

# Spectrum Sharing via Collaborative RFI Cancellation for Radio Astronomy

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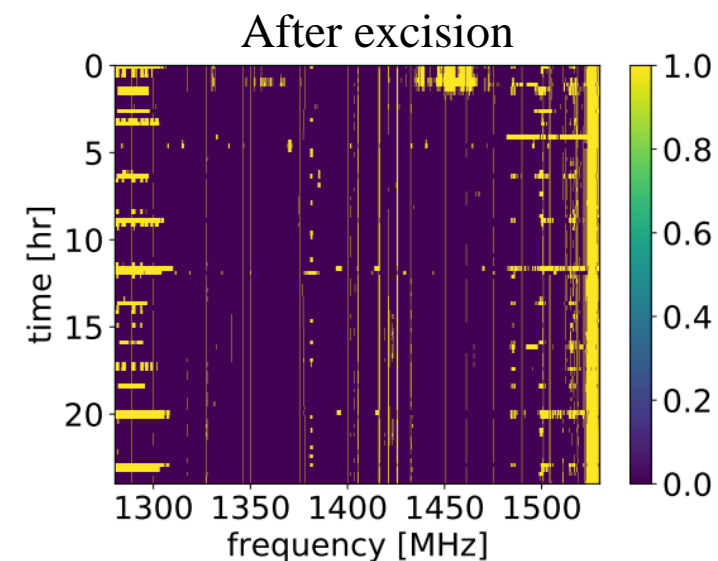
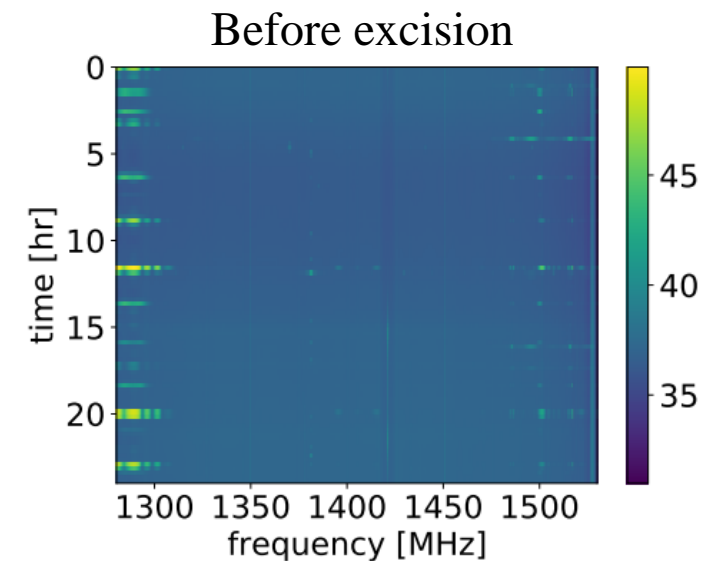
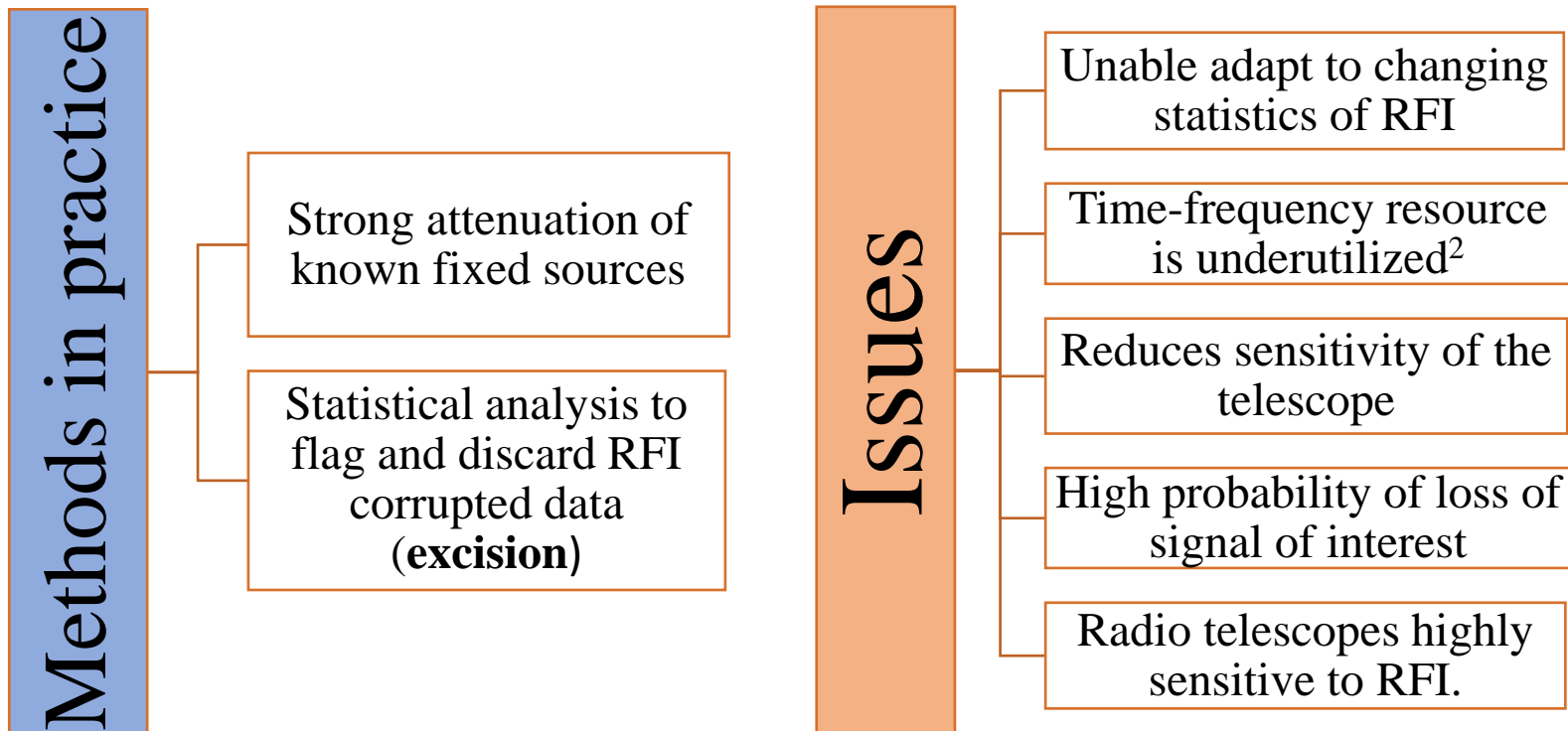
**Gregory Hellbourg**

Department of Astronomy

California Institute of Technology

# Motivation

# RFI Mitigation (active)



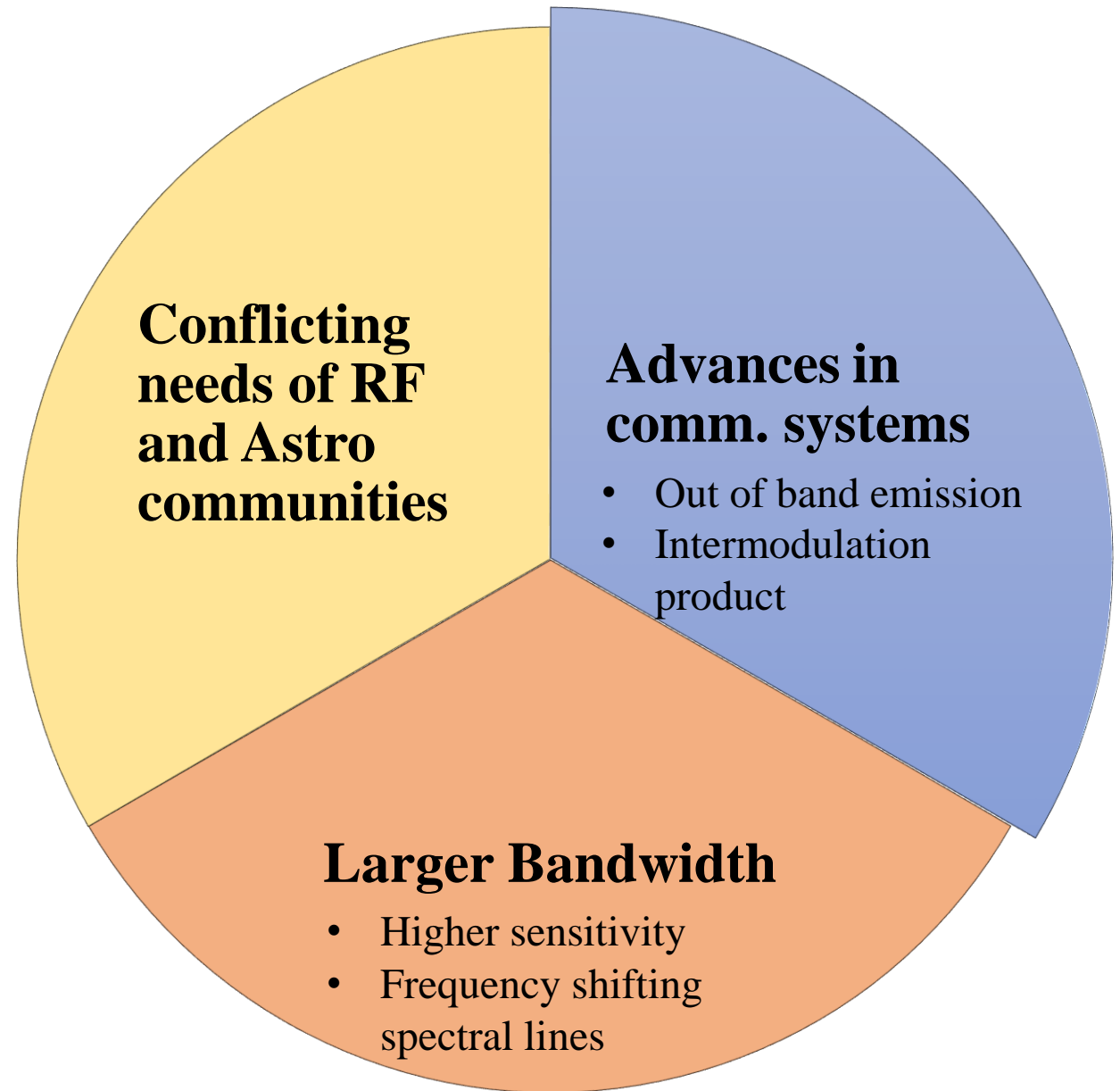
**RFI flagging and excision<sup>1</sup>**  
**(including H1 line)**

<sup>1</sup> Obtained from Deep Synoptic Array (DSA-110) at OVRO

<sup>2</sup> Tuned to minimize non-detection resulting in significant data loss

# Contribution

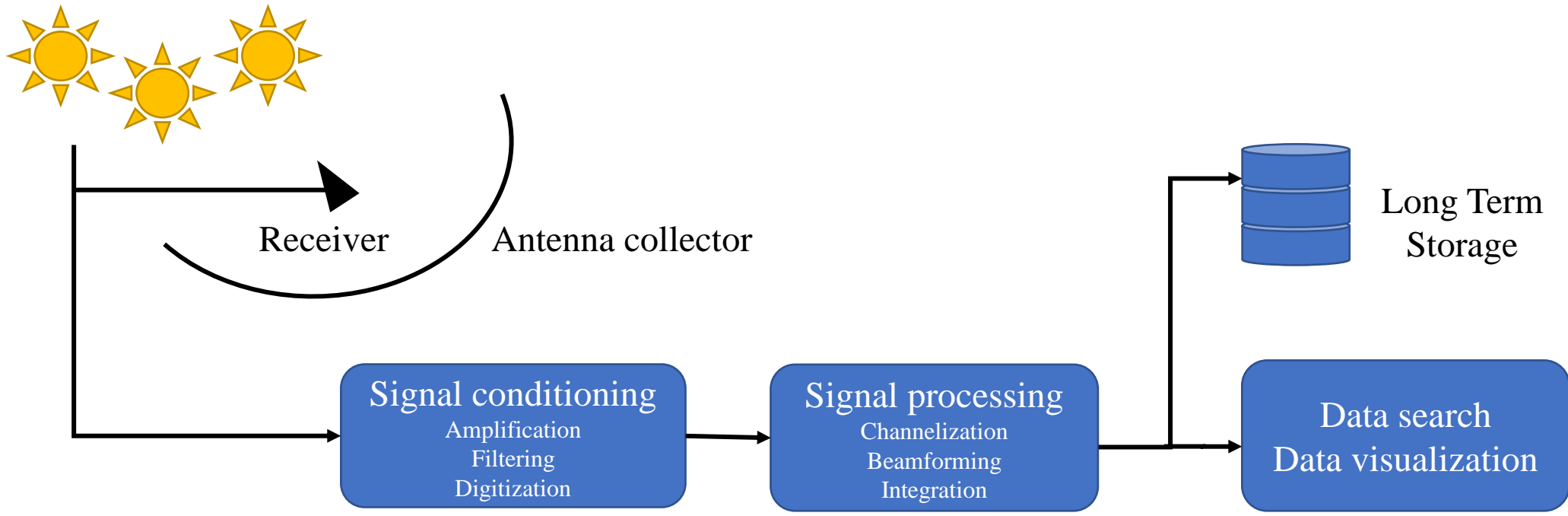
- We propose a **collaborative RFI mitigation** method that **reduces excision by 89.04 %**
- We **characterize the RFI at its source** (base station for LTE) using Eigen-decomposition
- We exploit the **shared statistical properties** of the signal to **nullify the effect of RFI** and **reuse** the reconstructed space signal.



**Why collaborative apparatus ?**

# Signal Models

# Acquisition of Astronomical Signals at Telescope



Telescope parameters	Signal duration (s)	Number of antennas in DSA-110	Coarse channel width (MHz)	Fine channel width (kHz)	Signal of Interest	Location in spectra (MHz)
Values	4	25 (time of capture)	11.7	30.5	Hydrogen (H1) line	1420

# Telescope Signal Model

RFI contribution

$$x_{f_c}[n] = x_A[n] + x_N[n] + x_R[n] \approx x_N[n] + x_R[n]$$

$$x_{f_c}[n] \sim \mathcal{NC}(x_R[n], \sigma^2) \quad x_A[n] \sim \mathcal{NC}(0, \sigma_A^2) \quad x_N[n] \sim \mathcal{NC}(0, \sigma_N^2)$$

Channelized  
baseband signal at  
center frequency  $f_c$   
at time sample  $n$

Contribution of  
astronomical  
sources in telescope  
field of view

System noise  
contribution

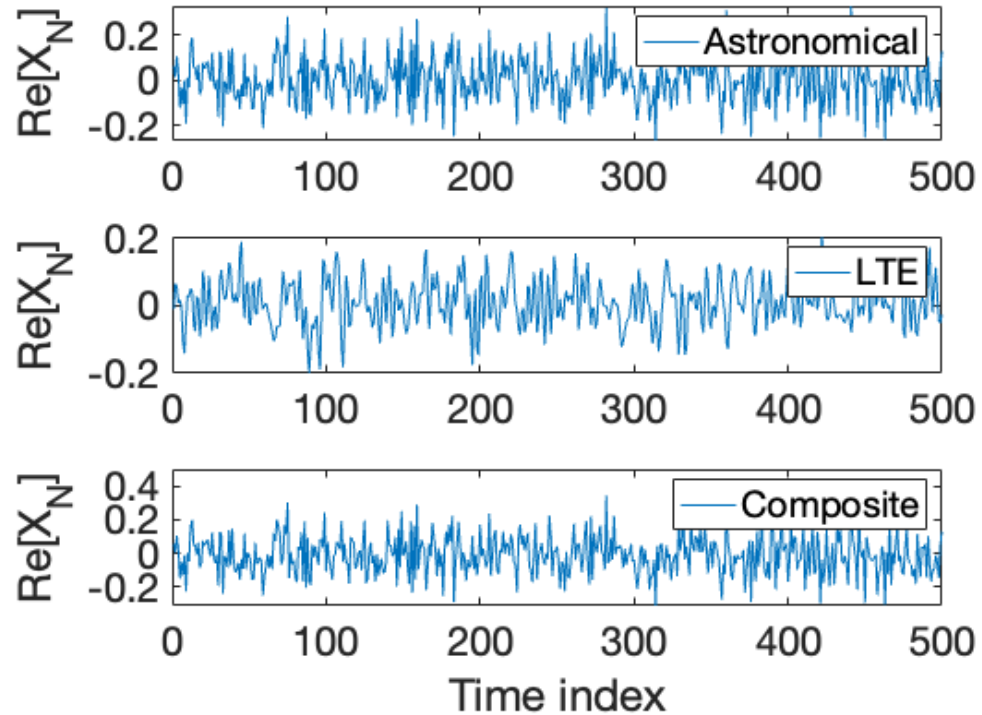
# Collaborative RFI Cancellation



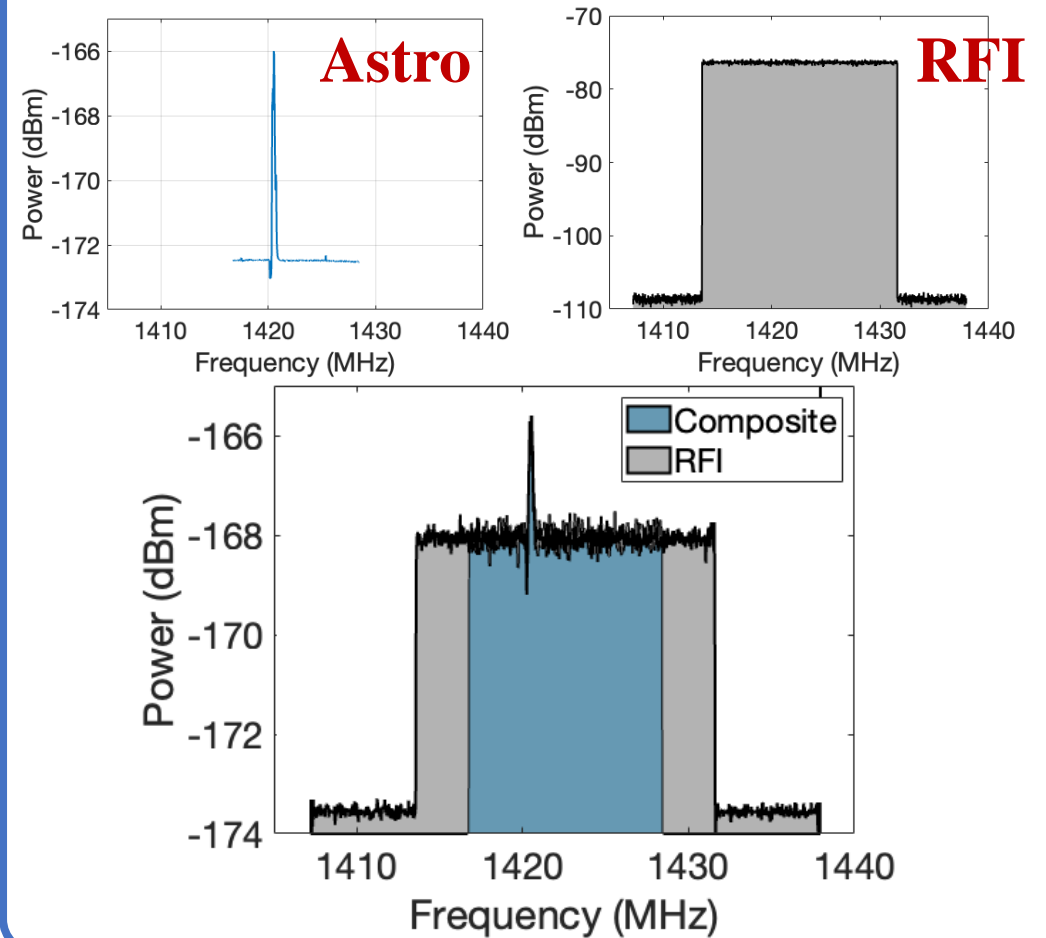
# Temporal & Spectral Characteristics

## Signals in time-domain

- Astronomical Signal - H1 line at 1420 MHz
- RFI – LTE signals served by a BS



## Composite Signal Spectrum



# A. Eigenspace-based Characterization

## Characterization:

- RFI at BS
- Composite sig at telescope

## Karhunen-Loeve Transform: KLT

- Applicable to any signal type
- Decomp into adaptive basis
- Detects weak signals
- Optimal in MMSE sense

Implemented using Singular Spectral Analysis for practicality.

## Characterization

### 1. Input sample sequence

$$\mathbf{x} = [x_0, x_1, x_2, \dots, x_N]^T$$

### 2. ( $L \times K = N-L+1$ ) Hankel matrix

$$\mathbf{U} = \begin{bmatrix} x_0 & x_1 & x_2 & \dots & x_K \\ x_1 & x_2 & & & \vdots \\ x_2 & & & & \vdots \\ \vdots & & & & x_{K+L-3} \\ \vdots & & & x_{K+L-3} & x_{K+L-2} \\ x_L & \dots & \dots & x_{K+L-2} & x_{K+L-1} \end{bmatrix}$$

### 3. Covariance matrix

$$\mathbf{R}_{xx} = \mathbb{E}[\mathbf{U}\mathbf{U}^H]$$

### 4. Eigen-decomposition

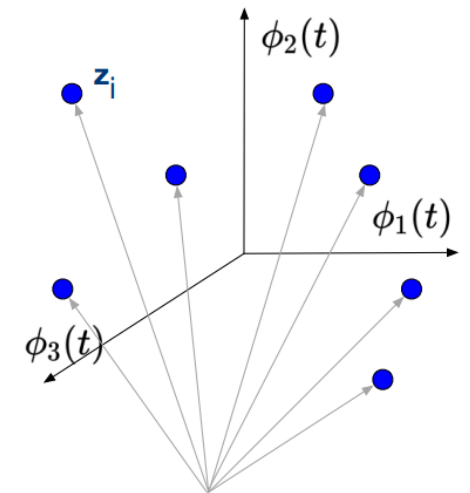
$$\mathbf{R}_{xx} = \mathbf{\Phi} \mathbf{\Lambda} \mathbf{\Phi}^H$$

$$\mathbf{\Lambda} = \text{diag}\{\lambda_0, \lambda_1, \dots, \lambda_M\}$$

## Reconstruction

### 5. SSA implementation of KLT

$$\mathbf{z}_i = \mathbf{\Phi}^H \mathbf{x}_i$$



Time series  $\mathbf{x}$  projected on to orthogonal Eigenfunctions

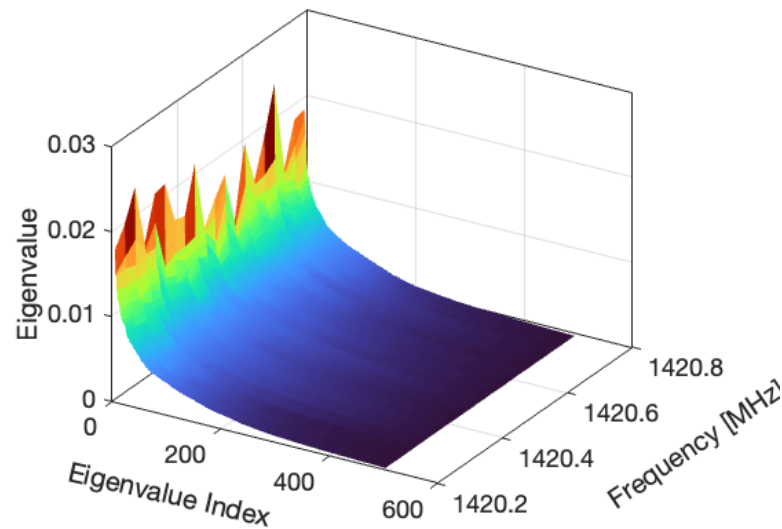
### 6. Inverse- SSA (Inverse-KLT)

$$\hat{\mathbf{x}} = \text{DiagonalAverage}(\mathbf{\Phi} \mathbf{z})$$

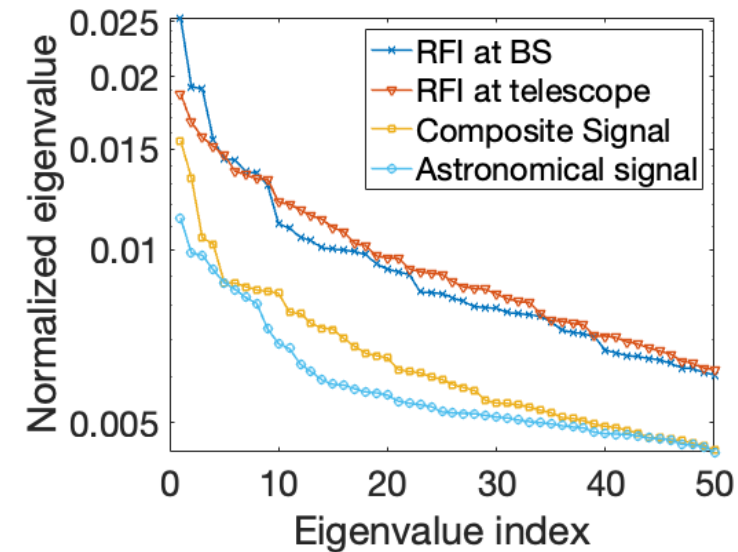
# Eigenspectra at Base Station & Telescope

Characterization at:

- Base Station:
  - RFI + Noise
  - RFI kernel:  $\Phi_R$
  - Shared with telescope
- Telescope:
  - Astro sig + RFI + Noise
  - Astronomical Kernel:  $\Phi_T$
  - Used to cancel RFI



Composite signal at telescope



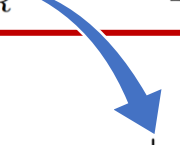
Eigenvalue comparison

## B. RFI cancellation with eigenspace projection

### Orthogonal projector for RFI

- Using  $\Phi_R$  shared by BS:

$$\mathbf{P}_{\Phi_R}^\perp = \mathbf{I} - \Phi_R \left( \Phi_R^H \Phi_R \right)^{-1} \Phi_R^H$$


$$\mathbf{P}_{\Phi_R}^\perp x_R[n] = 0$$

- Nullifies RFI in composite signal.
- Does not assume separability of RFI and Astro signals.*

### RFI cancellation at telescope

- Projection of eigenspace at telescope:

$$\hat{\Phi}_T = \mathbf{P}_{\Phi_R}^\perp \Phi_T$$

- Subspace-based removal of RFI

- Reconstruction using Inverse-KLT:

- Hankel Matrix:

$$\hat{\mathbf{U}}_T = \hat{\Phi}_T \mathbf{z}_T$$

- Astronomical Signal:

$$\hat{x}_T[n] = \frac{1}{a} \sum_{k=b}^c \hat{\mathbf{U}}_T^{(k, n-k+1)}$$

$a, b, c$  depend on the sample index  $n$

# RQF: Reconstruction Quality Factor

- Measures the combined accuracy of KLT decomposition and eigenspace-based cancellation of RFI.

$$\text{RQF} = \frac{\text{RFI-Free Power}}{\text{RFI Power}} = \frac{\|x_N\|^2}{\|x_T - \hat{x}_T\|^2} = \frac{\|x_N\|^2}{\|\epsilon_r\|^2}$$

- Derivation of the average RQF

$$\mathbb{E}\{\text{RQF}\} = \boxed{\frac{\sigma_N^2}{\sigma_{\text{est}}^2}} \left( 1 + 2 \frac{N-1}{N^2} \right)$$

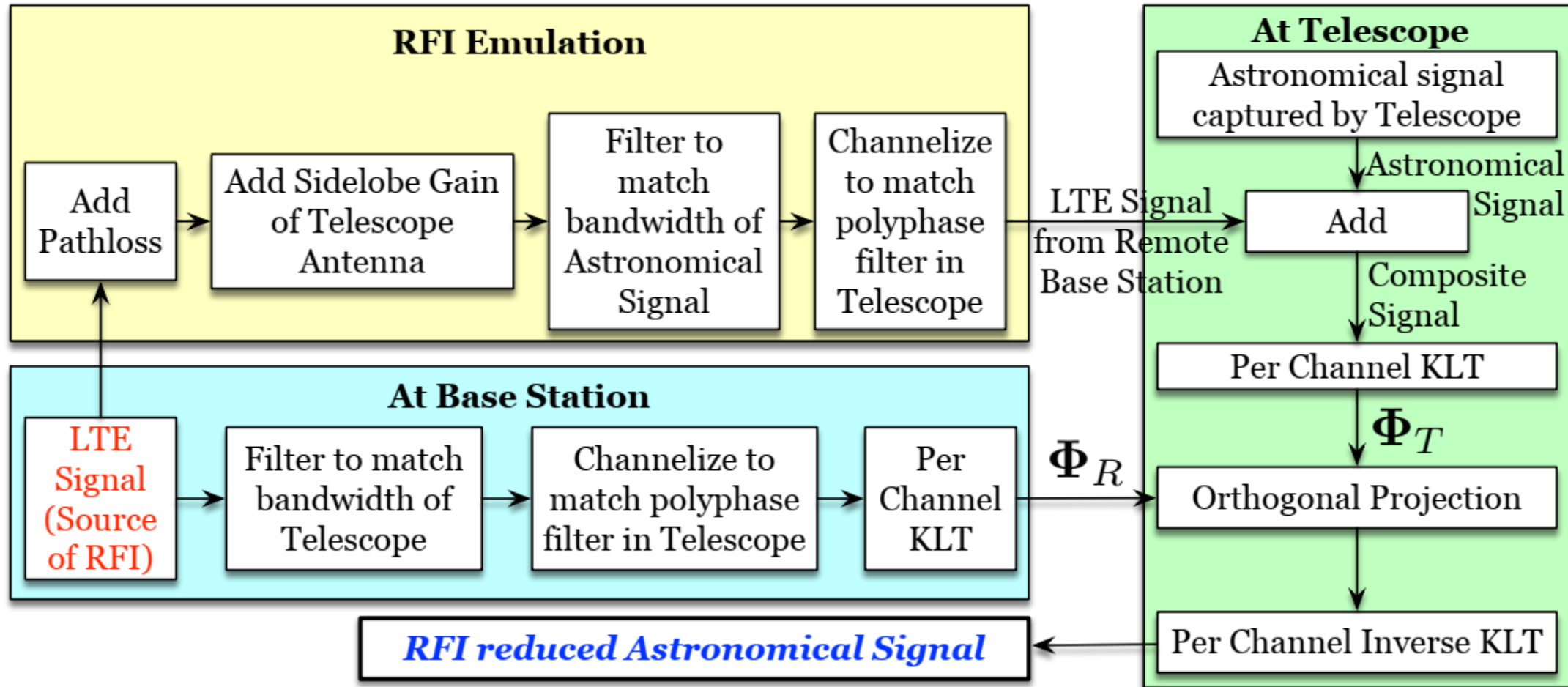
$\epsilon_r[n] \sim \mathcal{NC}(0, \sigma_{\text{est}}^2)$   
cumulative estimation  
and reconstruction error

>10 else is detrimental to radio  
astronomy [1] → Lower bound  $\text{RQF}_{\text{ref}}$

Number of samples in  
signal under evaluation

# Experiments

# System Simulation for Validation of RFI Mitigation Apparatus



# Parameters for RFI Simulation

Bandwidth (MHz)*	20
Occupied Bandwidth (MHz)*	18.015
Frame duration (ms)*	10
Subframe duration (ms)*	1
FFT length*	2048
Guard length*	847
Number of Resource Blocks*	100
Number of Frames <sup>1</sup>	400

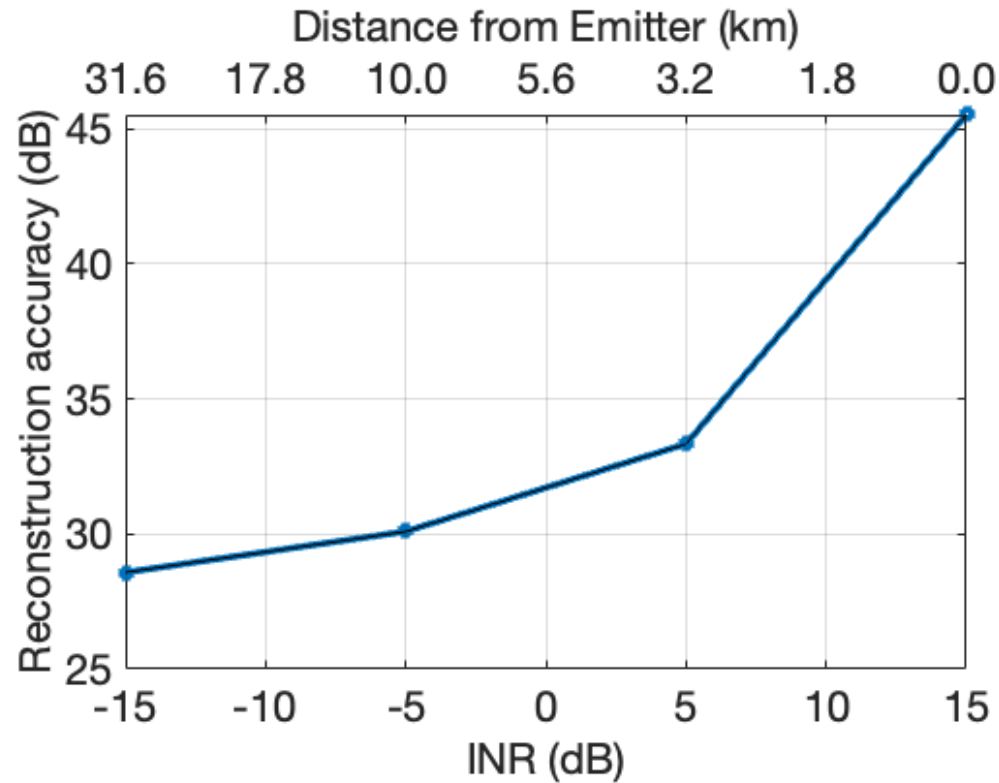
\*From 3GPP standardization.

<sup>1</sup>To match astronomical signal duration.



# Results

# Reconstruction Accuracy of a Sample Signal over INR



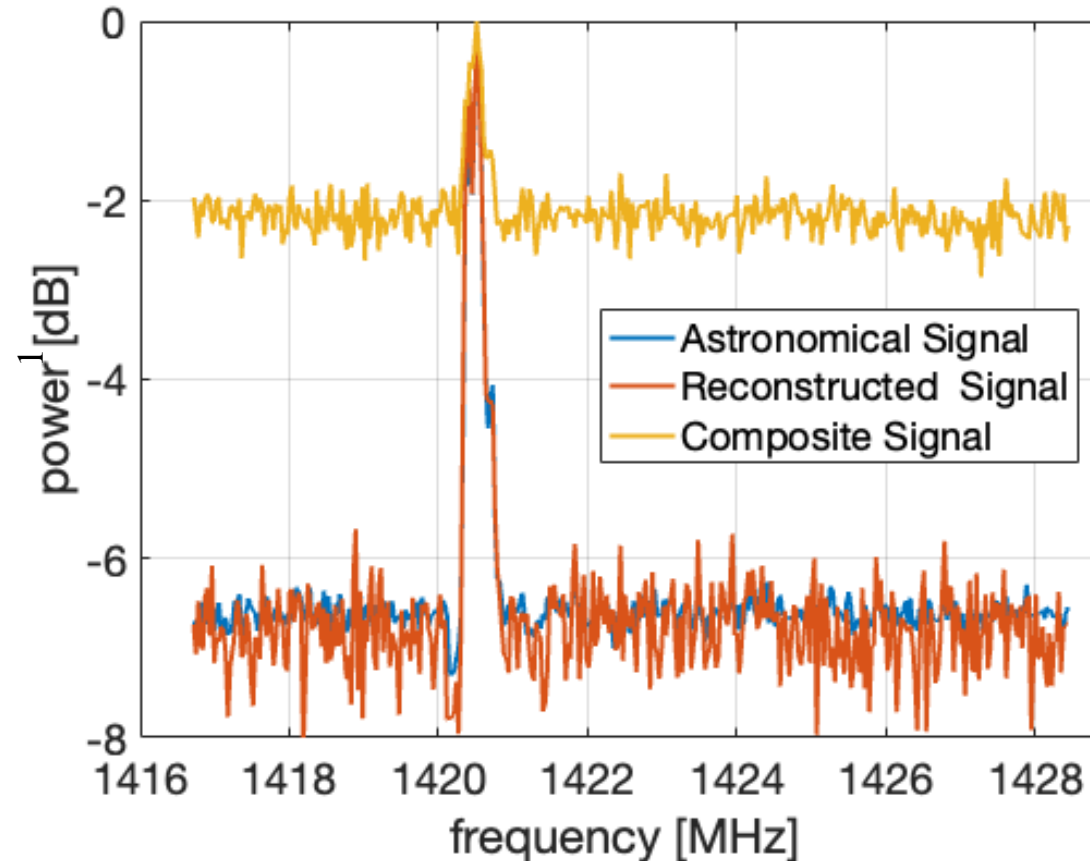
Performed on a sample of the LTE signal

$$\text{Reconstruction accuracy} : 20 \log_{10} \left( \frac{\|x_R\|}{\|x_R - \hat{x}_R\|} \right)$$

$x_R$  : True signal

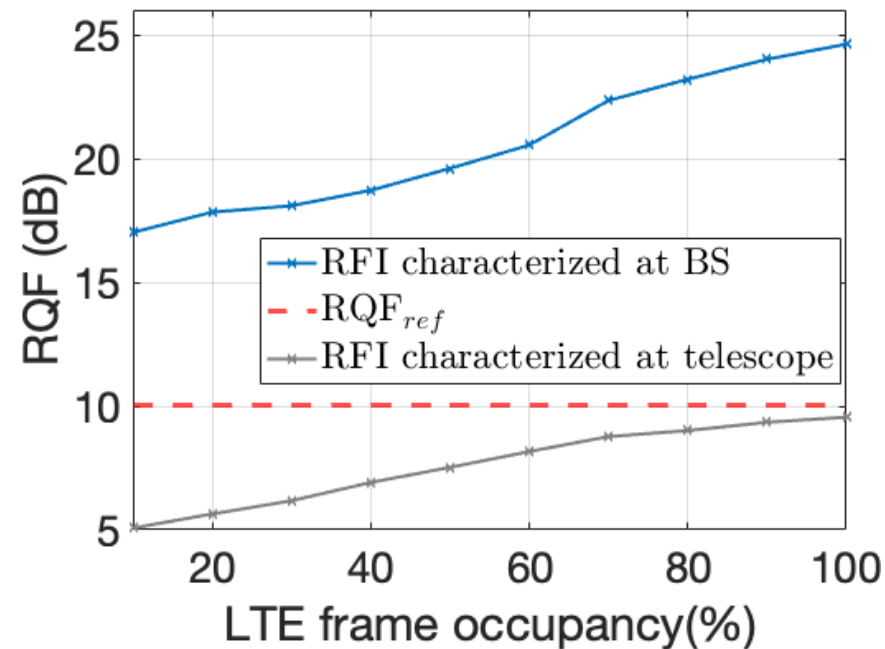
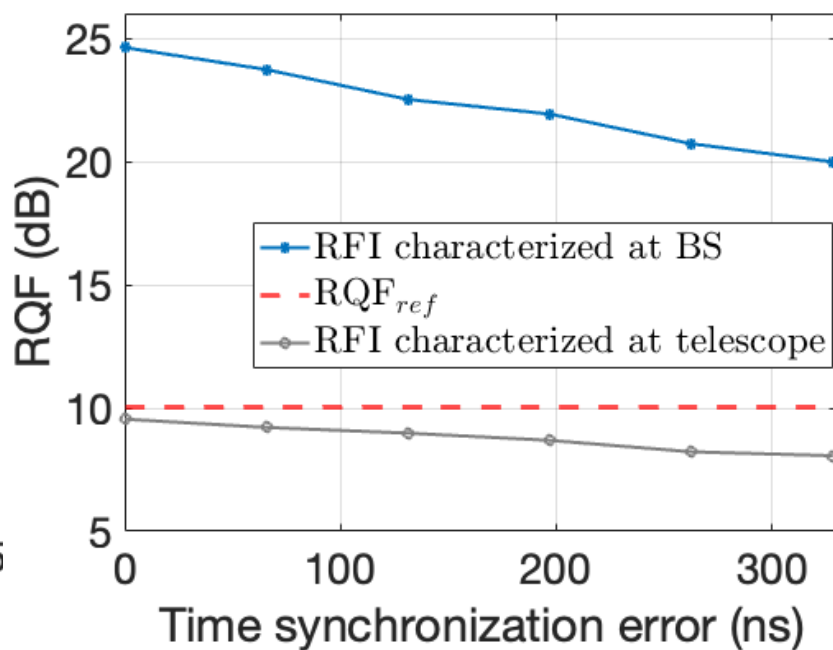
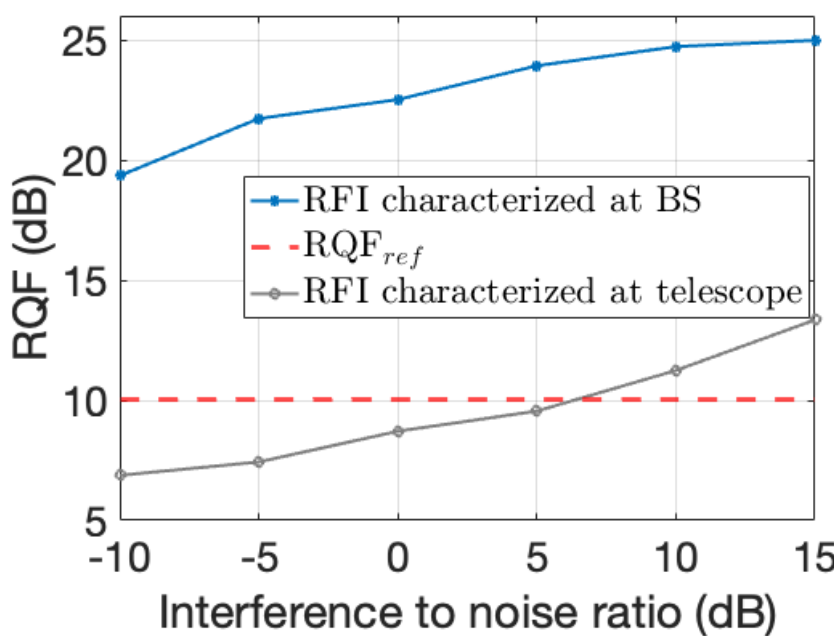
$\hat{x}_R$  : Reconstructed signal

# Reconstructed Signal Compared to True Astronomical Signal



<sup>1</sup>Power levels are relative to noise floor (-174 dBm) indicating the baseline

# RQF Variation across Parameter Space of RFI<sup>1</sup>



<sup>1</sup>The parameters for reconstructed signal in previous slide: INR (**5 dB**), Time sync error (**0 ns**), Frame occupancy (**100%**)

# Discussion

1. This work proposes **sharing stochastic characterization** of the RFI **at its source**, the cellular base station, with the telescope to cancel the incident RFI
2. This approach promotes **collaborative spectrum sharing** between the active and passive users of the spectrum
3. This method is **deployable** on current radio telescope
4. Managing **computation cost** remains a challenge due to the **large eigenvalue problem**
5. **latency in collaboration** can potentially be **avoided** using **reference antenna** at the observatory

Thank You!

Questions and feedback  
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