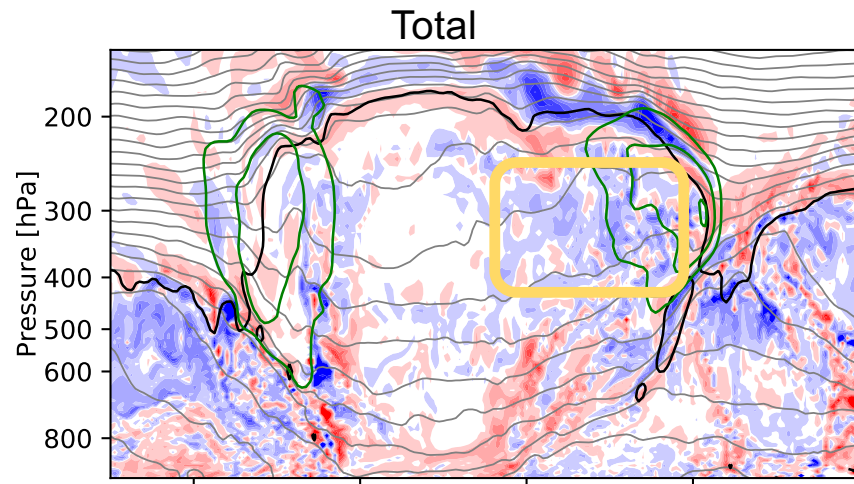


Consistent with  
Chagnon et al. (2013, QJRMS);  
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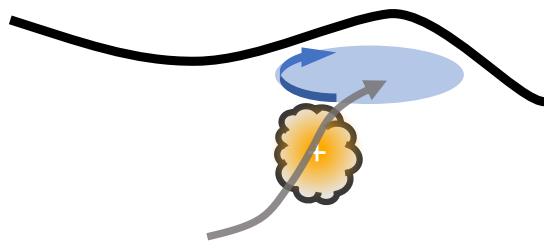


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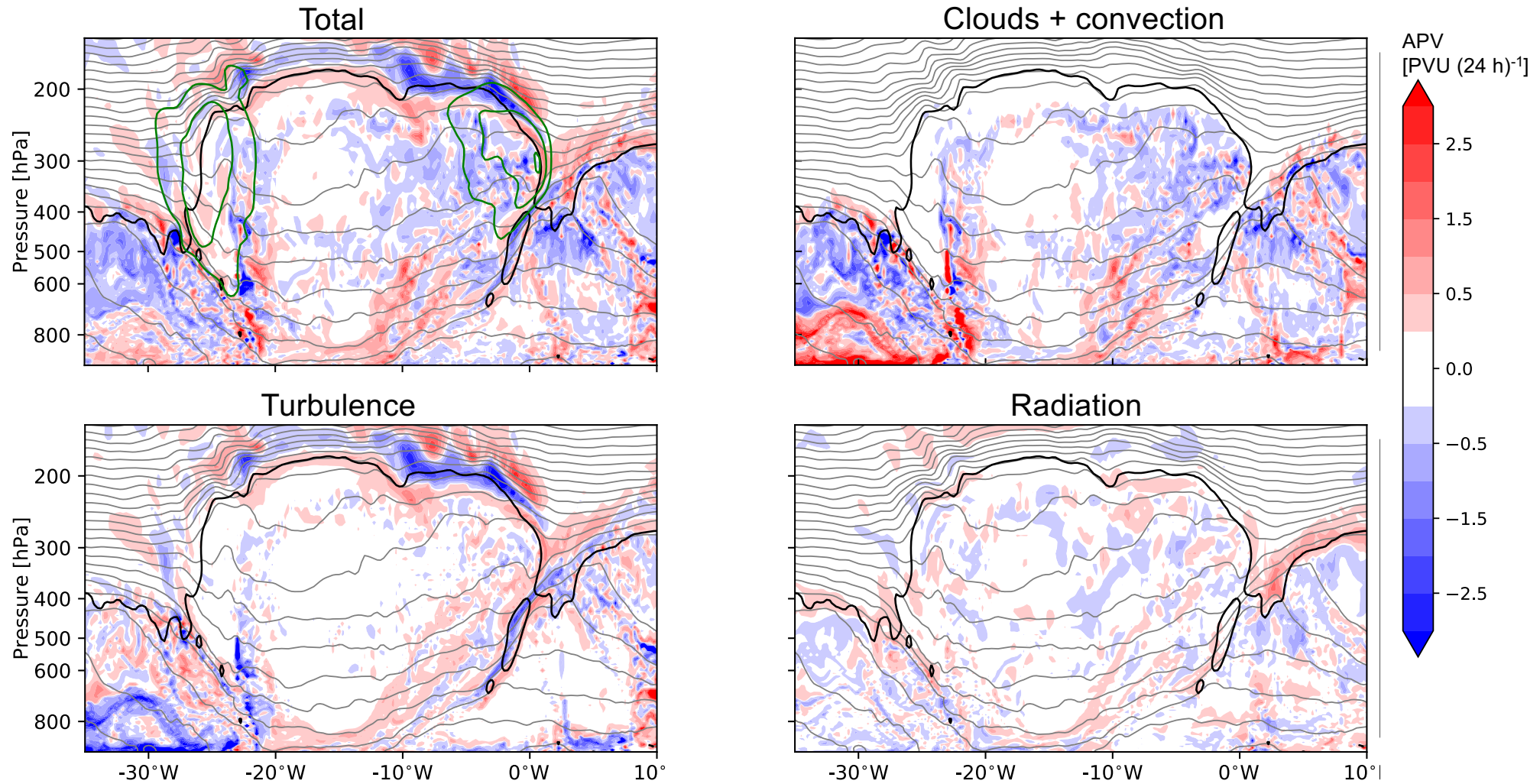


Warm conveyor belt outflow



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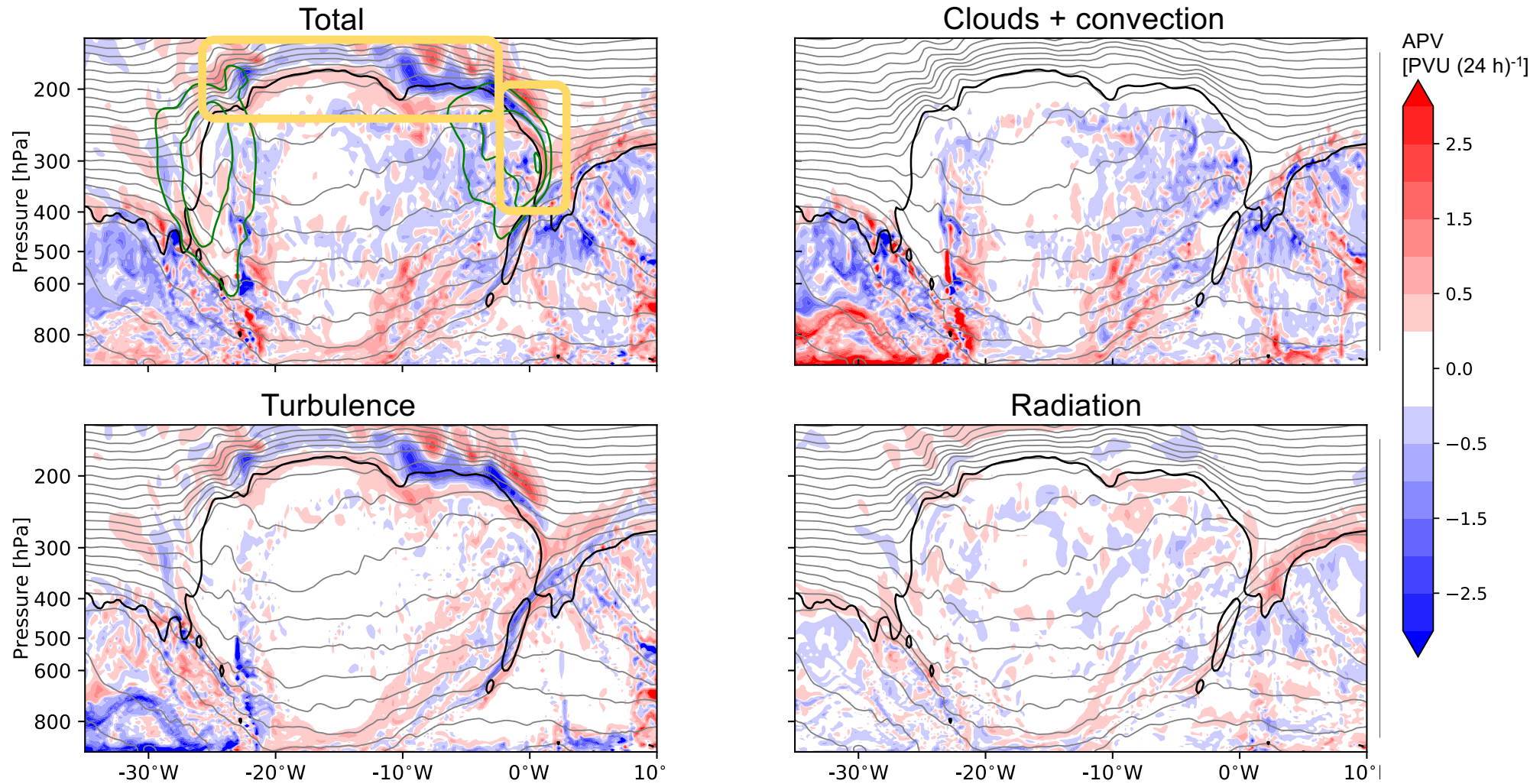
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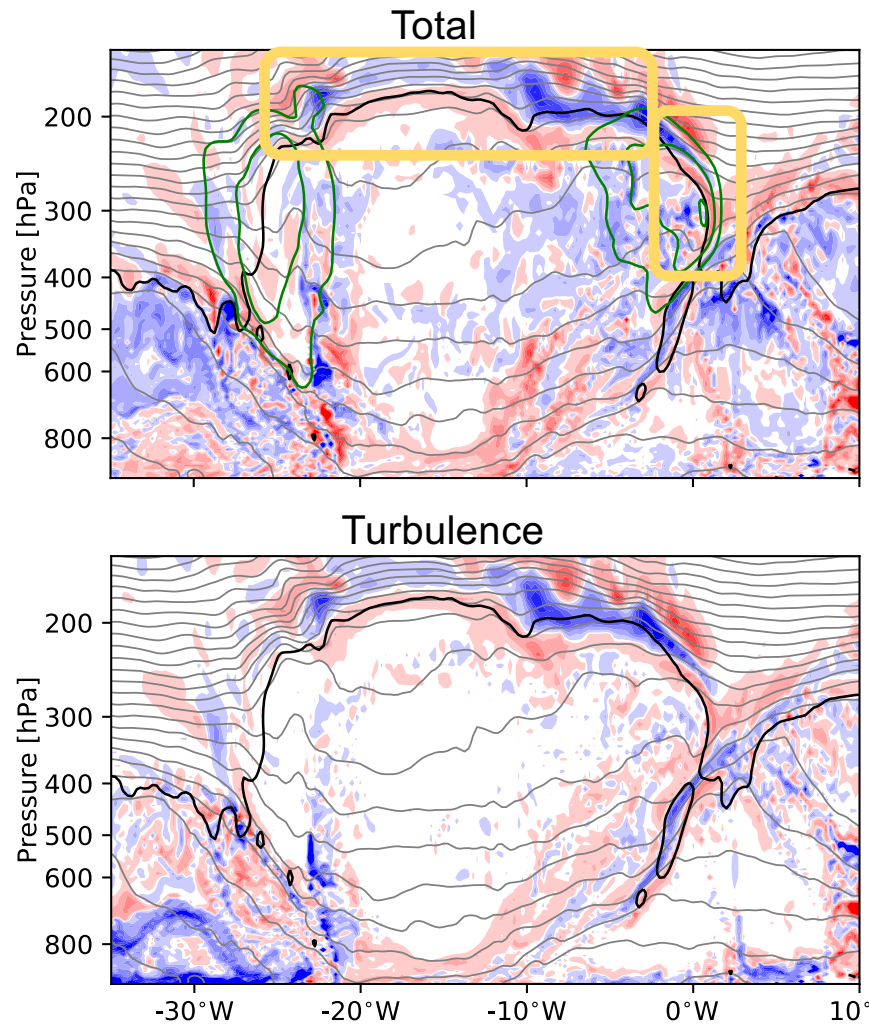
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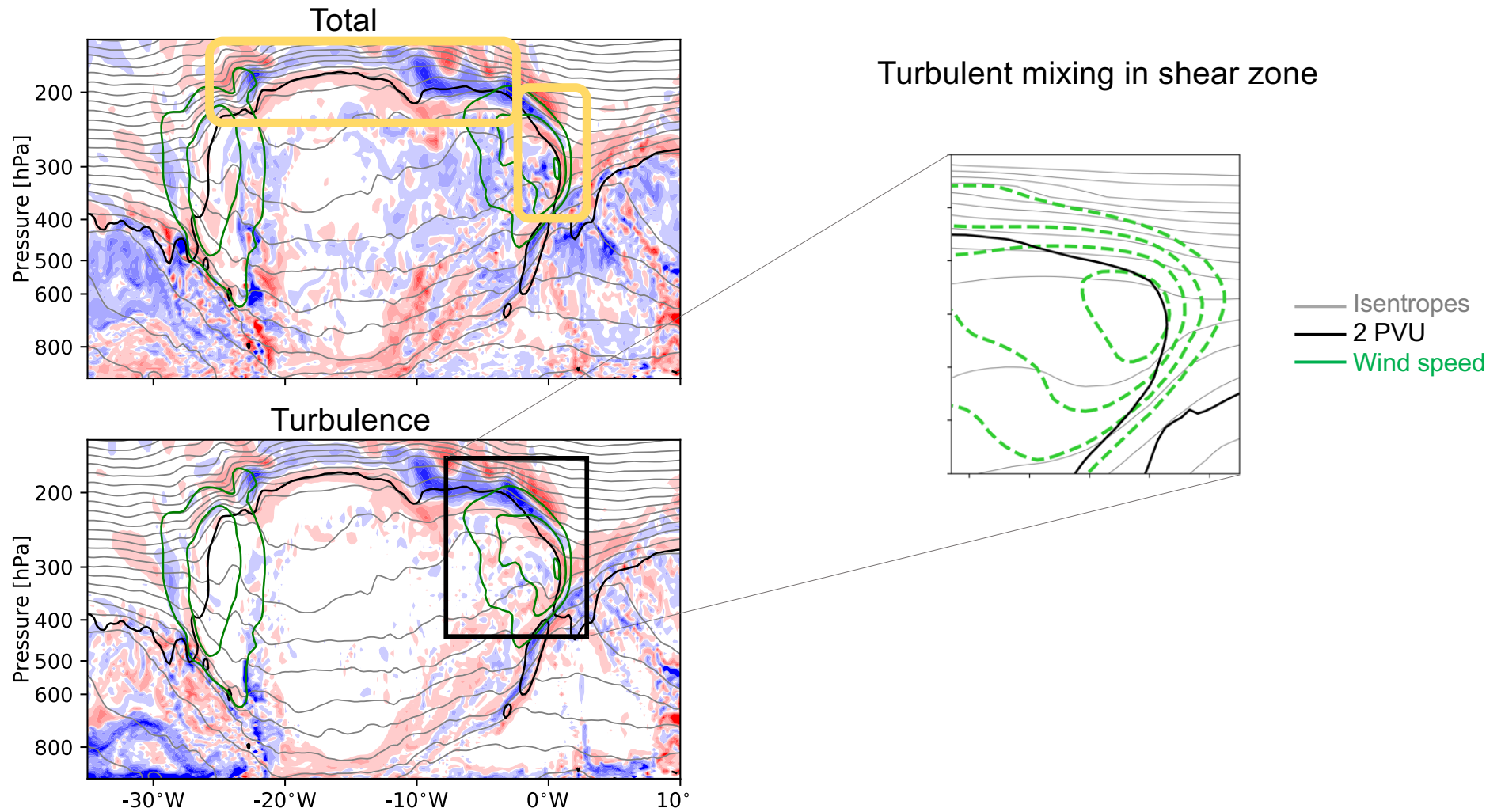
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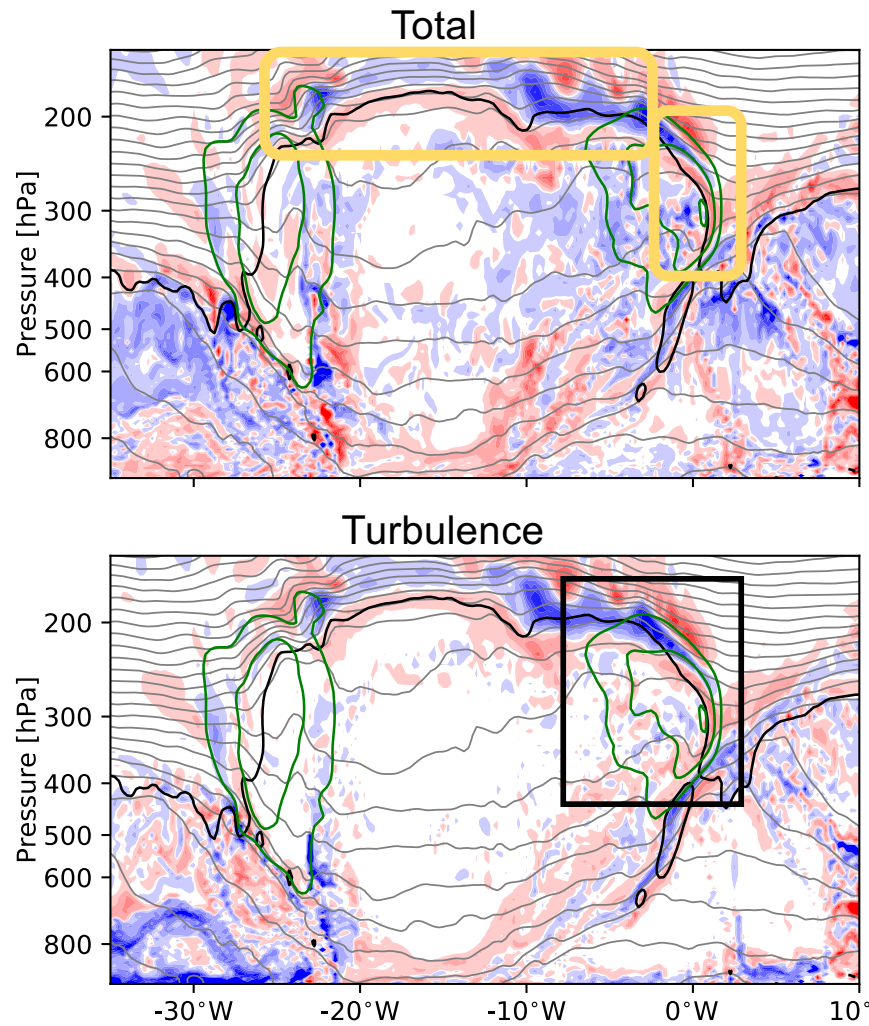
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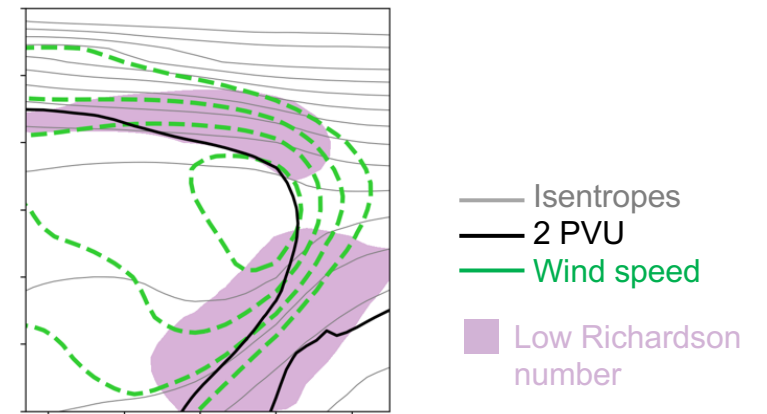


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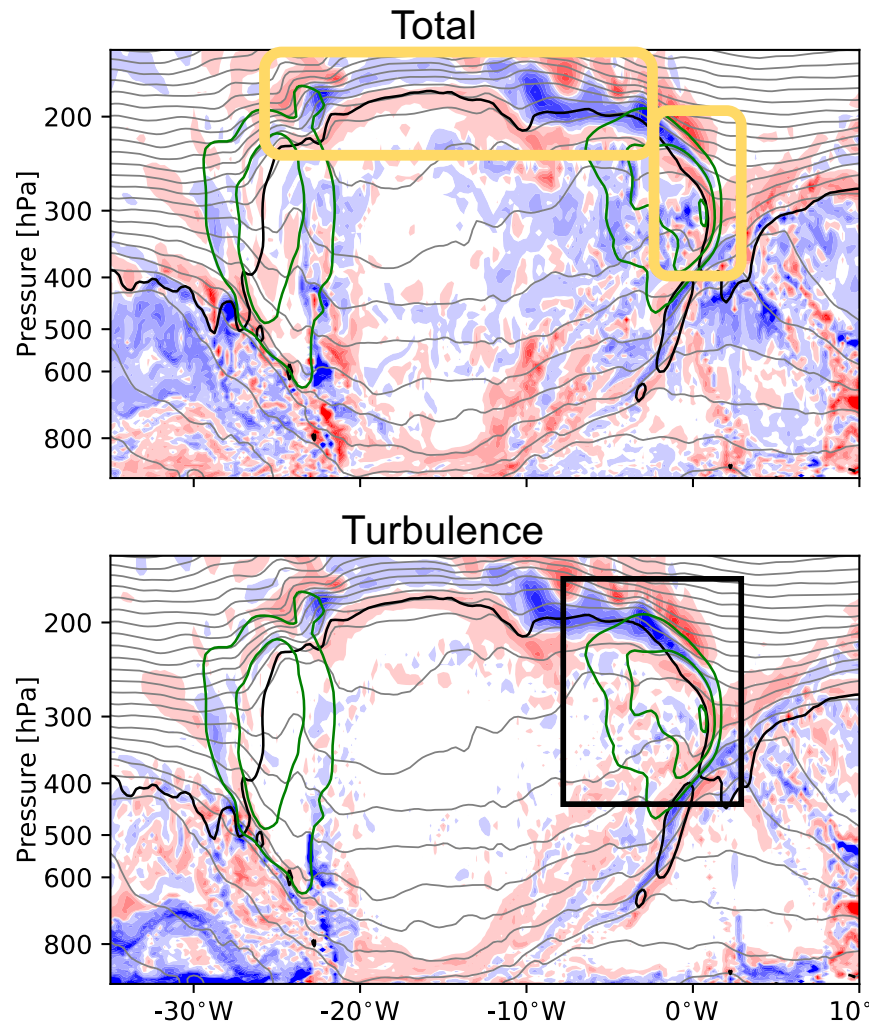
Turbulent mixing in shear zone



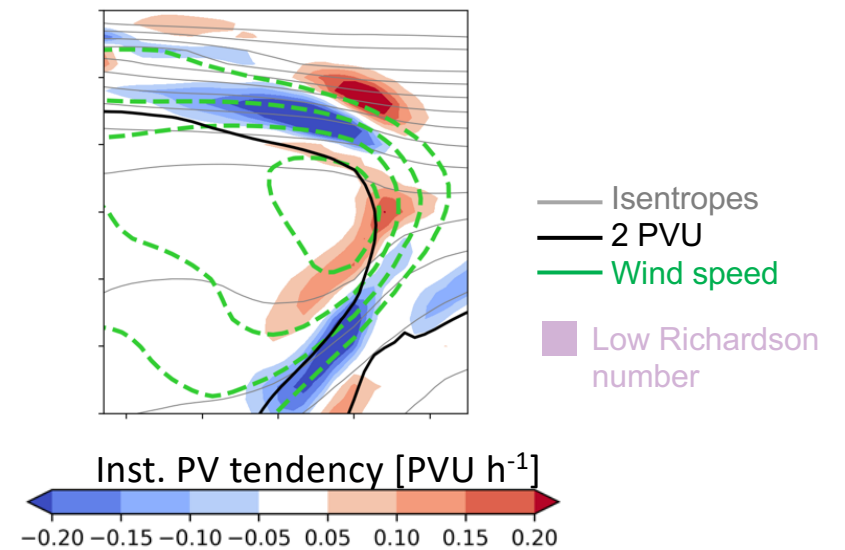


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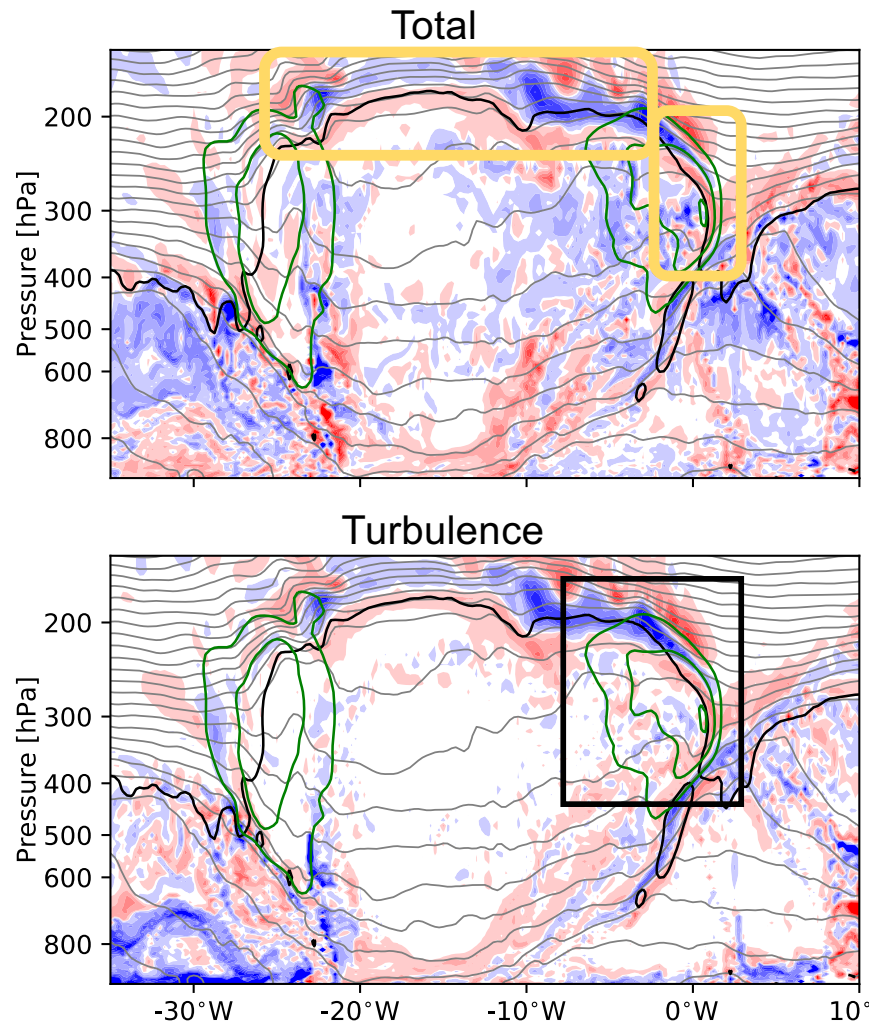


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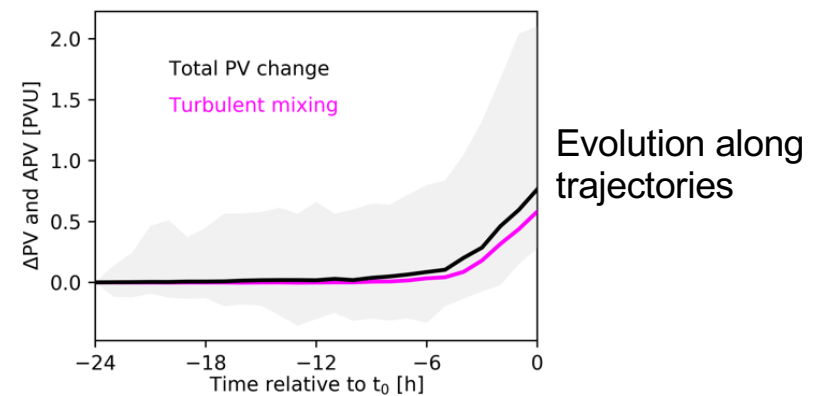
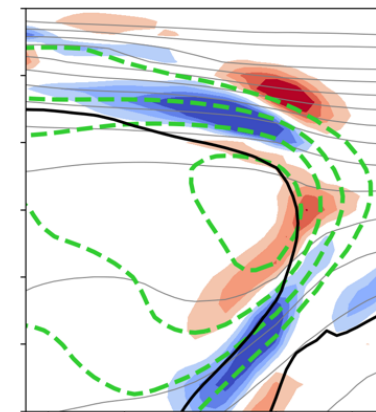


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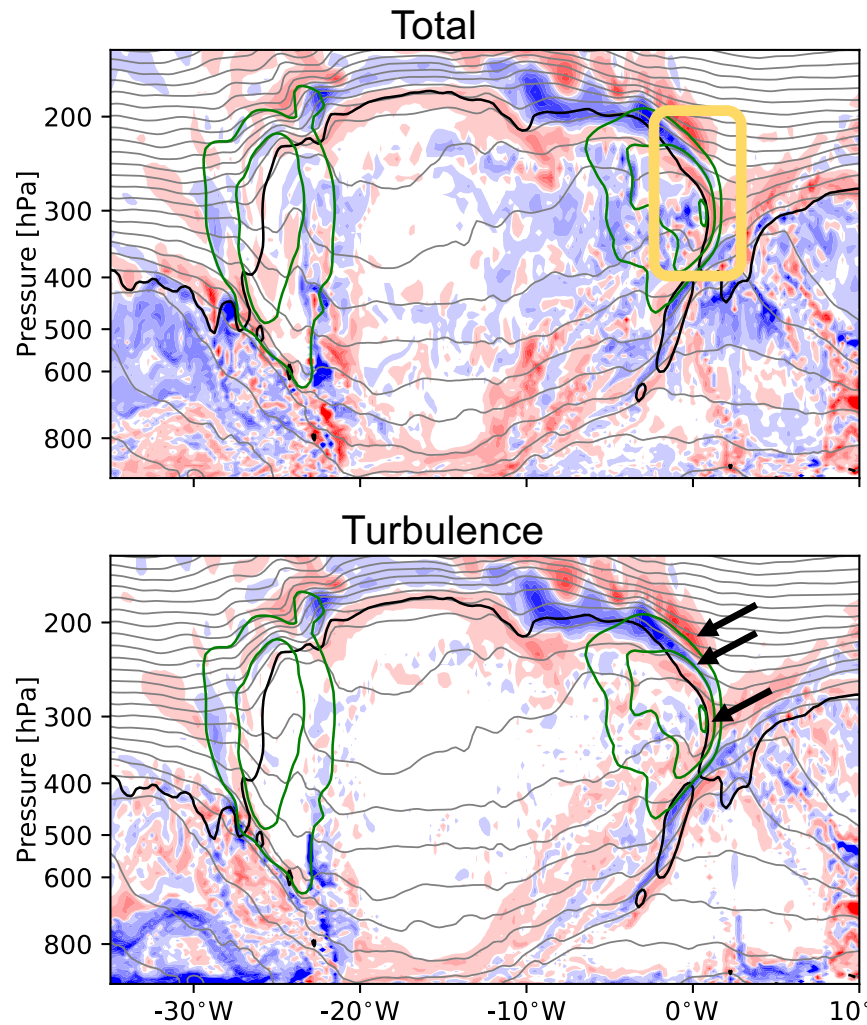


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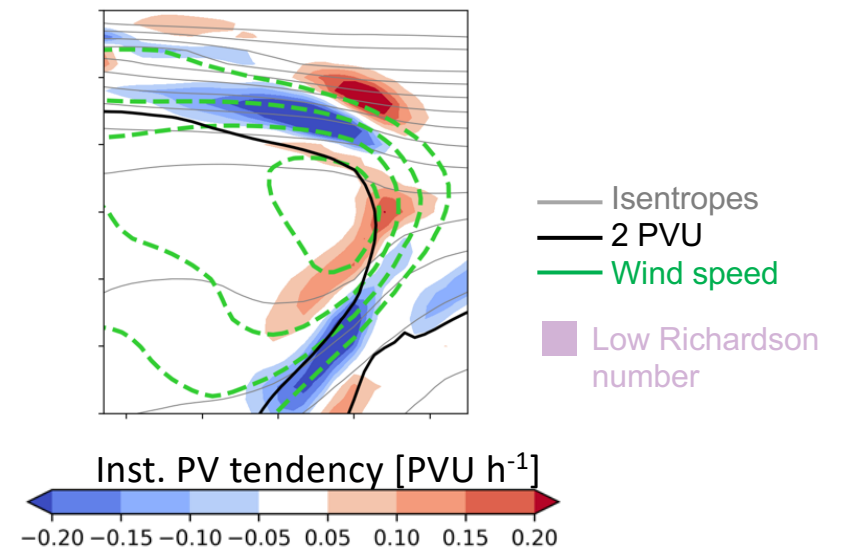


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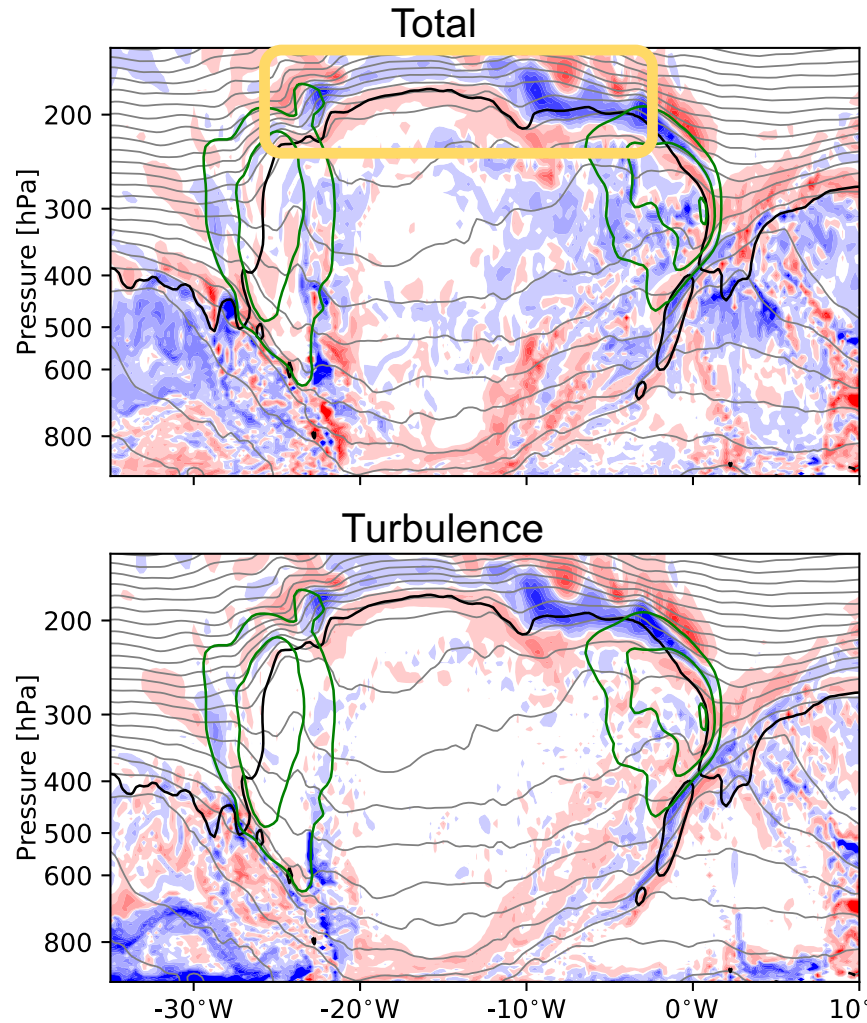
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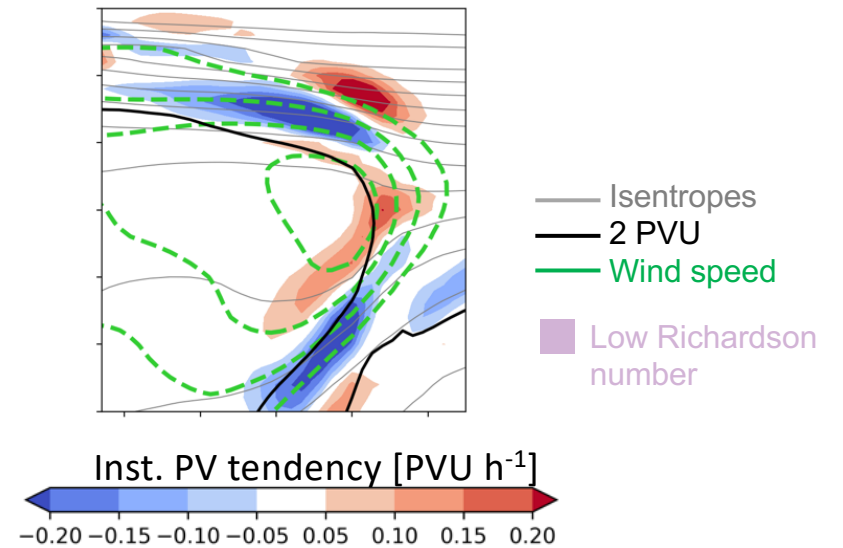
PV anomalies  
cf. observational studies by  
[Staley \(1960, JM\)](#)  
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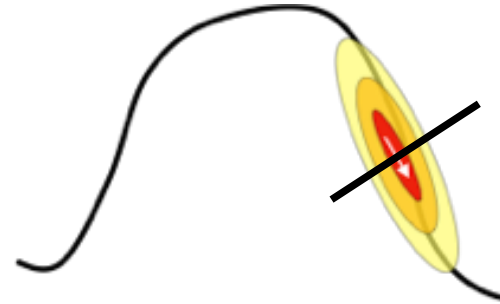


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## II. Systematic analysis: Jet streaks

- Three monthly simulations
- Identification of jet streaks
- Composite cross sections across jet streaks

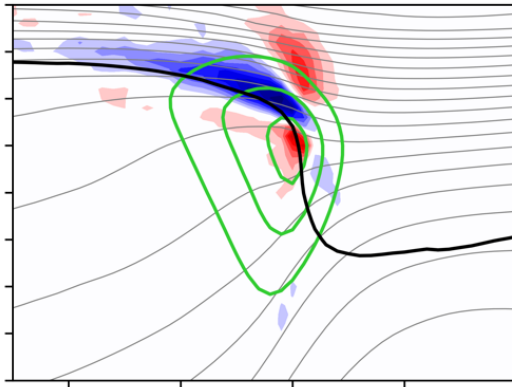


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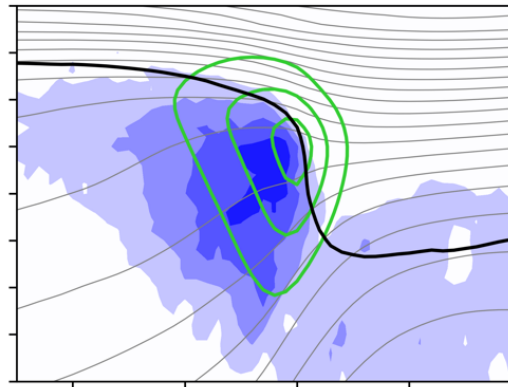
### Composite cross section across jet streaks

Accumulated PV:

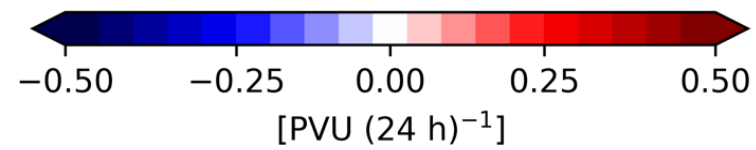
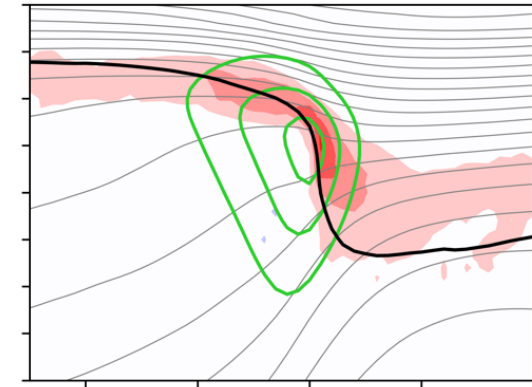
Turbulence



Cloud + Convection



Radiation



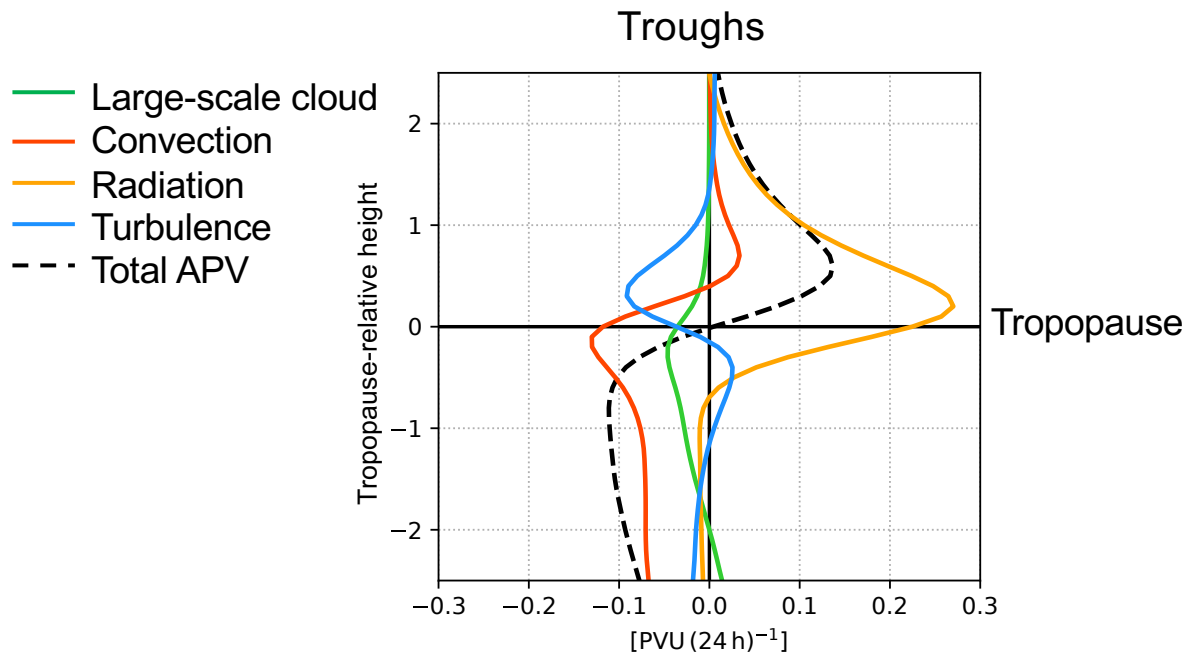
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## II. Systematic analysis: Vertical profiles

- Three monthly simulations
  - Identification of ridge/trough area
  - Tropopause-relative vertical composites of accumulated PV (similar to [Saffin et al. \(2017; JGR\)](#))
-

## II. Systematic analysis: Vertical profiles

### Composite vertical profiles of accumulated PV



Troughs: PV dipole → tropopause sharpening

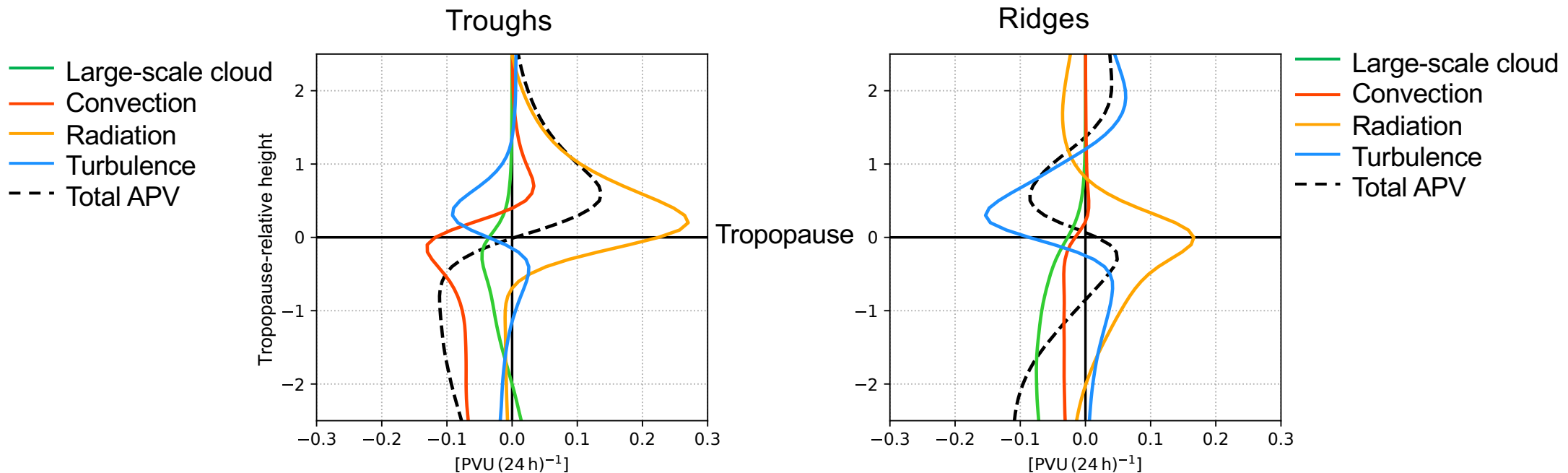
Consistent with

[Chagnon et al. \(2013, QJRMS\)](#);

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### Composite vertical profiles of accumulated PV

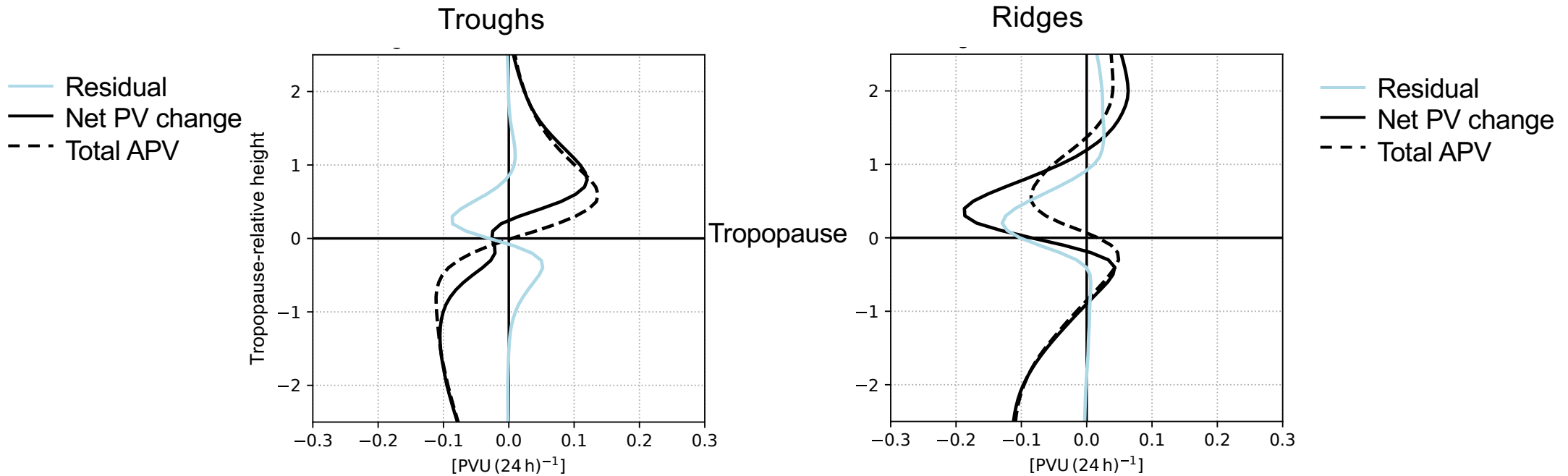


Troughs: PV dipole  $\rightarrow$  tropopause sharpening  
Consistent with  
[Chagnon et al. \(2013, QJRMS\)](#);  
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Ridges:  
Turbulent PV erosion in lower stratosphere  
 $\rightarrow$  weaker vert. PV gradient  
Contradicting [Saffin et al. \(2017; JGR\)](#)

## II. Systematic analysis: Vertical profiles

### Composite vertical profiles of accumulated PV: Residual



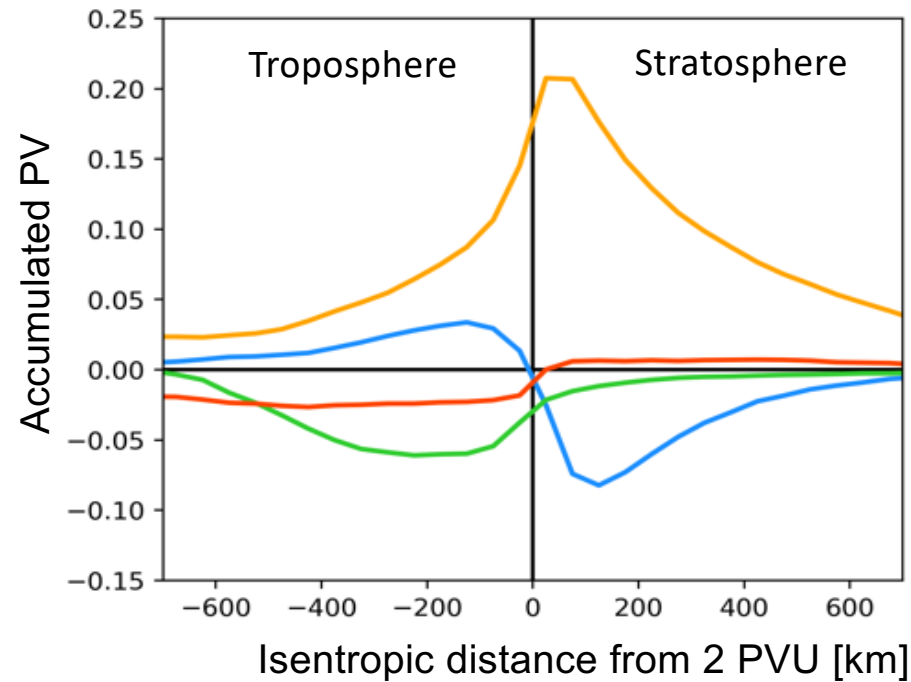
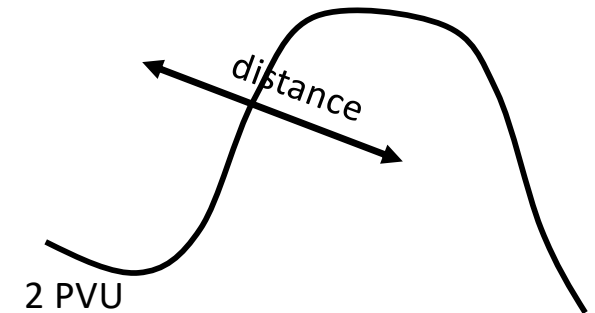
PV budget not closed:  $\Delta PV = APV + RES$

Large Residual  $\rightarrow$  partly due to non-conservation of PV by the model

Consistent with Saffin et al. (2017; JGR)

## II. Systematic analysis: Isentropic profiles

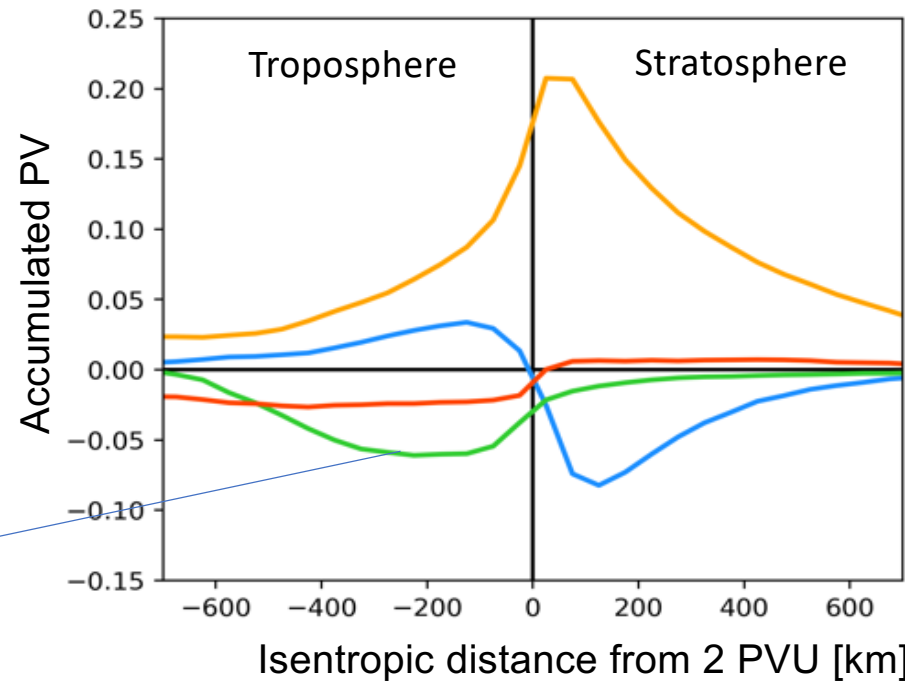
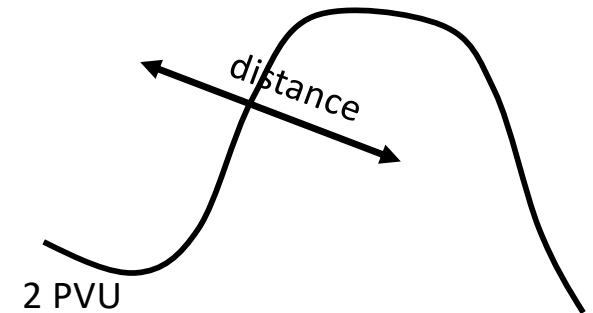
- Three monthly simulations
- Identification of waveguide on isentropes (2 PVU)
- Average isentropic profiles of APV



- Large-scale cloud
- Convection
- Radiation
- Turbulence

## II. Systematic analysis: Isentropic profiles

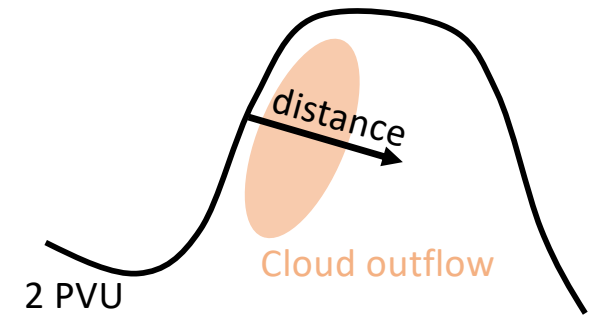
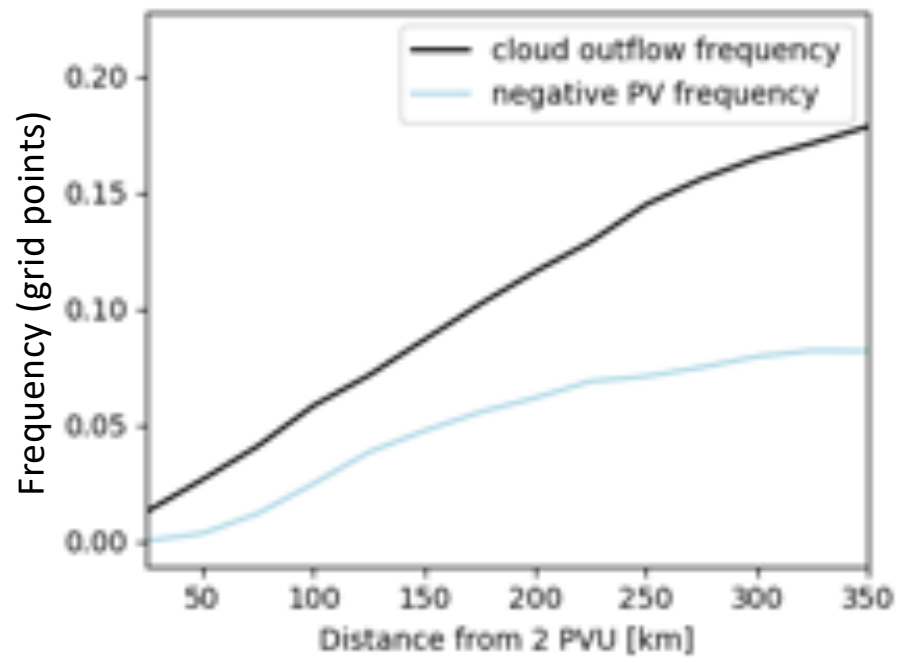
- Three monthly simulations
- Identification of waveguide on isentropes (2 PVU)
- Isentropic profiles of APV



Tropospheric side:  
Negative PV due to clouds?  
cf. Pomroy and Thorpe (2000)

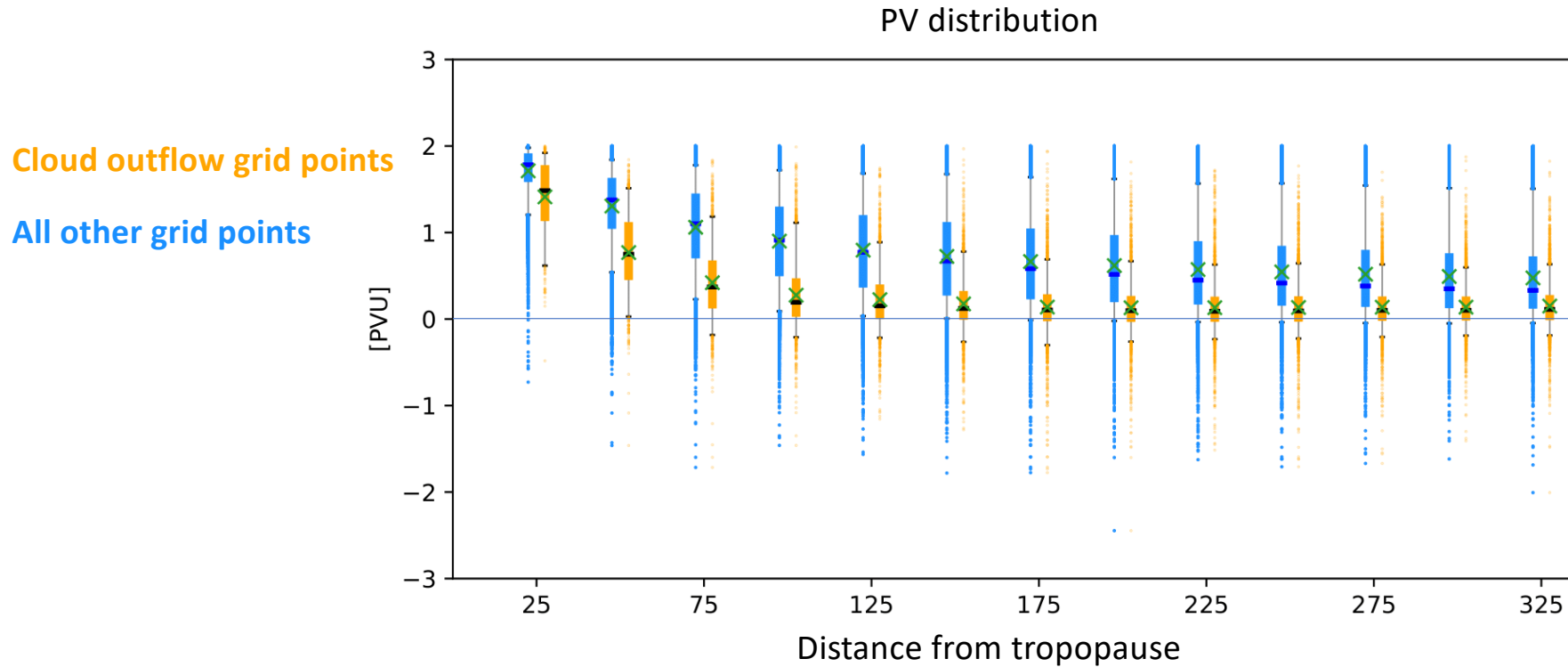


## “WCB” outflow near the waveguide



Cloud outflow region: Cloud-diabatic heating of  $> 5$  K in 24 h

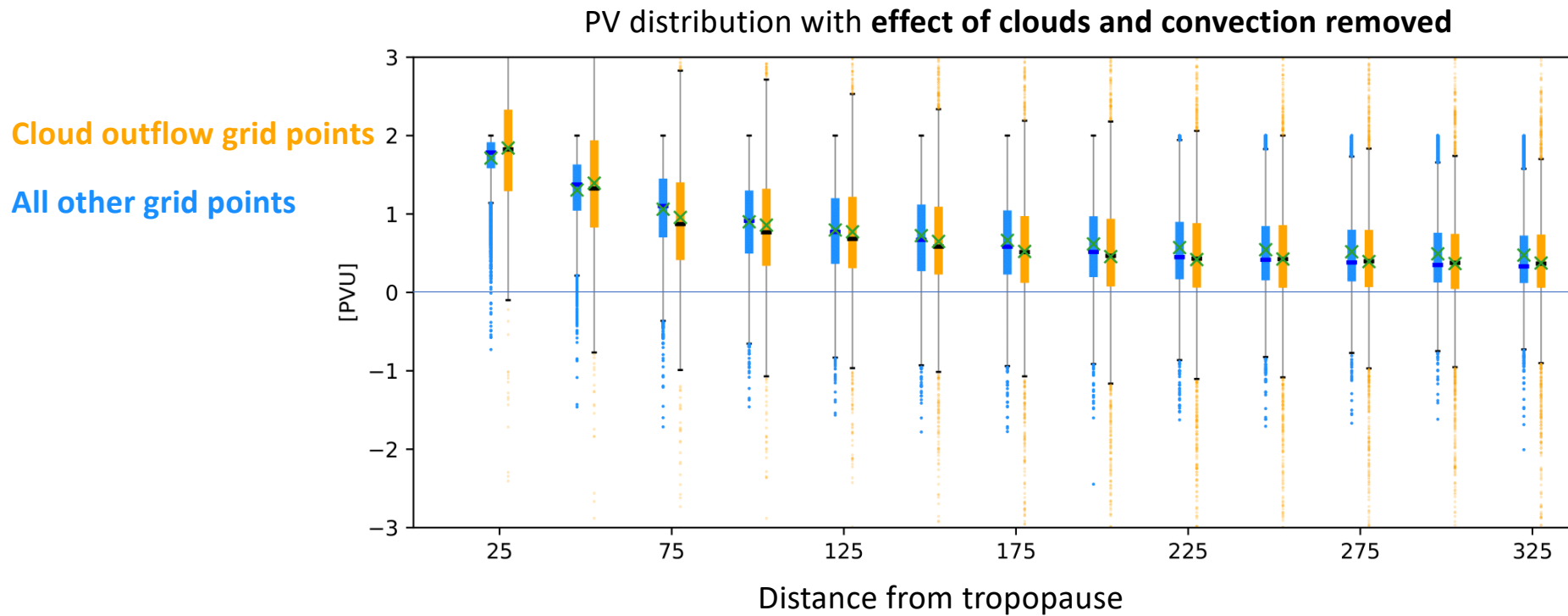
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Significantly lower PV in cloud outflow regions,  
cf. Pomroy and Thorpe (2000)

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Cloud outflow: Cloud-diabatic heating of  $> 5$  K in 24 h

Significantly lower PV in cloud outflow regions  $\gg$  **caused by direct cloud PV modification**  
cf. Pomroy and Thorpe (2000)

## Summary

- Lagrangian PV-tendency diagnostics: PV budget and process attribution
  - >> Case study
  - >> Systematic analysis:
- Mesoscale PV anomalies near jet streaks
- Turbulent mixing in ridges relevant for vertical PV structure
- PV dipole in troughs (clouds and radiation)
- Direct PV modification due to clouds enhances negative PV anomaly in WCB outflows

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**Thank you**

