

# Data assimilation of atmospheric composition

Richard Engelen

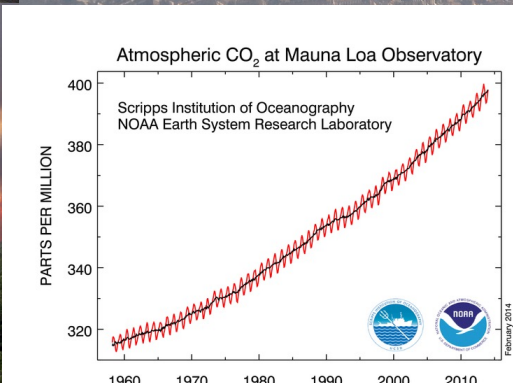
ECMWF

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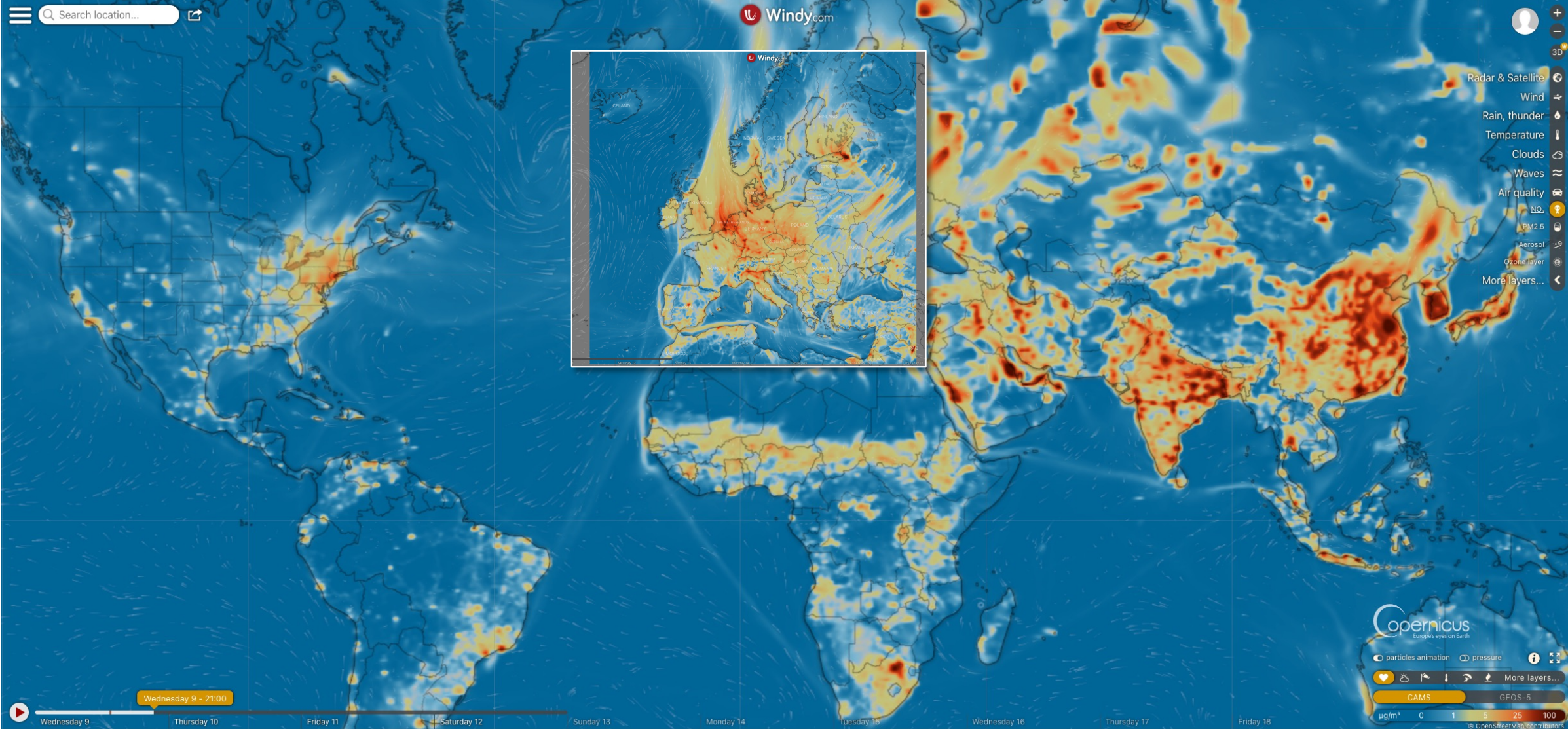
# Why atmospheric composition at an operational weather prediction centre?

- Poor air quality is a major public health issue in many countries.
- Local authorities need accurate and timely information to implement effective air pollution mitigation measures.
- Accurate air quality forecasts require accurate transport models.
- Can leverage sophisticated data acquisition infrastructures implemented at operational weather prediction centers.
- Atmospheric composition also impacts the weather and forecasts.

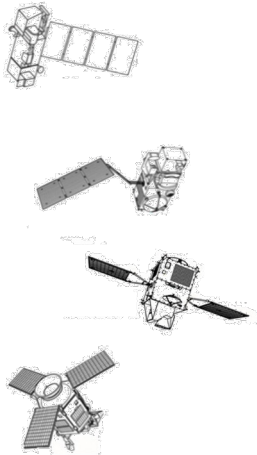


08:50	Larnaca	AA6621	Cancelled
08:50	Berlin	BA662	Cancelled
8:50	Glasgow	AA6594	Cancelled
8:50	Palma Mallorca	GF5222	Cancelled
8:55	Prague	LH6639	Go to Gate
8:55	Moscow	CX7121	Cancelled
8:55	Nice	BA872	Cancelled
8:55	Manchester	BD193	Go to Depart
8:55	Dublin	GF5280	Cancelled

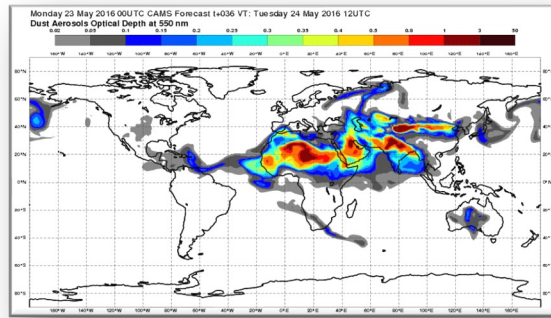
# Real-time air quality forecasting services



# Copernicus Atmosphere Monitoring Service

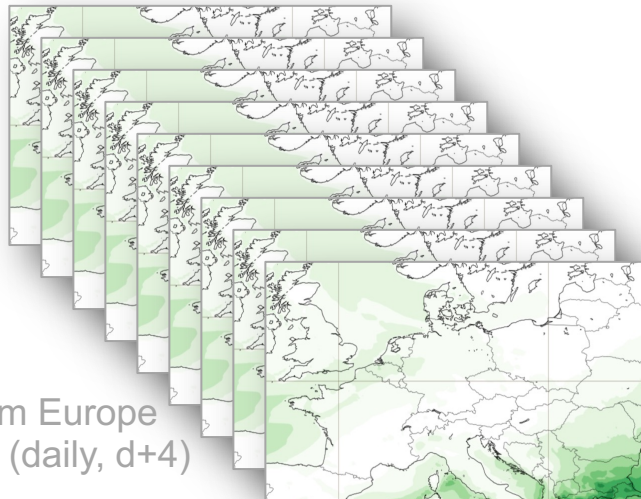


**Earth Observation**  
from satellite (>80  
instruments) and in-situ  
(regulatory and  
research)

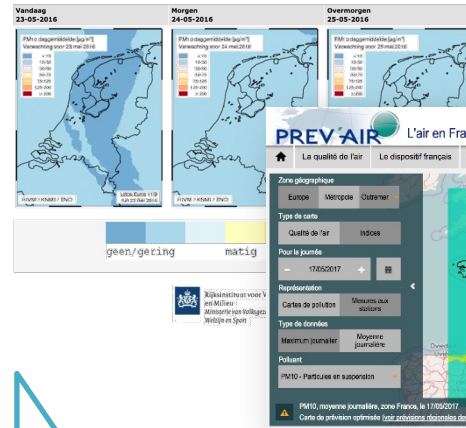
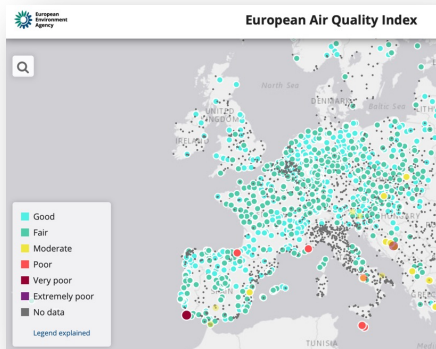


40km Globe (twice daily, d+5)

**CAMS main operational data  
assimilation and modelling systems**



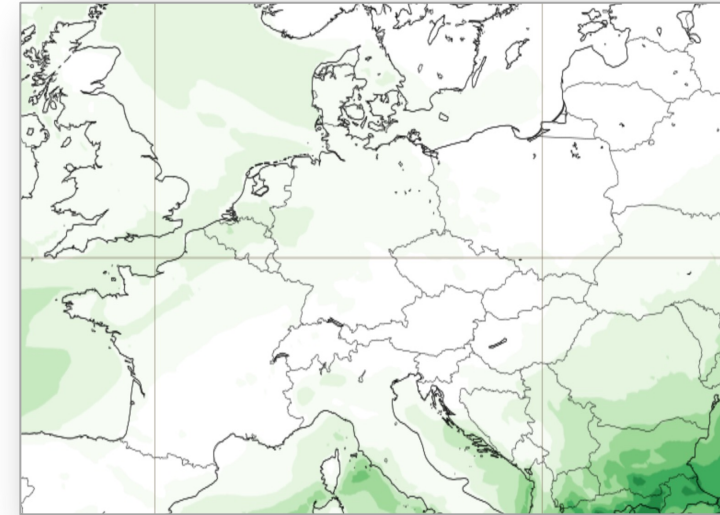
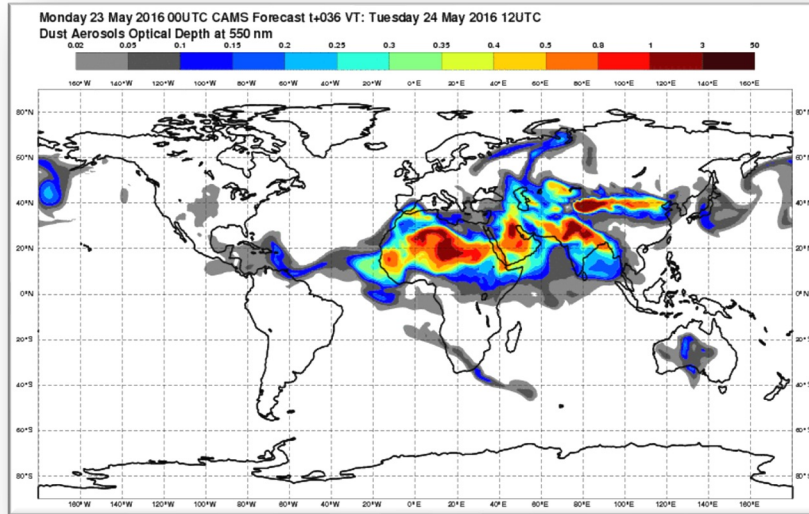
10km Europe  
(daily, d+4)



**CAMS users**  
>22,500  
(>2600 routine)



# Atmospheric composition DA - some constraints to start with



## Global models

- Well-adapted to satellite data
- In-situ data often too sparse or not providing good global coverage
- Focus on long-range transport and less on surface air quality

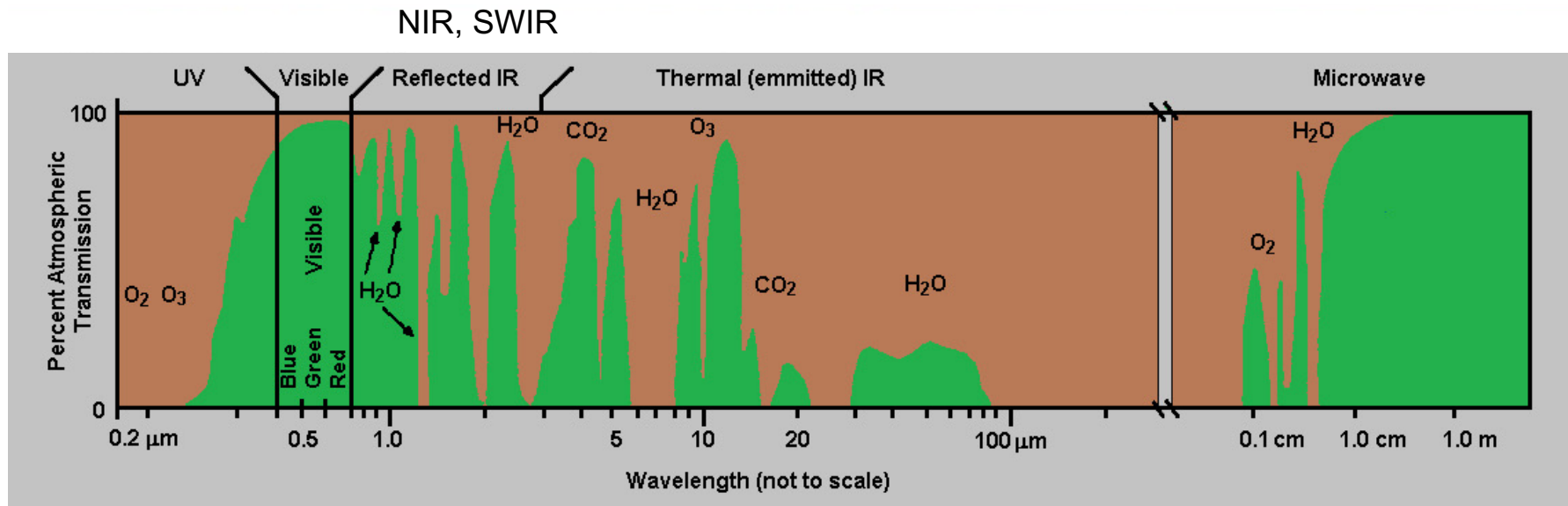
## Regional models

- Well-adapted to in-situ data, although timeliness can be a problem
- Satellite data more difficult to use due to limited vertical domain
- Focus on surface air quality, but will need long-range transport as boundary condition

# What can we actually observe?

Focus on satellite observations for a  
global forecasting system

# Spectral signature of trace gases



O<sub>3</sub>  
 H<sub>2</sub>O  
 NO<sub>2</sub>  
 SO<sub>2</sub>  
 H<sub>2</sub>CO, C<sub>2</sub>H<sub>2</sub>O<sub>2</sub>  
 IO  
 BrO

CO<sub>2</sub>  
 CH<sub>4</sub>  
 CO

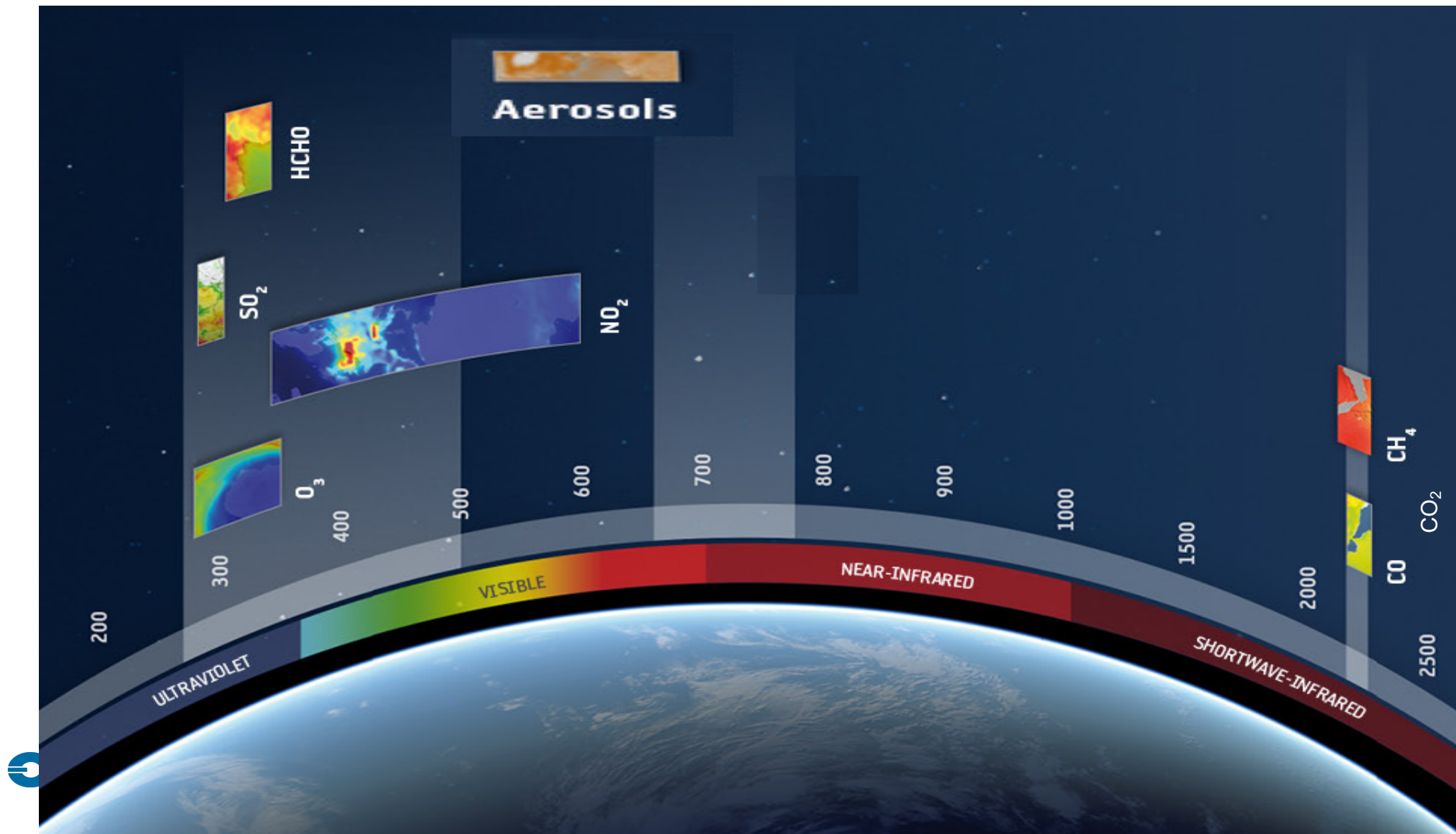
H<sub>2</sub>O  
 CO<sub>2</sub>  
 CH<sub>4</sub>  
 N<sub>2</sub>O  
 O<sub>3</sub>  
 CO  
 HNO<sub>3</sub>  
 NH<sub>3</sub>  
 CFC11, CFC12, ...  
 CH<sub>3</sub>OH, HCOOH, C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>6</sub>, ...  
 + isotopologues

O<sub>2</sub>  
 H<sub>2</sub>O, OH, HO<sub>2</sub>  
 HNO<sub>3</sub>  
 HCl, BrO, ClO, HOCl  
 O<sub>3</sub>  
 CO  
 HCN, CH<sub>3</sub>CN

Credit: M. Van Roozendael

# Spectral bands and different species observed

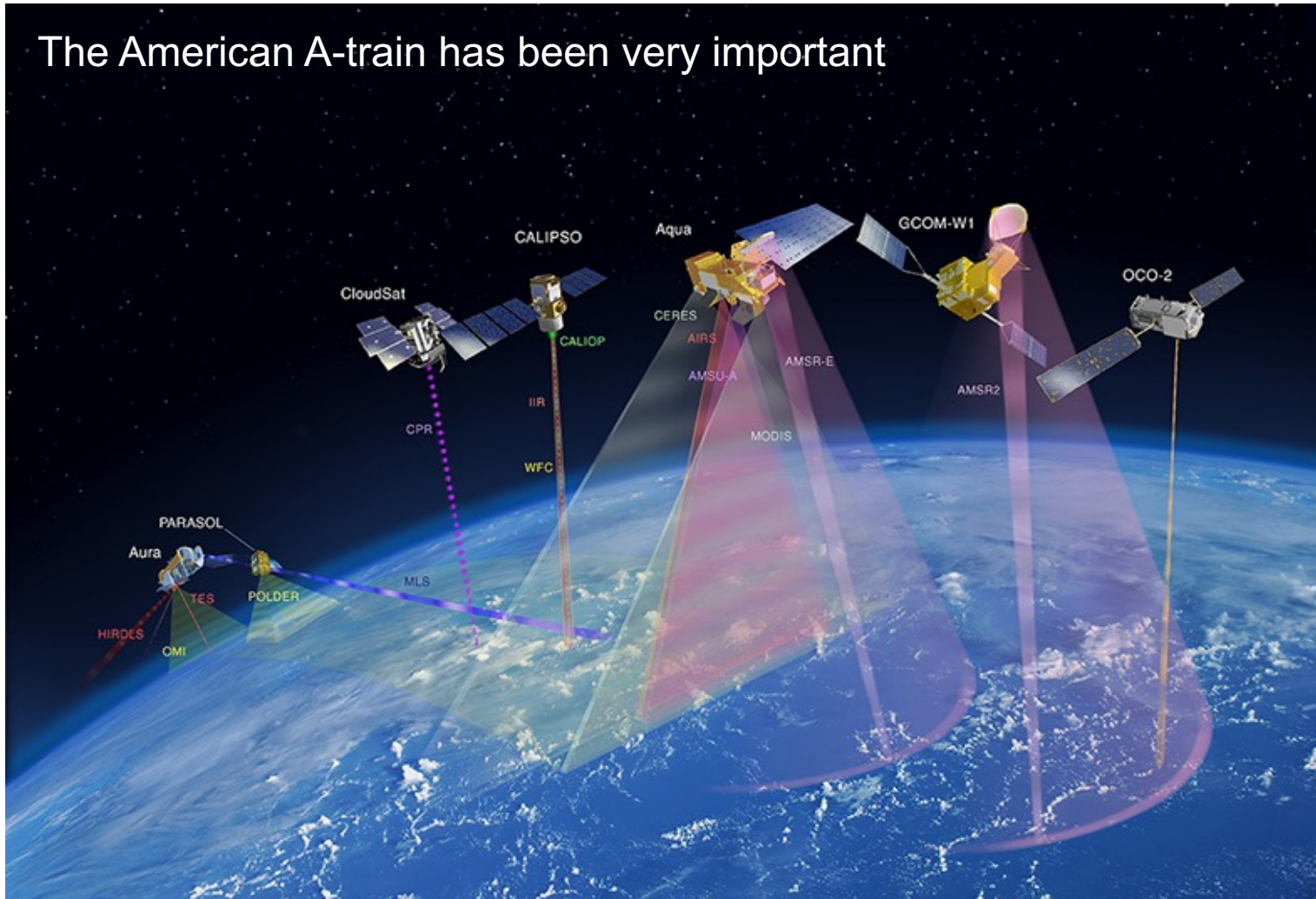
UV to SWIR





# Satellite observations

The American A-train has been very important



IASI & GOME-2 onboard the European MetOp satellites have also provided a wealth of atmospheric composition data.

# Satellite observations

The Copernicus Sentinel family is adding new capabilities

The Copernicus Sentinel family is adding new capabilities

- sentinel-1**  
→ RADAR VISION
- sentinel-2**  
→ COLOUR VISION
- sentinel-3**  
→ A BIGGER PICTURE
- sentinel-4**  
→ EUROPEAN AIR MONITORING
- sentinel-5p | sentinel-5**  
→ GLOBAL AIR MONITORING
- sentinel-6**  
→ CHARTING SEA LEVEL

# Satellite data assimilation/integration at ECMWF for CAMS forecasts

Type	Instrument	Satellite	
Strat Profiles	MLS	AURA	O <sub>3</sub>
Total Columns	OMI		
Total Columns	GOME-2	Metop BC	
Layers	OMPS	S-NPP & NOAA 20	
Total Columns	TropOMI	Sentinel 5p	CO
Total Columns	IASI	Metop AB	
Total Columns	MOPITT	TERRA	
Total Columns	TropOMI	Sentinel 5p	NO <sub>2</sub>
Tropospheric Columns	GOME-2	Metop BC	
Tropospheric Columns	TropOMI	Sentinel 5p	SO <sub>2</sub>
Tropospheric Columns	GOME-2	Metop BC	
Tropospheric Columns	TropOMI	Sentinel 5p	AOD
AOD	MODIS	AQUA & TERRA	
AOD	PMAP	Metop BC	
AOD	VIIRS	S-NPP & NOAA-20	
AOD	SLSTR	Sentinel-3	CH <sub>4</sub>
Total Columns	TANSO	GOSAT	
Total Columns	IASI	Metop BC	
Total Columns	TropOMI	Sentinel 5p	CO <sub>2</sub>
Total Columns	TANSO	GOSAT	
Total Columns	IASI	Metop BC	
Total columns	OCO-2	OCO-2	

Around 20 different data streams are operationally assimilated or monitored into IFS on top of the meteorological data streams.

# Data assimilation methodology for atmospheric composition

# Data Assimilation Methodology

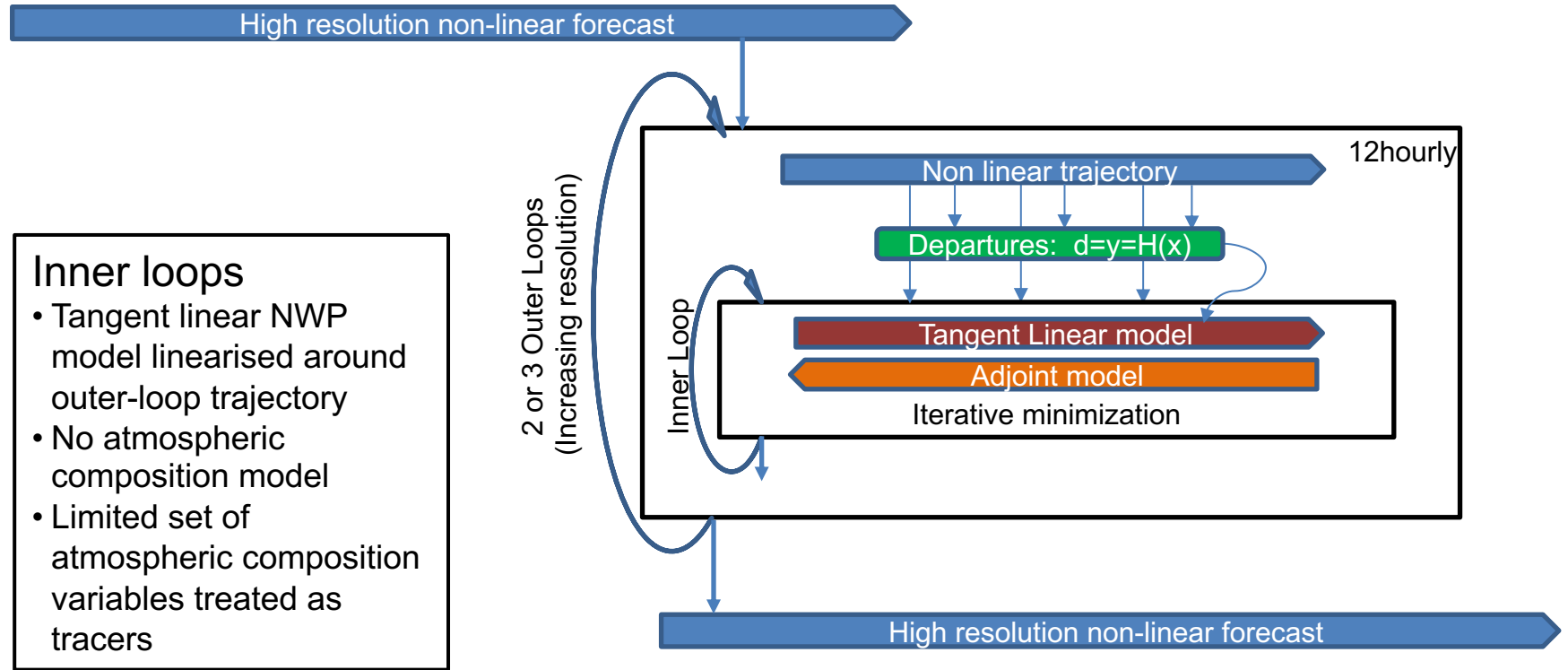
Data assimilation for atmospheric composition is in principle not different from NWP data assimilation

$$J(x) = (x - x_b)^T B^{-1} (x - x_b) + \sum_{i=0}^n (y_i - \mathcal{H}_i[x_i])^T R_i^{-1} (y_i - \mathcal{H}_i[x_i])$$

- Outer loops**
- Full non-linear NWP model
  - Full atmospheric composition models
  - Full set of atmospheric composition variables

- Inner loops**
- Tangent linear NWP model linearised around outer-loop trajectory
  - No atmospheric composition model
  - Limited set of atmospheric composition variables treated as tracers

Incremental  
4D-Var



# Data Assimilation Methodology

Data assimilation for atmospheric composition is in principle not different from NWP data assimilation

$$J(x) = (x - x_b)^T B^{-1} (x - x_b) + \sum_{i=0}^n (y_i - \mathcal{H}_i[x_i])^T R_i^{-1} (y_i - \mathcal{H}_i[x_i])$$

**Numerical Weather Prediction**

Vorticity

Divergence

Temperature

Surface pressure

Humidity

**Atmospheric Composition**

Ozone

Carbon monoxide

Nitrogen dioxide

Formaldehyde

Carbon dioxide

Methane

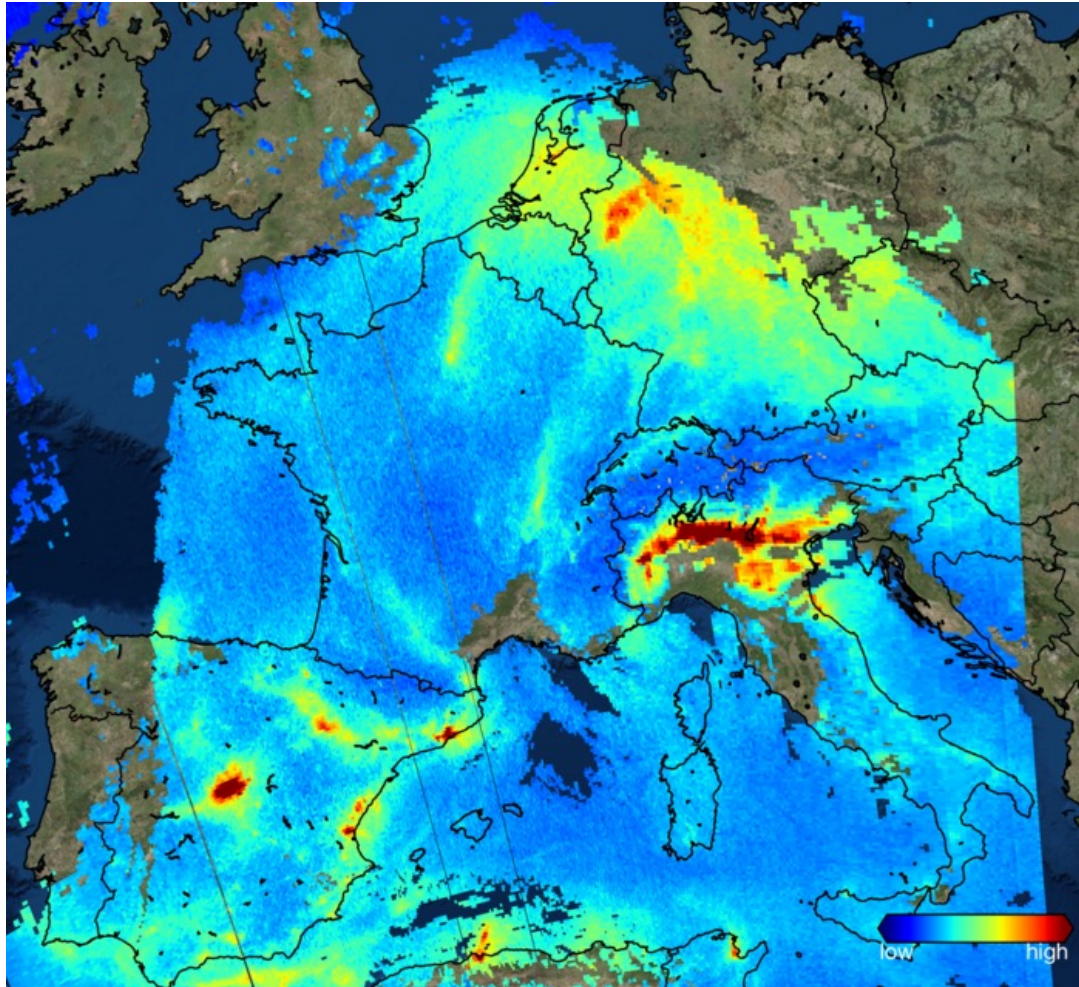
Aerosol mixing ratio

$$\mathcal{H} = \mathcal{M}H$$

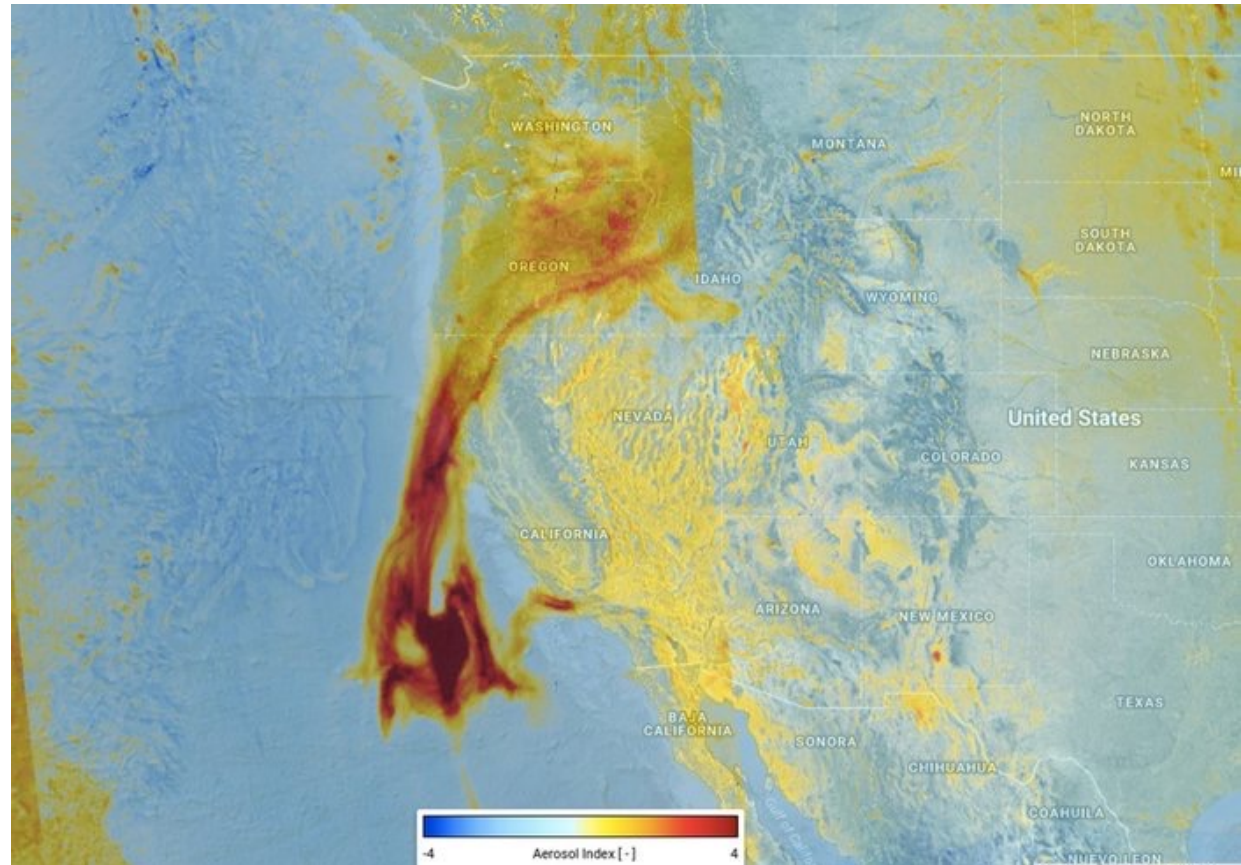
$\mathcal{M}$  Model integration

$H$  Observation operator

## Some examples from TropOMI



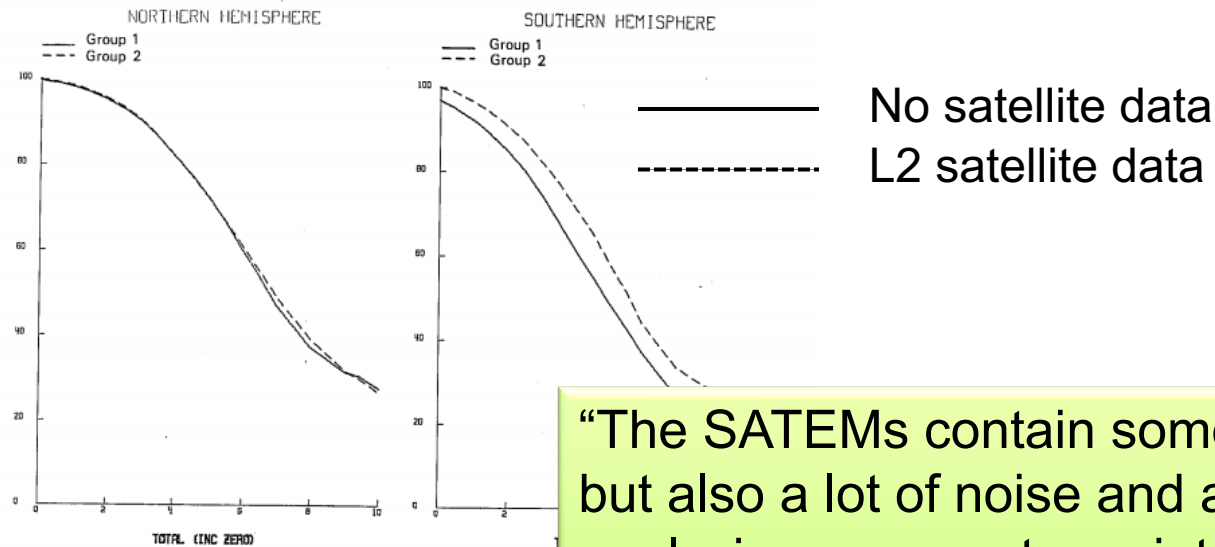
NO<sub>2</sub> detection of anthropogenic sources



Aerosol from fires plumes (US)

Contains modified Copernicus Sentinel data (2017), processed by KNMI

# Use of retrievals in NWP – the 80s



Kelly and Pailleux,  
1988

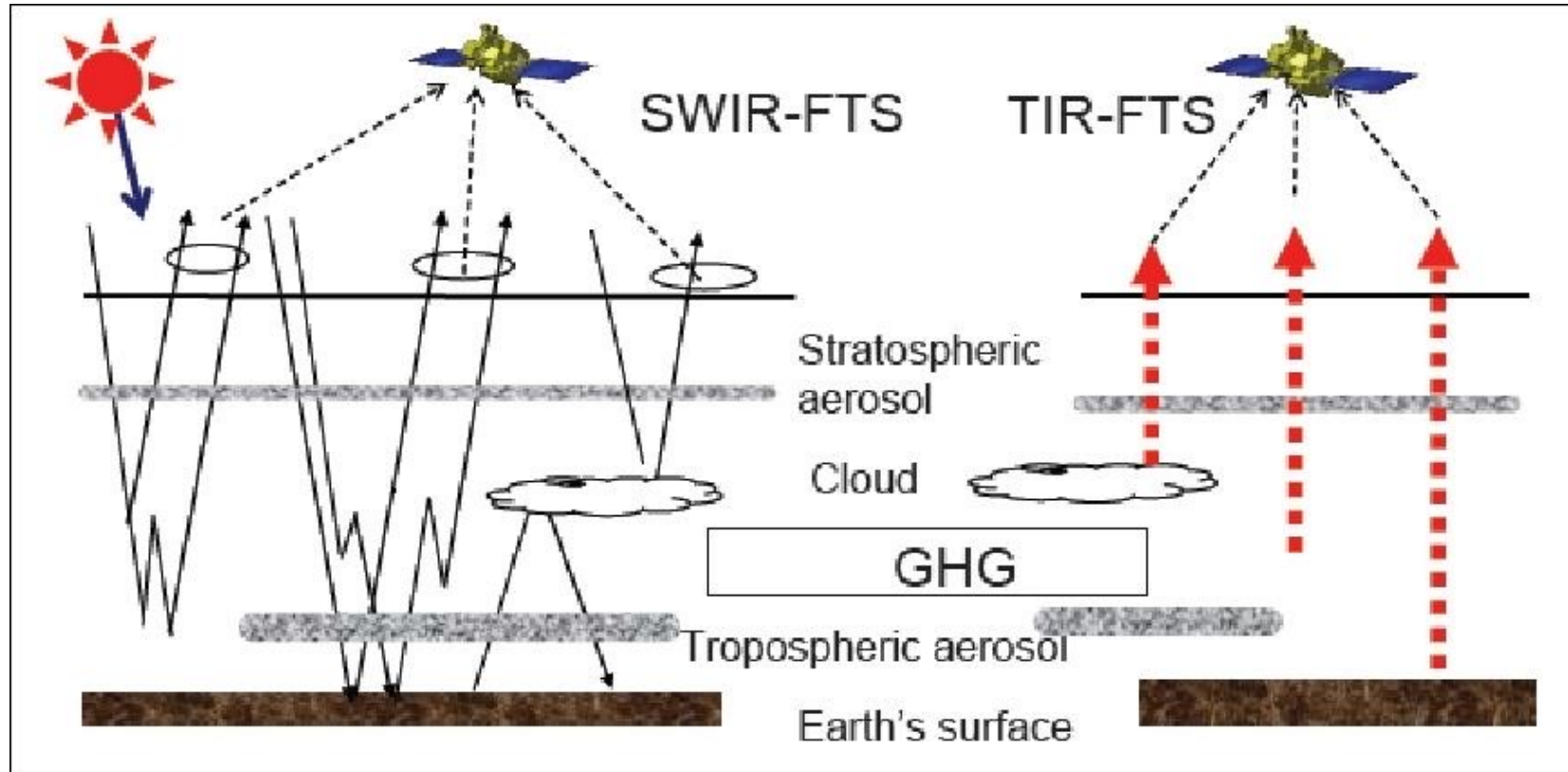
“The SATEMs contain some good information, but also a lot of noise and a lot of bad data. The analysis manages to maintain a large part of the good information, but is also affected by the poor quality data.”

Assimilating temperature and water vapour satellite retrievals caused severe problems. Only after switch to radiance assimilation the real value of satellites was seen.



## Assimilating L1 radiances for composition

Credit: JAXA



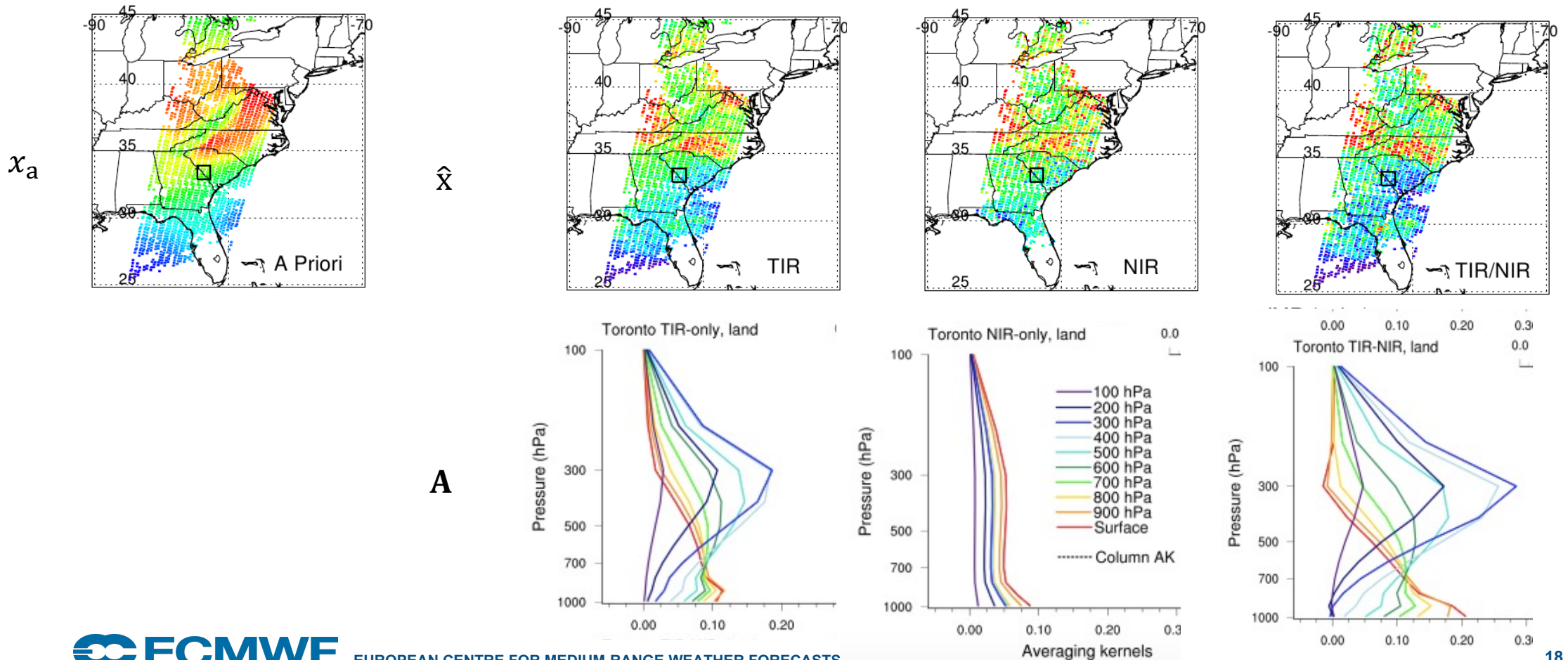
Radiance assimilation in UltraViolet, Visible, and Short-Wave InfraRed is much more complicated than in Thermal InfraRed or MicroWave.

Implementing fast but accurate radiative transfer models in an operational time-critical 4D-Var system is therefore challenging.

# The retrieval equation


$$\hat{x} = x_r^b + \mathbf{A}(x - x_r^b) + \varepsilon$$

The averaging kernel  $\mathbf{A}$  is the sensitivity (in that case on the vertical) to the true state. It is essential to take this into account.



## Assimilating retrievals: Column retrieval example


We can make use of the averaging kernel  $\mathbf{A}$  in the observation:


$$d = y - H(\mathbf{x}_m) = \mathbf{x}_r^b + \mathbf{A}(\mathbf{x} - \mathbf{x}_r^b) + \varepsilon - H(\mathbf{x}_m)$$


Without averaging kernels in observation operator

## Assimilating retrievals: Column retrieval example

We can make use of the averaging kernel  $\mathbf{A}$  in the observation:


$$d = y - H(\mathbf{x}_m) = \mathbf{x}_r^b + \mathbf{A}(\mathbf{x} - \mathbf{x}_r^b) + \varepsilon - H(\mathbf{x}_m)$$

Without averaging kernels in observation operator


$$d = y - \hat{H}(\mathbf{x}_m) = \mathbf{x}_r^b + \mathbf{A}(\mathbf{x} - \mathbf{x}_r^b) + \varepsilon - (\mathbf{x}_r^b + \mathbf{A}(H(\mathbf{x}_m) - \mathbf{x}_r^b))$$
$$= \mathbf{A}(\mathbf{x} - H(\mathbf{x}_m)) + \varepsilon$$

With averaging kernels in observation operator

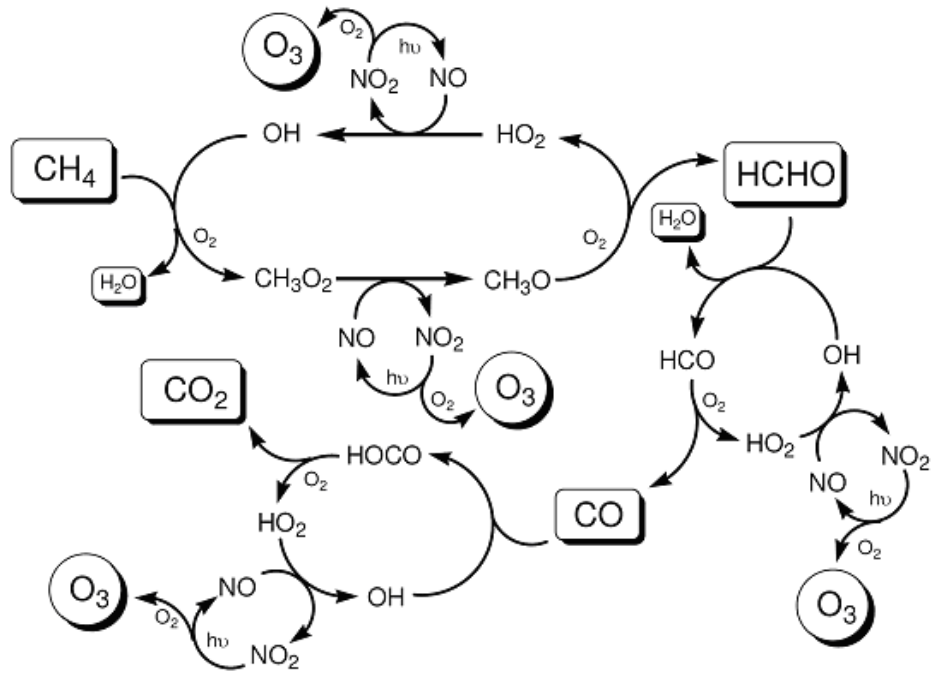
We remove the influence of the a-priori profile if we use the averaging kernel to sample the model profile according to the assumptions made in the retrieval.

## Issues

- Total column retrievals come with integrated averaging kernels; some information is lost
- Profile retrievals with full averaging kernels and retrieval errors can become difficult to handle
- Not all retrieval methods allow the estimation of an averaging kernel; e.g., neural networks
- Not all data providers use the same definition of averaging kernel in their data files
- Many different versions of the observation operator needed to deal with all variations
- We use:
  - Reactive gases: Profiles, columns with and without averaging kernels
  - Aerosols: Columns without averaging kernels, profiles being tested
  - Greenhouse gases: Radiances and columns with averaging kernels

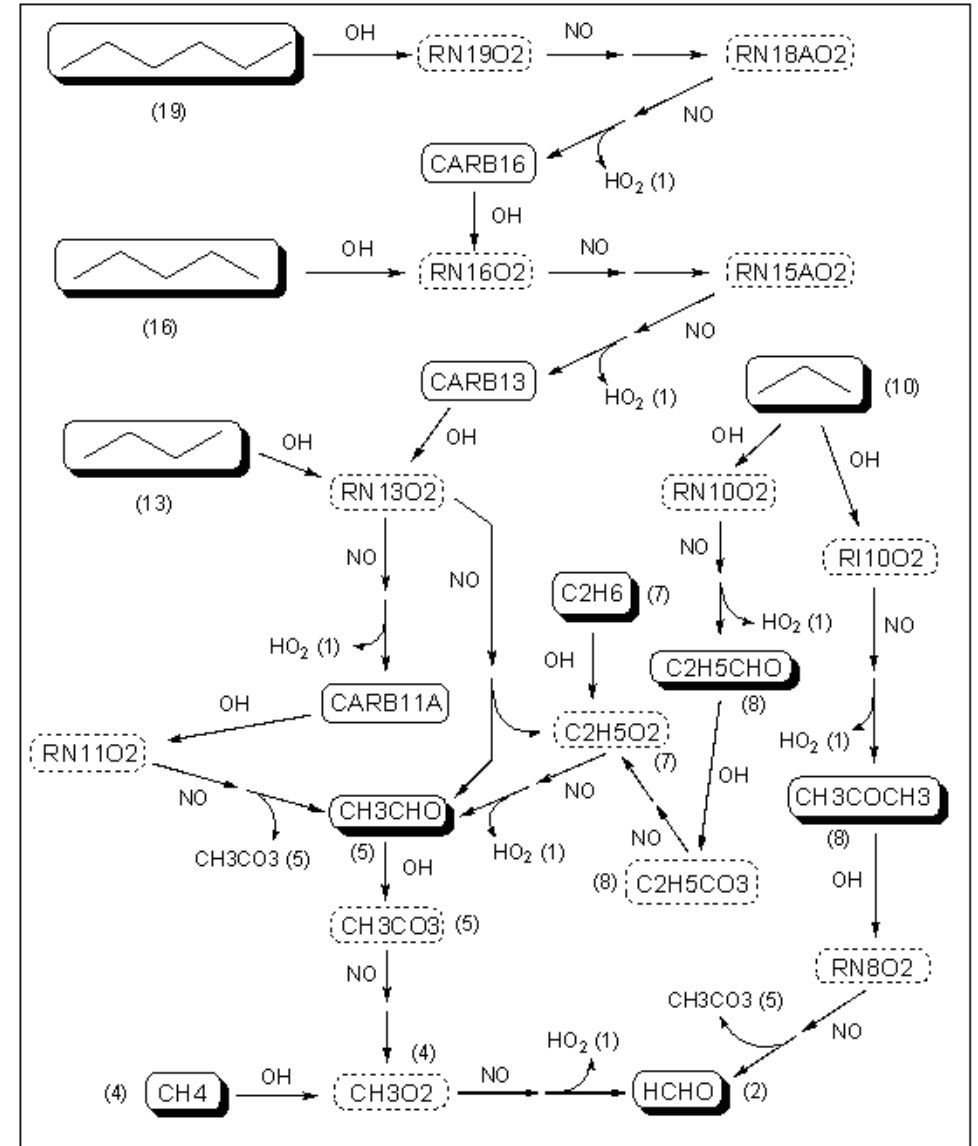
# Dealing with many (reactive) atmospheric species

# Atmospheric Chemistry

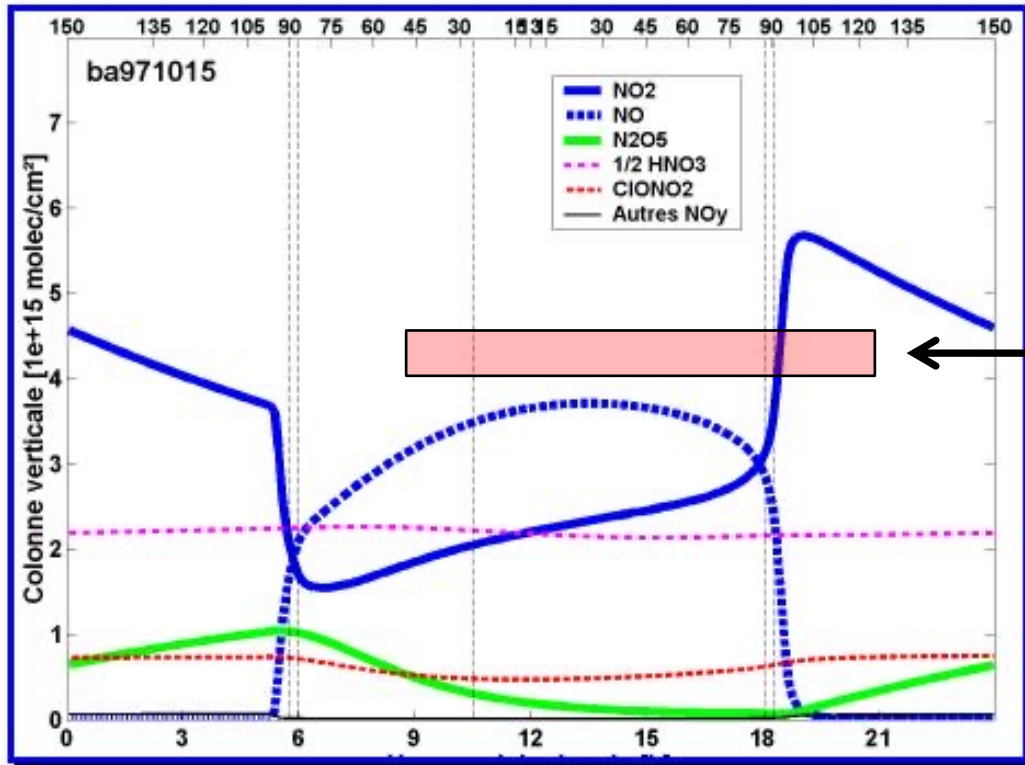


Complexity can go very far... with master mechanisms of about 10000 variables

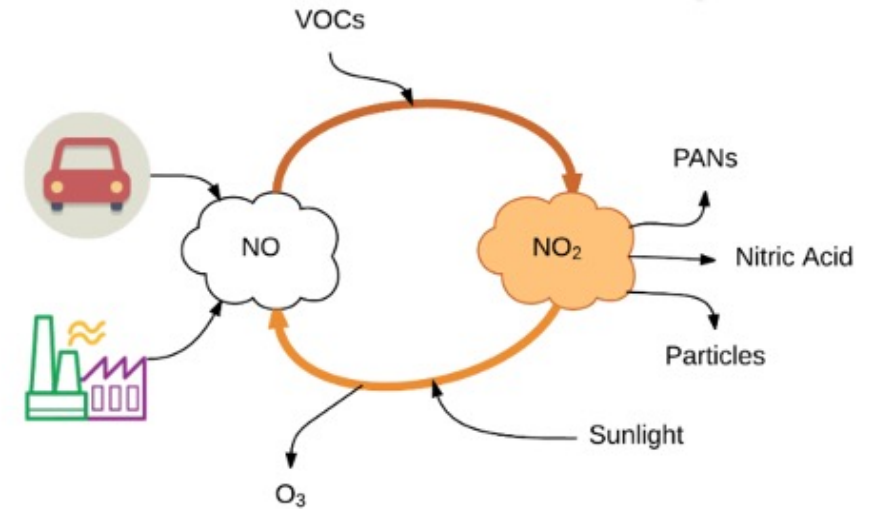
Usually, it is costly to model and simplifications are mandatory to run an operational forecasting system with only around 50 variables.



# Example: assimilating NO<sub>2</sub> satellite observations



12-hour  
4D-Var  
window



Credits: J-C Lambert (BIRA)

Rapid chemical conversion within the 12-hour 4D-Var window means we cannot link an NO<sub>2</sub> observation at the end of the window correctly to the initial state without a full chemical adjoint.



## Include simple chemistry in TL/AD

### Photochemical equilibrium:

- $\text{NO} + \text{O}_3 \rightarrow \text{NO}_2$
- $\text{NO}_2 + h\nu \rightarrow \text{NO} + \text{O}_3$

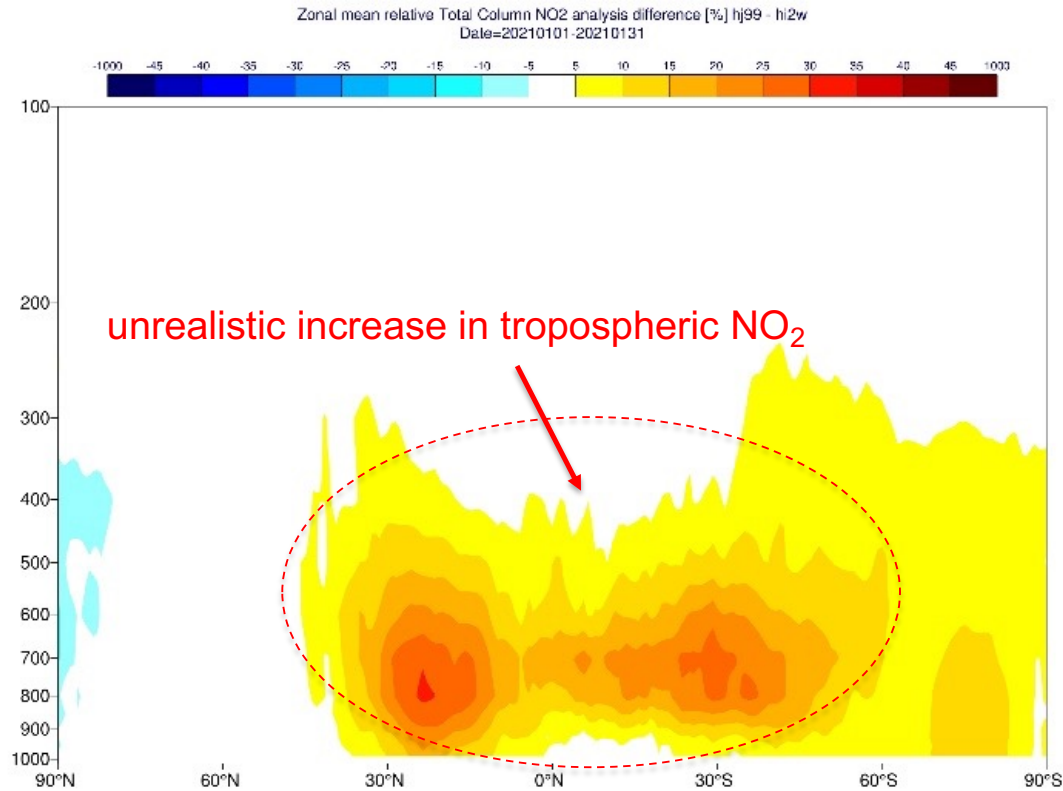
### Loss term for NOx:

- $\text{NO}_2 + \text{OH} \rightarrow \text{HNO}_3$

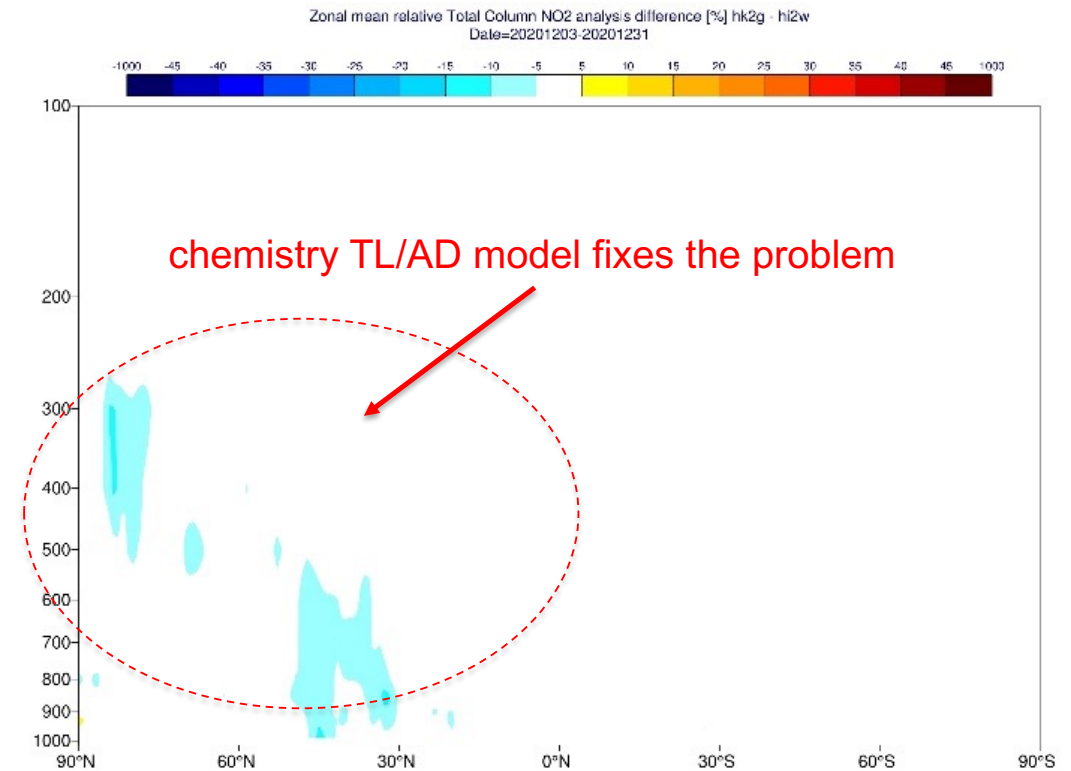
- Uses non-linear  $\text{O}_3$ ,  $\text{NO}$ ,  $\text{NO}_2$ ,  $\text{OH}$  trajectory as linearization state.
- Only  $\text{NO}_2$ ,  $\text{NO}$  increments are propagated
- Assume instantaneous equilibrium (no sub-time stepping).

## Impact of TROPOMI NO<sub>2</sub> assimilation

### No chem - CTRL



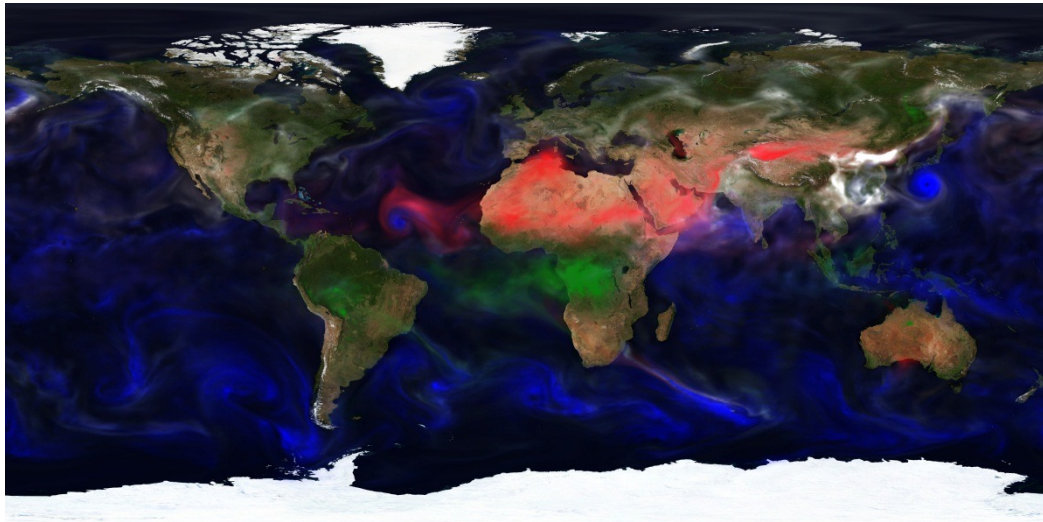
### Simplified chem - CTRL



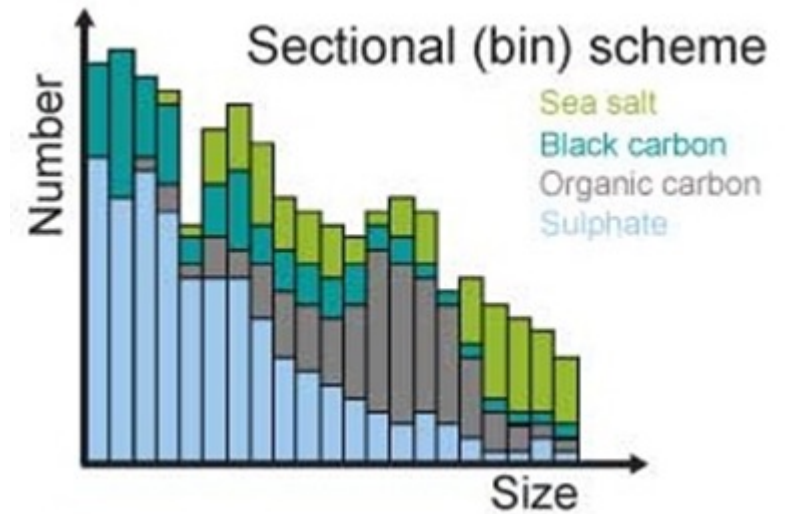
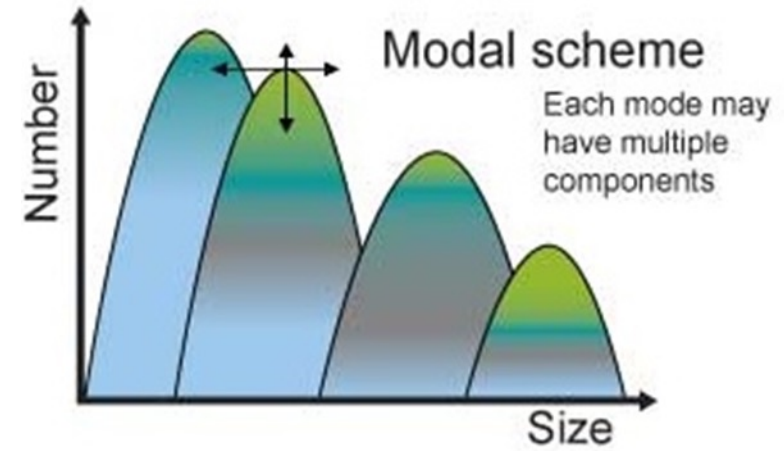
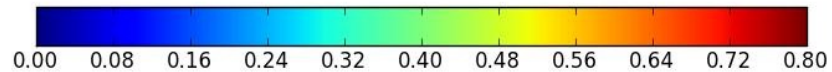
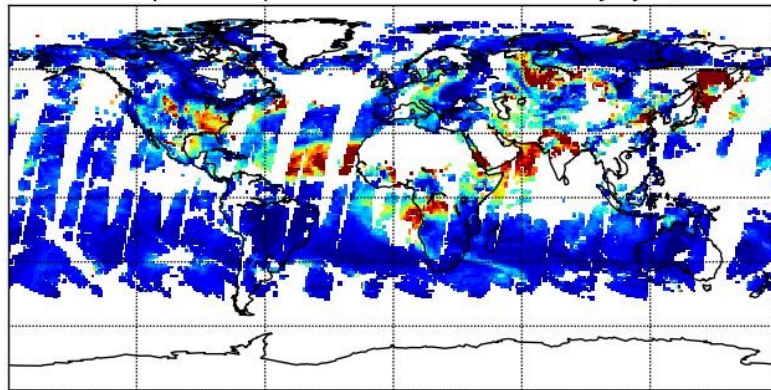
3-31/12/2020

Relative zonal mean differences [%]

# Aerosol – prime example of ill-observed system



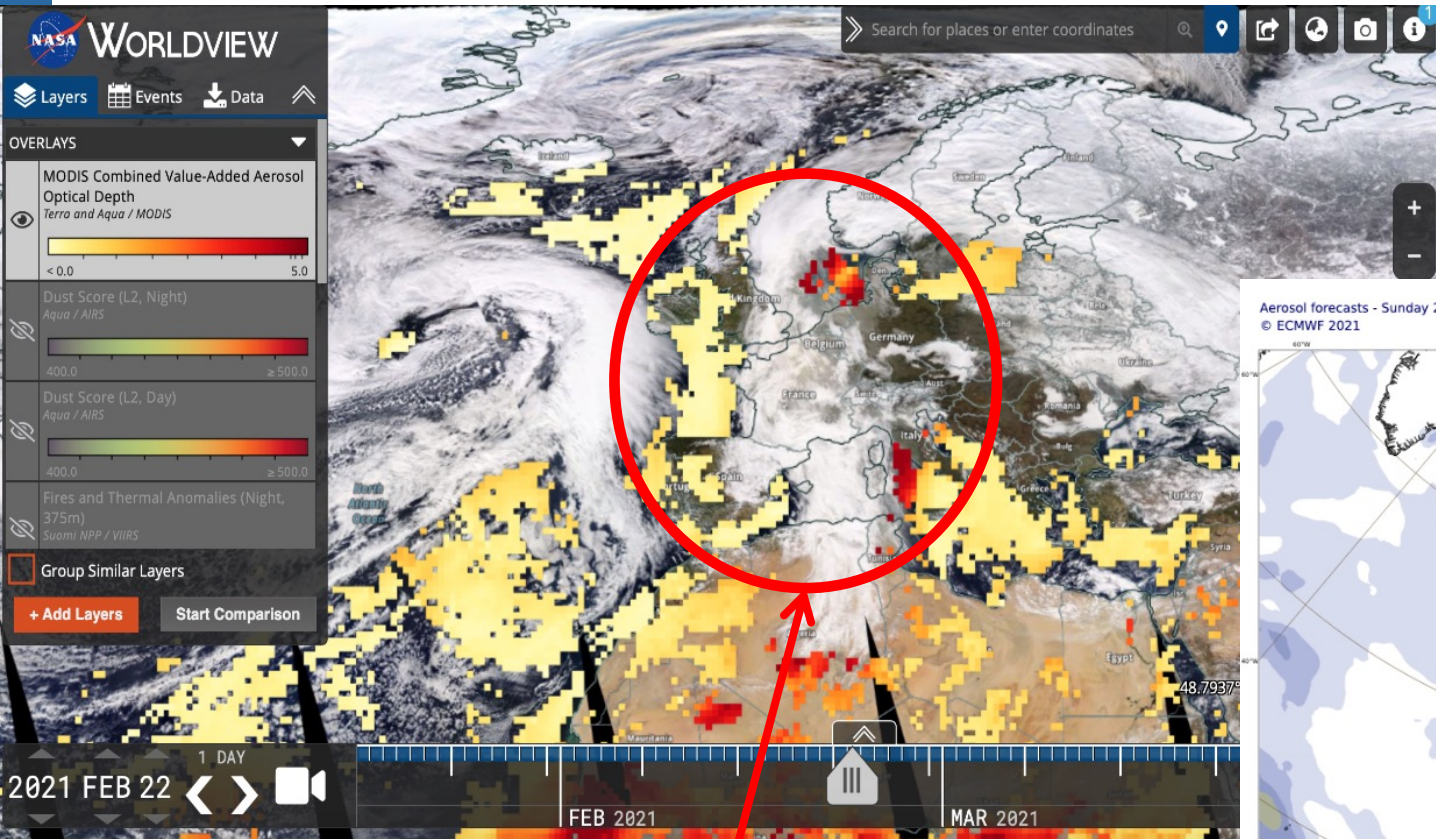
MODIS Optical Depth Land And Ocean Mean July 1, 2012



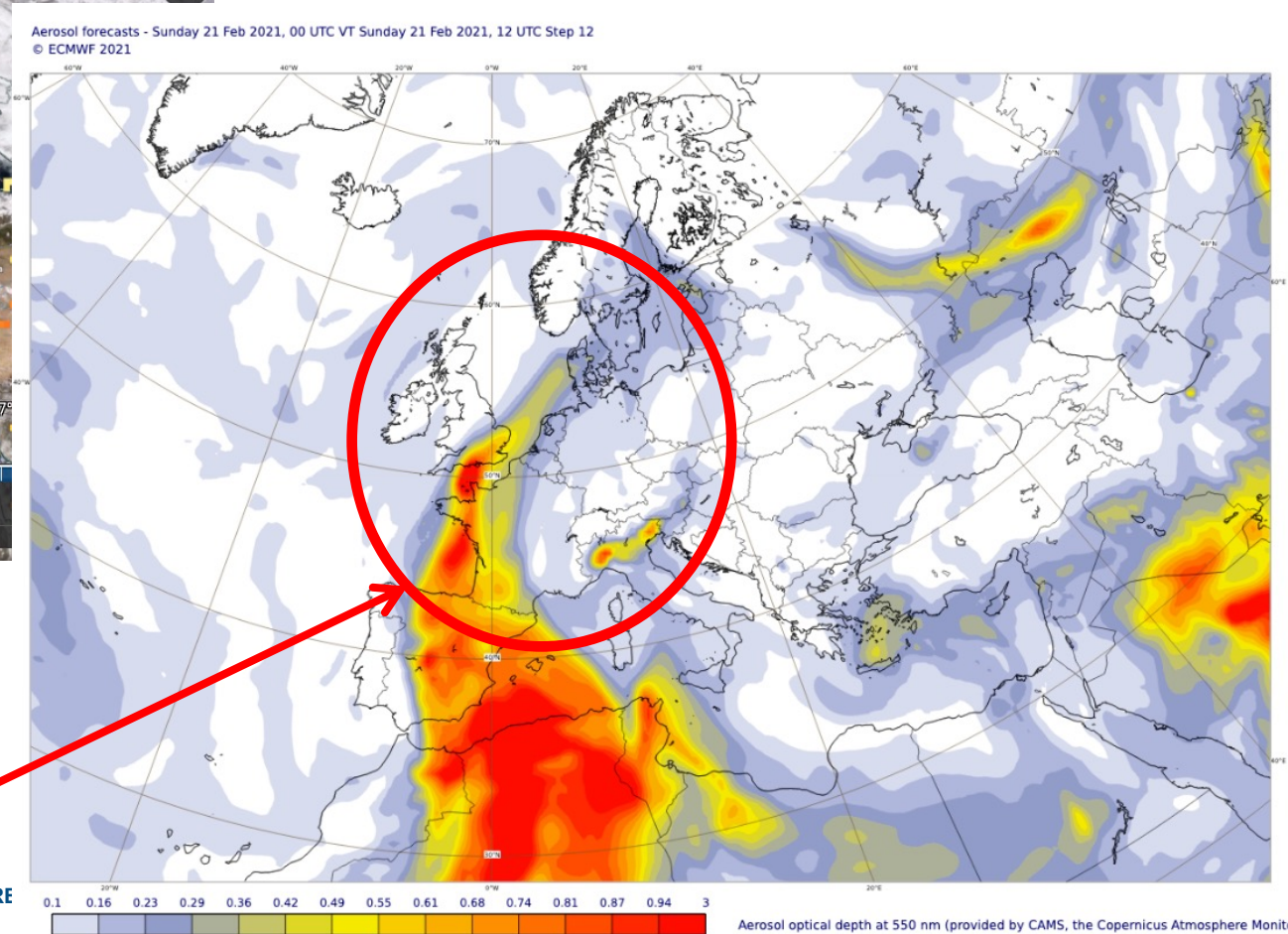
# Aerosol analysis

- CAMS aerosol model has 14 aerosol bins:
  - 3 size bins each for sea-salt and desert dust
  - 2 bins (hydrophilic and hydrophobic) each for organic matter and black carbon
  - 1 bin for sulphate
  - 2 bins (fine and coarse) for nitrate
  - 1 bin for ammonium
- Assimilated observations are Aerosol Optical Depth (AOD) at 550 nm from various satellite sensors. AOD is a measure of the total aerosol amount in a column.
- Control variable is formulated in terms of the total aerosol mixing ratio.
- Analysis increments are repartitioned into the species according to their fractional contribution to the total aerosol mixing ratio.
- The repartitioning of the total aerosol mixing ratio increment into the different bins is difficult

# Dust storm February 2021



CAMS Total AOD at 550nm 12hr forecast valid at 20210222 12hr

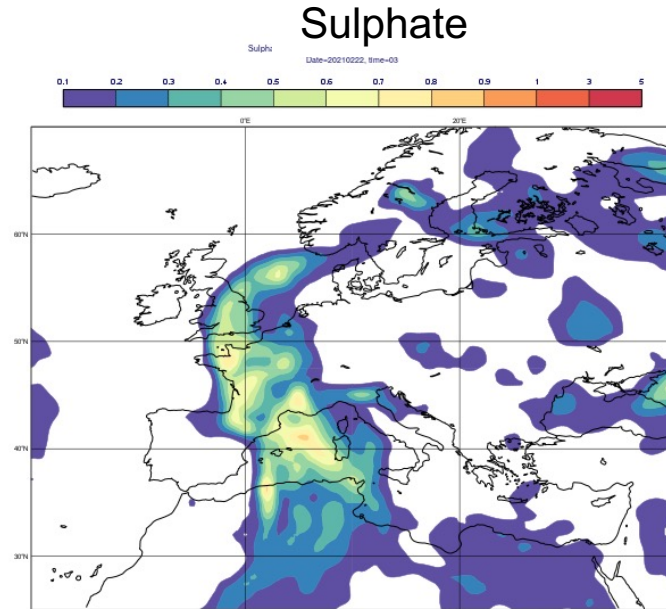
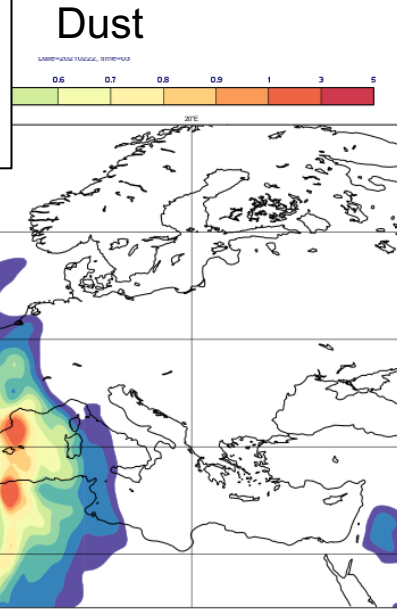
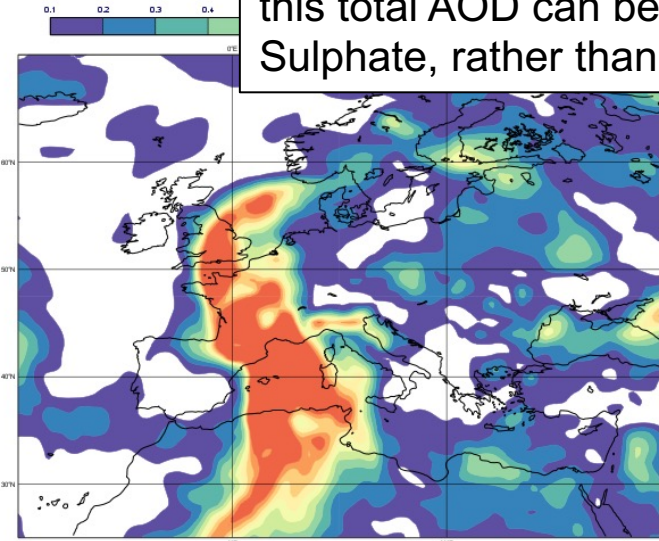


NASA Worldview – MODIS Aqua and Terra AOD 550nm observations for 20210222

The CAMS forecast does a good job of forecasting the AOD plume from Africa over Northern Europe

# Dust test case February 2021

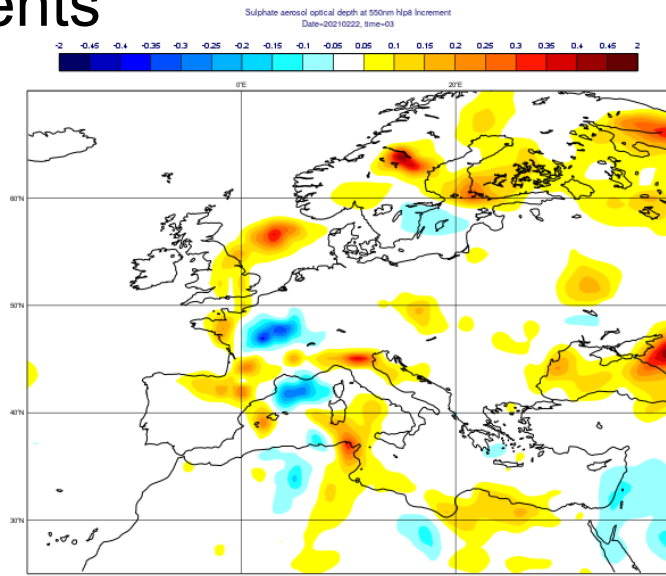
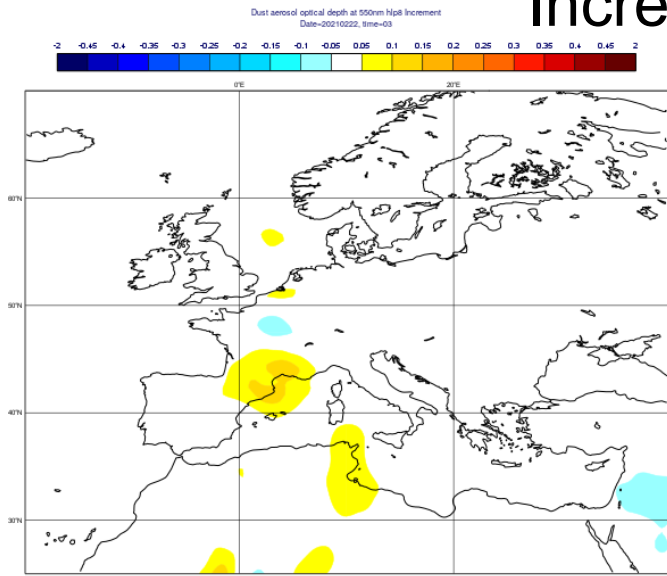
Closer examination shows that some of this total AOD can be attributed to Sulphate, rather than Dust



AOD at 550nm

Total AOD at 550nm: 20210222 03hr

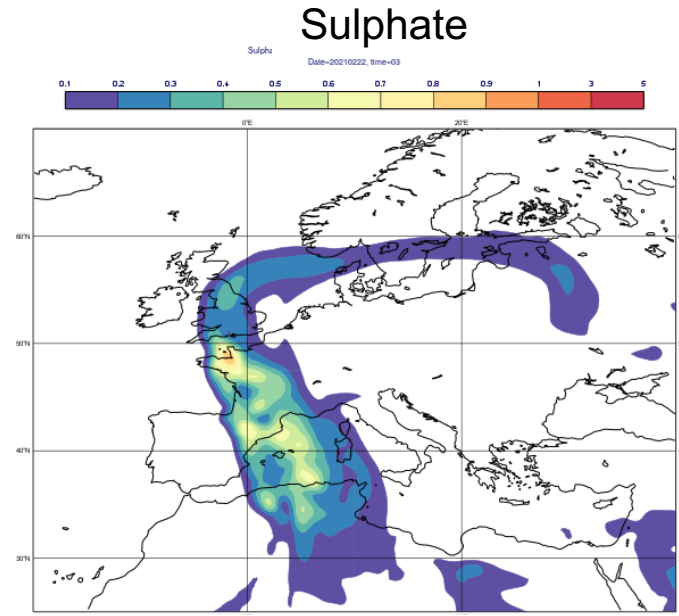
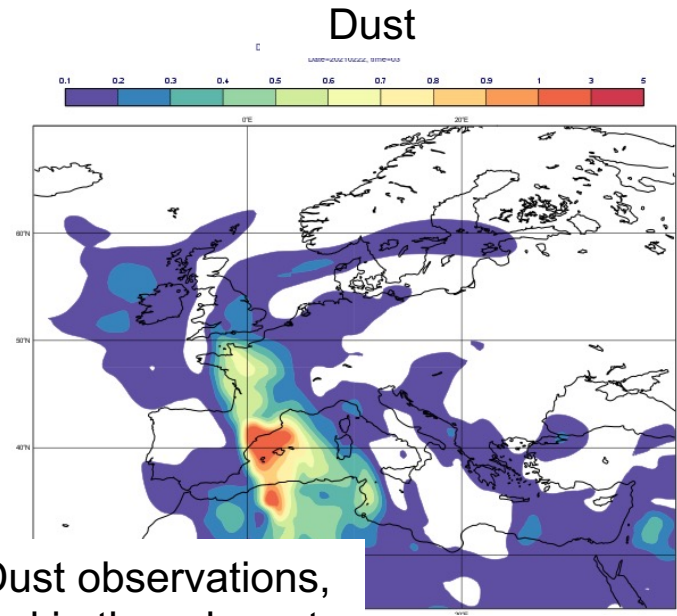
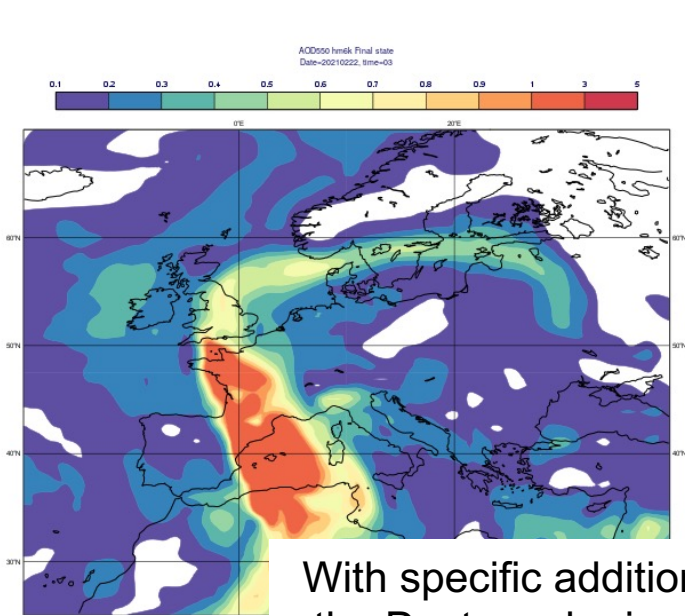
## Increments



AOD incr at 550nm

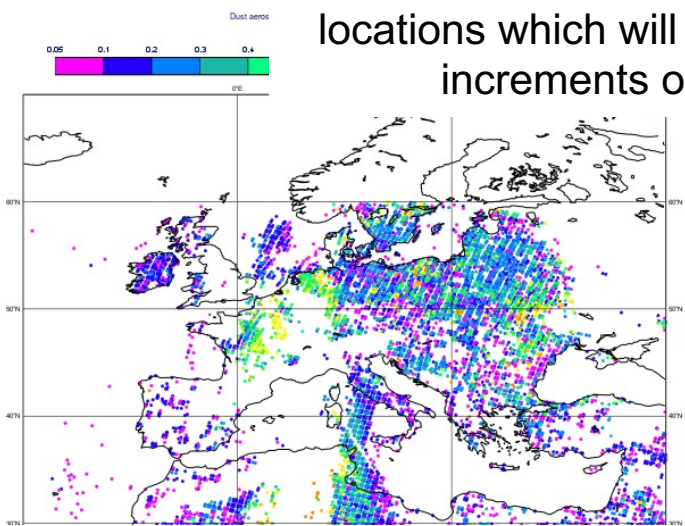
- AOD increments are attributed to the different species according to their proportion in the nonlinear forecast.
- If there is no dust in the forecast in a specific location then the increment will be given to whatever species are there – in this case Sulphate

# Dust test case February 2021

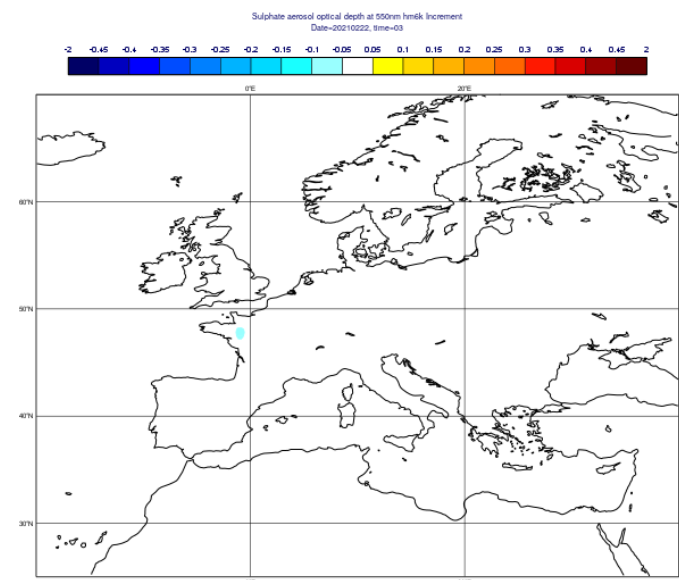
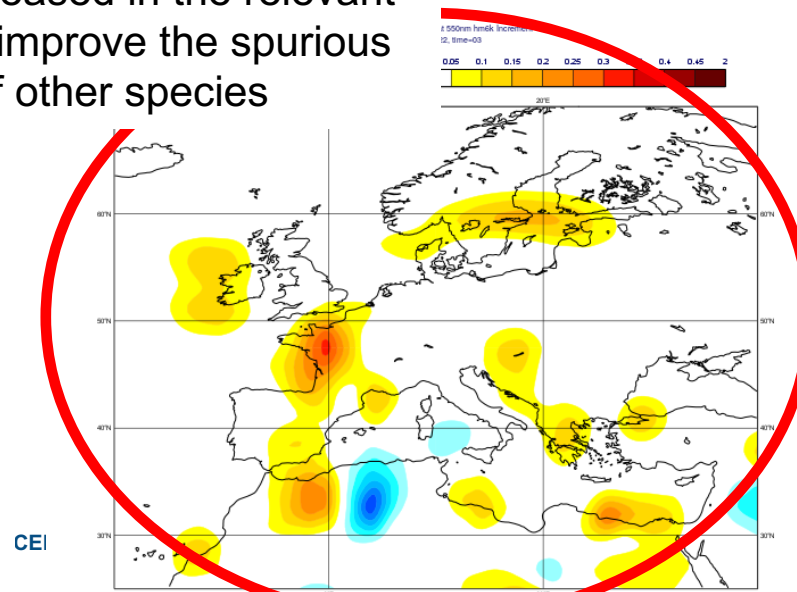


AOD at 550nm

With specific additional Dust observations, the Dust can be increased in the relevant locations which will improve the spurious increments of other species



LMD IASI 10um obs 20210222 12hr

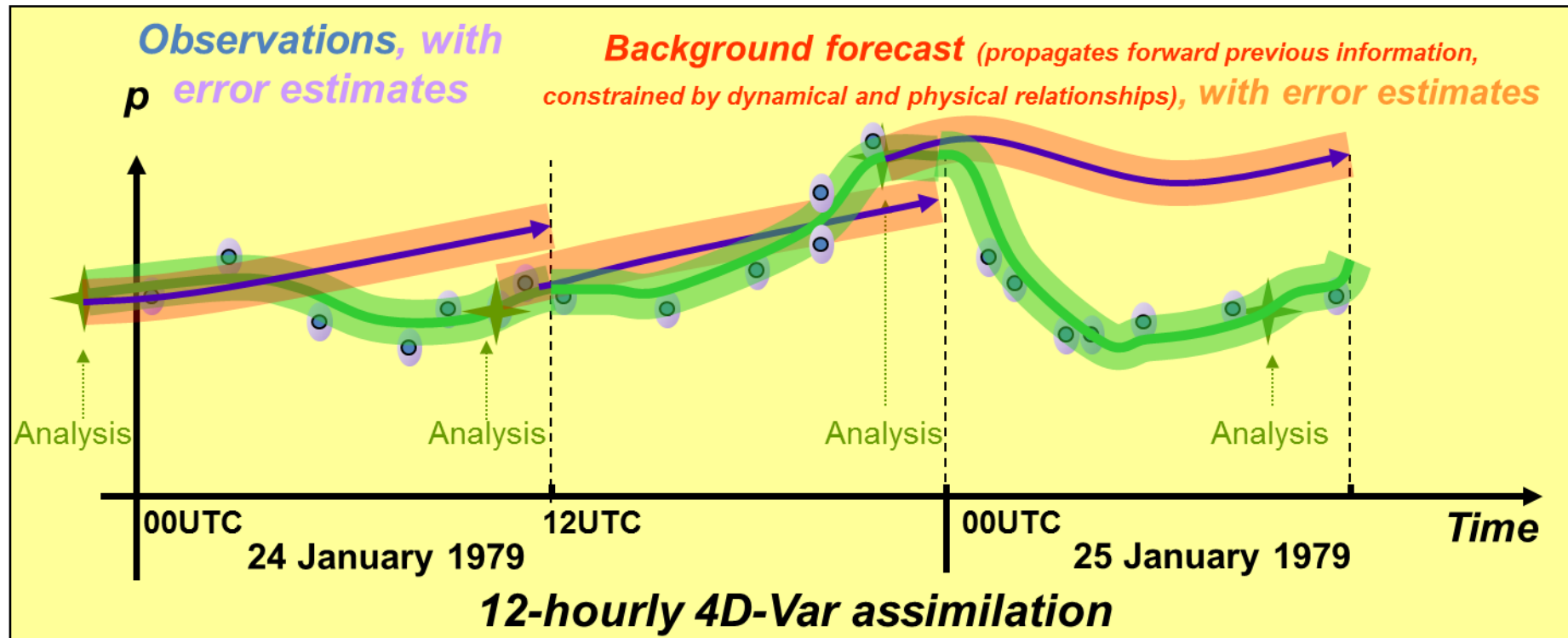


AOD incr at 550nm

# Initial versus boundary values: the surface fluxes

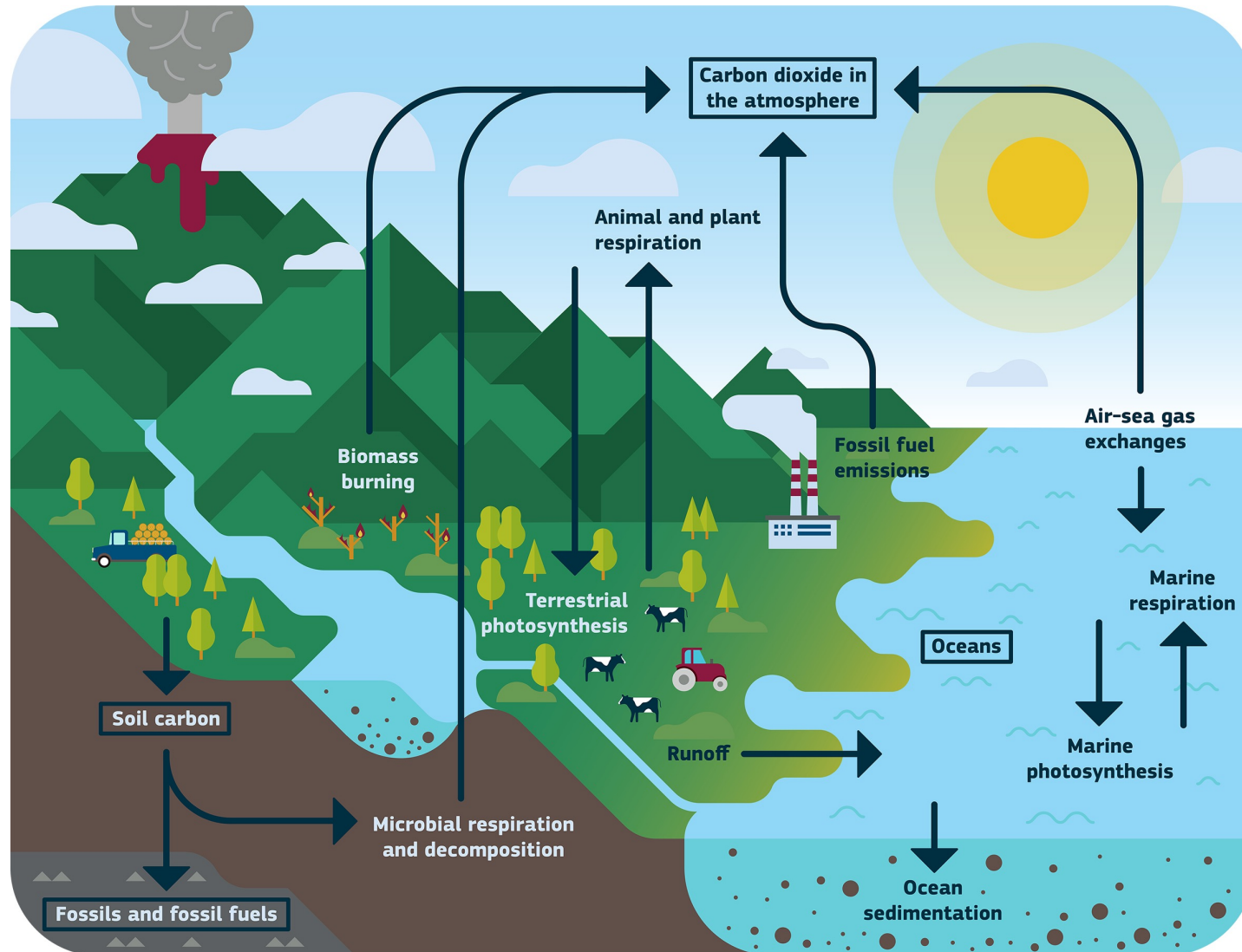


# 4D-Var



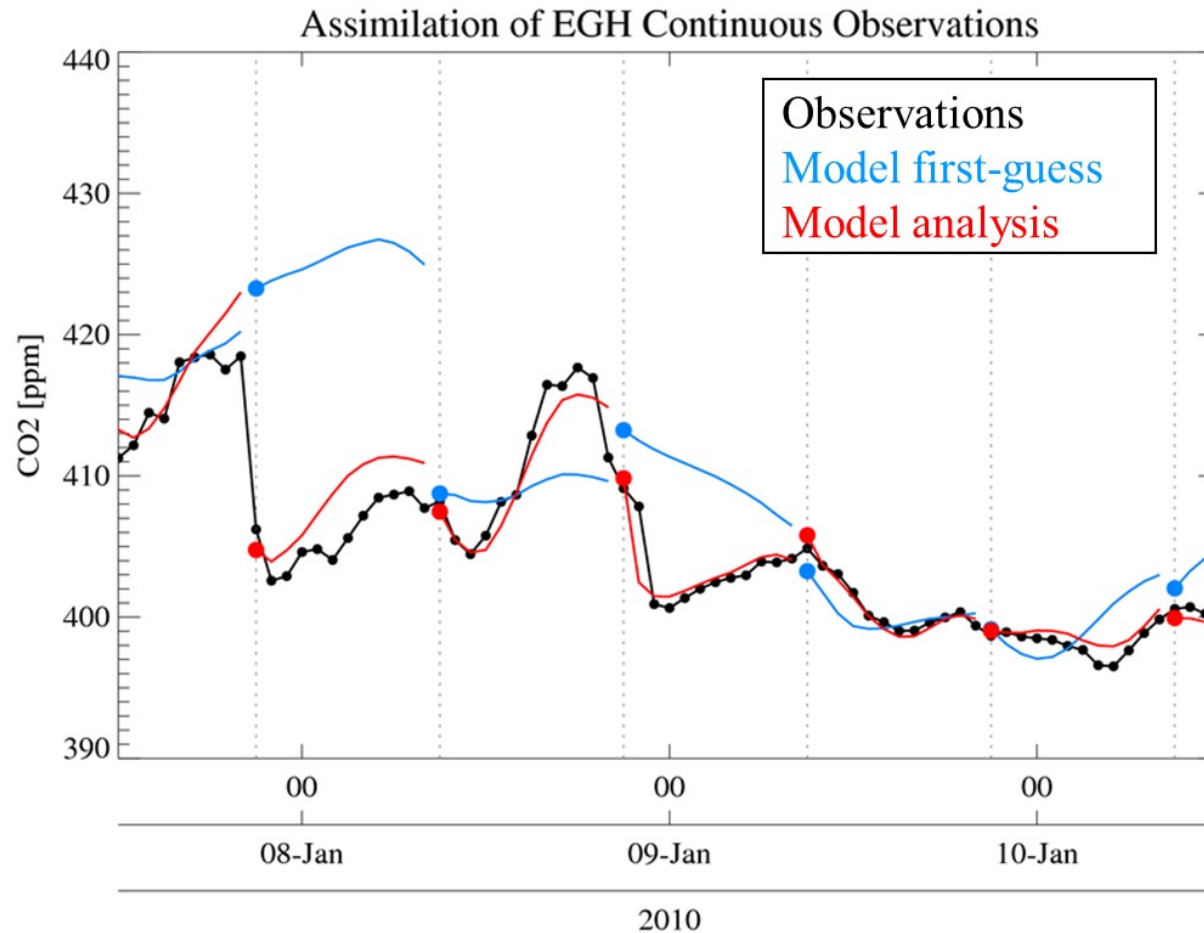
NWP 4D-Var is mostly defined as an initial value problem. Only initial conditions are changed and model error is relatively small.

# CO<sub>2</sub> as an example – a boundary condition problem



# Boundary condition problem – CO<sub>2</sub>

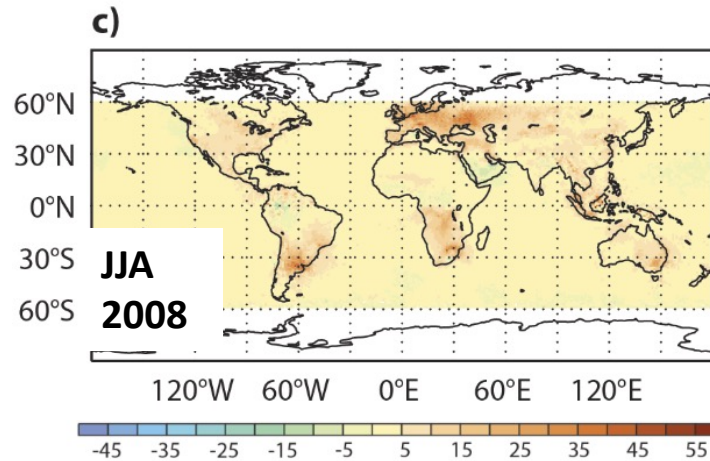
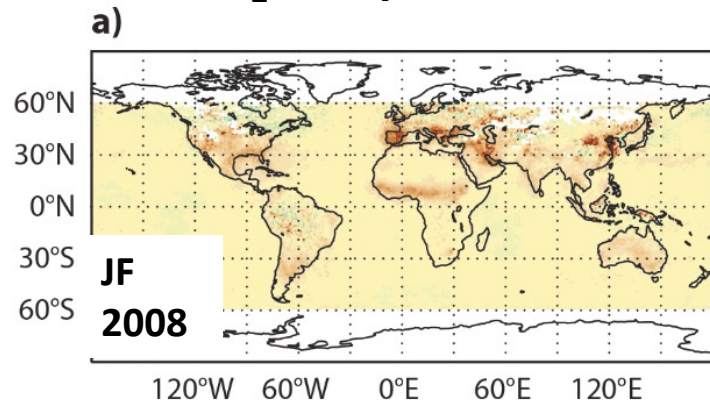
Example: Assimilation of surface observations



By only adjusting the initial conditions, the forecast can drift very quickly from the actual observations.

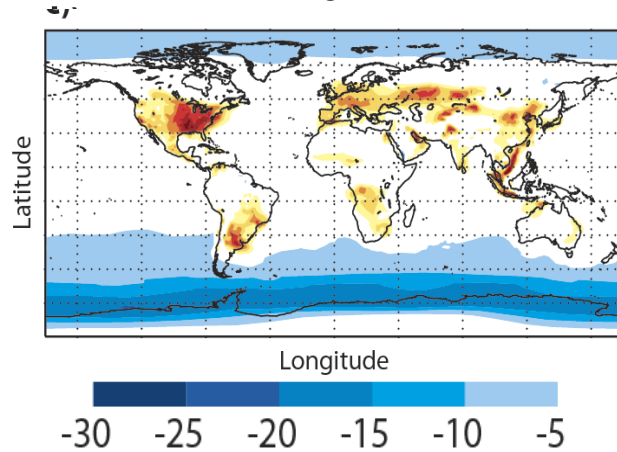
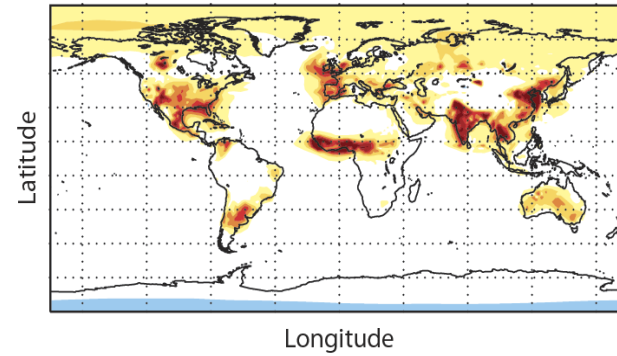
# Short-lived memory of NO<sub>2</sub> assimilation

OMI NO<sub>2</sub> analysis increment [%]



Differences between

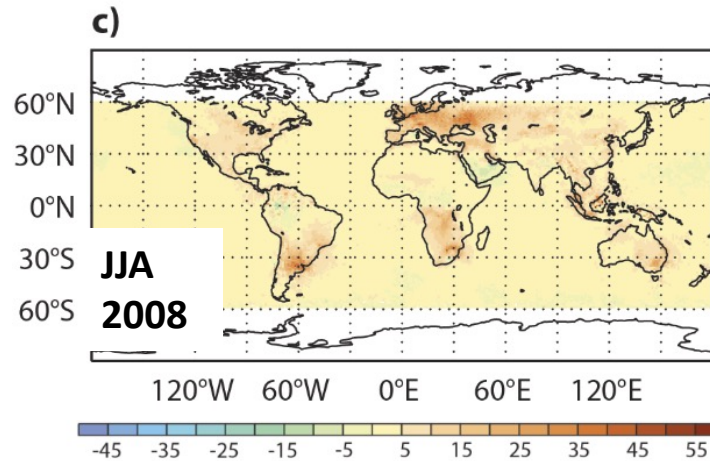
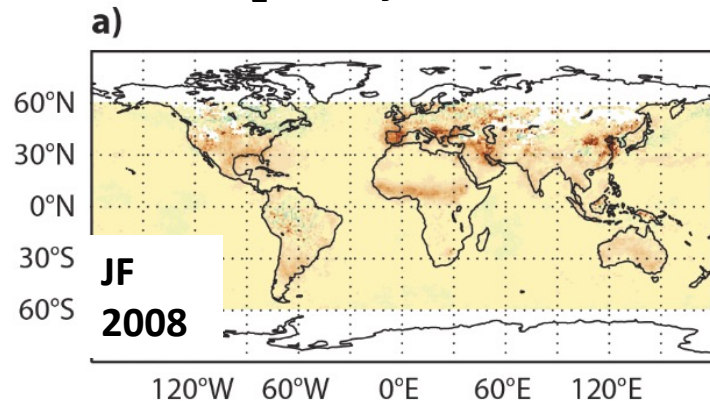
a) Assim and CTRL



- Large positive increments from OMI NO<sub>2</sub> assim
- Large differences between analyses of ASSIM and CTRL

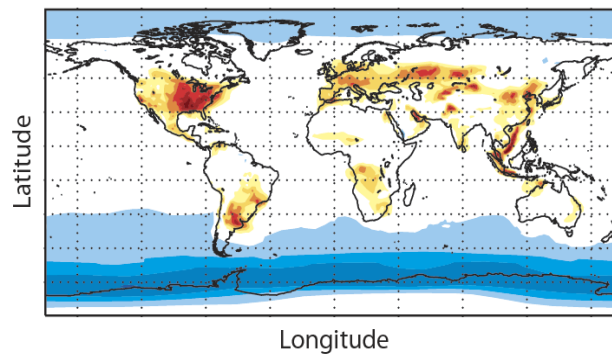
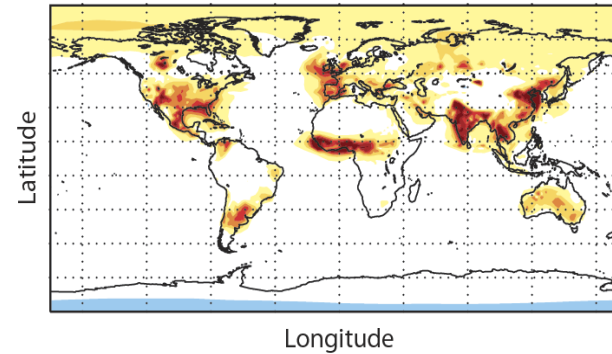
# Short-lived memory of NO<sub>2</sub> assimilation

OMI NO<sub>2</sub> analysis increment [%]

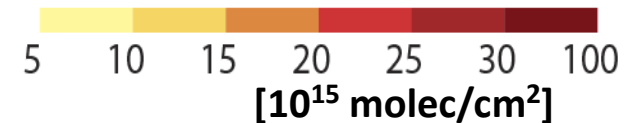
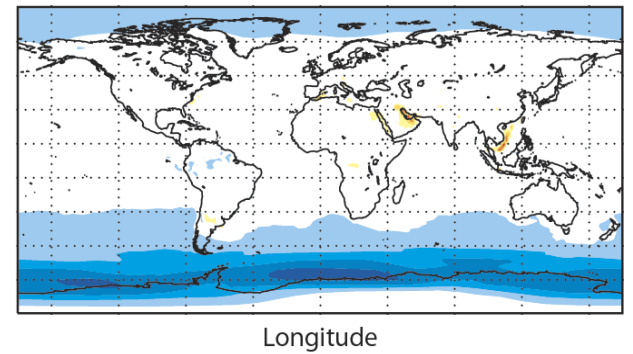
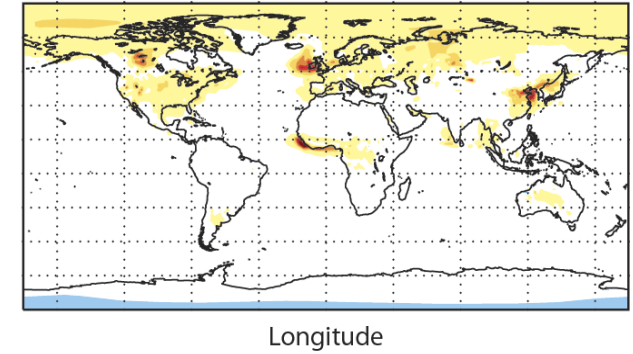


Differences between

a) Assim and CTRL



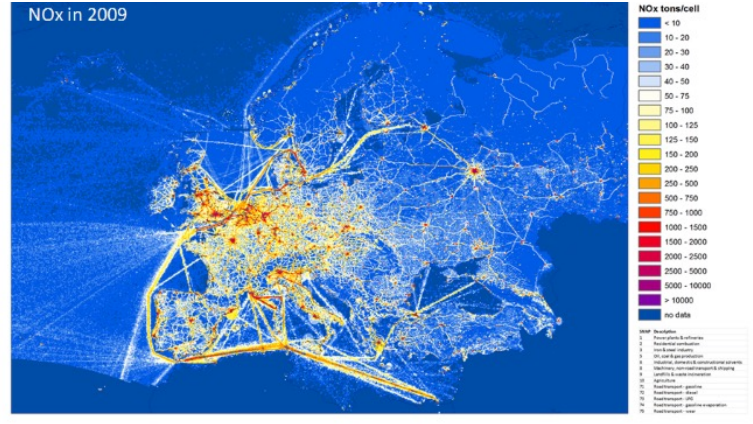
Difference between 12h forecasts from ASSIM and CTRL



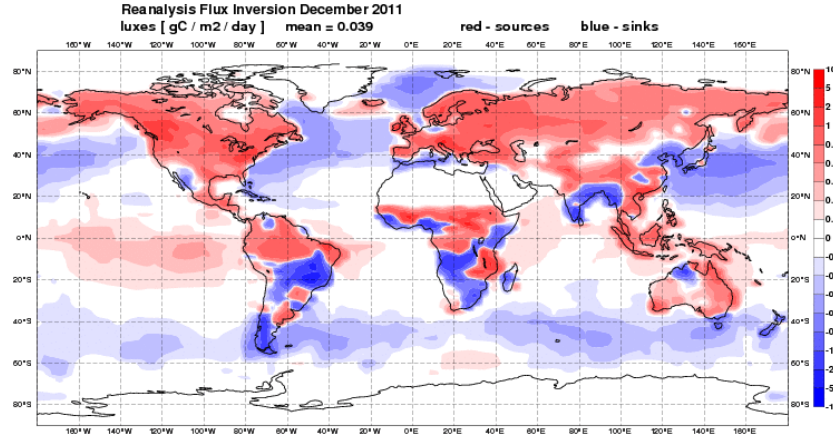
- Large positive increments from OMI NO<sub>2</sub> assim
- Large differences between analyses of ASSIM and CTRL
- Impact is lost during subsequent 12h forecast
- Constraining emissions (in addition of IC) would give a better initial state and persistence of forecast improvements throughout the DA window

# Need for very accurate emission data sets

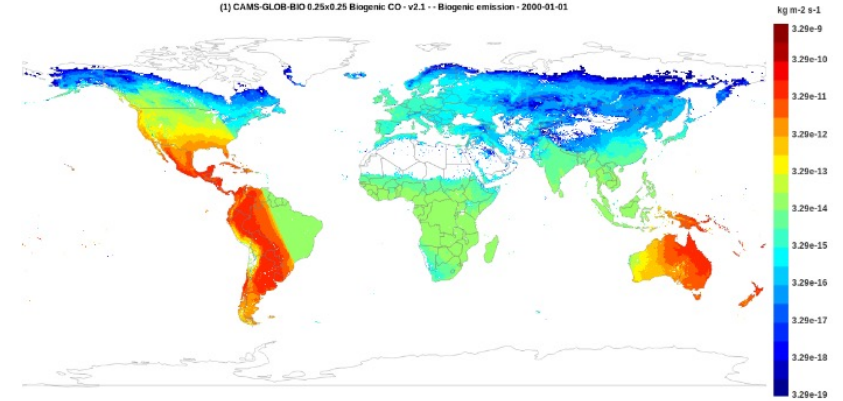
TNO European anthropogenic NOx emissions



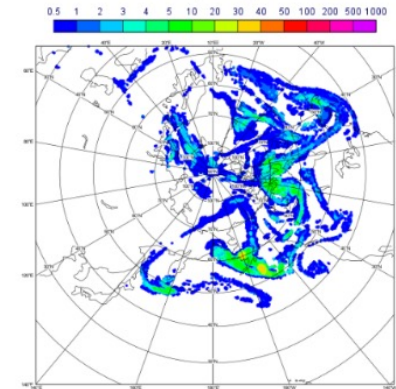
CO<sub>2</sub> fluxes



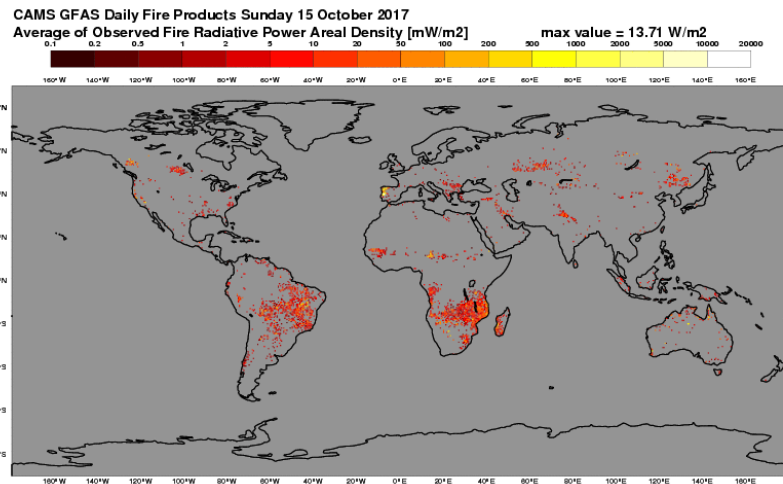
CAMS\_GLOB biogenic CO emissions



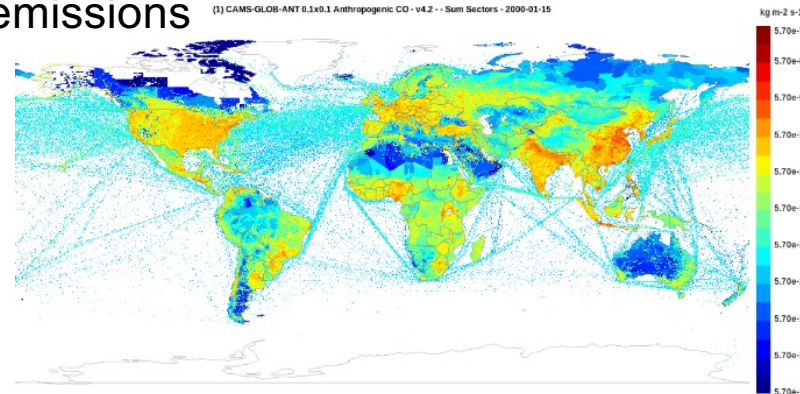
Volcanic SO<sub>2</sub>



Biomass burning, 15 October 2017



CAMS\_GLOB anthropogenic emissions



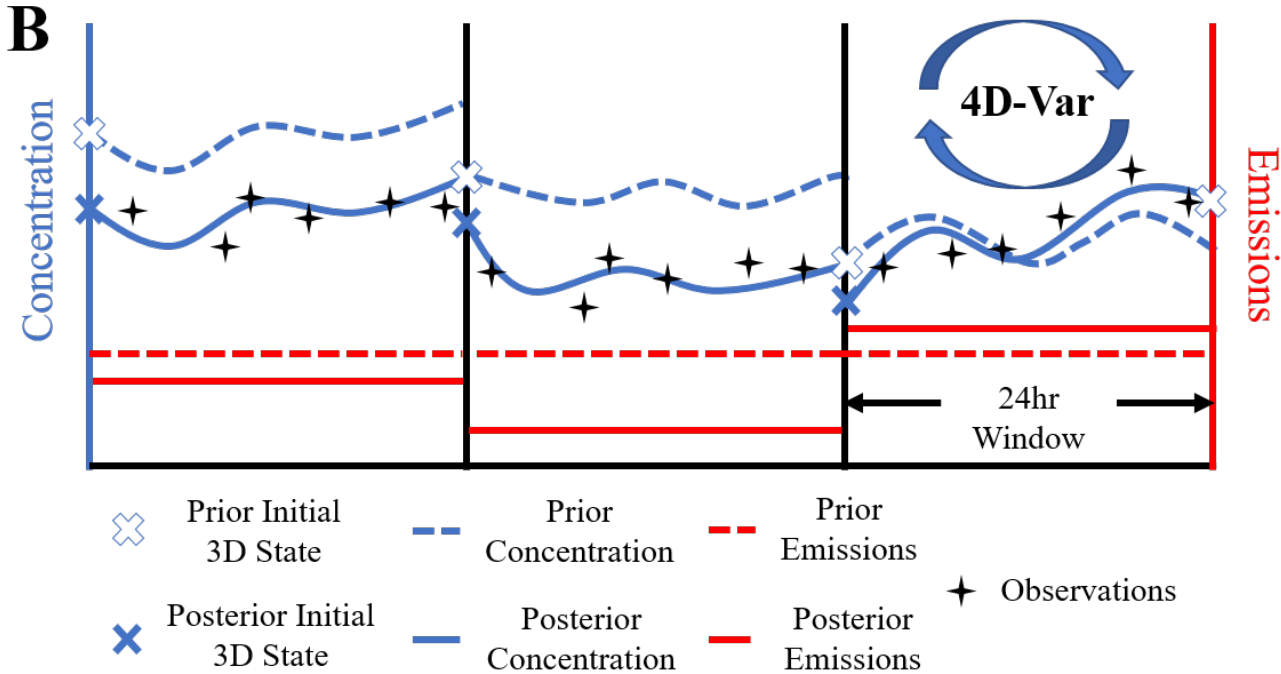
E FOR MEDIUM-RANGE WEATHER FORECASTS

## Emission Estimates

- Emissions are one of the major uncertainties in composition modeling (can not be measured directly)
- The compilation of emissions inventories is a labour-intensive task based on a wide variety of socio-economic and land use data
- Some emissions can be “modeled” based on wind (dust and sea salt aerosol) or temperature (biogenic emissions)
- Some emissions can be observed indirectly from satellites instruments (Fire radiative power, burnt area, volcanic plumes)
- “Inverse” methods can be used to correct emission estimates using observations and models

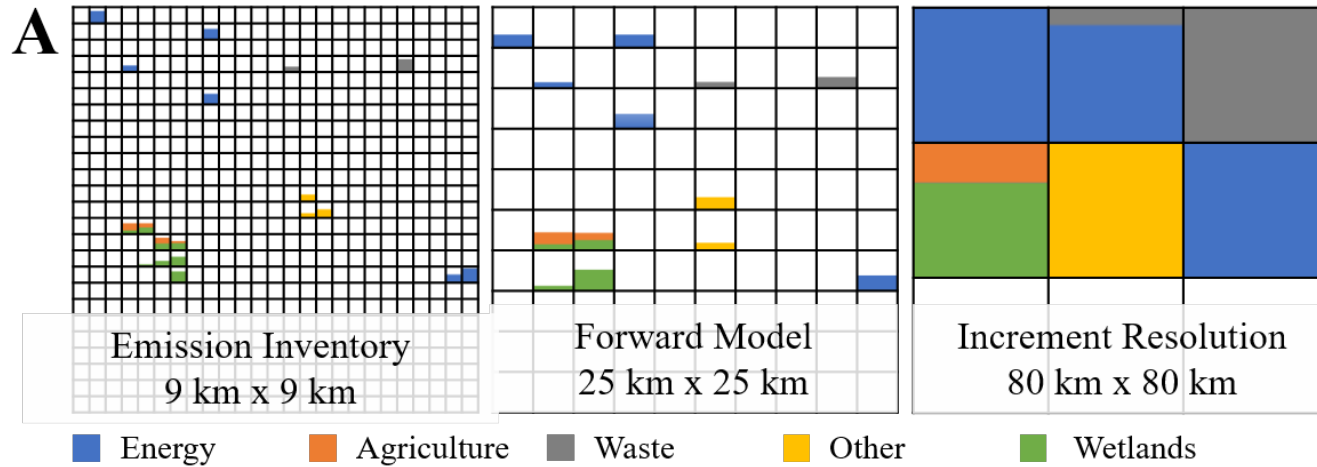
# How to improve?

Use the data assimilation system to adjust surface fluxes at the same time as the initial atmospheric conditions.



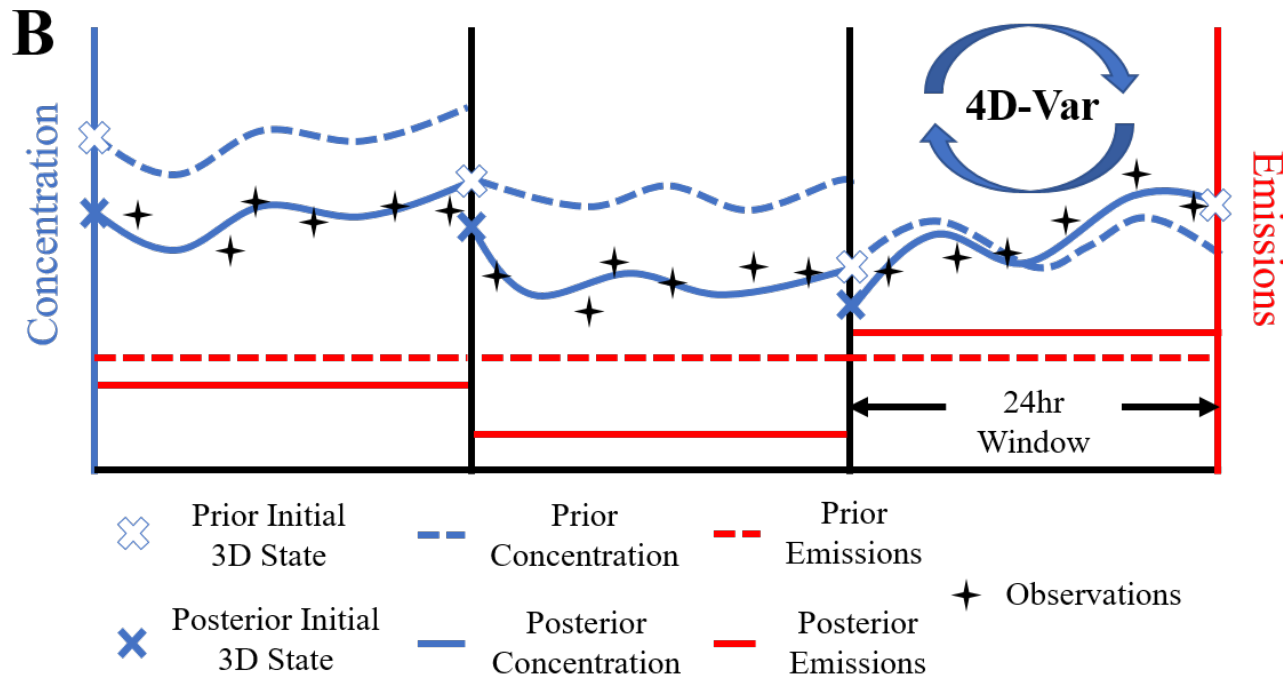
McNorton, J., Bousserez, N., Agustí-Panareda, A., Balsamo, G., Engelen, R., Huijnen, V., Inness, A., Kipling, Z., Parrington, M., and Ribas, R.: Quantification of methane emissions from hotspots and during COVID-19 using a global atmospheric inversion, *Atmos. Chem. Phys. Discuss.* [preprint], <https://doi.org/10.5194/acp-2021-1056>, in review, 2022.





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# Joint state/emissions 4D-var inversion system

$J_b$ : background constraint for  $x$

$J_p$ : constraint for emission scaling factors

$$J(x, p) = \underbrace{(x - x_b)^T B^{-1} (x - x_b)}_{J_b} + \underbrace{(p - p_b)^T B_p^{-1} (p - p_b)}_{J_p}$$

State  
control  
vector

$$+ \underbrace{\sum_{i=0}^n (y_i - H_i[x_i, p])^T R_i^{-1} (y_i - H_i[x_i, p])}_{J_o}$$

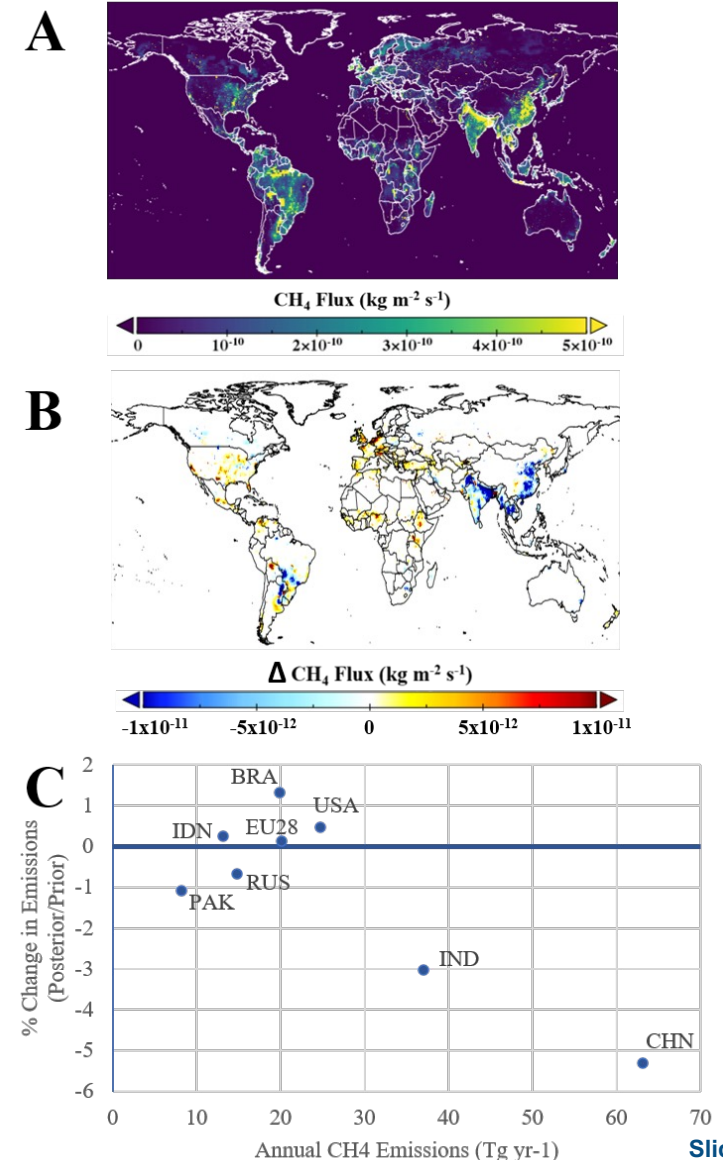
Parameter (e.g. scaling factors)

$J_o$ : observation

- Joint optimisation of emissions and initial conditions
- Optimized emissions for e.g., CO<sub>2</sub>, CH<sub>4</sub>, CO, NO & NO<sub>2</sub>
- TL/AD of simplified chemistry: link between NO emissions and NO<sub>2</sub> observations
- 2D scaling factors  $p$  applied to emission fields
- Prior error definition:
  - Global constant or 2D map of standard error
  - Spatial correlation length scale (via  $B_p$ )
  - NO/CO<sub>2</sub> emission error correlation in  $B_p$  -> NO<sub>2</sub> obs can constrain CO<sub>2</sub> emissions

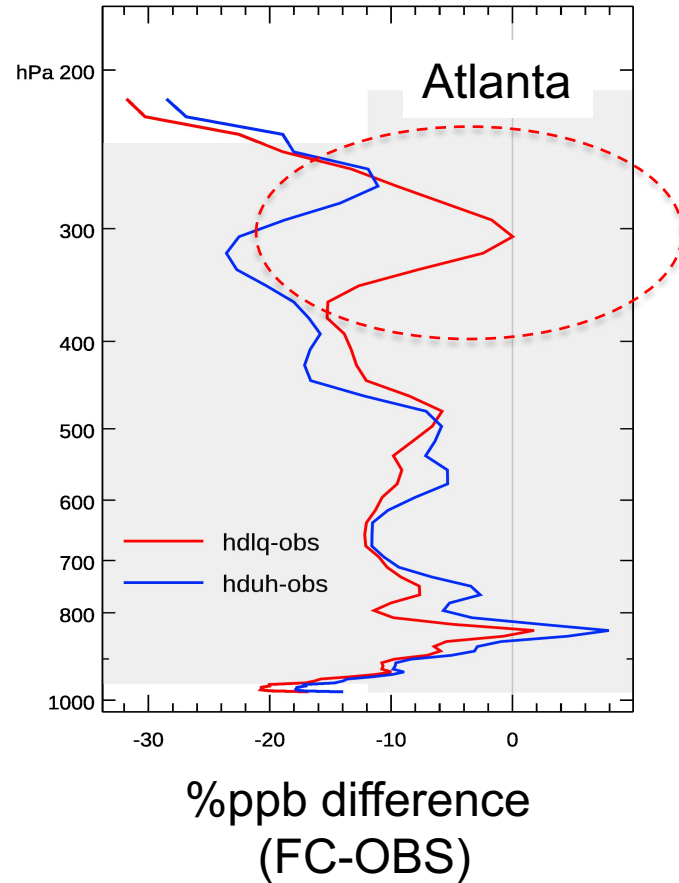
# Very active research agenda for operational DA for composition

- Include emissions/fluxes in control vector
- Flux increments, correction factor, parameter estimation?
- Enough signal in a typical short assimilation window in operations (6h-12h)?
- How to propagate the information on emission constraints from window to window? No forward model.
- Full chemistry needed (cost)?
- Using co-emitted species for anthropogenic emissions

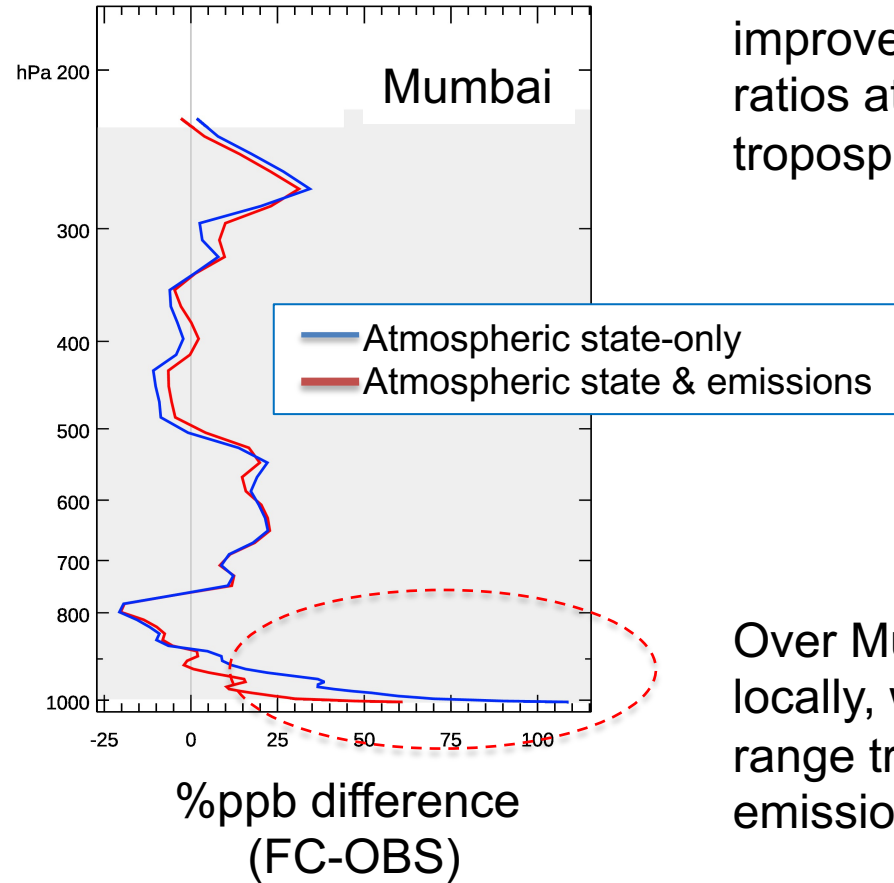


# Constraining emissions improves the forecast

Average of 2 FC-OB profiles of CO (% diff ppb) over Atlanta in Apr 2019. Analyses.



Average of 2 FC-OB profiles of CO (% diff ppb) over Mumbai in Apr 2019. Analyses.









Including emissions in the DA control vector results in significant improvements in modelled CO mixing ratios at the surface and in the upper troposphere.

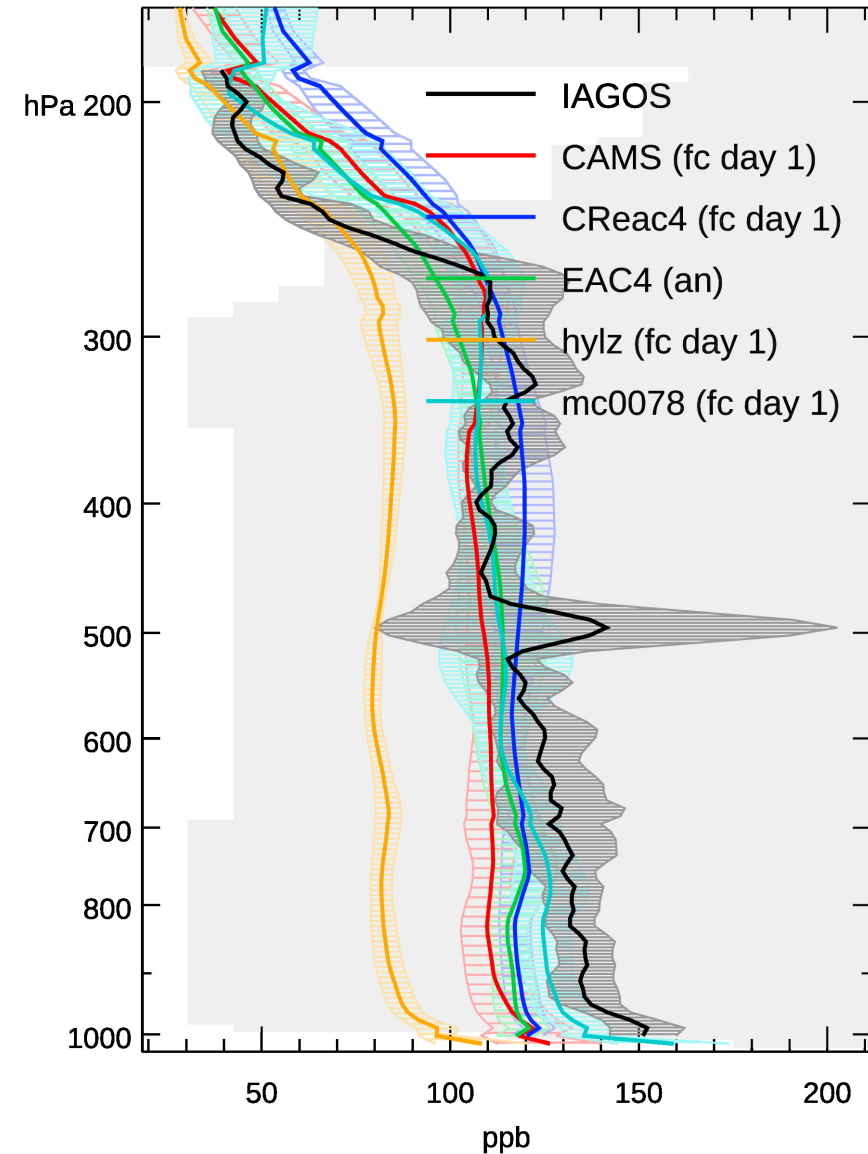
Over Mumbai, emissions are adjusted locally, while Atlanta show the long-range transport effect of adjusted emissions elsewhere.

# Summary in one figure

Evaluation of Carbon Monoxide in model and analysis runs against observations shows all the (potential) issues.

-  Aircraft observations
-  Analysis with MOPITT and IASI (TIR only)
-  Reanalysis control run
-  Reanalysis
-  Control run with new emissions
-  Analysis with MOPITT, IASI and TROPOMI

Average of 16 profiles of CO (ppb) over Montreal in May 2023.



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