

- CHAIRS: Rossella Arcucci, Marcin Chrust
- PARTICIPANTS: 16 in person, 26 online
- ORGANISATION TYPE: Academia, Industry, NWP centres, Research Centres

CURRENT ML APPLICATIONS IN THE THEMATIC AREA:

- a) Fully AI based analysis update
 - a) Using the neural network to do analysis update and adversarial method to bypass Gaussian assumptions
 - b) Emulate data assimilation based on an existing conventional DA system (train on background and analysis increments OmB)
 - c) Recurrent Neural Networks as replacement of 4DVar (4DVar-Net) – joint learning of variational data assimilation models and solvers
 - d) Extended Elman Network for Bayesian data assimilation (estimate the posterior covariance matrices)

CURRENT ML APPLICATIONS IN THE THEMATIC AREA:

- a) Hybrid ML/DA (analysis)
 - a) Learning full model with a DA step followed by ML step
 - b) Model hybridization: combining physics based model with a statistical model
 - a) Offline training of neural network based model error
 - b) Joint estimation of model error/parameters and state
 - c) Covariance matrix estimation with ML techniques
 - a) Observation Error Covariance matrix estimation – use recurrent neural networks with/without parametric estimation
 - b) Background Error Covariance matrix estimation – use generative neural networks (adversarial, variational)
 - c) Estimation of normalization factors of correlation operators using ML techniques
 - d) Estimation of model parametrizations
 - e) Making a mapping from state space to control/latent space using encoders (for model reduction, to work with Gaussian error statistics)
 - f) Estimation of hyper parameters in DA,
 - a) e.g. optimal localization matrix
 - g) Learning mapping between observation and state space

CURRENT ML APPLICATIONS IN THE THEMATIC AREA:

- a) AI for prediction/correction
 - a) Developing emulators of the dynamics with the purpose of using them in ensemble forecasts, error covariance statistics estimation
 - b) Emulation of tangent linear and adjoint models
 - c) Emulation of radiative transfer models, or observation operators more generally
 - d) State dependent model error correction applied throughout forecast
 - e) Using ML for pre-processing of observations (identification of outliers, quality control, observation information augmentation, reconstruction of missing observations)
 - f) Applying ML for reconstruction of past climate

What Data Assimilation can bring in to Machine Learning world

- a) Ensemble Kalman Smoother as replacement for the backpropagation to address exploding/vanishing gradient problems
- b) Account for uncertainties in the ML loss function/advocate Bayesian framework for ML
- c) Use of error estimation for characterizing training data/model error; e.g. use ENKF/iterative ENKF to learn parameters of neural networks
- d) ML would benefit from DA tools and methods to deal with sparse and noisy observations

LIMITATIONS, CHALLENGES AND OPPORTUNITIES:

- a) Training ML model on noisy/biased observations result in imperfect/biased models
- b) Learning full model is challenging for high dimensional systems
- c) Propagation of uncertainty in case of neural networks is very challenging due to requiring very large ensembles
- d) Handling of extreme events requires dedicated ML techniques
- e) Communication between ML practitioners and NWP community is gaining momentum and should be encouraged
- f) When generating ML based observation operators we may introduce correlations between background and observation errors
- g) Accounting for physical constraints and multivariate relationships by ML techniques is a challenge

LIMITATIONS, CHALLENGES AND OPPORTUNITIES:

- a) Hybrid ML/DA techniques, such as joint estimation of neural network based parameterizations face similar challenges to those of parameter estimation
- b) Encourage operational NWP centres to account for ML requirements when producing reanalysis data sets
- c) Ability to combine all observational information with physical constraints to produce forecasts
- d) Background error statistics can be difficult to transfer from state space to the latent space
- e) Can the ML revolution sweep away traditional NWP?

3. ADVANTAGES (DISADVANTAGES?) OF ML TECHNIQUES FROM TRADITIONAL STATISTICAL METHODS:

- a) Speeding up the Data Assimilation process important from operational applications perspective
- b) Low cost generation of ensembles for non-linear DA approaches
- c) We typically rely on Gaussian assumptions in DA, while ML can deal with non-Gaussianity and non-linearity
- d) Non-linear ML based analysis update vs typically linear analysis update
- e) Use ML to transform problems into Gaussian space
- f) Build ML based observation operators, in particular for observations which we do not know the mapping from the state space

4. FUTURE DIRECTIONS

- a) Towards unified ML-DA framework
- b) Multi-modal ML to merge different sources of information (e.g. satellite radiance observations with imagers)
- c) Use ML techniques to make use of new sources of observations
- d) Use ML methods for observation pre-processing, e.g. more intelligent thinning, data selection to maximize the information content, estimate observation statistics
- e) AI embedded satellite instruments geared towards useful NWP information
- f) Develop ML techniques exploiting information embedded in an ensemble for computation of an analysis update
- g) ML techniques bridging observations directly with products (e.g. from satellite observations to day 2 precipitation forecasts)