



Climate Change

# The Treatment of Biases in ERA5

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- Background: **pragmatists and idealists**
  - **(VarBC, B, WC 4D-Var, ML, ...)** (root cause analyses & traceable uncertainties)
- The treatment of biases in ERA5 (and ERA-Interim) – some examples:
  - Instrumental biases in MSU, AMSU and ATMS
  - Model biases in the stratosphere
  - RT model biases (HIRS & the advanced IR sounders, SSU)
  - **B<sub>cli</sub>** in a hybrid 4D-Var DA system
- Summary and prospects

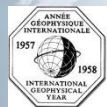
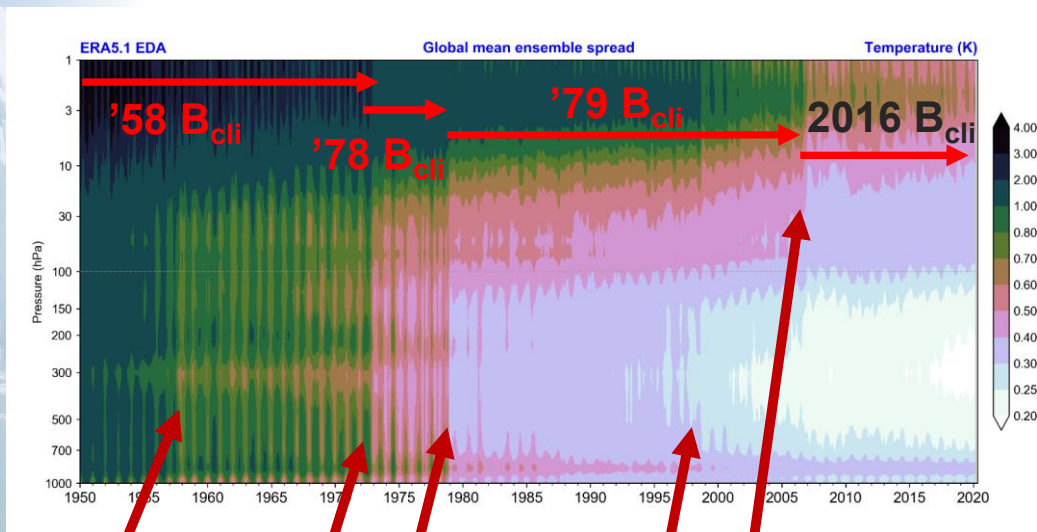


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# Background (1): EDA Ensemble spread as a measure for the *synoptic* ERA5 uncertainty

## Spread decreases over time when more and more observations become available

Major changes in the observing system are clearly visible

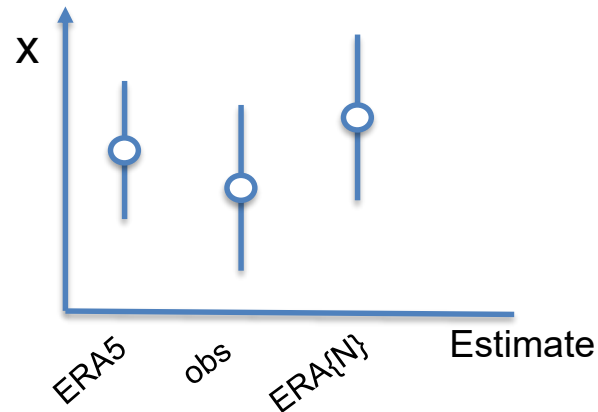


International Geophysical Year (1957/58)

VTPR TOVS (HIRS, MSU, SSU)

ATOVS (AMSU-A)

GNSS-RO (COSMIC,..)

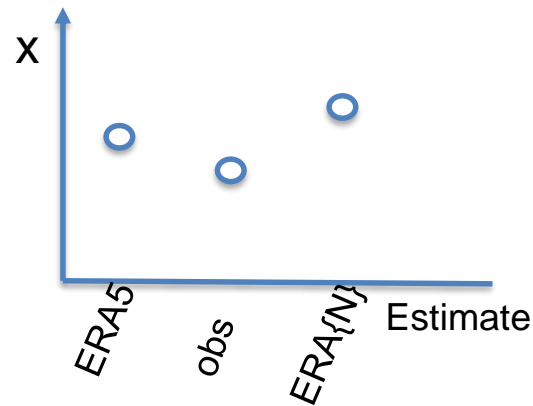
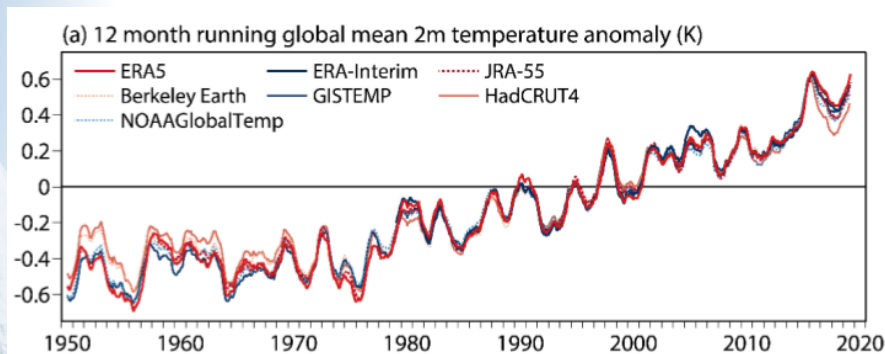


Assumes :

- EDA represents day-to-day 'random' errors in the analysis &
- 'systematic' components can be neglected



## Background (2): Mean state uncertainties ? Comparison of global 2m temperature anomalies

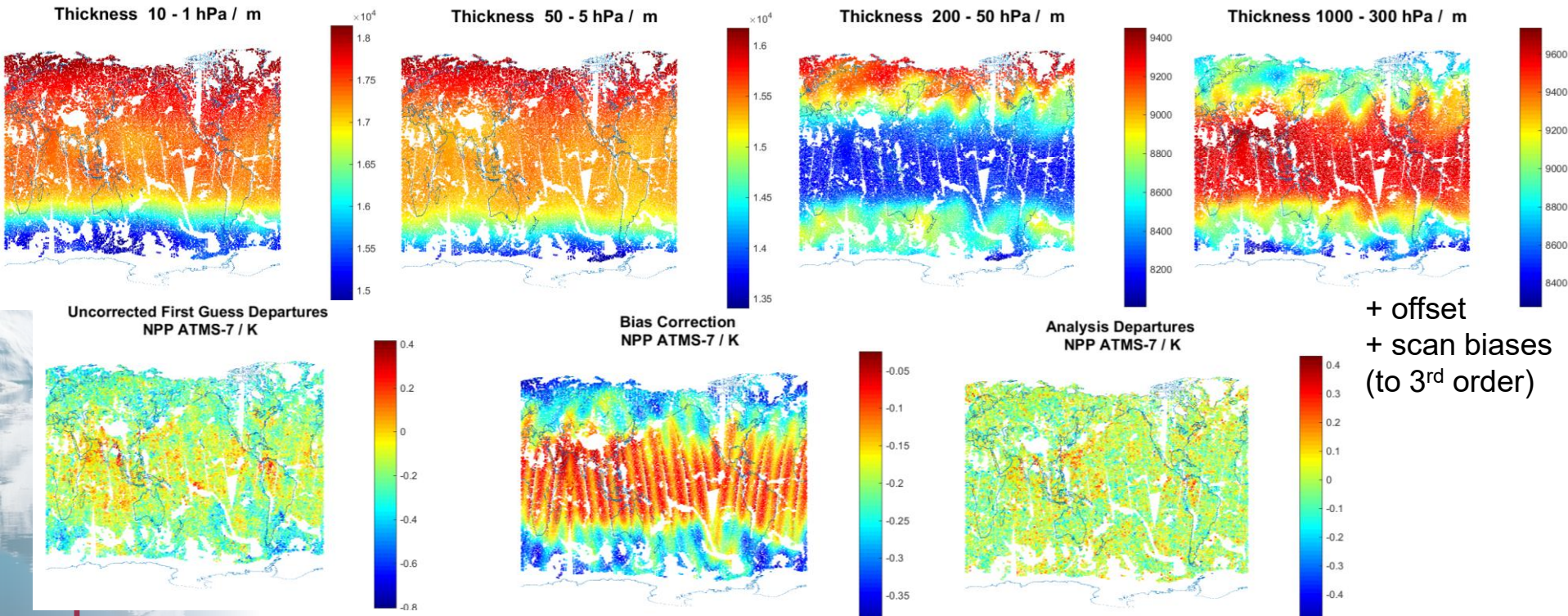


- Spread in EDA collapses when averaging over large / long scales & systematic components of the uncertainty budget are not currently estimated.
- Likely to be a priority for reanalysis in the coming decade (? for Panel / WG), less so for NWP.
- An uncertainty estimate that works across scales (space and time) requires, longer term, progress on partitioning and reducing the sources of bias.
- Should we develop a 'benchmark' period (2010 – 2020 ?) within the next reanalysis for which an uncertainty estimate is developed, based on:
  - Targeted data denials, and perturbed forecast model experiments (to derive the uncertainties)
  - Validation of the uncertainties (using GRUAN, some RO, CrIS, ...)?



## Background (3):

# Variational Bias Correction in ERA5 – bias model & typical corrections (example : ATMS-7)



Accounts for:

- Instrument errors (spectral, radiometric, ...)
- Forecast model errors
- RT model errors

Expect (hope!) that in time, as instruments & models improve:

- The (mean) amplitude of bias corrections reduce; and
- The variance of the bias corrections reduce
- Eventually – the corrections are (i) small & (ii) bounded by the uncertainties

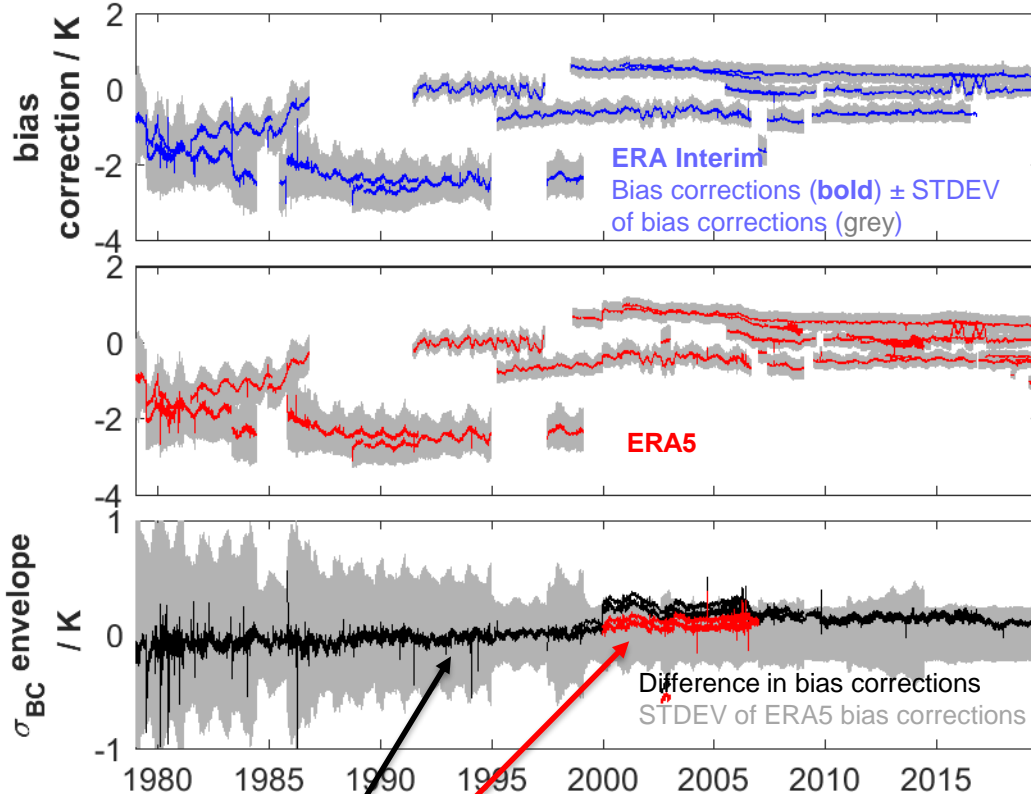


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# Instrument biases in the temperature sounding channels of MSU, AMSU-A and ATMS



# MSU-3 / AMSU-A7 / ATMS-8 Bias Corrections



ERA5 – ERA Interim  
 ERA5.1 – ERA Interim

MSU-3 / AMSU-A7 / ATMS-8  
 (54.96 / 54.94 / 54.94 GHz)  
 T-sounding, w.fn. peak at 270 hPa

- Improvements MSU -> AMSU-A -> ATMS (FY-3 MWTS / MWTS-2, Metop-SG MWS ?)
- Little change from ERA-Interim to ERA5
- Suggests model bias and RT related biases are less significant than instrument biases
- MSU & AMSU-A possible mechanisms identified:
  - Radiometer non-linearities. Zou *et al* (JTECH, 2010)
  - Spectral shifts. Zou *et al* (JGR, 2011), Lu and Bell (JTECH, 2013)
- But disappointing results in NWP testing so far (for AMSU-A, Lupu *et al*, ECMWF TM 770, 2016)



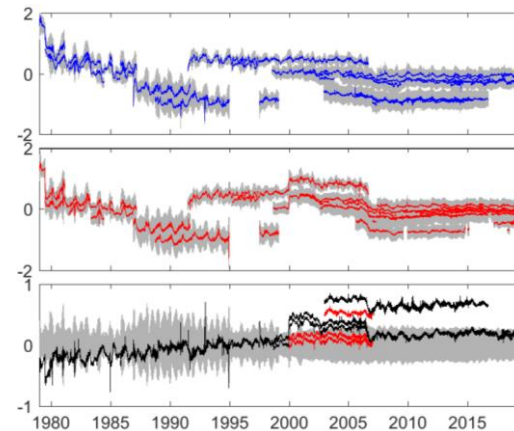
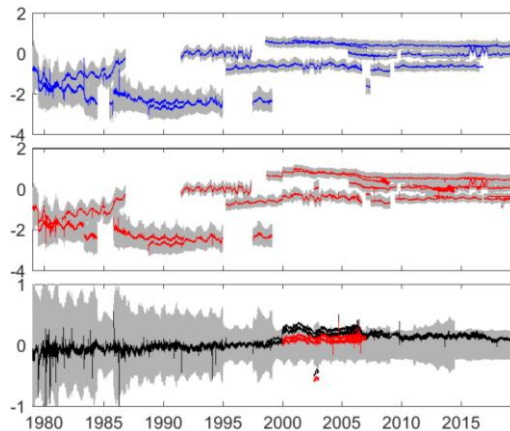
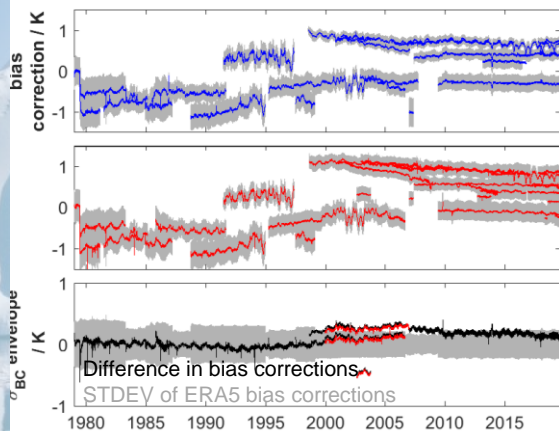
## MSU-2 / -3 / -4 Bias Corrections

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MSU-2 / AMSU-A5 / ATMS-6  
Peak 700 hPa

MSU-3 / AMSU-A7 / ATMS-8  
Peak 270 hPa

MSU-4 / AMSU-A9 / ATMS-10  
Peak 90 hPa



- Similar picture (to MSU-3) for MSU-2 and MSU-4.
- Changes in bias correction *wrt* ERA-Interim are generally small, with the exception of:
  - Aqua AMSU-A 2003-2016
  - The period from 2000 - 2006 ( fixed in **ERA5.1** ) - see next few slides
- Largest discrepancies AMSU-9 (0.5K), but still detectable in AMSU-7 and AMSU-5



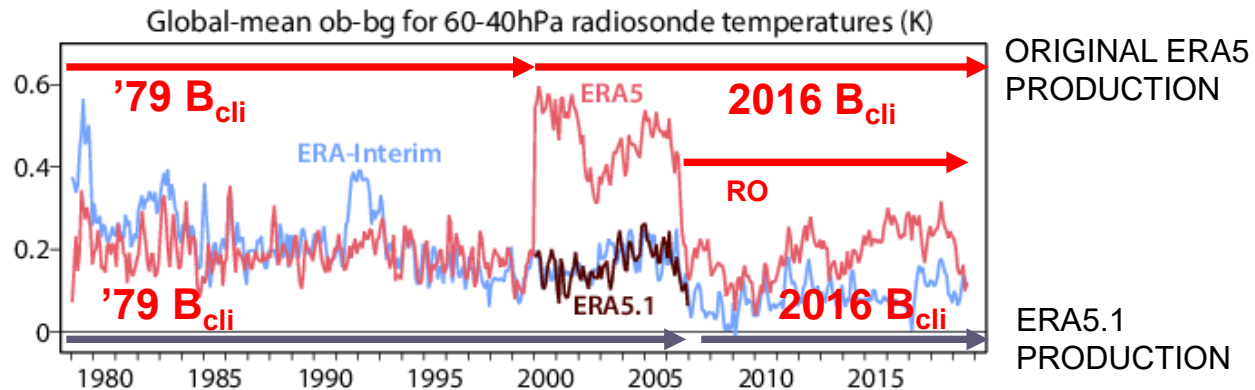


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# Model biases in the upper troposphere and stratosphere



## The improved mean state for stratospheric temperature in ERA5.1



Monthly average observation-background differences from 1979 onwards for all assimilated bias-adjusted radiosonde temperature data (K) between 40 and 60 hPa, for ERA-Interim, ERA5 (based on 1979-B<sub>cli</sub> before 2000 and 41r2-B<sub>cli</sub> afterwards) and ERA5.1 (using 1979-B<sub>cli</sub> from 2000-2006).

*Hersbach, H. et al., 2020, doi:10.1002/qj.3803*

- ERA5.1 provides an improved mean state for stratospheric temperature.
- In the troposphere the difference between ERA5 and ERA5.1 is very small.

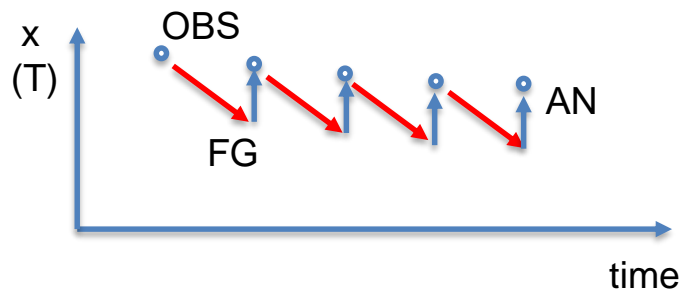
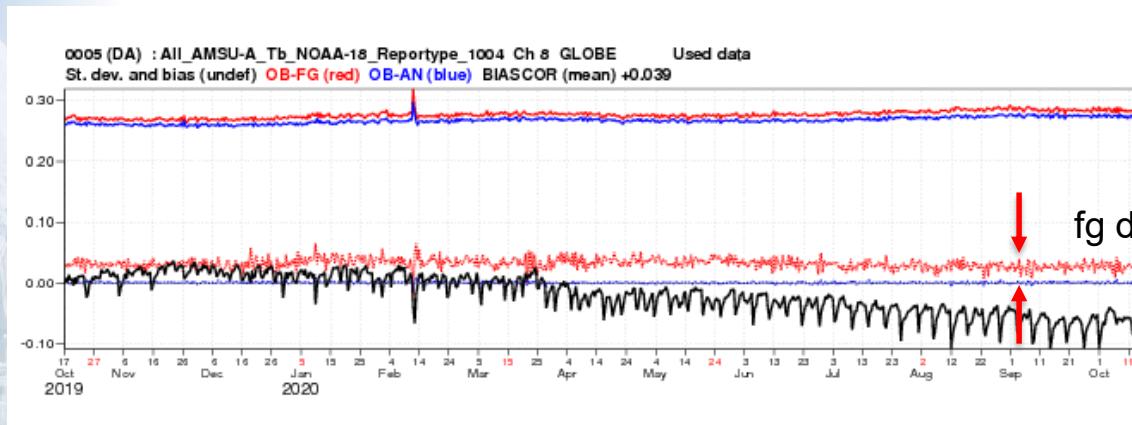
(see A. Simmons *et al*, ECMWF Tech Memo 859, Jan 2020)



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# Model error manifested in biased fg\_departures

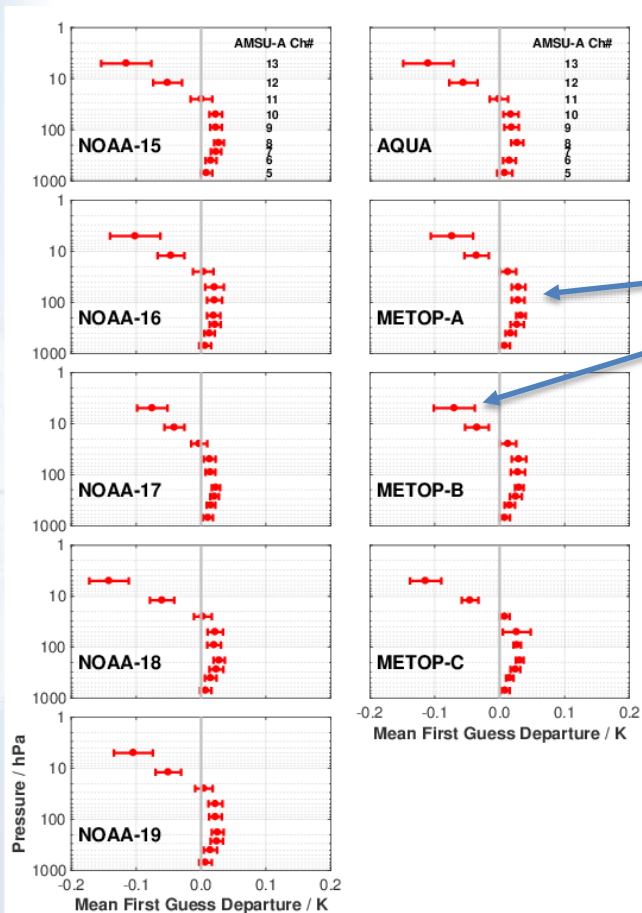
## NOAA-18 AMSU-A8





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# Model Error / AMSU-A Mean FG\_DEPS in ERA5



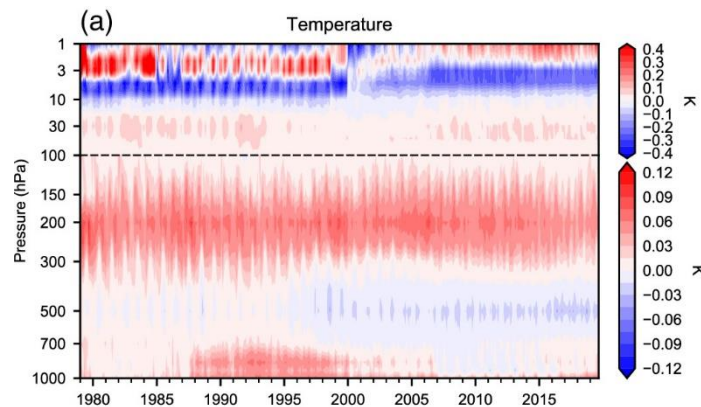
ERA5 mean first guess departures shown for AMSU-A

Error bars represent ( $\pm 1\sigma$ ) spread over the lifetime of each sensor

Consistent picture of :

- a cold model bias mid-trop to mid-strat
- a (larger) warm model bias above 10 hPa

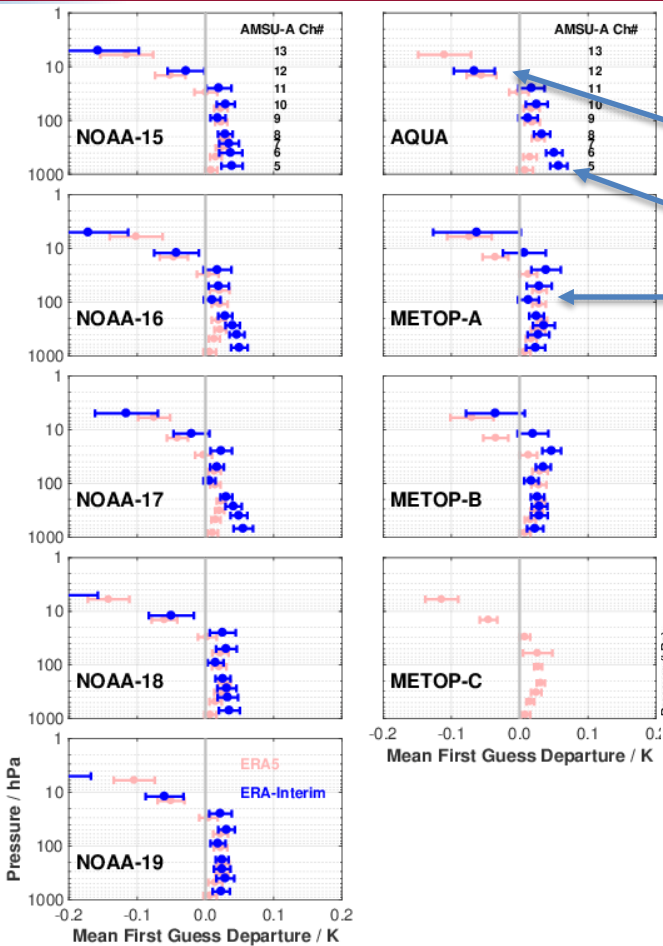
Broadly consistent with analysis increments in ERA5  
(below, from Fig 16, Hersbach et al, 2020)





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# Model Error / AMSU-A Mean FG\_DEPS in ERA-Interim



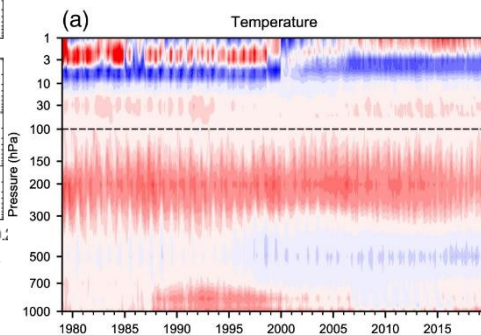
Indications that ERA-Interim:

Exhibits similar biases (to ERA5) above 10 hPa

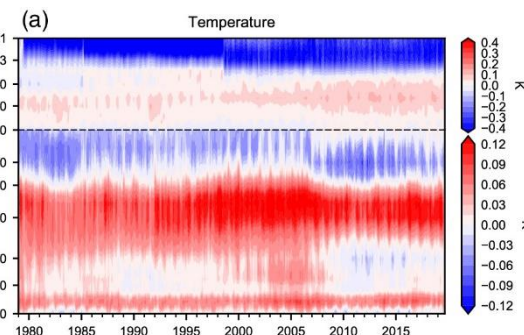
Exhibits larger biases below 200 hPa

Exhibits smaller biases around 100 hPa.

### ERA5 analysis increments



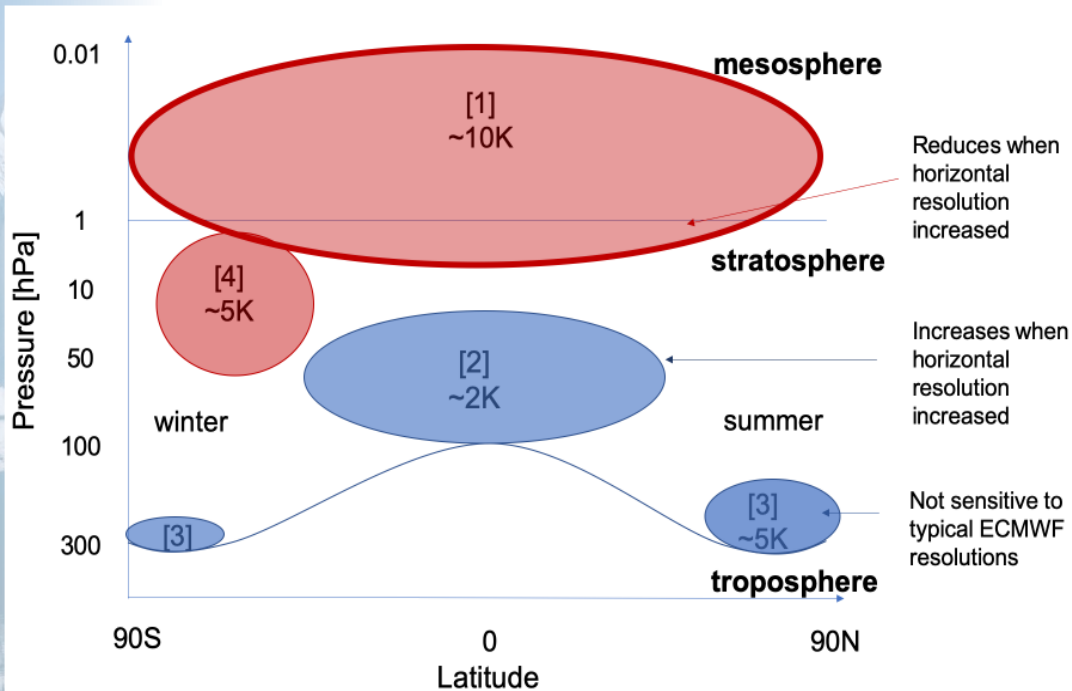
### ERA-Interim analysis increments





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## Model biases in the stratosphere



WC 4D-Var (Patrick's talk) offers a solution for ERA6.

In addition, improvements are expected from :

[1] – revised radiation scheme, improved SW solar spectrum, improved (and interactive) ozone,

[2] improved dynamical core

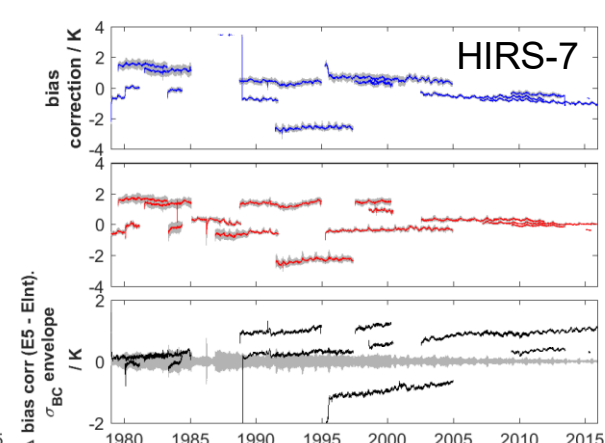
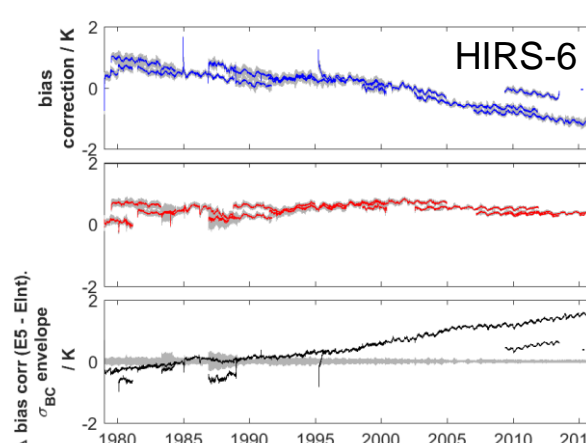
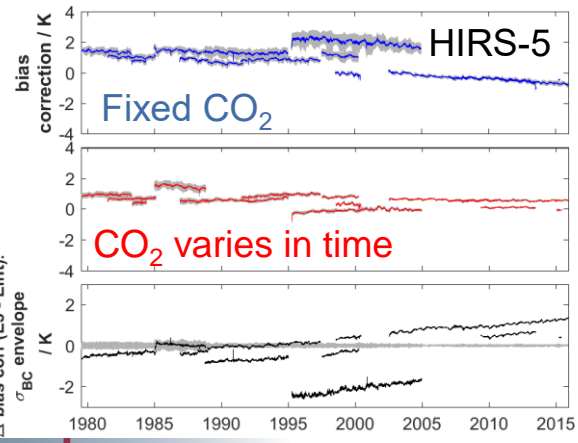
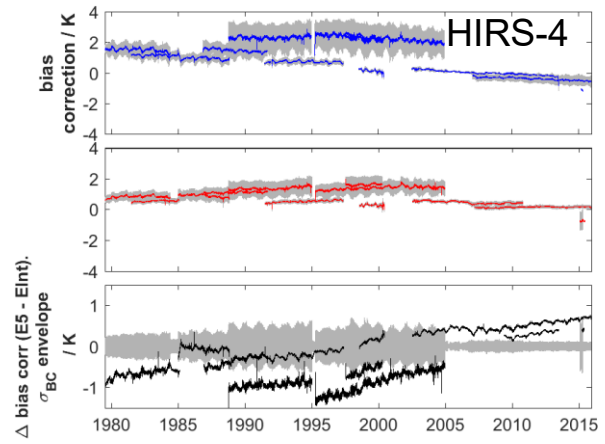
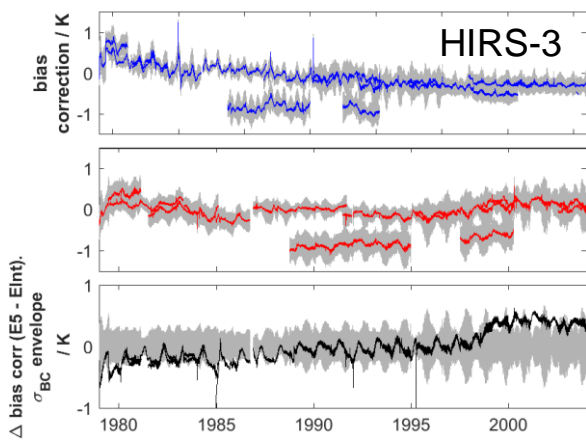
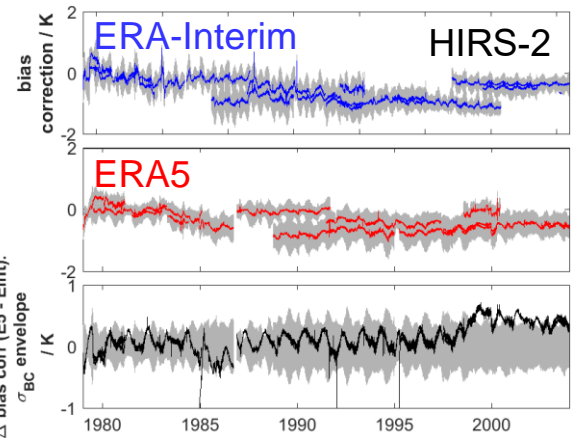
[3] reduction of H<sub>2</sub>O in lower stratosphere, improved methane oxidation scheme



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# Radiative Transfer Model biases in the IR sounders (HIRS, AIRS, IASI, CrIS and SSU)

# Improvements in RT modelling: HIRS Temperature Sounding Channels 2 - 7

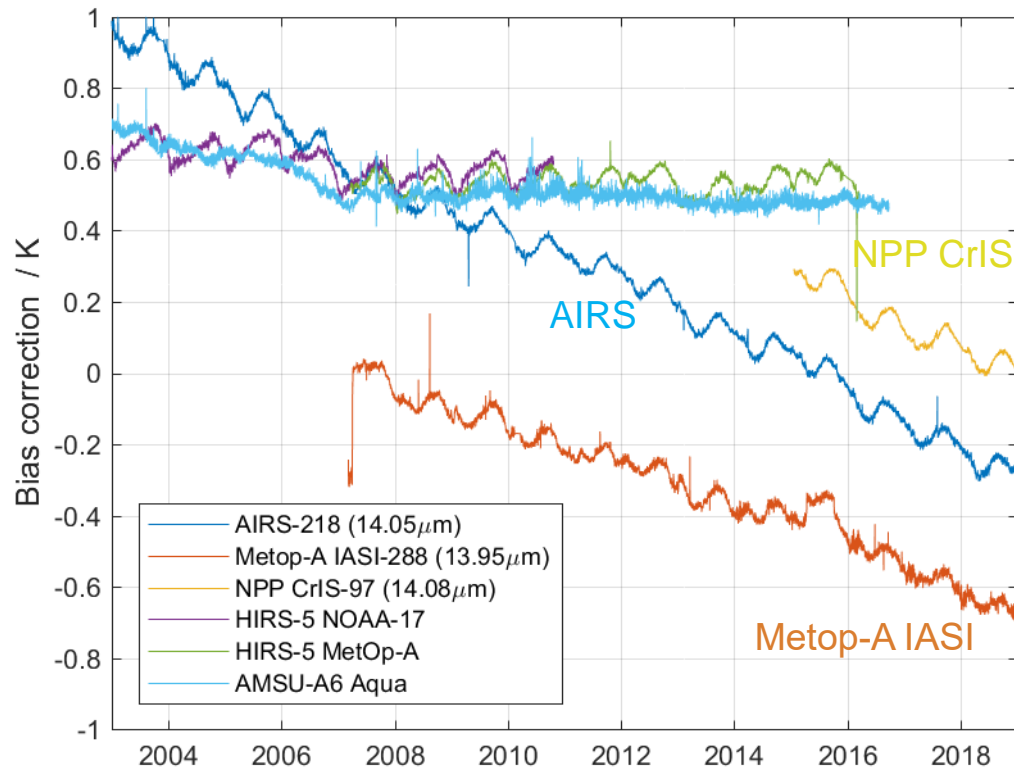




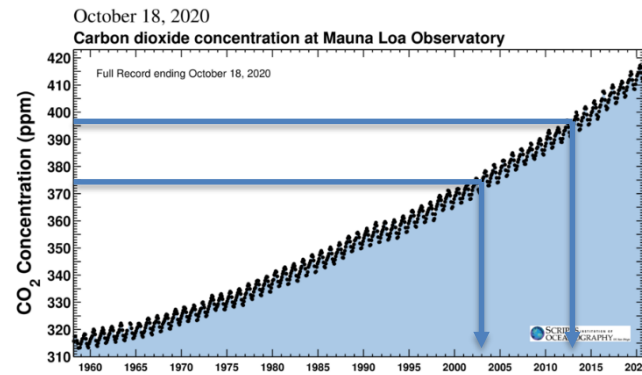


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## Improvements in RT modelling: bias corrections for Adv. IR Sounders in ERA5



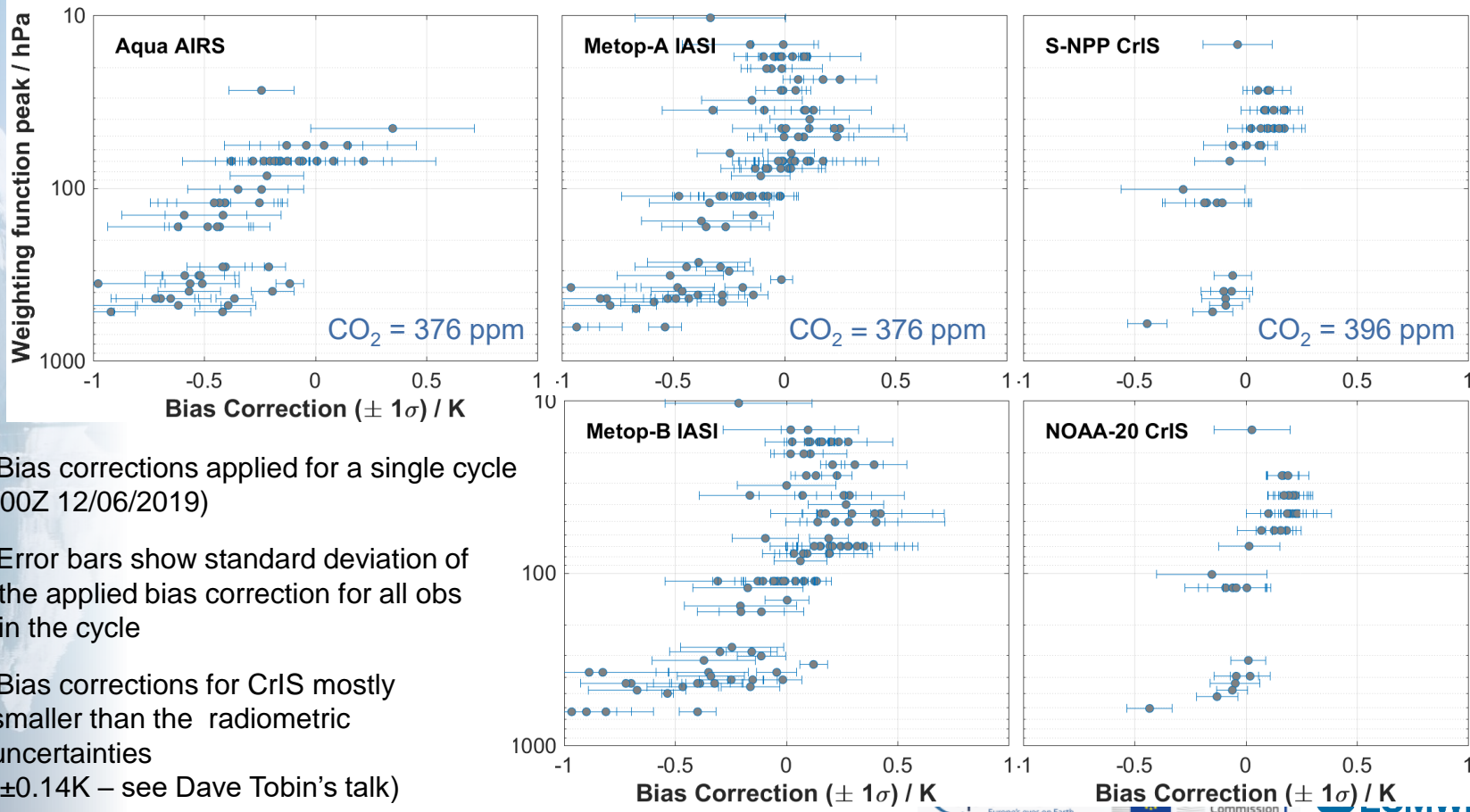
- AIRS, IASI and CrIS channels shown at  $\sim 14\mu\text{m}$  (710 - 717  $\text{cm}^{-1}$ ) & peak in the range 430 - 480 hPa
- AIRS & IASI: assume  $[\text{CO}_2] = 376$  ppm  
CrIS assumes  $[\text{CO}_2] = 396$  ppm
- HIRS (& SSU & VTPR): assume time varying  $[\text{CO}_2]$





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## Improvements in RT modelling: bias corrections with height for the Adv. IR sounders



- Bias corrections applied for a single cycle (00Z 12/06/2019)
- Error bars show standard deviation of the applied bias correction for all obs in the cycle
- Bias corrections for CrIS mostly smaller than the radiometric uncertainties ( $\pm 0.14\text{K}$  – see Dave Tobin’s talk)



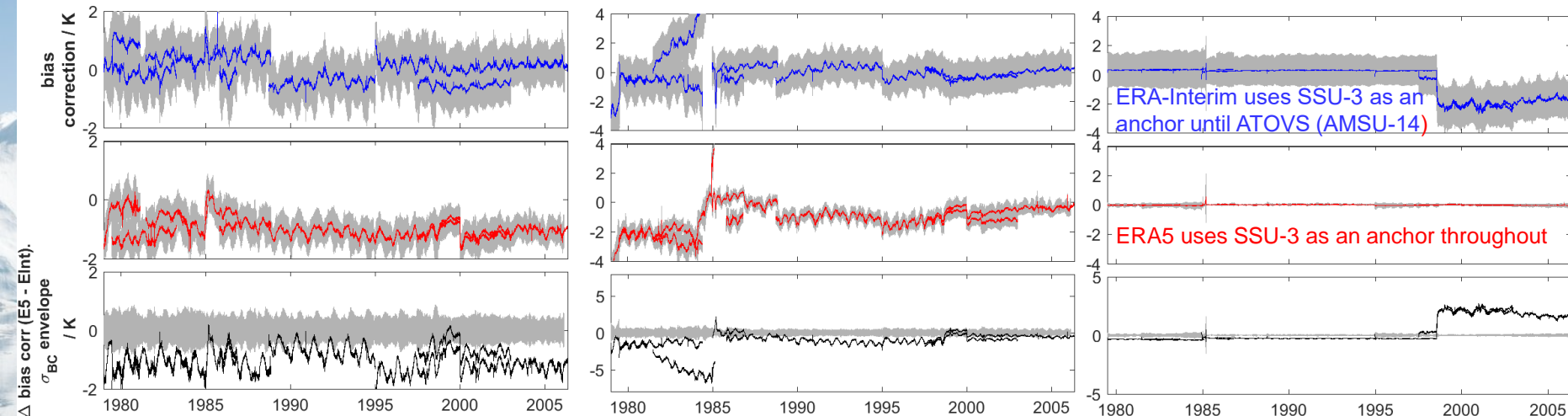
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## Improved RT modelling : SSU Bias Corrections

SSU-1  
peak 29km

SSU-2  
peak 38km

SSU-3  
Peak 44 km



Improved treatment of RT (cell pressure leaks) in ERA5:

- Reduced inter-satellite biases
- Reduced variance in bias corrections
- Reduced drift in biases (NOAA-7 during 1982-1985)



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# Evolving $B_{cli}$ in a Hybrid 4D-Var Assimilation System



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# The current hybrid DA scheme scheme & a way of generating a time varying $B_{cli}$

Current scheme

( $\alpha=0.15$ )

$$B_{cli} = B_{cli}^{1958}, B_{cli}^{1978}, B_{cli}^{1979}, B_{cli}^{41r2}$$

$$B(t) = (1 - \alpha)B_{cli} + \alpha B_{EDA}(t)$$

New scheme

( $\alpha=0.15$ )

$$B(t) = (1 - \alpha)\underline{B_{cli}(t)} + \alpha B_{EDA}(t)$$

Implementation

( $\alpha'=0.03$ , *currently*)

$$B_{cli}^i = (1 - \alpha')B_{cli}^{i-1} + \alpha' B_{EDA}^i$$



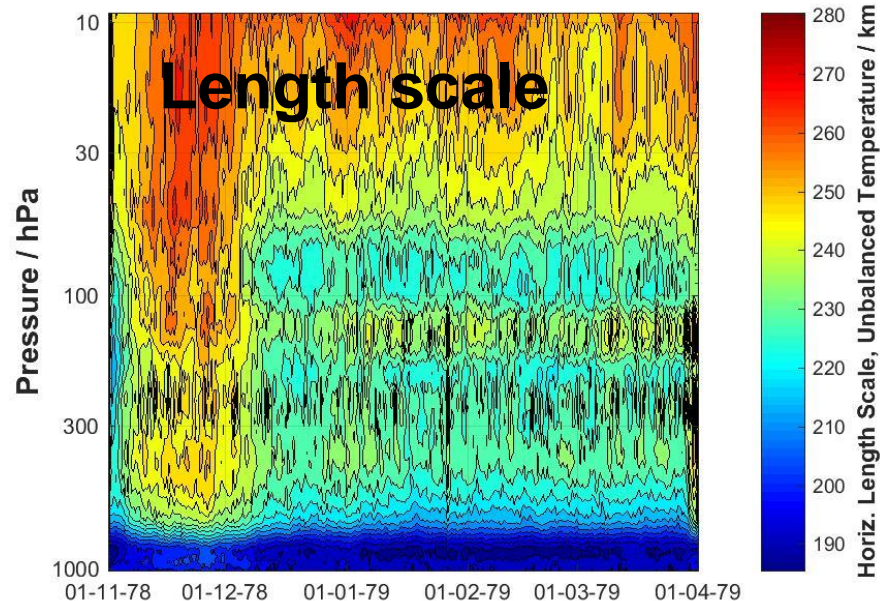
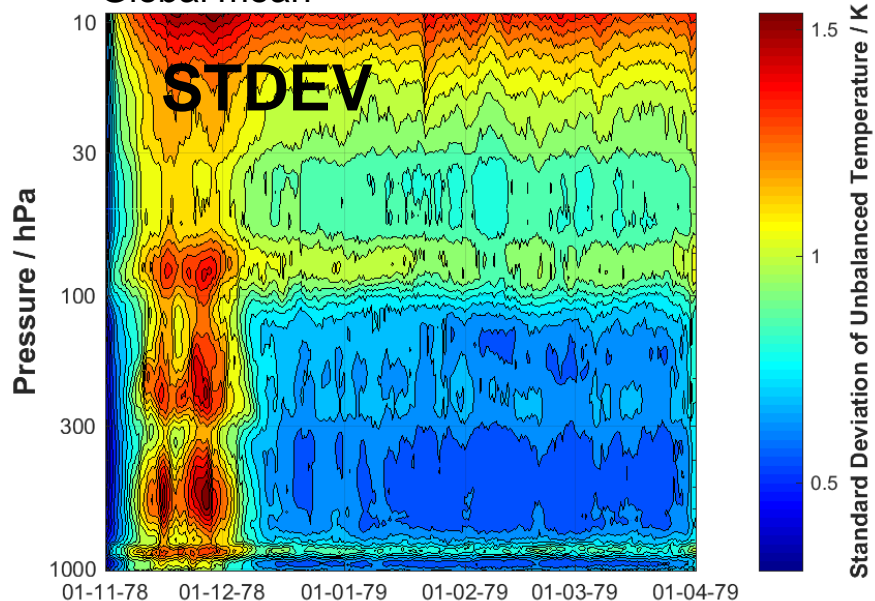
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# The TOVS transition: November 1978 - April 1979

## Background error variances (STDEVs) and length scales for $B_{EDA}$

### Unbalanced temperature

Global mean



TOVS



Following the introduction of TOVS in Dec 78

- Mid trop standard deviations reduced by  $\times 2$
- Length scales 255km  $\rightarrow$  230km



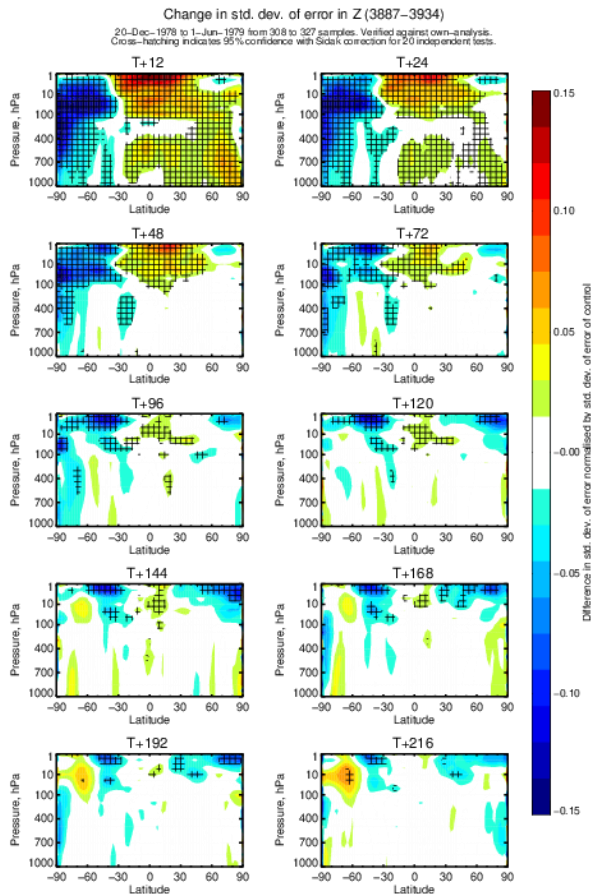
European  
Commission





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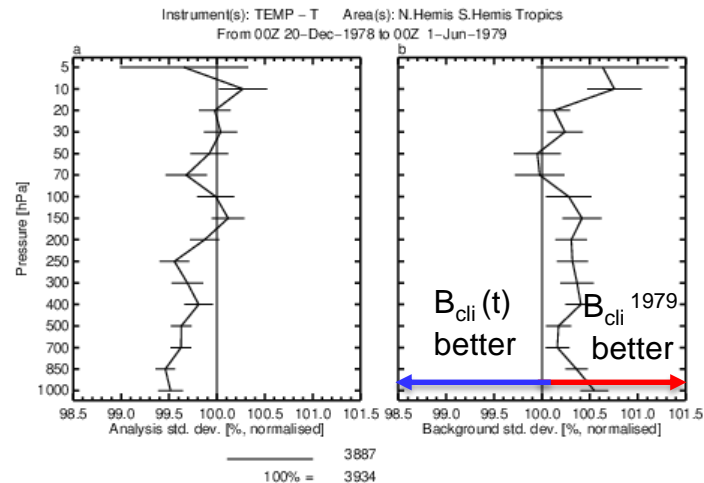
# Verification of $B_{cli}(t)$ vs $B_{cli}^{1979}$ for TOVS transition Dec 1978-June 1979



Change in STDEV in error in Z shown

- Blue -  $B_{cli}(t)$  better
- Red -  $B_{cli}^{1979}$  better

## Change in STDEV of analysis & background fits for radiosonde temperatures



Scope for tuning  $\alpha'$  (currently 0.03)



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## Summary & Prospects

- In the treatment of biases - some steps forward at ERA5 (RT model biases), some sideways steps (MSU/AMSUA) and some backward steps (at least initially, stratospheric biases).
- In the short term the prospects are good for improved pragmatic correction ( WC 4D-Var [Patrick's talk] &  $\mathbf{B}_{cli}(t)$  ) as well as corrections at source (reprocessed data [Paul's talk], stratospheric biases, improved RT modelling)
- Both pragmatic and ideal approaches are bearing fruit, is the balance right for all issues (e.g. stratosphere – yes ? early sounding data – no ?) – can more be done to accelerate progress & improve the links ?
- Priorities for NWP and reanalysis are mainly well aligned, but not always: e.g. how do we make progress on estimating mean state uncertainties ?
- Should we use the 'redundancy' of the very recent satellite era (~2010-2020) to withhold some (subset of) very high quality observations (GRUAN, RO, CrIS, ...) and use these to independently validate ERA6 during a **benchmark** period in the reanalysis (at the cost of a small degradation in analysis quality)?





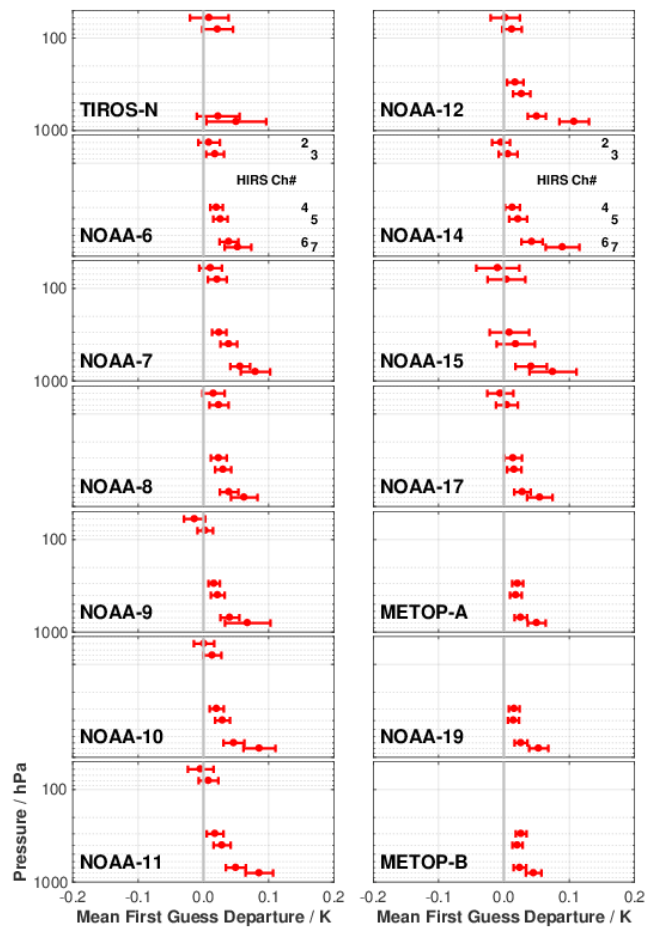
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Thanks for your time !



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# HIRS Mean FG departures





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## What is new in ERA5?

|                                                 | ERA-Interim                                                  | ERA5                                                                                                                                |
|-------------------------------------------------|--------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------|
| <b>Period</b>                                   | 1979 – present                                               | <b>1950 – present</b> , produced in 2 phases                                                                                        |
| <b>Availability behind real time</b>            | 2-3 months                                                   | 2-3 months (final product)<br><b>5 days</b> (ERA5T)                                                                                 |
| <b>Assimilation system</b>                      | 2006 (31r2), 4D-Var<br>soil moisture: 1D-OI                  | <b>2016</b> (41r2), <b>4D-Var, hybrid EDA</b><br><b>soil moisture: SEKF</b>                                                         |
| <b>Model input (radiation and surface)</b>      | As in operations,<br>( <i>inconsistent SST and sea ice</i> ) | <b>Appropriate for climate</b> , e.g.,<br>evolution of greenhouse gases, volcanic eruptions, sea<br>surface temperature and sea ice |
| <b>Land-surface model</b>                       | TESSEL                                                       | <b>HTESSEL</b>                                                                                                                      |
| <b>Spatial resolution</b>                       | 79 km globally<br>60 levels to 10 Pa                         | <b>31 km globally</b><br>137 levels to 1 Pa                                                                                         |
| <b>Uncertainty estimate</b>                     |                                                              | <b>from</b> 10-member <b>EDA at 63 km</b>                                                                                           |
| <b>Output frequency</b>                         | 6-hourly Analysis fields                                     | <b>Hourly</b> (three-hourly for the ensemble),<br>Extended list of parameters<br>~ 9 Peta Byte (1950 - timely updates)              |
| <b>Extra Observations</b>                       | Mostly ERA-40, GTS                                           | Various <b>reprocessed CDRs, latest instruments</b>                                                                                 |
| <b>Variational Bias control<br/>Radiosondes</b> | Satellite radiances,<br>RAOBCORE                             | Also ozone, aircraft, surface pressure,<br>RISE                                                                                     |
| <b>Land downscaling product</b>                 | ERA-Interim land, 79km                                       | ERA5L, <b>9km</b> (forced by ERA5)                                                                                                  |