



SPP in a convection permitting model - HarmonEPS

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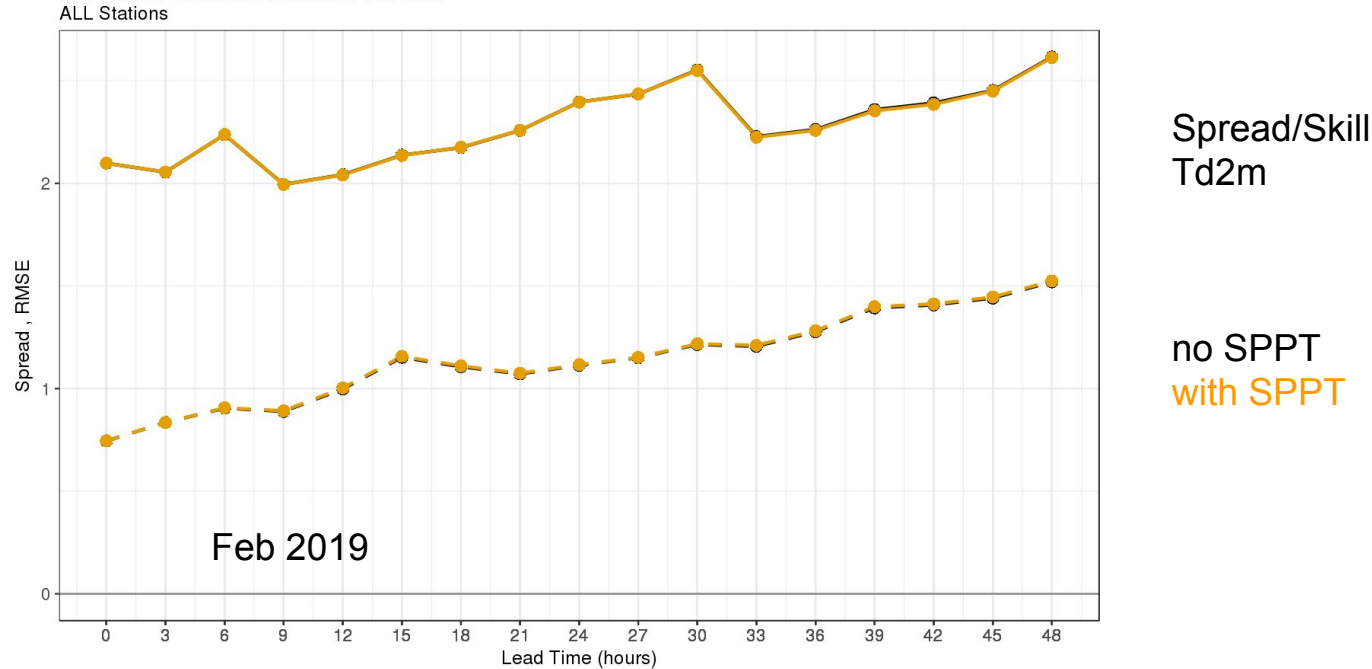
What is HarmonEPS?

- A convection permitting, limited area ensemble system at 2.5 km
- Based on the HARMONIE-AROME model
- Serves as the operational forecasting tool in a number of countries in Europe
- In HarmonEPS you have the possibility to perturb (used in experiments presented here in blue):
 - Initial conditions using nesting model (usually IFS ENS) and/or observation perturbations (EDA) - both used operationally
 - Surface initial conditions and/or EDA - surface initial condition pert. used operationally
 - LBCs using nesting model (usually IFS ENS) - used operationally

For representing model uncertainty we have:

- multi-physics - used operationally by one institute
- SPPT (The Stochastically Perturbed Parameterization Tendencies) - not used operationally due to low impact on ensemble skill
- SPP (The Stochastically Perturbed parameterizations) - under development

Effect of adding SPPT in HarmonEPS

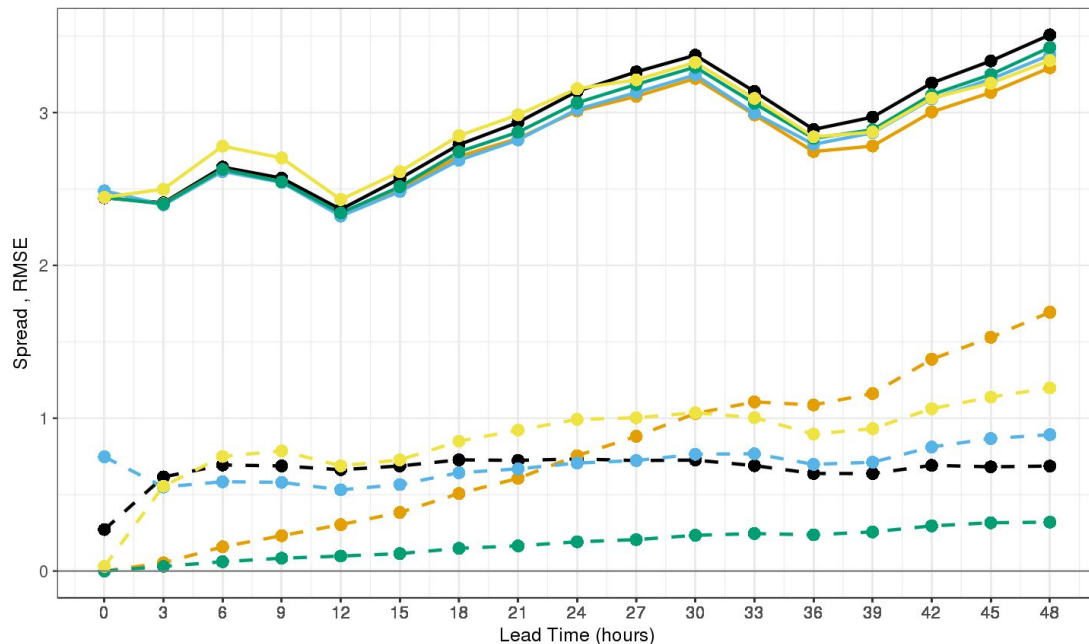


No additional spread by SPPT. Note: one month period!

Let's take a closer look at SPPT and the interactions
with the other perturbations

First: one perturbation type at a time:

Spread & Skill(RMSE) : Td2m
Verification Period: 2019020100-2019020500
ALL Stations



Spread/Skill
Td2m

SPPT

Boundary perturbations

Initial perturbations

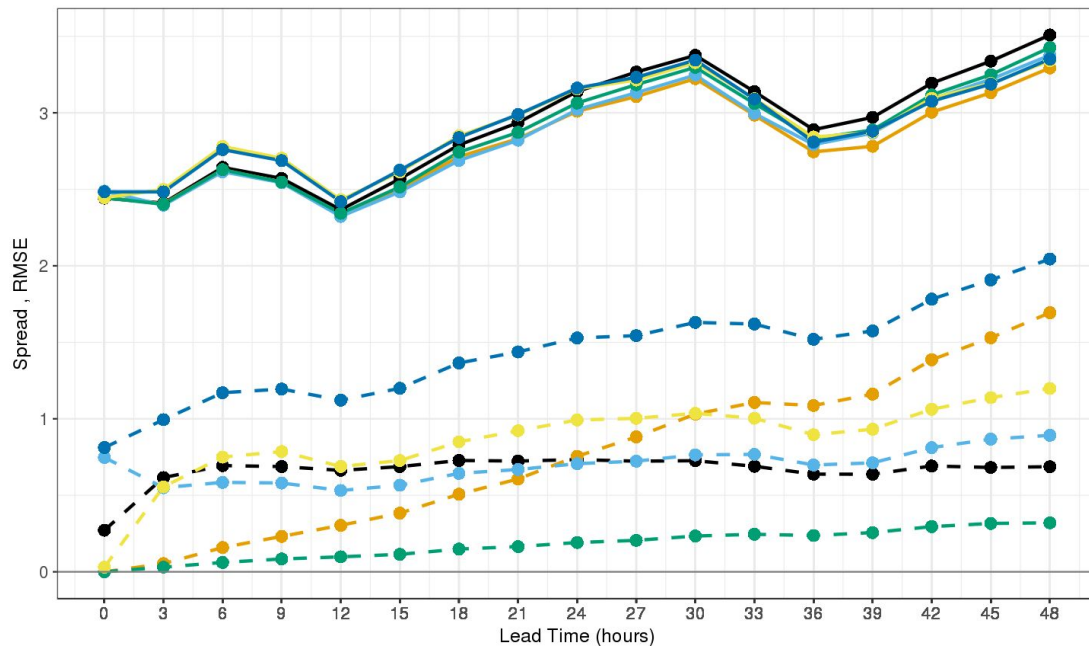
Surface perturbations

SPP

All perturbations give spread to the ensemble when acting alone, also SPPT

- and the combination of all:

Spread & Skill(RMSE) : Td2m
Verification Period: 2019020100-2019020500
ALL Stations



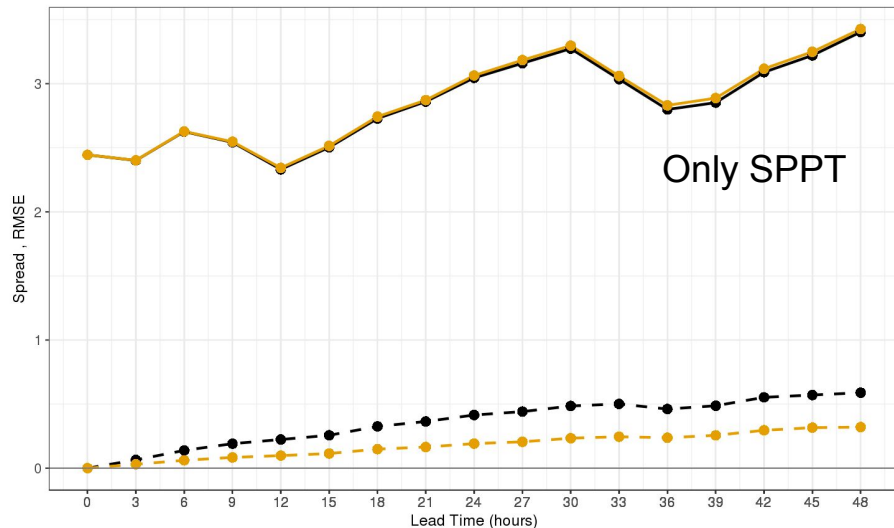
Spread/Skill
Td2m

SPPT
Boundary perturbations
Initial perturbations
Surface perturbations
SPP
All combined

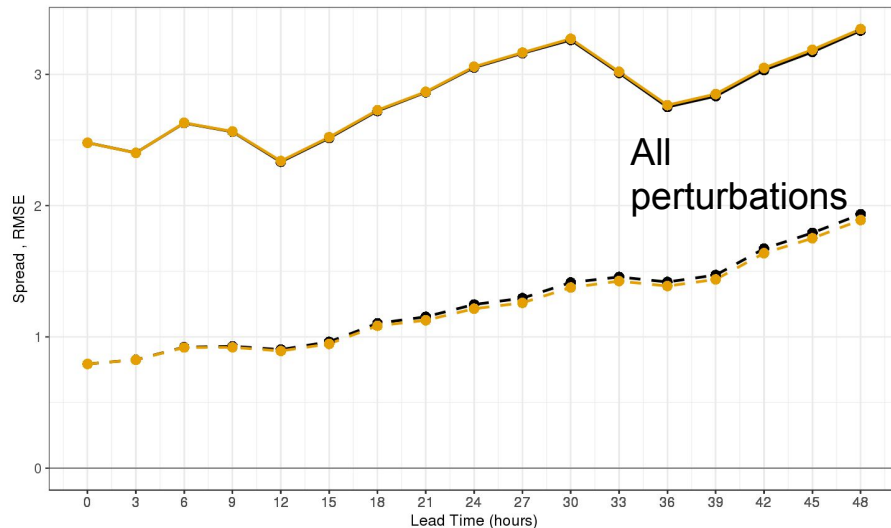
The combination of all gives the highest spread

Was the SPPT perturbations simply too small?

Spread & Skill(RMSE) : Td2m
Verification Period: 2019020100-2019020500
ALL Stations



Spread & Skill(RMSE) : Td2m
Verification Period: 2019020100-2019020500
ALL Stations



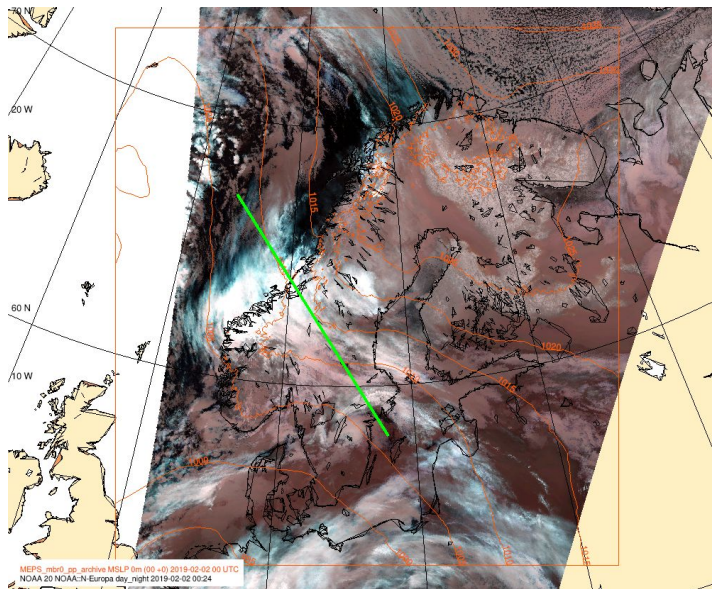
Default standard deviation for SPPT (0.3)

Increased standard deviation for SPPT (0.9)

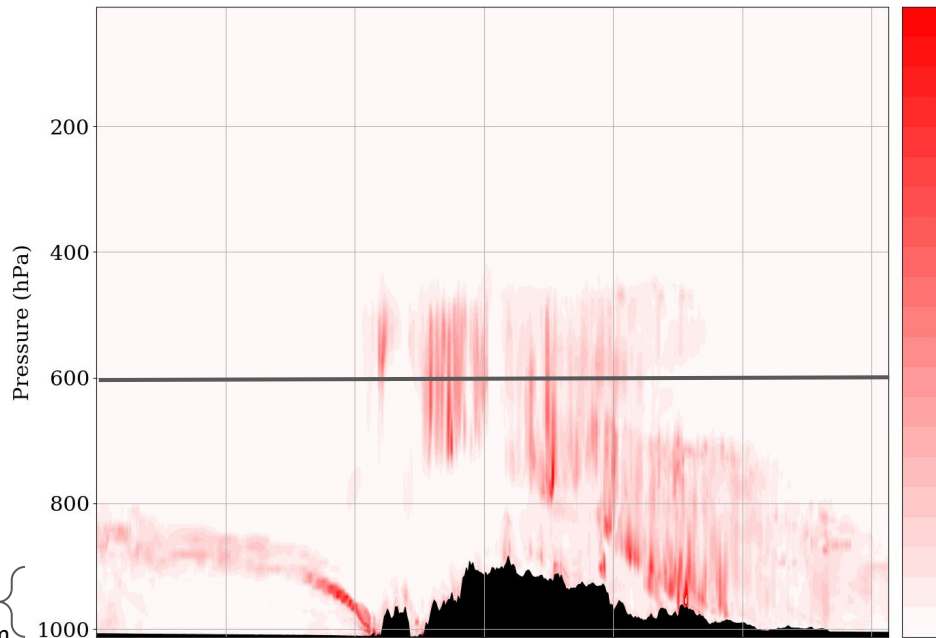
We see clear effect on the spread of increasing the SDEV when SPPT acts alone.
This effect is almost completely wiped out when combined with the other perturbations

What's happening? Looking at tendencies

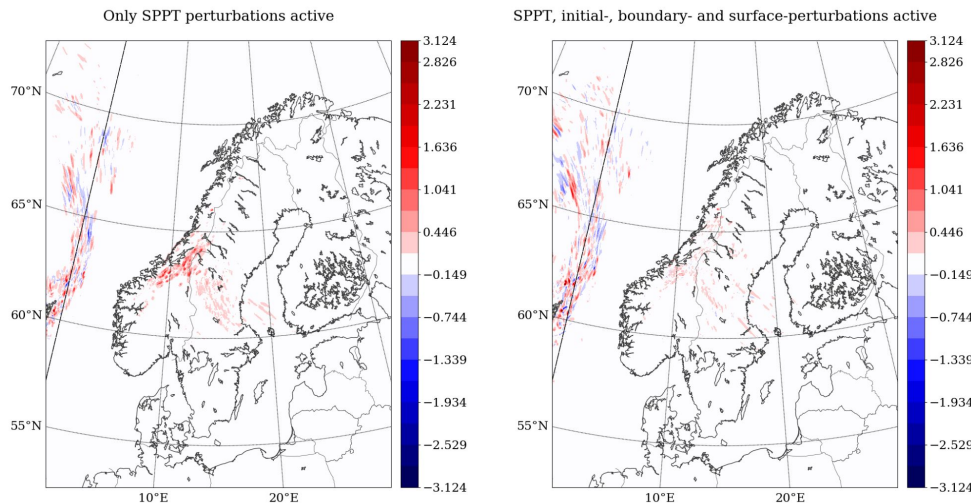
In the following looking at 3h accumulated humidity tendencies for the cross section shown and two levels 61 (~1000 hPa) and 28 (~600 hPa). Levels and cross sections chosen based on where the accumulated tendencies are “large”.



SPPT
tapered
< 1200 m



Difference in ensemble standard deviation for two experiments where SPPT standard deviation is 0.9 and 0.3



Only SPPT

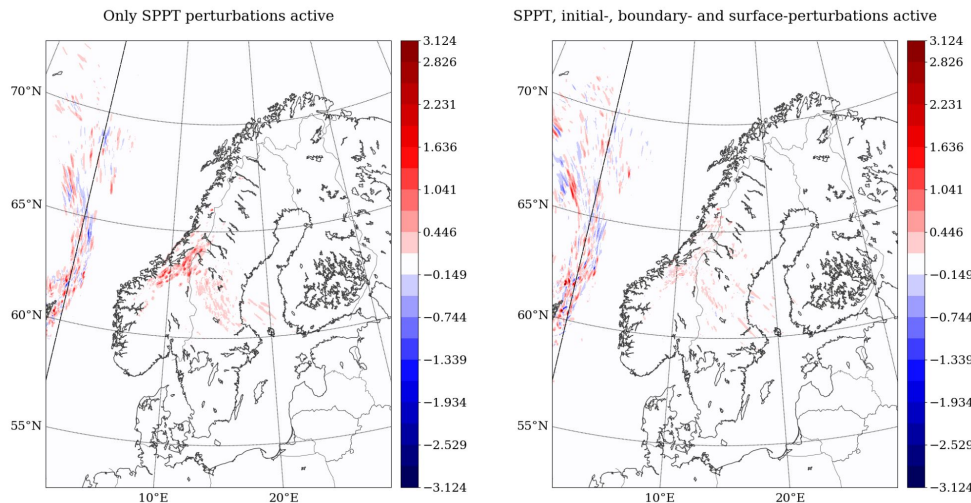
all other
perturbations on
in addition to
SPPT

Effect of SPPT SDEV level 28

SDEV for 3h acc. humidity
tendencies for 2019020100
+24h

In line with the spread curves shown previously, we clearly see the effect of the increased SPPT standard deviation when SPPT is the only perturbation (left). The effect seen from increasing the size of the SPPT perturbations is much smaller over the main active area in the middle part of Norway when all other perturbations are also applied (right)

Difference in ensemble standard deviation for two experiments where SPPT standard deviation is 0.9 and 0.3



Only SPPT

all other
perturbations on
in addition to
SPPT

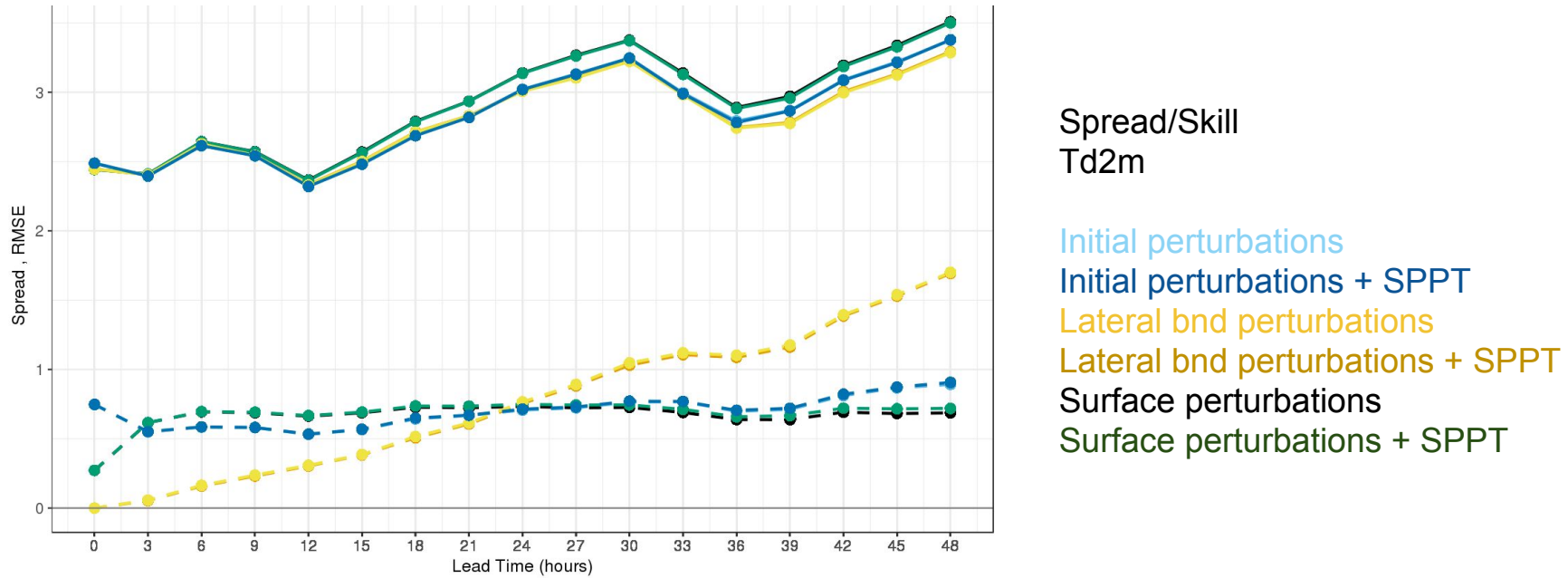
Effect of SPPT SDEV level 28

SDEV for 3h acc. humidity
tendencies for 2019020100
+24h

Which perturbations mask the effect of SPPT?

In line with the spread curves shown previously, we clearly see the effect of the increased SPPT standard deviation when SPPT is the only perturbation (left). The effect seen from increasing the size of the SPPT perturbations is much smaller over the main active area in the middle part of Norway when all other perturbations are also applied (right)

Effect of SPPT combined with other perturbations separately



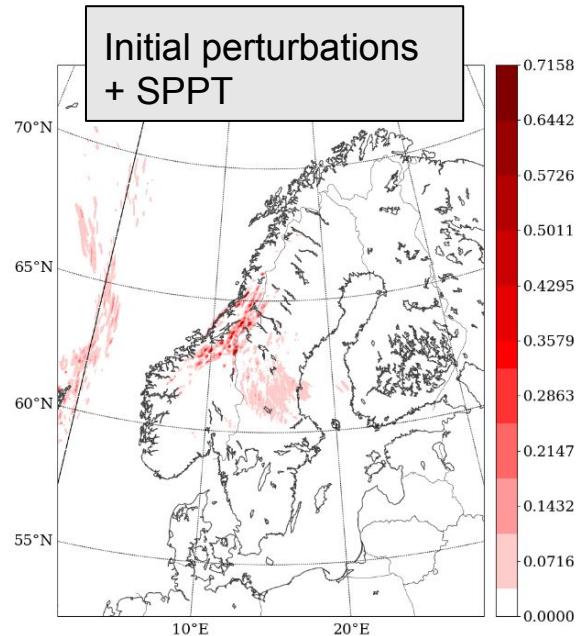
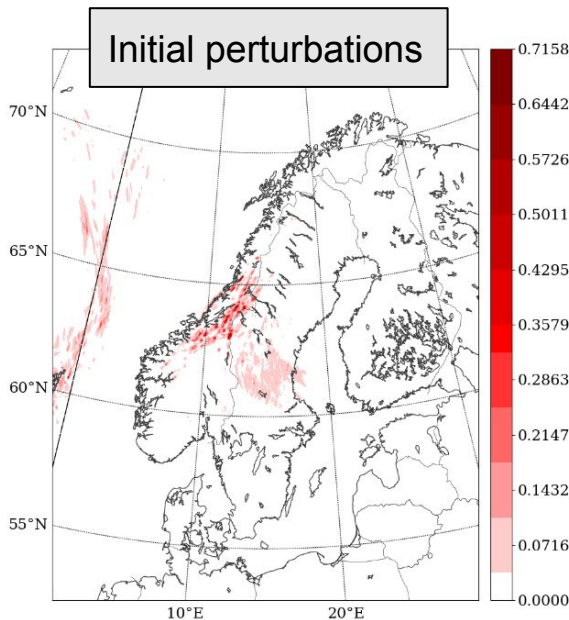
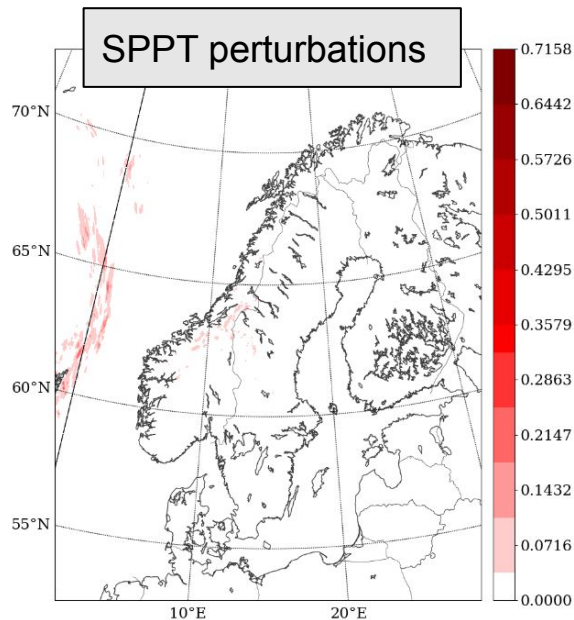
Somewhat extra spread on top of the surface perturbations, otherwise close to nothing

SPPT gives variability in the tendencies in the same places as the initial perturbations

The areas where SPPT adds variability are in the same locations as the variability generated by the initial condition perturbations, and very little extra is introduced by SPPT

**initial pert. + SPPT -
level 28**

**SDEV for 3h acc. humidity
tendencies for 2019020100
+24h**

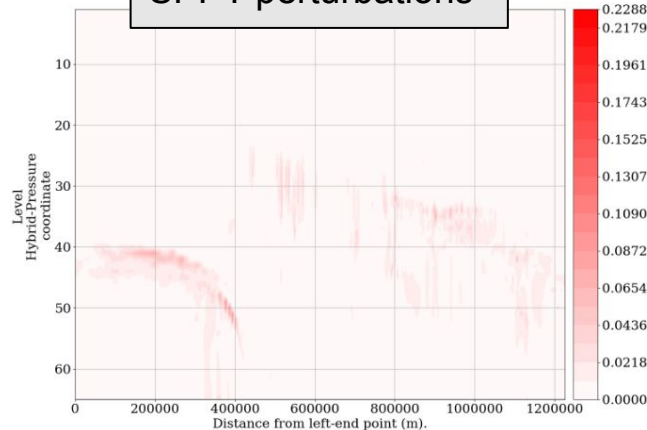


As for the initial perturbations, SPPT perturbations are also clearly masked by the lateral boundary perturbations - throughout the atmospheric column

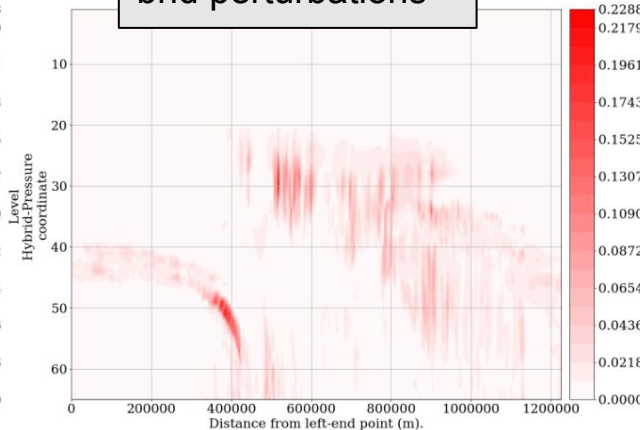
boundary pert. + SPPT -

SDEV for 3h acc. humidity
tendencies for 2019020100
+24h

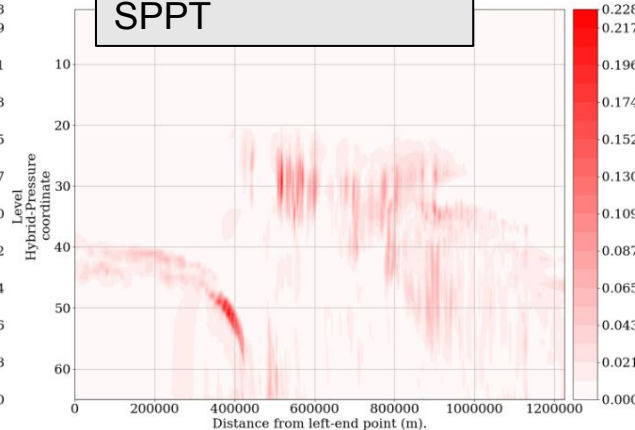
SPPT perturbations



bnd perturbations



bnd perturbations +
SPPT



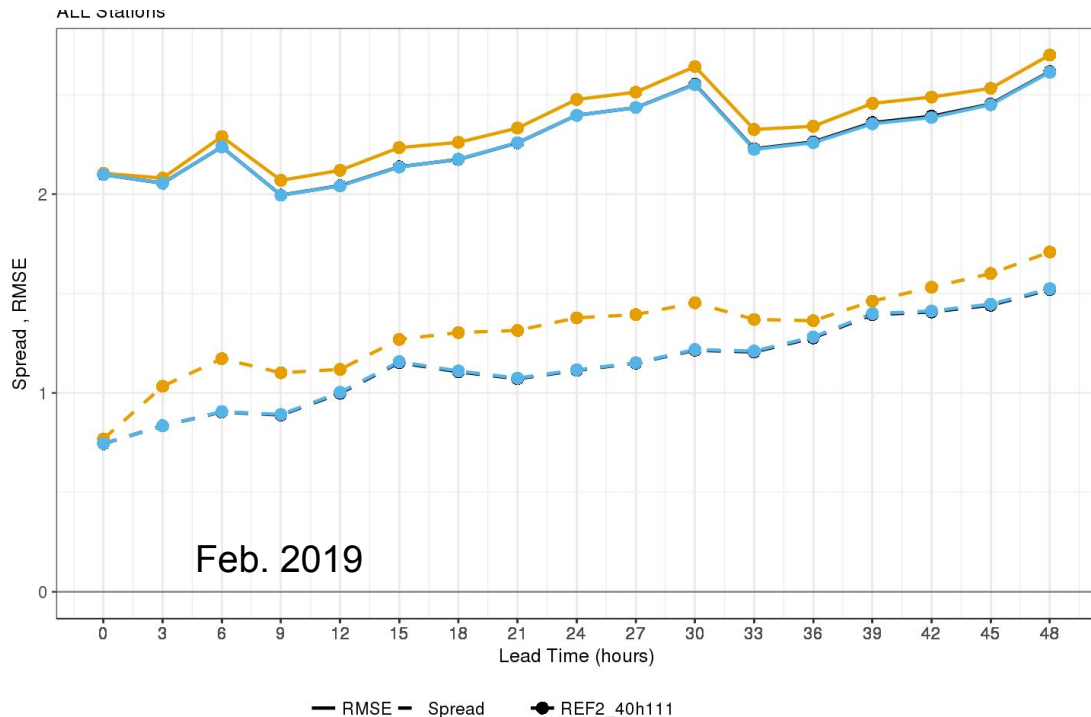
SPPT in (current setup) does not give much benefit in HarmonEPS, despite a big effort to find optimal settings (time scale, length scale, standard deviation and pattern generator work)

What about SPP, does it have the same problem?

No, SPP adds spread!

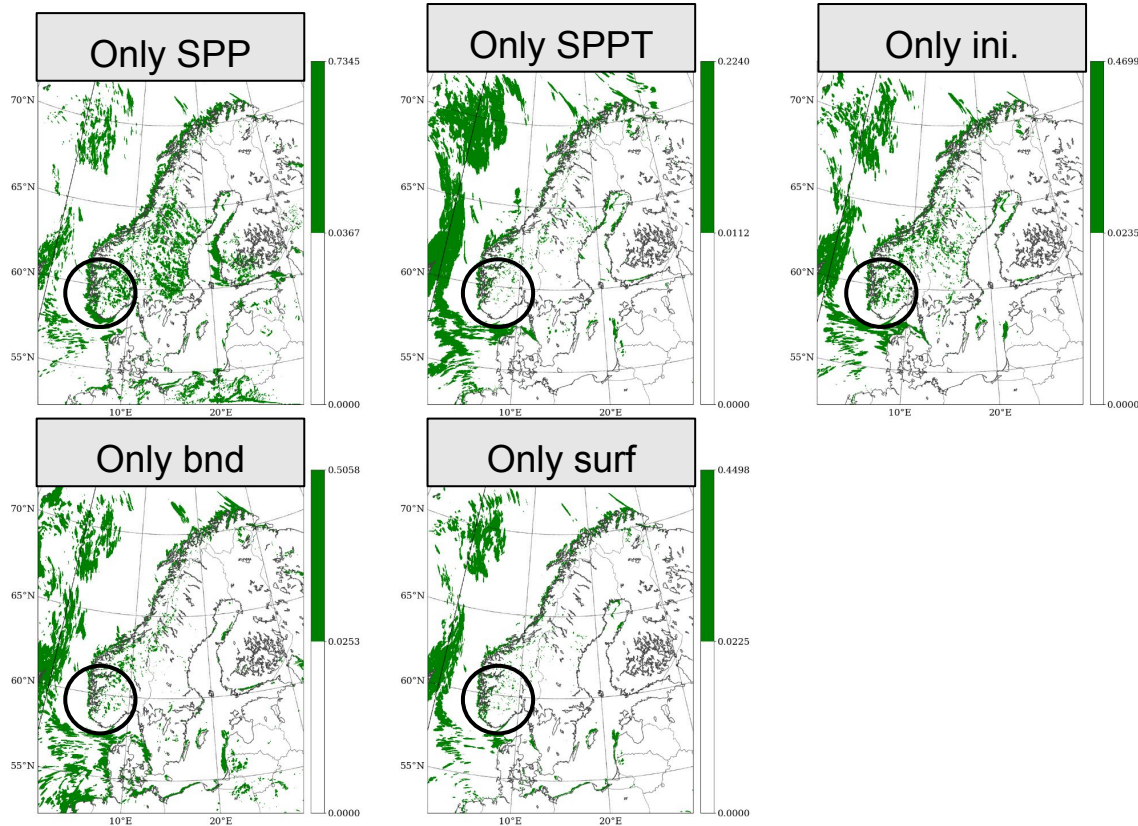
(also increased RMSE here, will come back to this later)

Is it due to perturbations being introduced in other geographical areas (or in other weather situations), is it due to an amplification of the spread already created by the other perturbations, or a combination?



- Default pert.
- + SPPT
- + SPP

How does the tendencies look for SPP compared to the other perturbations?

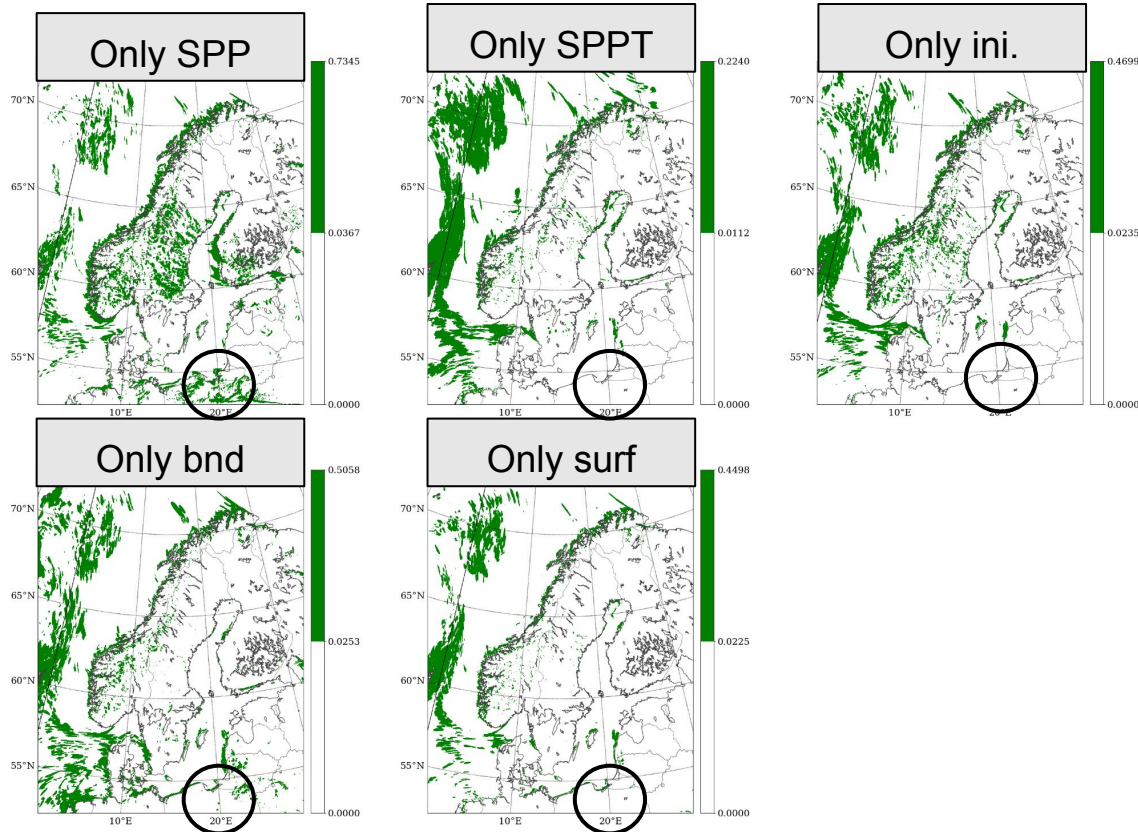


level 61
SDEV for 3h acc.
humidity tendencies for
2019020100 +24h

The scaling in the plots are constructed to highlight the areas where the different perturbations add variability, with the transition from white to green equaling the maximum value in each plot divided by 20 (favourising SPPT)

SPP shows active areas where the other perturbations do not

How does the tendencies look for SPP compared to the other perturbations?

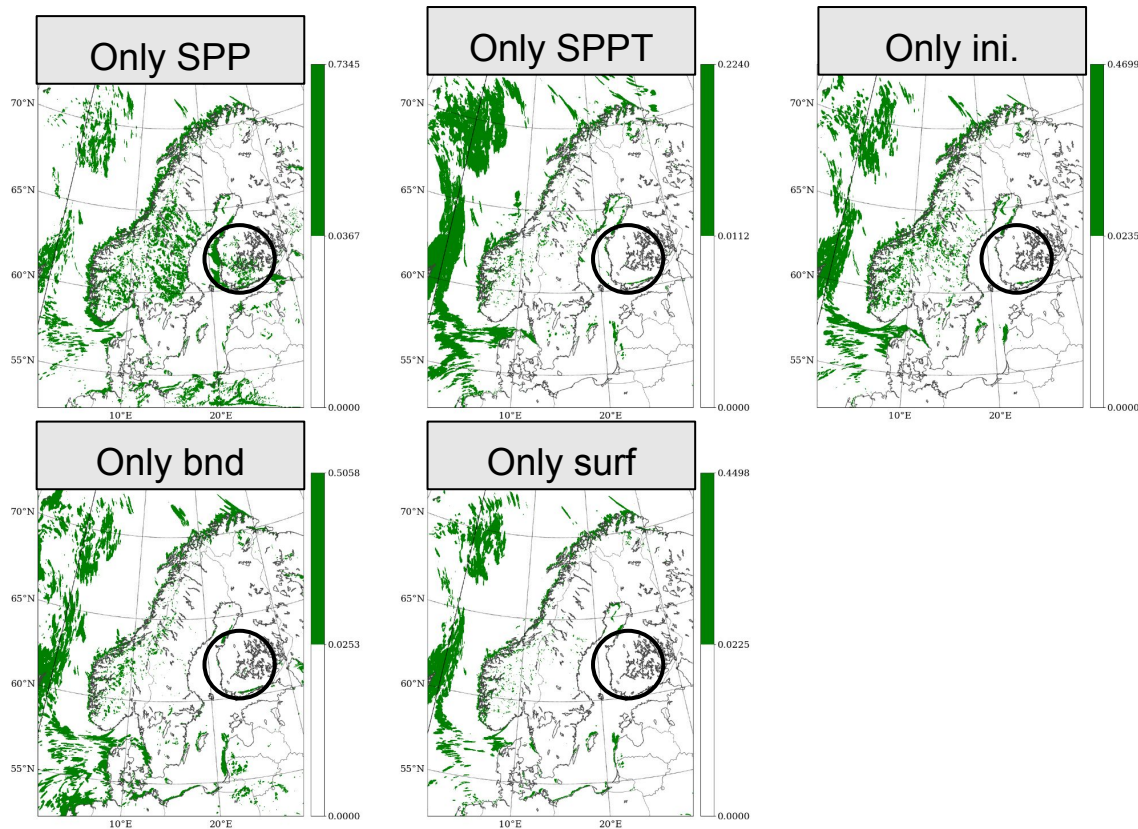


level 61
SDEV for 3h acc.
humidity tendencies for
2019020100 +24h

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How does the tendencies look for SPP compared to the other perturbations?



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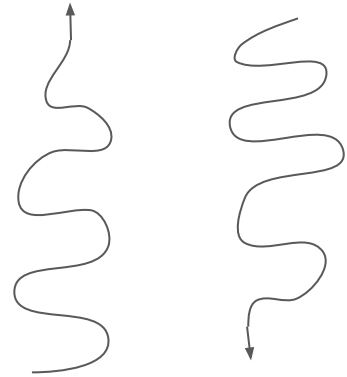
SPP in HarmonEPS - currently 11 parameters



6 for clouds and microphysic



3 for turbulence

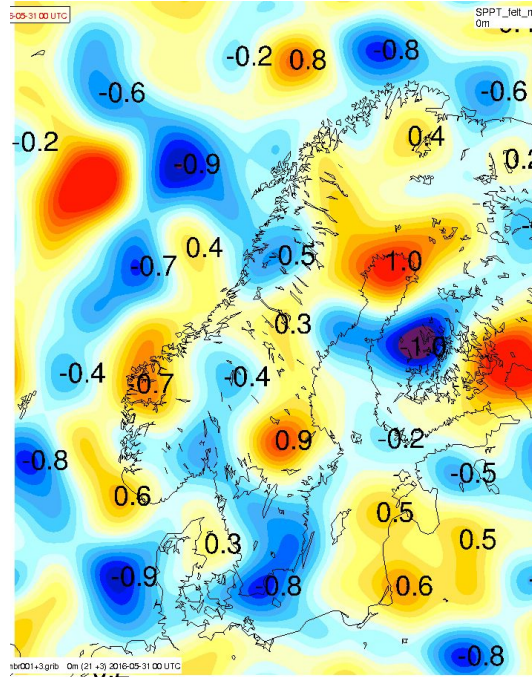


2 for radiation

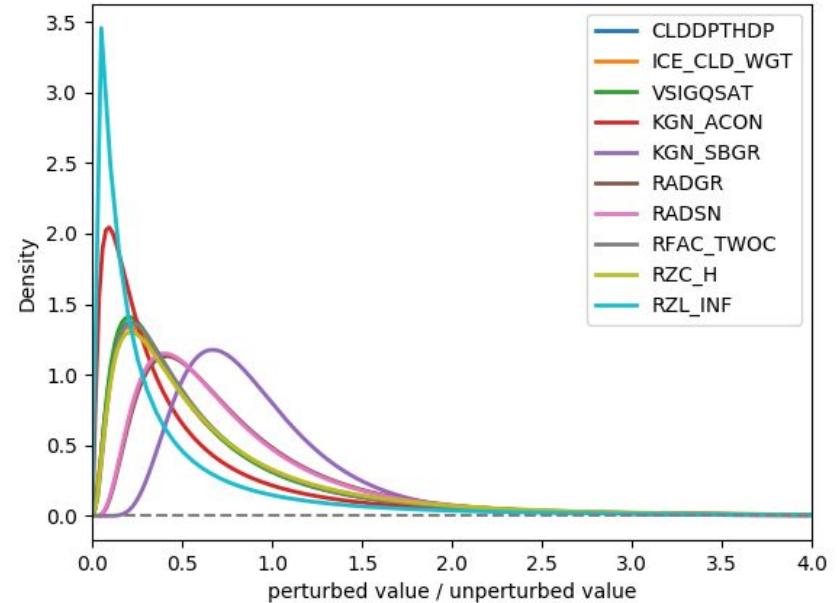
The perturbation characteristics

The pattern generator accounts for the proportionality of scales (Tsyrlnikov and Gayfulin 2017)

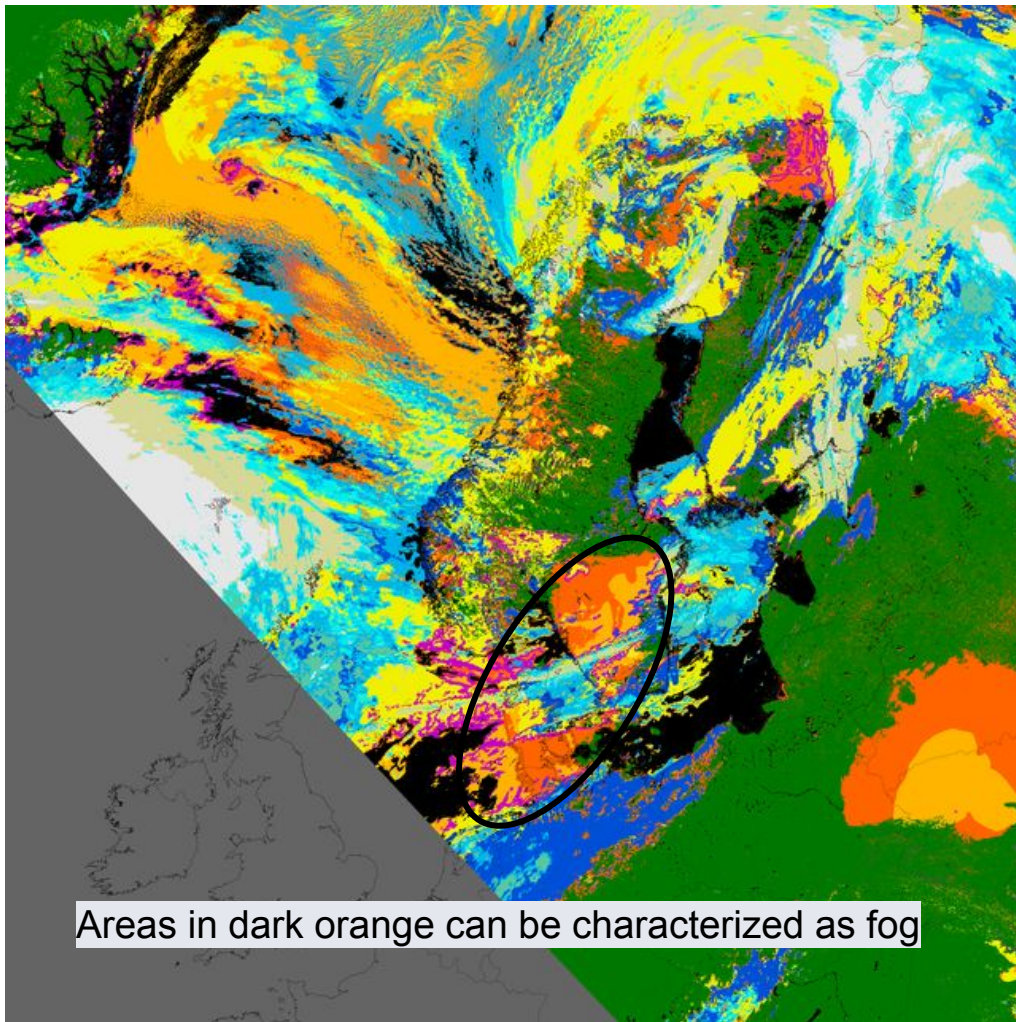
All parameters perturbed using the same spatial and temporal scales, but with a unique random seed



Spatial scale: 200km
Temporal scale: 12h



Lognormal distributions
The mean is correlated with
the unperturbed value

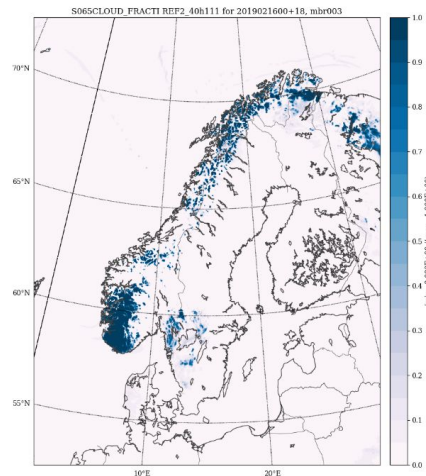
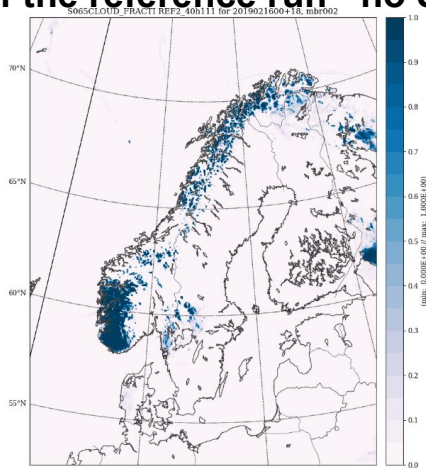
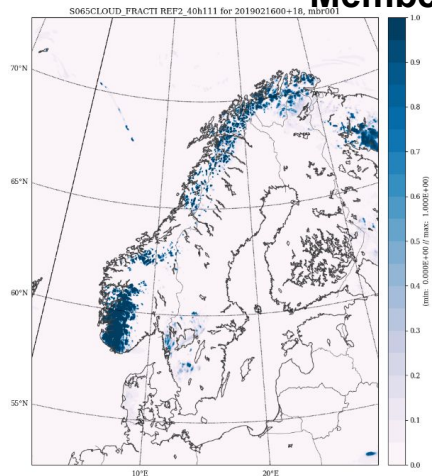


A case with poorly predicted fog

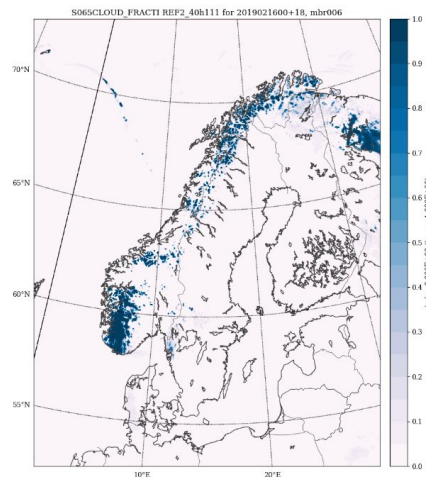
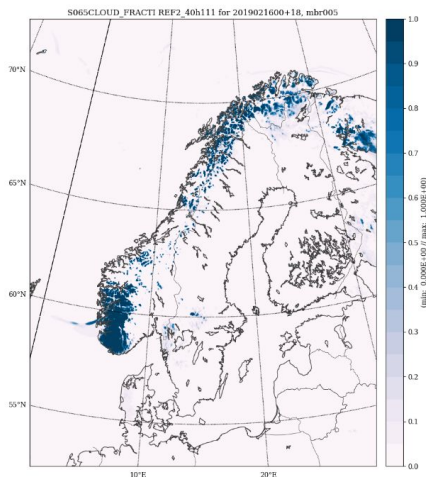
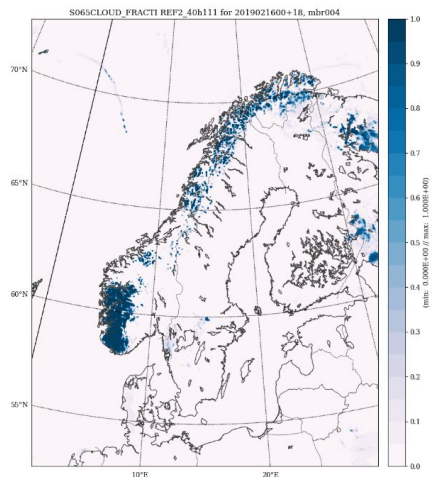
Satellite image is from 16 February 2019 showing widespread areas of fog covering southern Sweden and Denmark, and some areas with scattered fog over southwestern Norway

Areas in dark orange can be characterized as fog

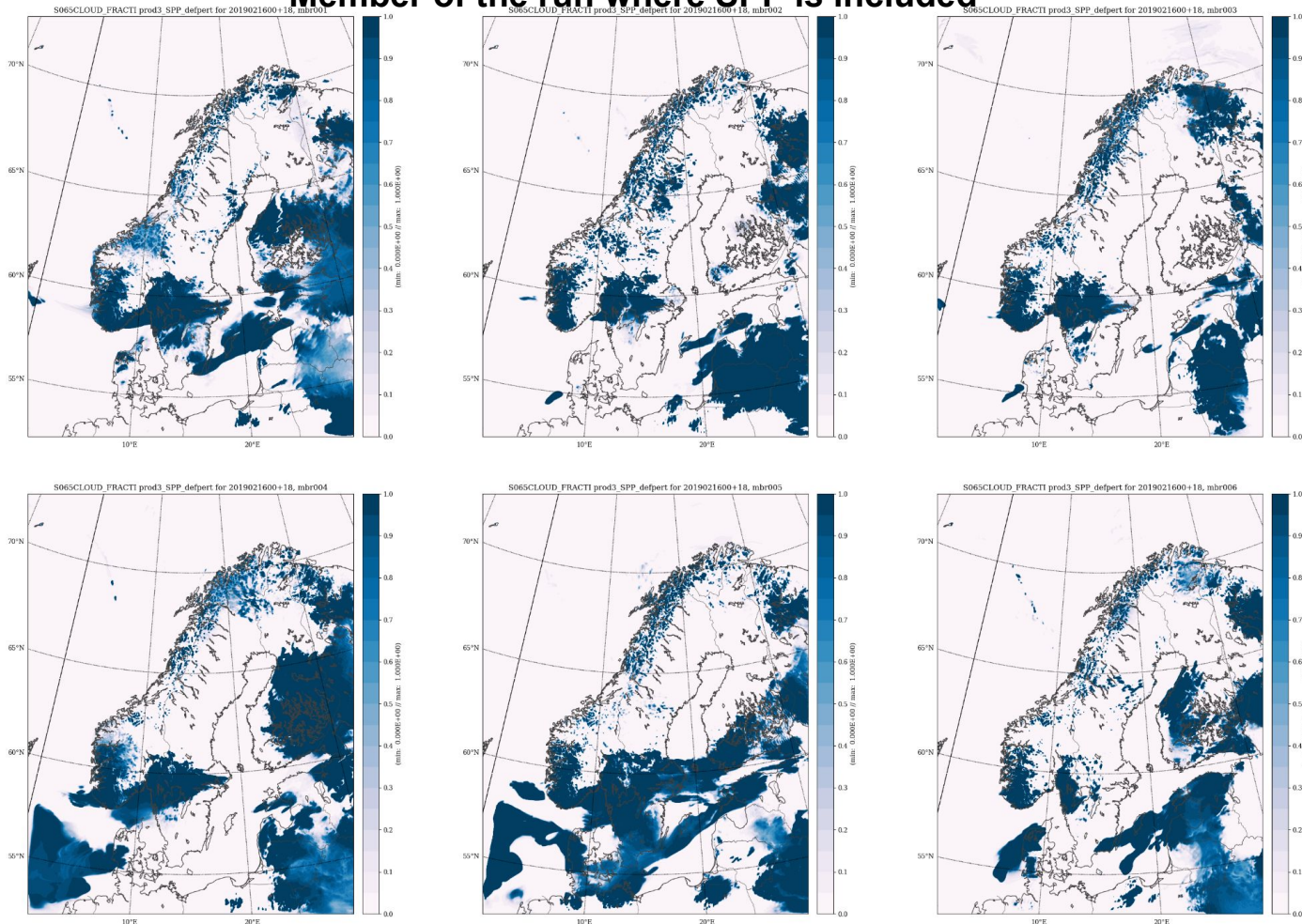
Member of the reference run - no SPP



No SPP:
all the perturbed
members represent the
scattered fog quite
well, but the larger fog
covered areas in
Sweden and Denmark
are not present in the
forecasts at all.



Member of the run where SPP is included



With SPP:
we see a *larger variability* between the ensemble members and a *tendency for more fog*. The fog predicted in the reference is still present, but in addition we find *larger areas of fog in better agreement with the satellite image*.

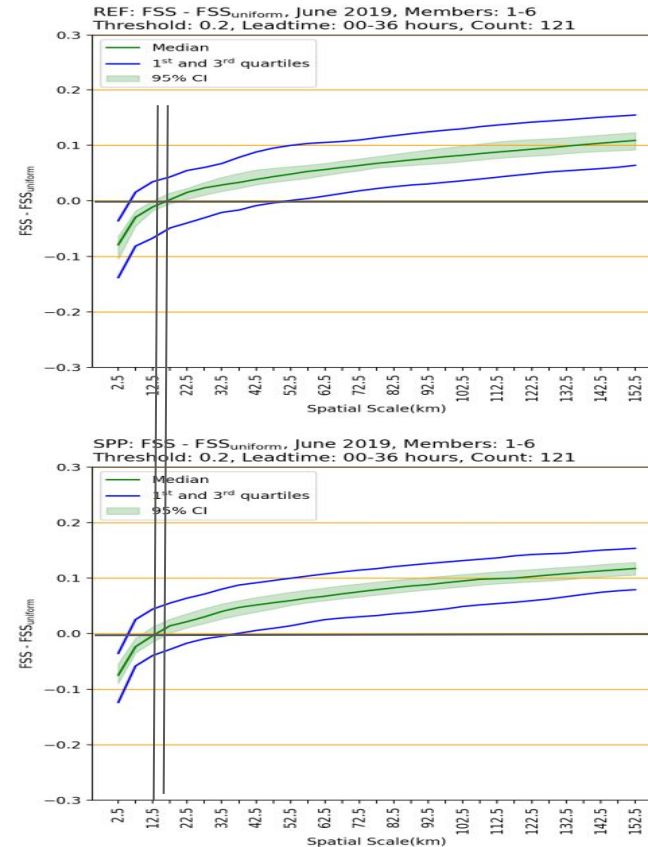
Fractions Skill Score (FSS) for total cloud cover - assessed against satellite-observed cloud mask

More than 50% of the model's domain was covered by clouds in the satellite data -> the model performance is assessed by forecasting clear areas instead of clouds

Threshold = 0.2, a low threshold means more clouds and less cloud-free grid cells. Mimics the cloud mask generation algorithm which describes a cell as being cloudy even when only thin cirrus clouds are present

Skillful forecast for scales $FSS > FSS_{\text{uniform}}$,
 $FSS_{\text{uniform}} = 0.5 + f$
 f is the fraction of cloud-free grid cells, calculated from satellite observations.

The median crosses the zero-line at ~12.5 km for SPP and ~17.5 km for REF -> SPP gives a forecast which has value at somewhat smaller scale



FSS -
FSS_{uniform}

REF

REF + SPP

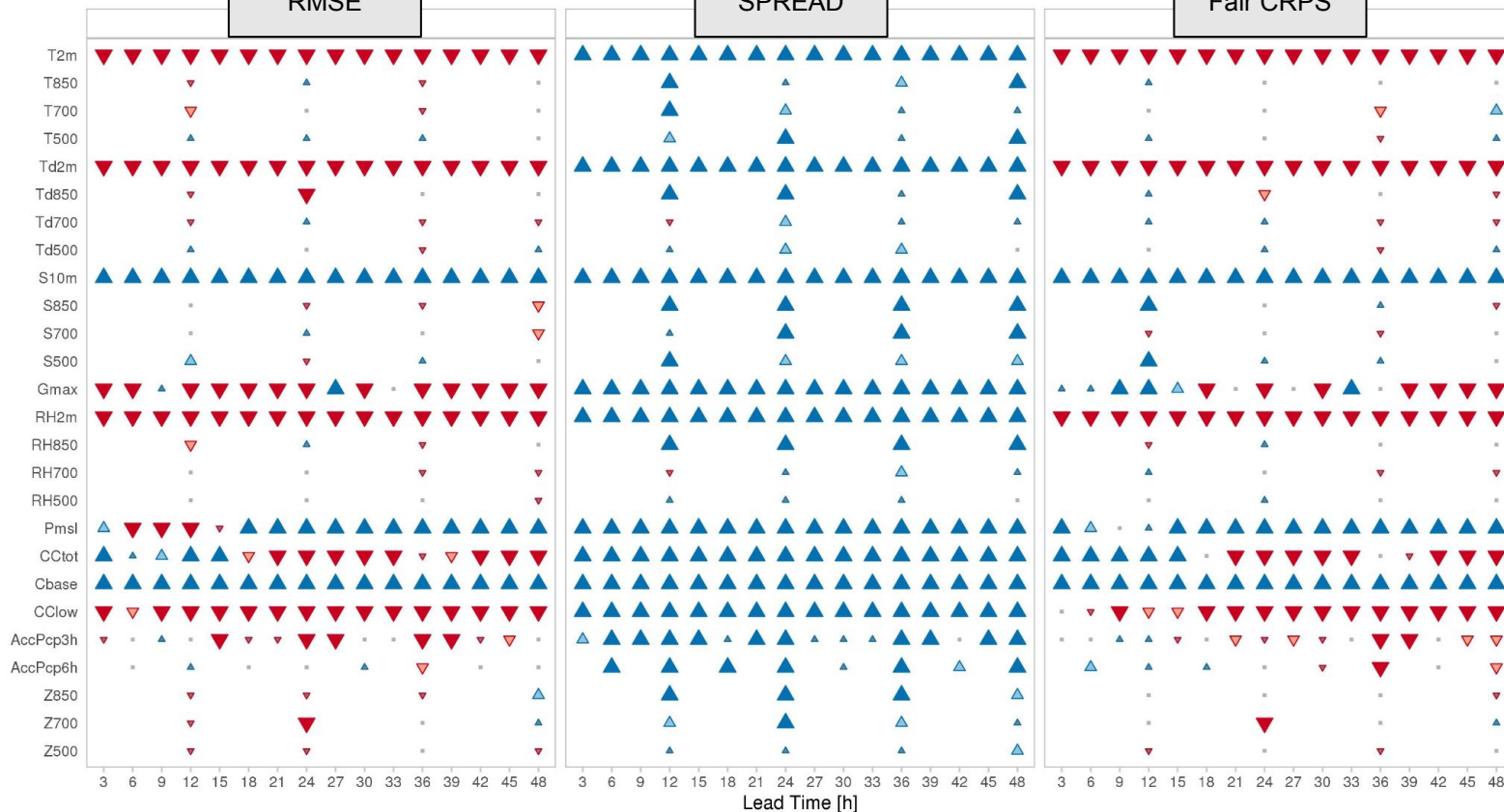
June 2019

RMSE

SPREAD

Fair CRPS

Feb. 2019



▼ With SPP worse than REF with significance > 99.7%

▼ With SPP worse than REF with significance > 95%

▼ With SPP worse than REF with significance > 68%

• No significant difference between REF and with SPP

▲ With SPP better than REF with significance > 68%

▲ With SPP better than REF with significance > 95%

▲ With SPP better than REF with significance > 99.7%

SPP vs
reference

The fair version of CRPS
corresponds to the expected
CRPS of an infinite sized
ensemble

RMSE

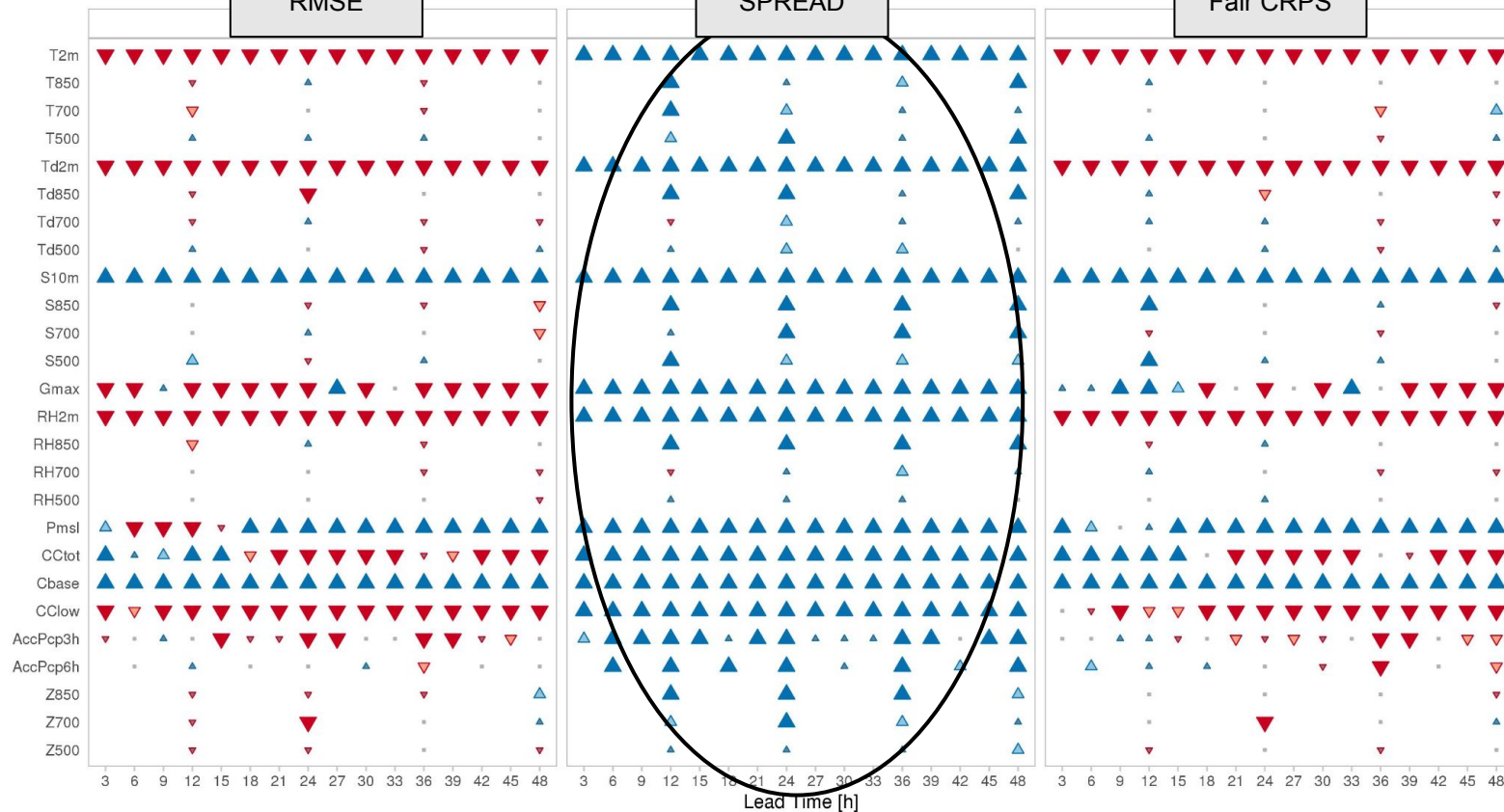
SPREAD

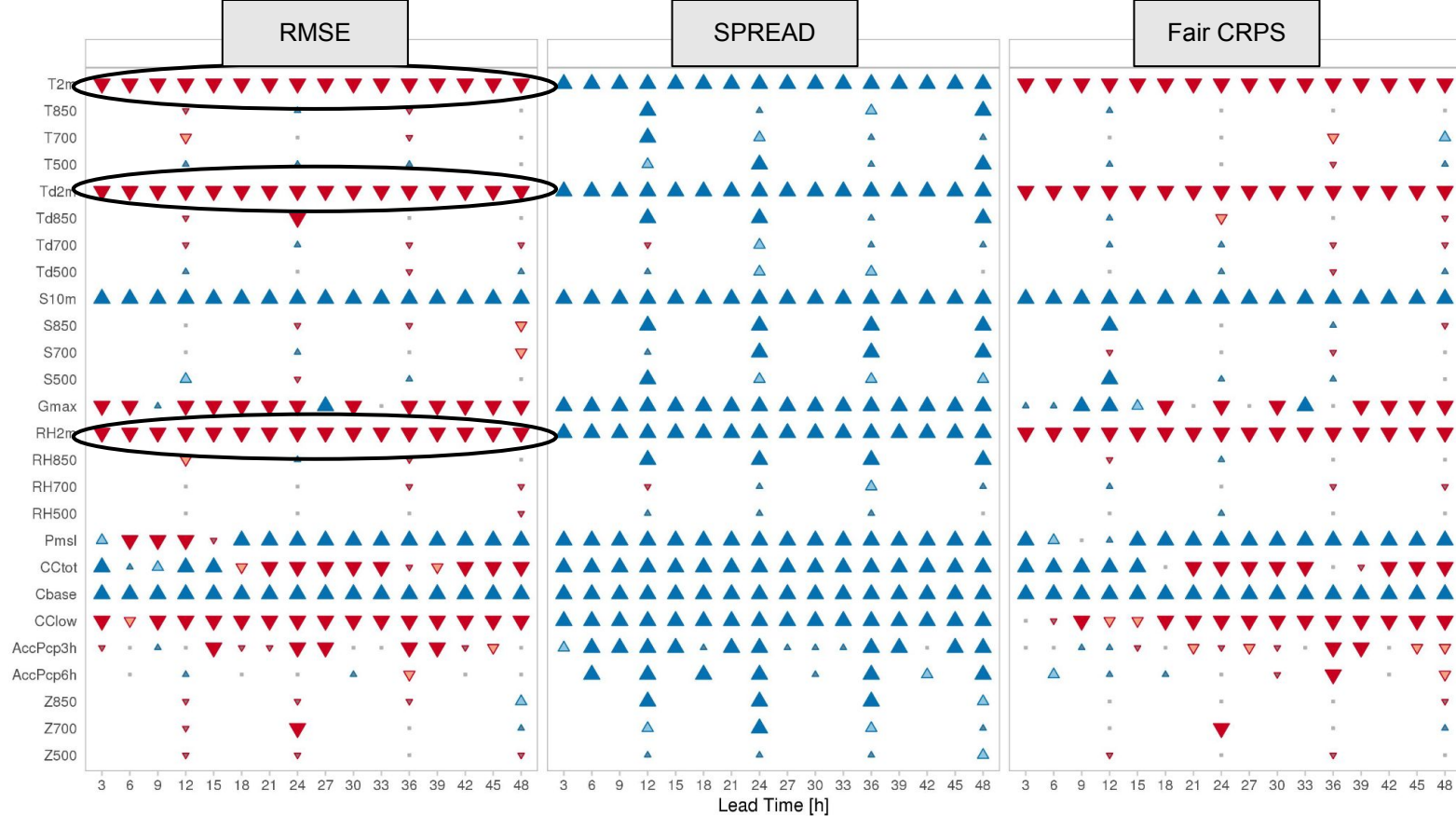
Fair CRPS

Feb. 2019

- Spread is clearly increased

SPP vs reference





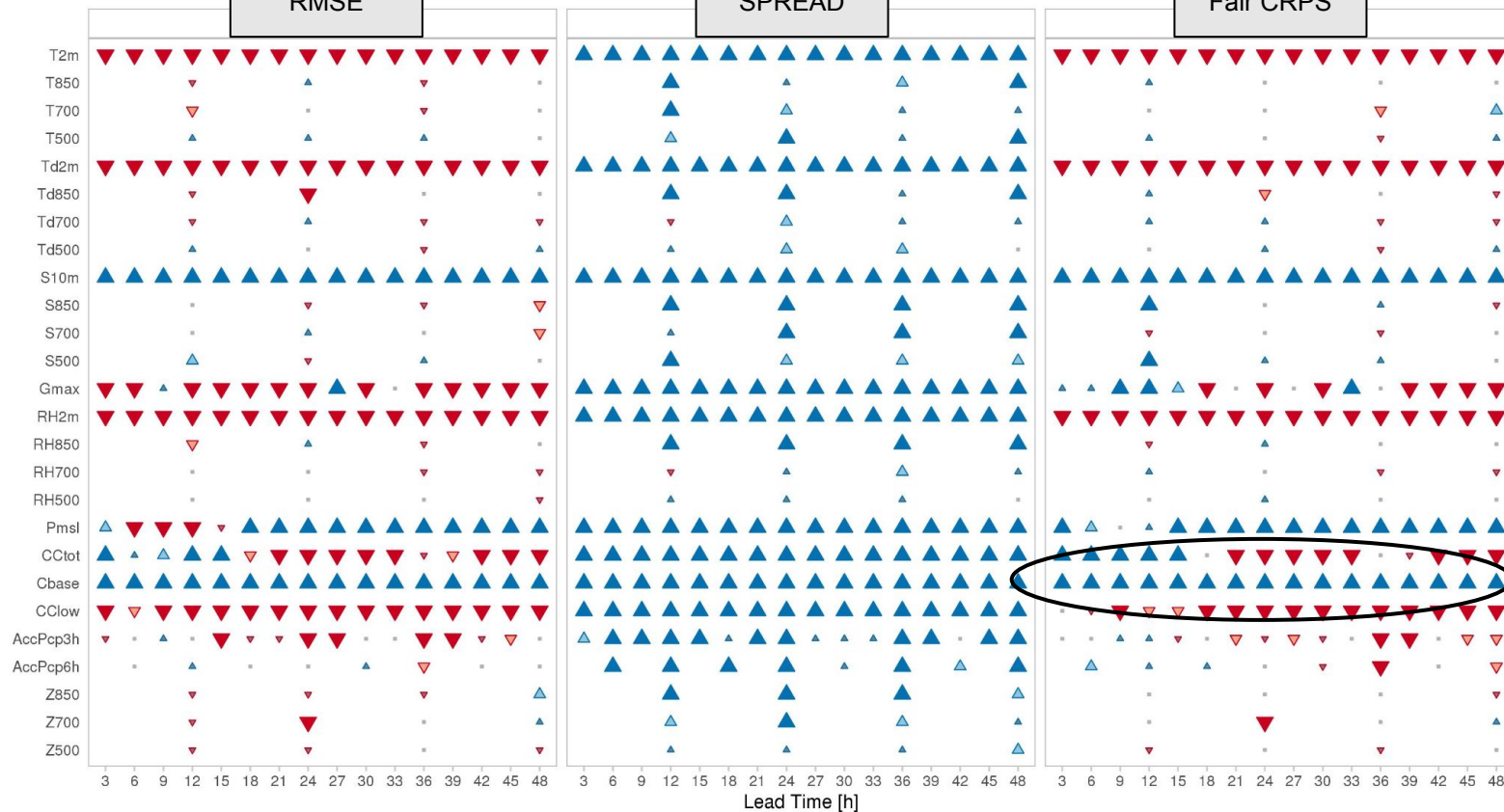
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RMSE

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▲ With SPP better than REF with significance > 68%

▲ With SPP better than REF with significance > 95%

▲ With SPP better than REF with significance > 99.7%

- CRPS is somewhat better for the cloud variables, worse for e.g. 2m variables

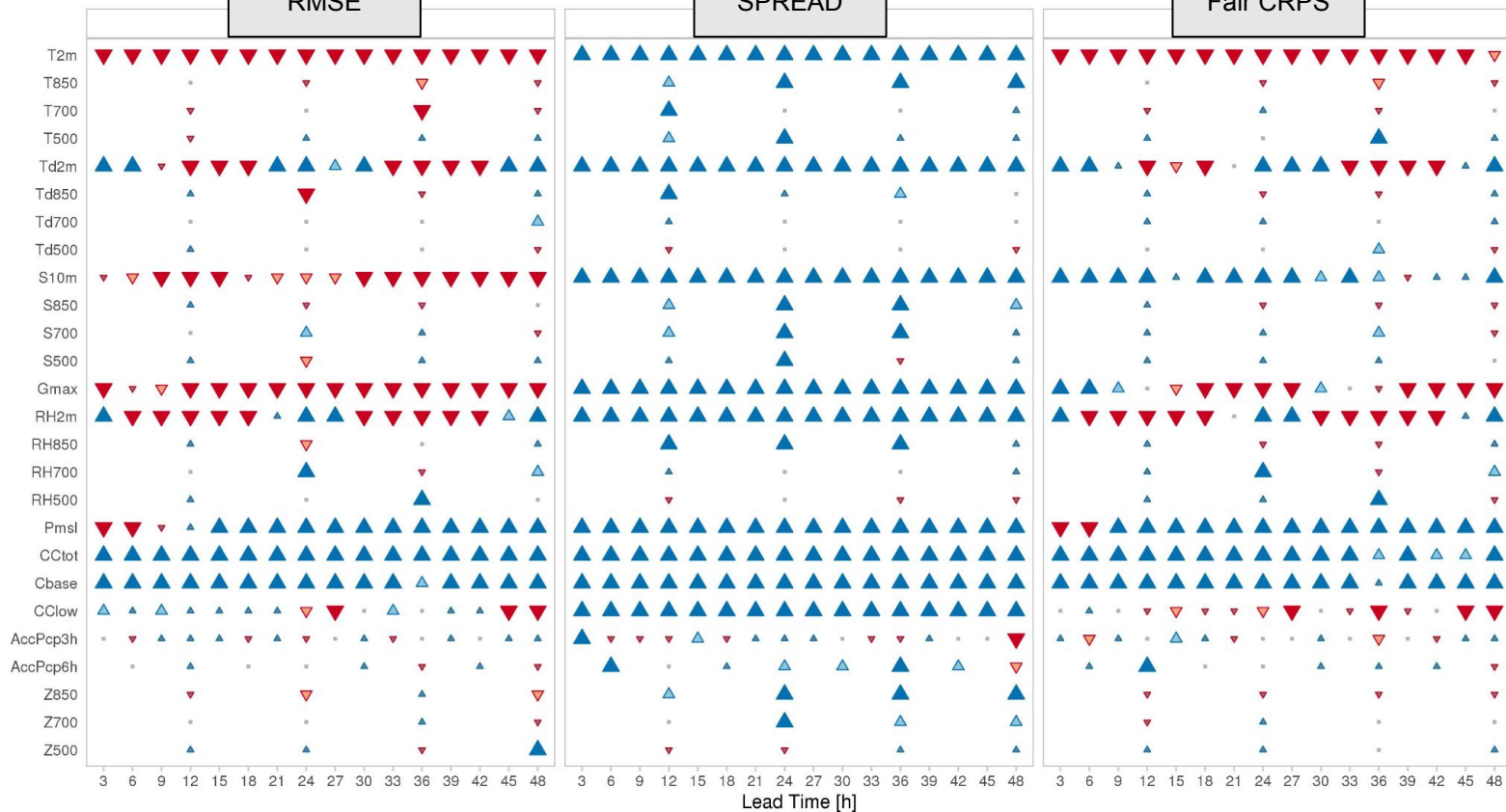
SPP vs
reference

RMSE

SPREAD

Fair CRPS

June 2019

SPP vs
reference

RMSE

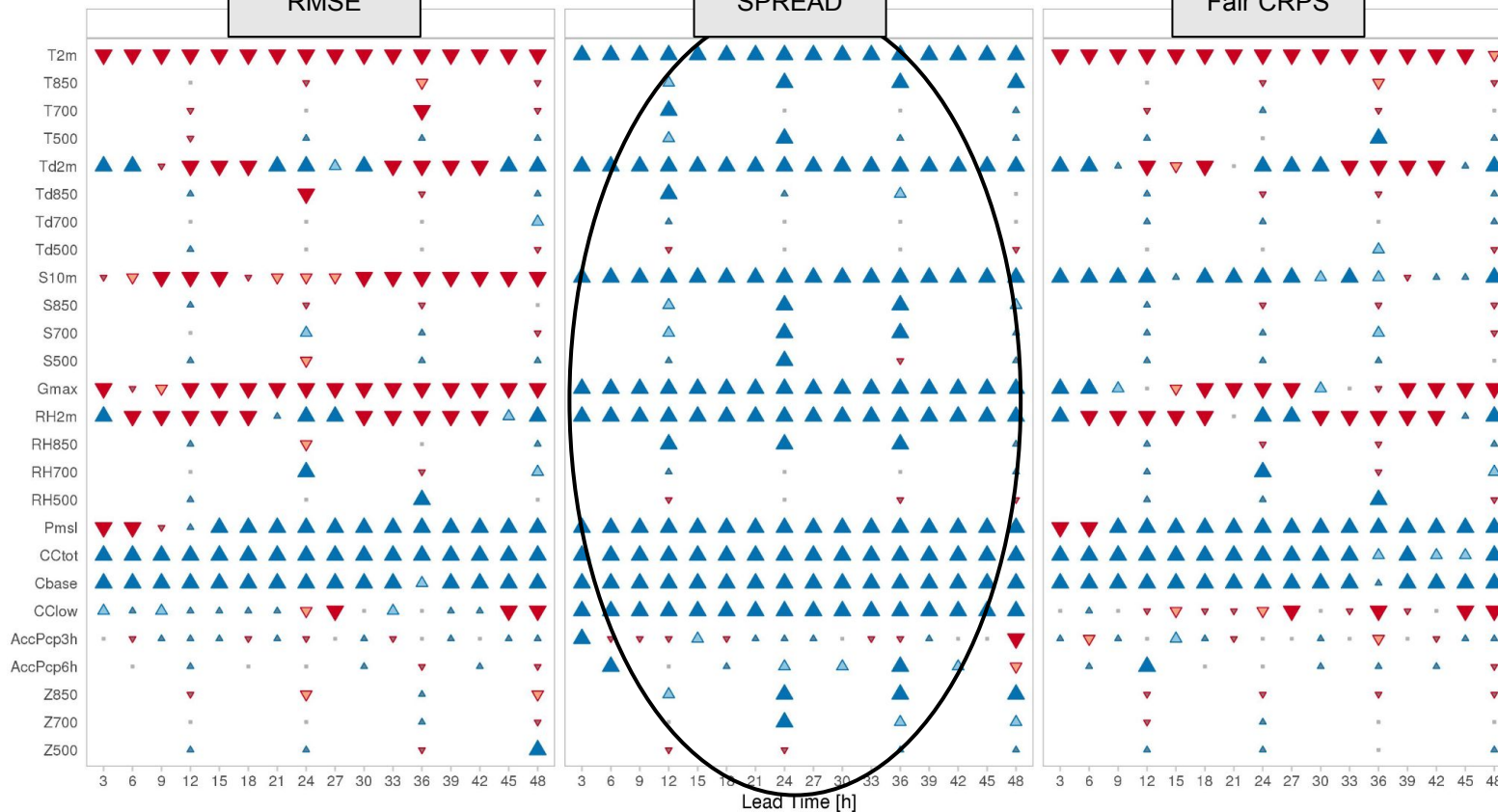
SPREAD

Fair CRPS

June 2019

- Spread is clearly increased also for summer period

SPP vs reference



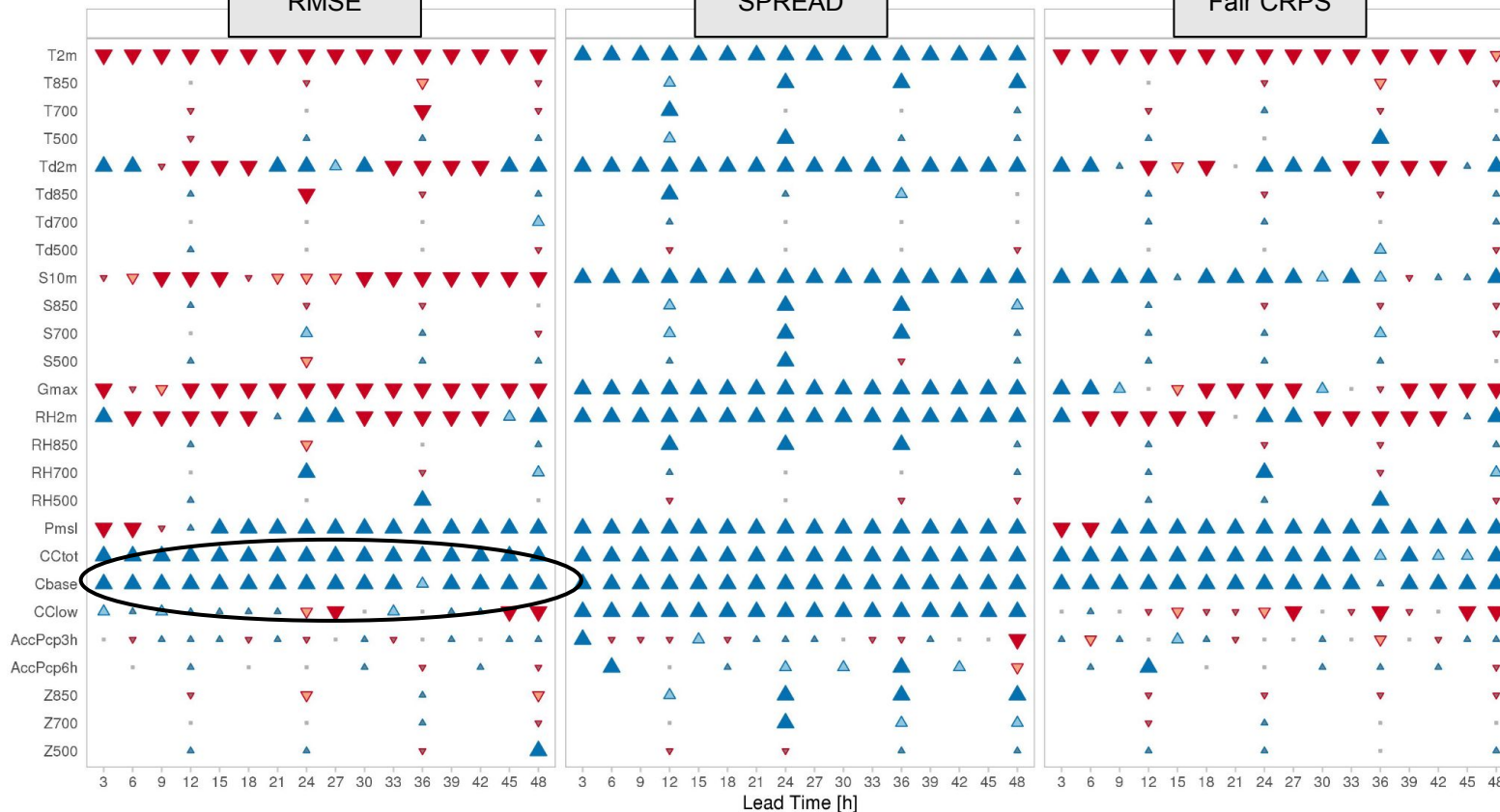
RMSE

SPREAD

Fair CRPS

June 2019

- RMSE is worse for many parameters also for summer, but now better for cloud variables



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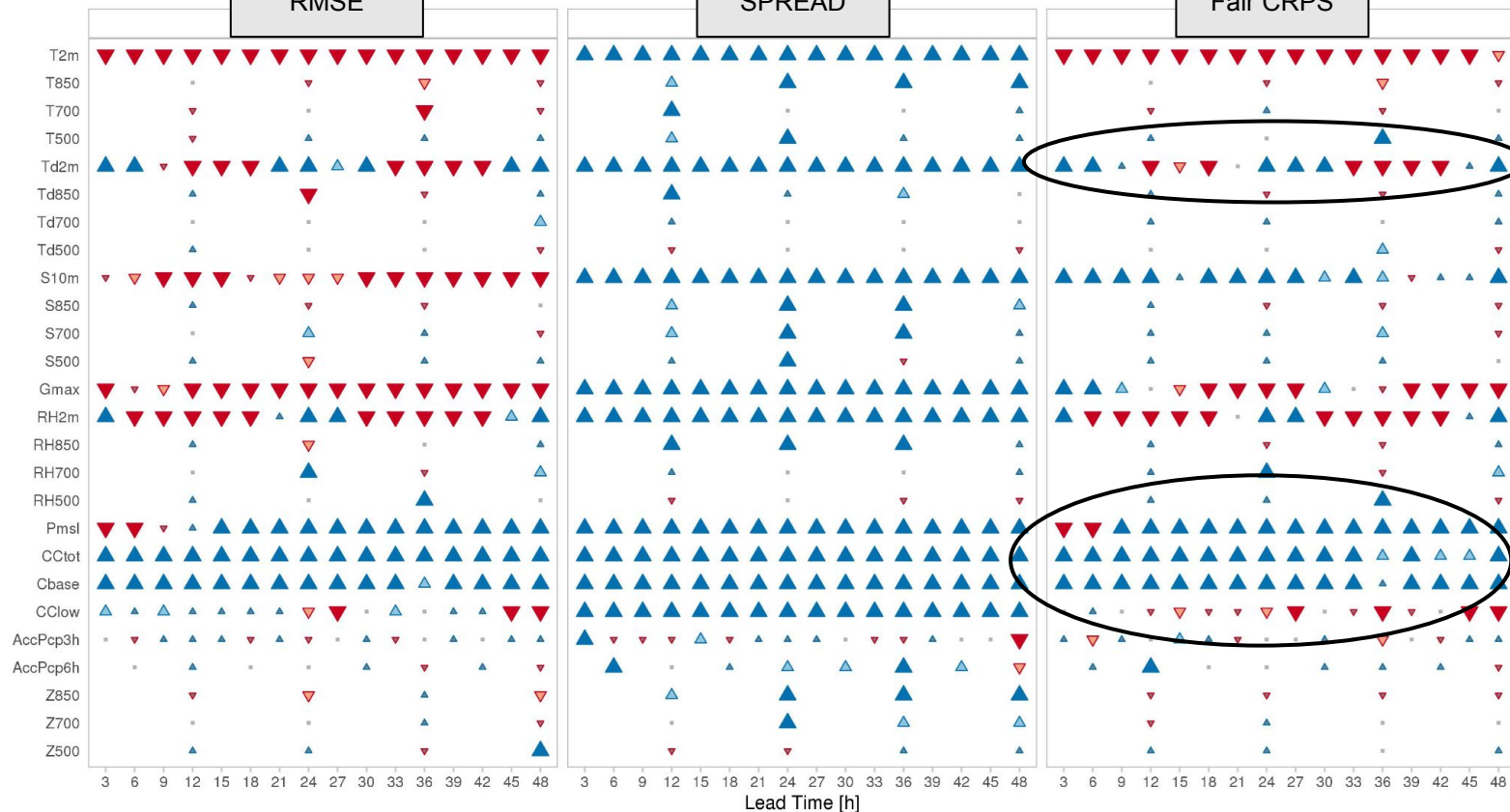
SPP vs
reference

RMSE

SPREAD

Fair CRPS

June 2019



- CRPS: still problems for the 2m variables. Better than for winter for some variables

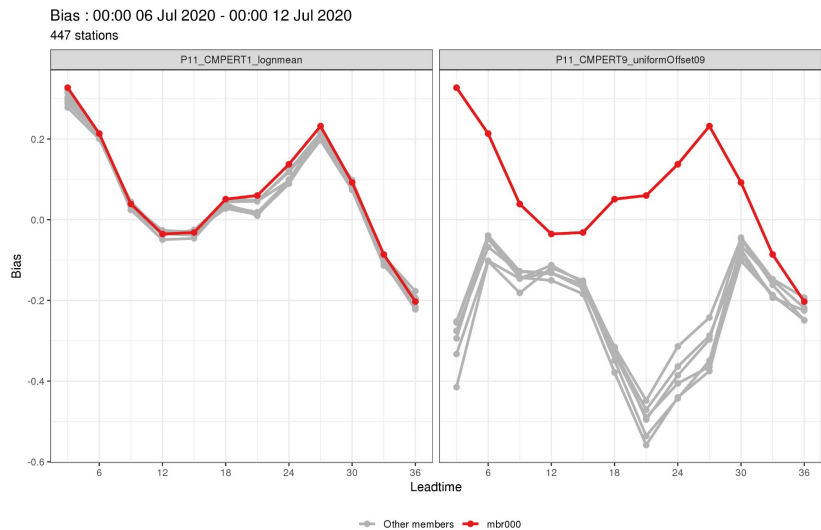
SPP vs reference

- ▼ With SPP worse than REF with significance > 99.7%
- ▼ With SPP worse than REF with significance > 95%
- ▼ With SPP worse than REF with significance > 68%
- * No significant difference between REF and with SPP

- ▲ With SPP better than REF with significance > 68%
- ▲ With SPP better than REF with significance > 95%
- ▲ With SPP better than REF with significance > 99.7%

Bias when changing the pdf of a parameter in SPP:

Example for 10 m wind speed
using two different pdfs:



BIAS

Control member

All other members

Verification for S10m

SPP is sensitive to bias change of members vs. control

Should not push the ensemble members in one direction (always warmer, always drier etc.)

Care should be taken when deciding on the pdfs - see talk on Wednesday by Aristofanis Tsiringakis

Conclusions

- SPPT in HarmonEPS adds very little
 - SPPT was only able to create variability in the same geographical areas as the other perturbations, for the cases looked at
- SPP
 - SPP is able to add variability in geographical areas where the other perturbations are not active
 - but there are some problems with changed bias and increased RMSE in this first setup
 - SPP works well for the convection-permitting ensemble tested here, even when perturbing so few parameters involved in a rather limited set of physical parameterizations and processes within them

Further work and prospects for SPP

- Add more parameters to the scheme, extend to surface
 - Continue the work on the parameter pdfs and correlations
 - Test more distributions?
 - Need for more automatic tuning - utilize the one column model?
 - Play with the temporal and spatial scales - different for different parameters?
-
- The bias/RMSE issue - see presentation by Aristofanis Tsiringakis et al. on Wednesday
 - SPP setup for operations - see presentation by Aristofanis Tsiringakis et al. on Wednesday
 - SPP and cost reduction / SPP and single precision - see poster by James Fannon et al.
 - SPP to be introduced in preoperational run in:
 - June 2022 in the MetCoOp ensemble (Norway, Sweden, Finland, Estonia)
 - Q3 2022 in the UWC-W ensemble (The Netherlands, Denmark, Ireland and Iceland)
 - The Netherlands (KNMI) ensemble during 2022

Model Uncertainty Representation in a Convection-Permitting Ensemble—SPP and SPPT in HarmonEPS

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JANNE KAUKHANEN,^c KARL-IVAR IVARSSON,^b AND DANIEL YAZGI^b

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(Manuscript received 30 April 2021, in final form 15 December 2021)

Thank you for your attention!

Extra

SPP - currently 11 parameters used

SPP gives statistically indistinguishable ensemble members

The conservation properties and internal consistency are preserved

No.	Description	PAR.	Det.	STD#1	STD#2	95 perc.	Type
1)	Threshold for cloud thickness used in shallow/deep convection decision	CLDDPTHDP	4000	0.1	0.4	2.21	CONV
2)	Cloud ice content impact on cloud thickness	ICE_CLD.WGT	1	0.1	0.4	2.23	IM
3)	Ice nuclei concentration	ICENU	1	0.35	0.7	13.48	IM
4)	Saturation limit sensitivity for condensation	VSIGQSAT	0.03	0.1	0.4	2.17	LM
5)	Kogan autoconversion speed	KGN_ACON	10	0.25	0.5	2.06	LM
6)	Kogan subgrid scale (cloud fraction) sensitivity	KGN_SBGR	0.5	0.1	0.2	1.77	LM
7)	Graupel impact on radiation	RADGR	0.5	0.15	0.3	1.99	RAD
8)	Snow impact on radiation	RADSN	0.5	0.15	0.3	2.03	RAD
9)	Top entrainment efficiency	RFAC_TWO_COEF	2	0.1	0.4	2.07	TURB
10)	Stable conditions length scale	RZC_H	0.15	0.1	0.4	2.38	TURB
11)	Asymptotic free atmospheric length scale	RZL_INF	100	0.15	0.6	1.87	TURB

- Det. is the deterministic value of the parameter
- STD#1 is the original standard deviation
- STD#2 is the standard deviation we ended up with
- 95 perc. is the 95 percentile of the resulting pdf for STD#2, scaled by the deterministic value
- LM = liquid micro-physics
- IM = ice micro-physics
- RAD = radiation
- CONV = convection
- TURB = turbulence

the threshold for cloud thickness for stratocumulus/cumulus transition not in use

SPG

Stochastic pattern generator (SPG; Tsyrlunikov and Gayfulin 2017) is employed for the generation of the random perturbation fields.

This pattern generator has the advantage of accounting for 'proportionality of scales', meaning it takes into account the fact that longer spatial scales live longer than shorter spatial scales, which die out quicker, a widespread feature in geophysics.

In SPG, the perturbations vary spatially and temporally, and are correlated through a third-order in time stochastic differential equation with a pseudo-differential spatial operator defined on a limited area.

The implementation in HarmonEPS interfaces the code provided by Tsyrlunikov and Gayfulin (2017) and is solely defined by the spatial (XLCOR) and temporal (TAU) correlation length scales, and the standard deviation, SDEV

FSS:

In order to undertake the model evaluation, a forecast cloud mask, M_f , is extracted from the predicted total cloud cover by defining a threshold q in the following way:

$$M_x = \begin{cases} 1 & \text{if } C_x \leq q \\ 0 & \text{otherwise} \end{cases} \quad (1)$$

where M_x is the masked field, C_x is the cloud fraction and subscript x is o for observations and f for forecast fields.

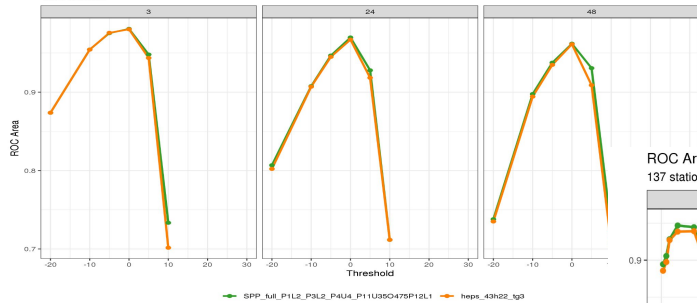
$$\text{FSS} = 1 - \frac{\sum (PF_{ij} - OF_{ij})^2}{\sum (PF_{ij}^2 + OF_{ij}^2)} \quad (2)$$

where PF_{ij} and OF_{ij} are the forecast and observed event fractions at grid cell ij , respectively, and following (Schwartz et al. 2010)

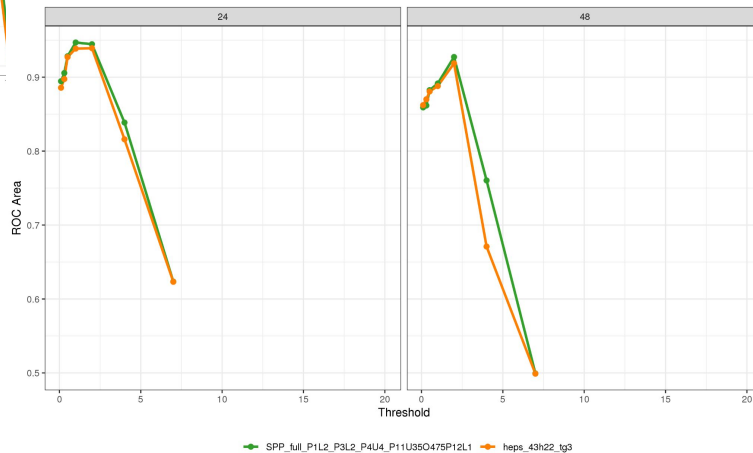
$$PF_{ij} = \frac{1}{N} \sum_{k=1}^N PF_{ijk} \quad (3)$$

where PF_{ijk} is the forecast event fraction (here, being cloud-free) for grid cell ij and member k .

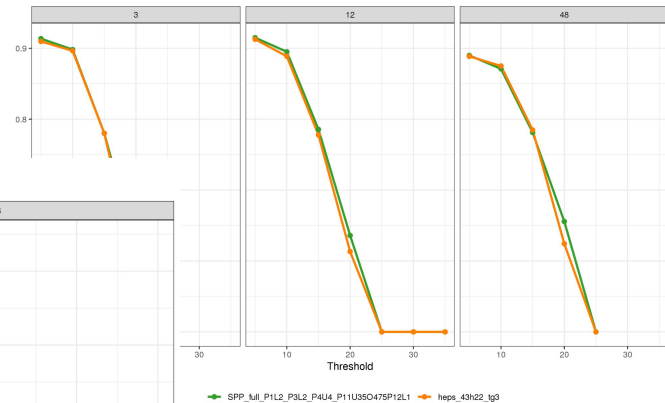
ROC Area : 12:00 13 Jan 2021 - 12:00 26 Jan 2021
1054 stations



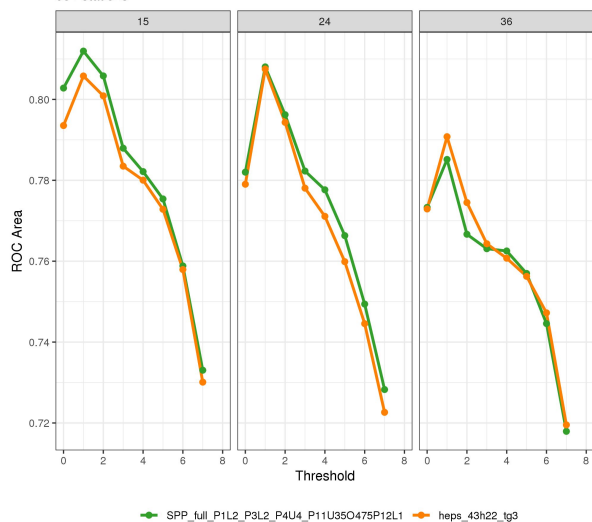
ROC Area : 12:00 13 Jan 2021 - 12:00 25 Jan 2021
137 stations



ROC Area : 12:00 13 Jan 2021 - 12:00 26 Jan 2021
1005 stations

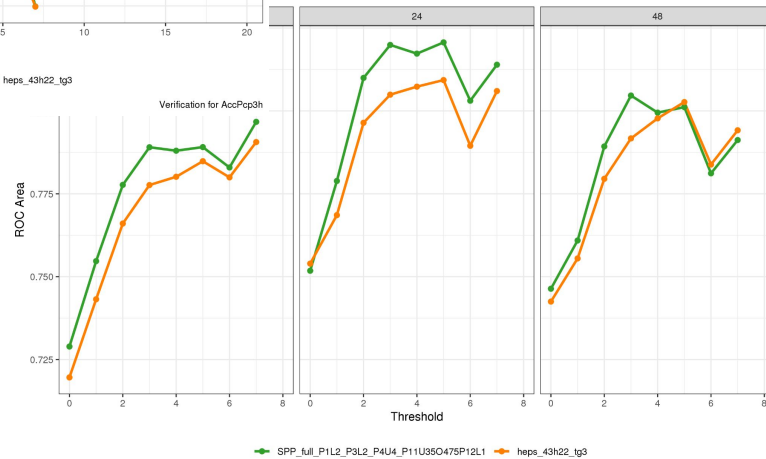


ROC Area : 12:00 13 Jan 2021 - 12:00 26 Jan 2021
631 stations



Verification for CClow

12:00 26 Jan 2021



Verification for CClow

pSPPT

Other systems such as the Austrian C-LAEF use a partially perturbed parameterization tendency technique or pSPPT, based on the work of Wastl et al. (2019). In this approach, the partial tendencies of the physics parameterization schemes are perturbed separately which is in contrast to the traditional SPPT approach implemented in HarmonEPS. This approach allows the boundary layer tapering to be switched off and thus tendency style perturbations can play an enhanced role (Wastl et al. 2019).