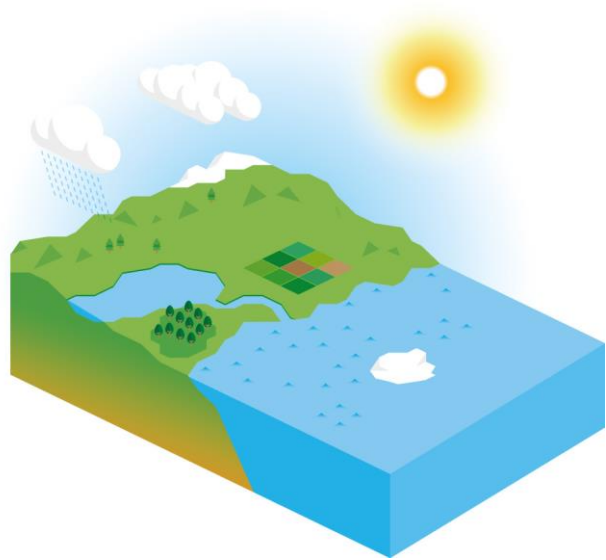


ECMWF/EUMETSAT NWP-SAF  
Satellite data assimilation  
Training course, 16 May 2023

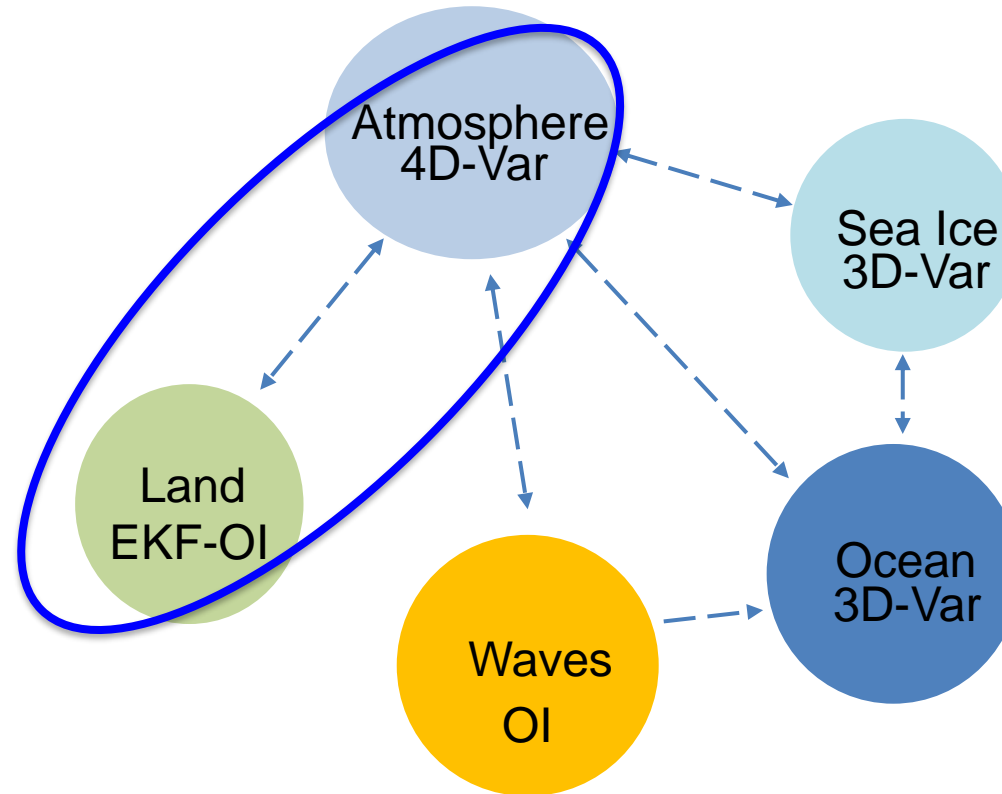
# Satellite data for land surface analysis in NWP systems

Patricia de Rosnay

# Earth system approach



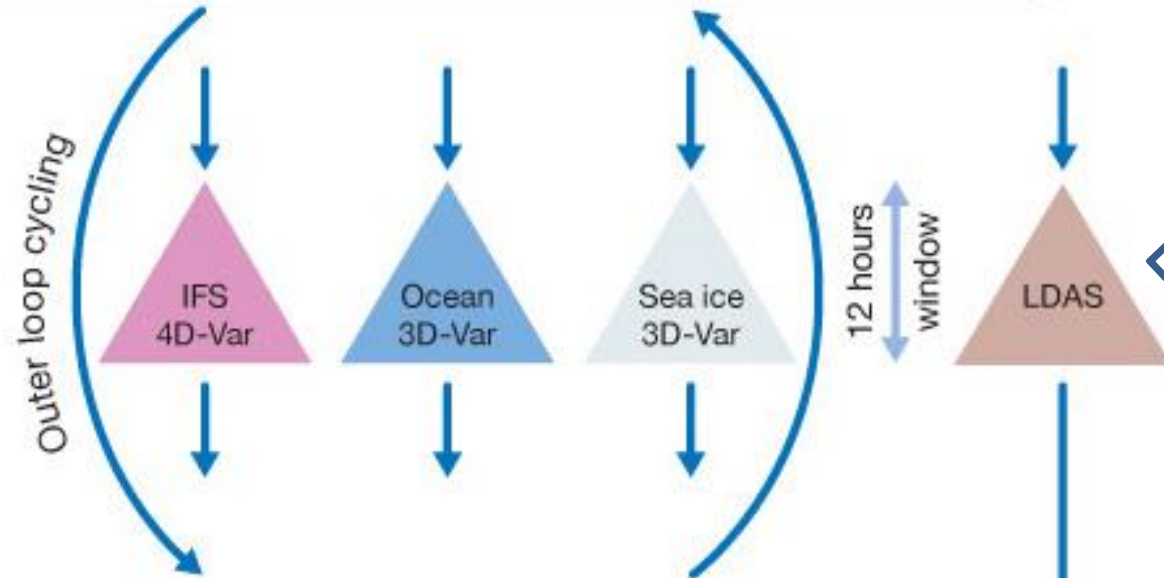
## Integrated Forecasting System (IFS)



- Coupled assimilation developments for NWP and reanalyses  
Importance of interface observations such as snow, soil moisture over land

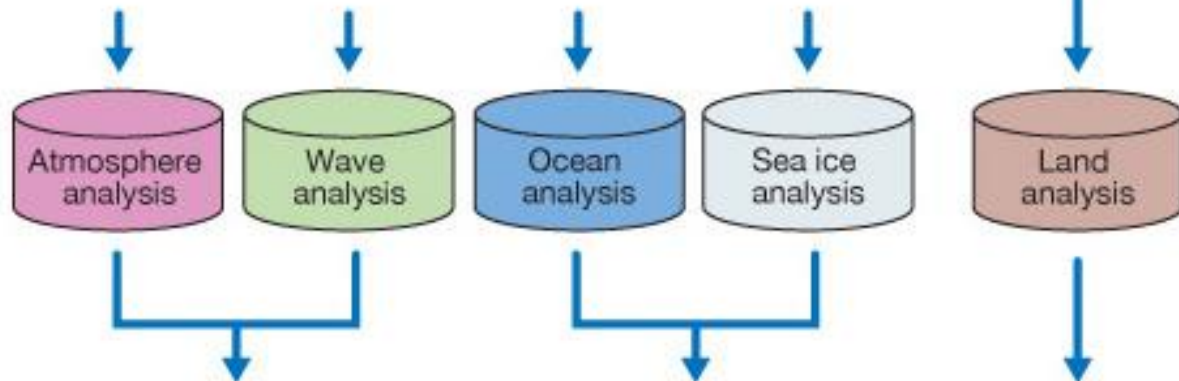
## Coupled trajectory

## Coupled land-atmosphere assimilation



- T2m, RH2m 2D-Optimal Interpolation
- Snow 2D-Optimal Interpolation
- Soil moisture SEKF (simplified Ext. Kalman Filter)
- Tsoil, Tsnow 1D-OI

## Coupled trajectory



## Coupled short forecast

Further reading on coupled assimilation (de Rosnay et al QJRM 2022): <https://doi.org/10.1002/qj.4330>

# Snow in the ECMWF IFS for NWP

**Snow Model:** Component of the ECMWF land surface model H-TESEL (Balsamo et al, JHM 2009)

- Single layer snowpack until 2023 (Dutra et al, JHM 2010,
- Multi-layer snowpack from June 2023 (Arduini et al., James 2019)

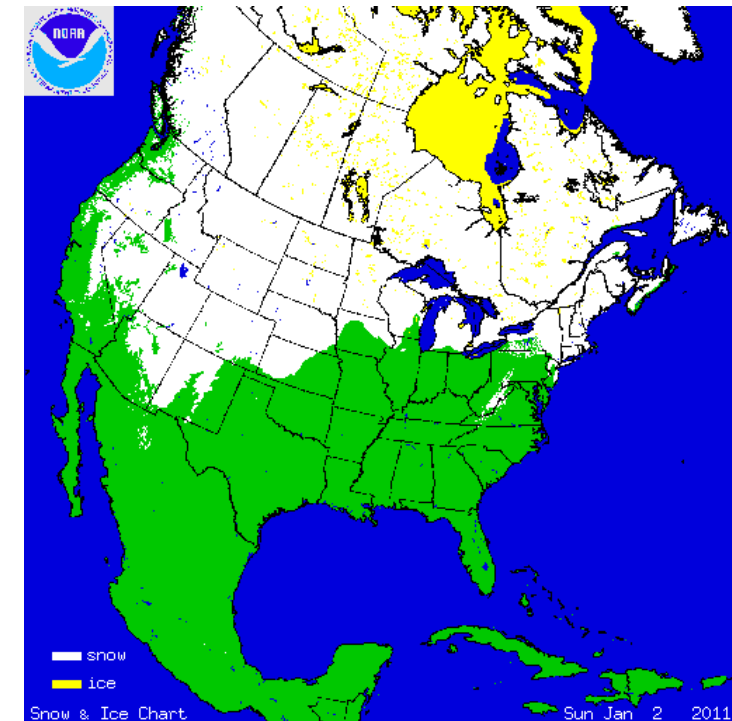
**Observations:** de Rosnay et al ECMWF Newsletter 2015

- Snow depth in situ data: SYNOP and National networks
- Snow cover extent: NOAA NESDIS/IMS daily product (4km)  
**(Used only at altitude lower than 1500m)**

**Data Assimilation:** de Rosnay et al SG 2014

- **Optimal Interpolation (OI)** → optimally combine the model and obs
- The result of the data assimilation is the analysis

→ used to initialize NWP



<http://nsidc.org/data/g02156.html>

# Use of NESDIS/IMS snow cover data for NWP

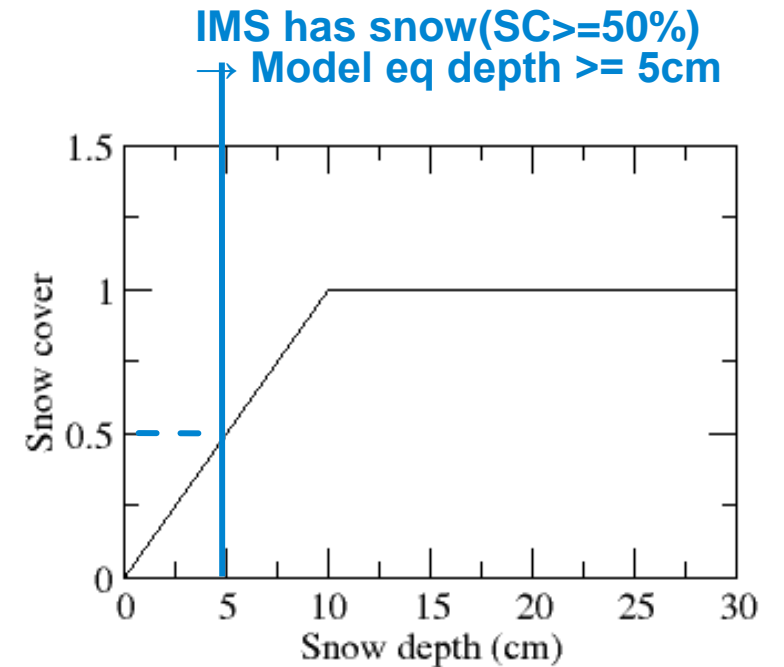
- IMS snow cover (SC) means  $SC > 50\%$
- But no quantitative information on snow depth
- Relation snow cover (SC)/Snow Depth (SD):  $SC = 50\%$  corresponds to  $SD = 5\text{cm}$
- Quality Control: reject in mountainous areas above 1500m altitude

	Fisrt Guess	Snow	No Snow
NESDIS IMS			
Snow	x	DA 5cm	
No Snow	DA	DA	DA

Use of IMS at ECMWF

**Error specifications:**

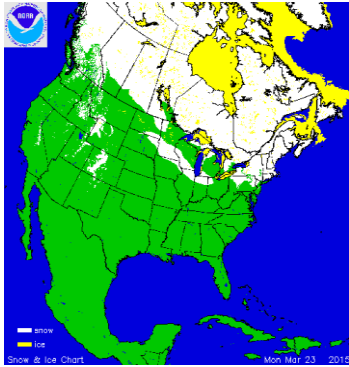
BG:  $\sigma_b = 3\text{cm}$   
 SYNOP:  $\sigma_{\text{SYNOP}} = 4\text{cm}$   
 IMS:  $\sigma_{\text{ims}} = 8\text{cm}$



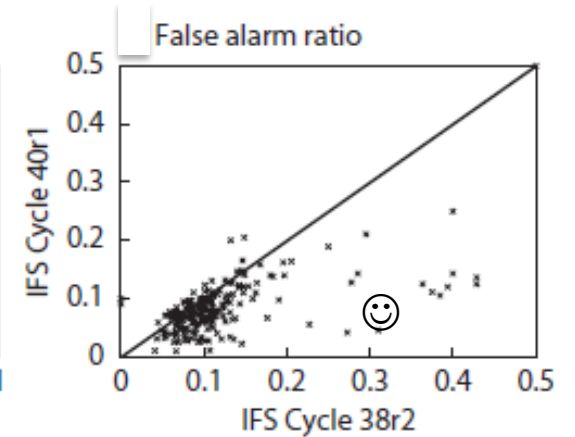
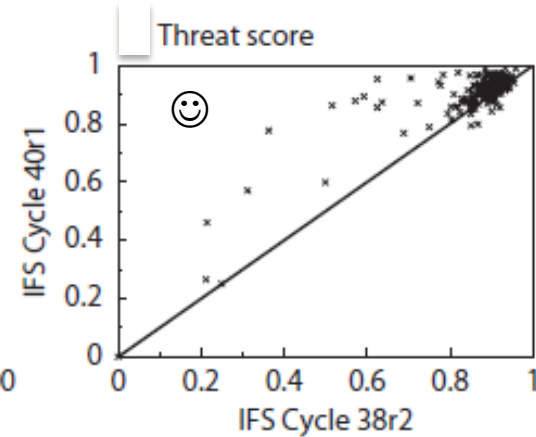
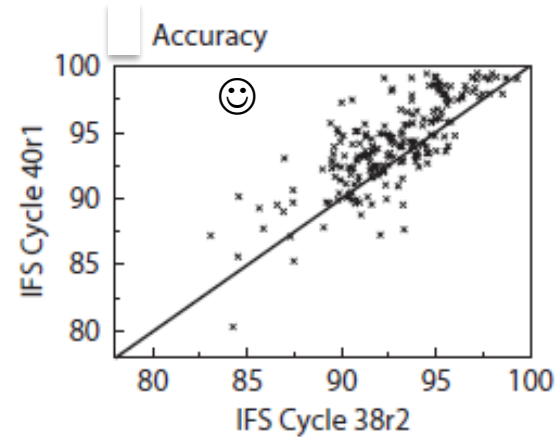
Model relation between Snow Cover (SC) and Snow Depth (SD)

# Snow assimilation: Forecast impact

## Revised IMS snow cover data assimilation (2013)



**Impact on snow** October 2012 to April 2013 (251 independent *in situ* observations)



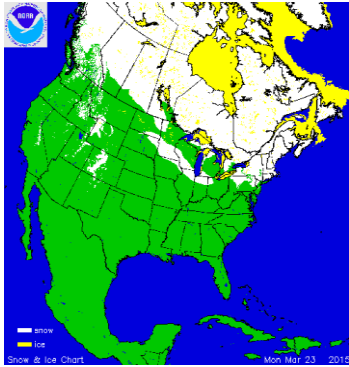
	Snow observed	No snow observed
Snow In analysis	a Hits	b False alarm
No snow In analysis	c Misses	d Correct no snow

The following scores are used for the evaluation:

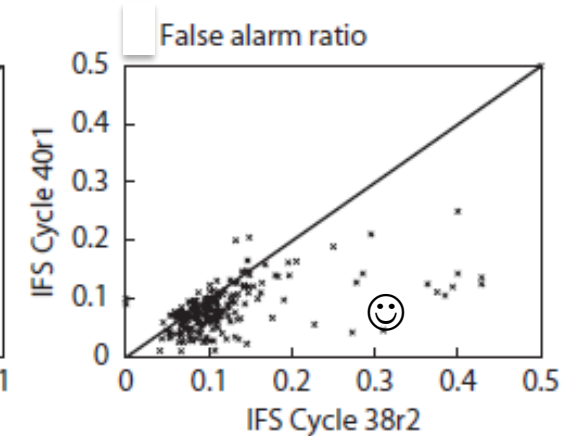
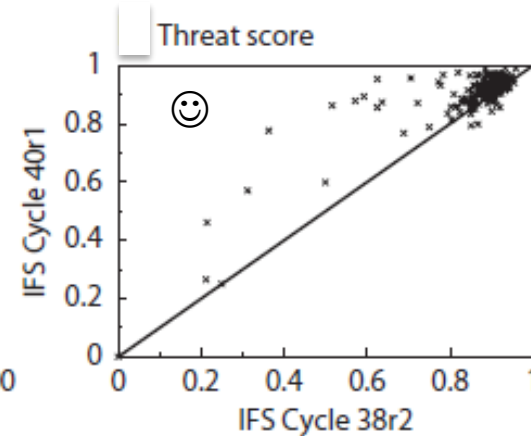
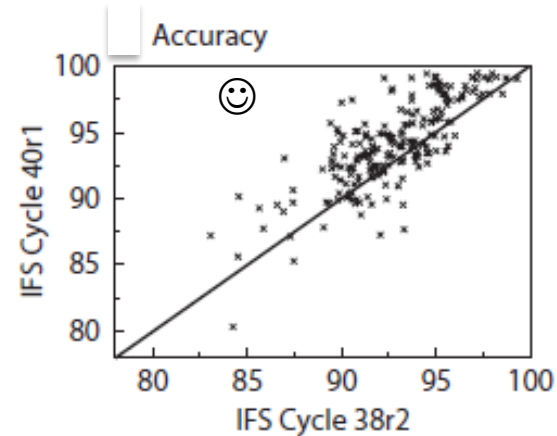
- Accuracy =  $a+d / (a+b+c+d)$
- False alarm ratio =  $b / (a+b)$
- Threat score =  $a / (a+b+c)$

# Snow assimilation: Forecast impact

## Revised IMS snow cover data assimilation (2013)

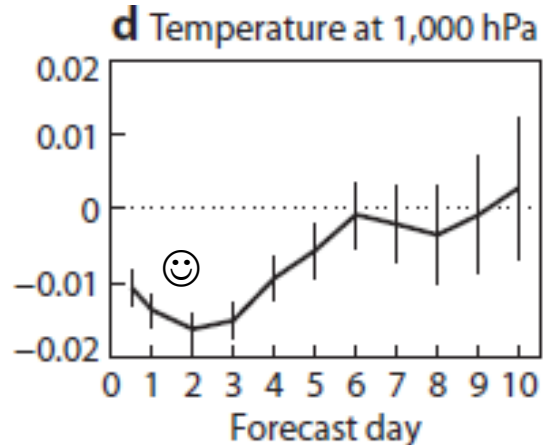
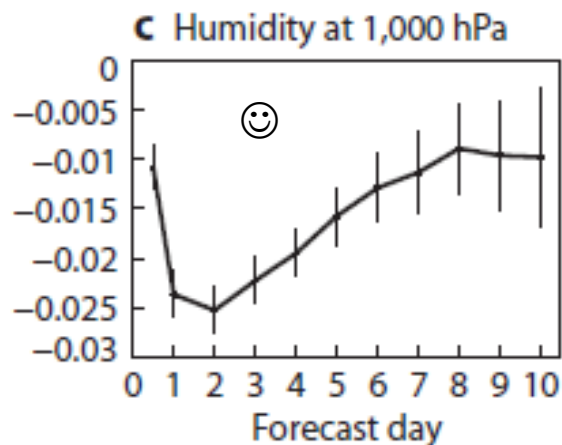


**Impact on snow** October 2012 to April 2013 (251 independent *in situ* observations)



## Impact on atmospheric forecasts

October 2012 to April 2013 (RMSE new-old)



→ Consistent improvement of snow and atmospheric forecasts

de Rosnay et al., ECMWF Newsletter 143, Spring 2015

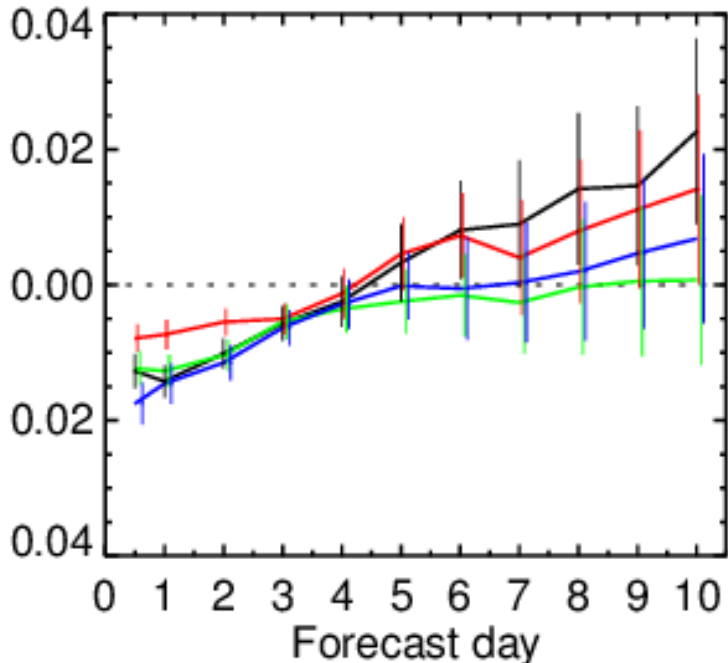


# Snow data assimilation Observing System Experiments

Winter 2014-2015 (December to April) - Assess the impact of the snow observing system

Expts	SYNOP	National Data	IMS snow cover
0- OL (no snow data assimilation)			
→ 1- Snow DA: SYNOP+IMS	✓		✓
→ 2- Snow DA: SYNOP+Nat (all in situ)	✓	✓	
★ 3- Snow DA SYNOP+Nat+IMS (all)	✓	✓	✓

Z2T: NH 20° to 90°



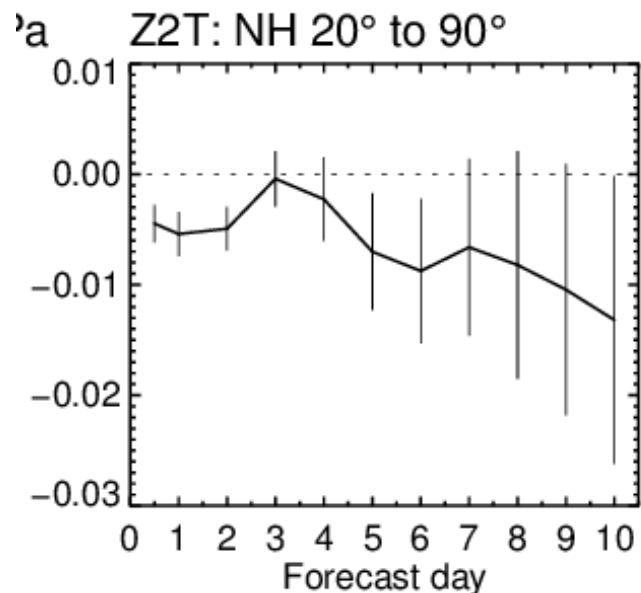
**Impact on T2m Forecasts:  
Normalized RMSE for T2m FC difference  
compared to the reference (OL)**

- SYNOP+IMS (1-0)
- SYNOP+Nat (2-0)
- SYNOP+Nat+IMS (3-0) -> oper

**Best T2m Forecast when all observations, combining in situ and IMS, are assimilated.**



## Impact of IMS snow cover assimilation (case 3-2)

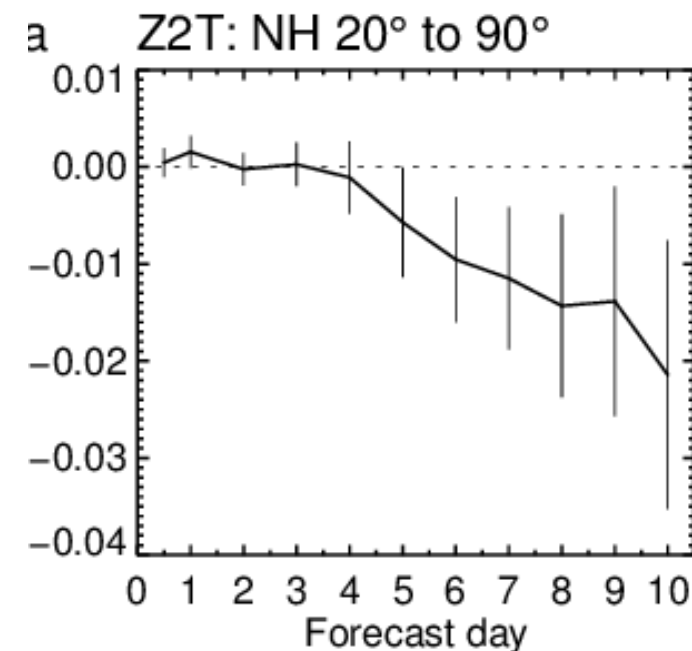


All data assimilated (Synop+Nat+IMS)  
compared to all in situ data assimilated (SYNOP+Nat)  
-> Further T2m forecasts error reduction,  
significant at short range

## Impact of National data (case 3-1)

All data assimilated (SYNOP+Nat+IMS)  
compared to SYNOP+IMS assimilation  
-> Further T2m forecasts error reduction at medium range

**Contribution & complementarities of each observation types  
to improve T2m forecasts at short and medium ranges**



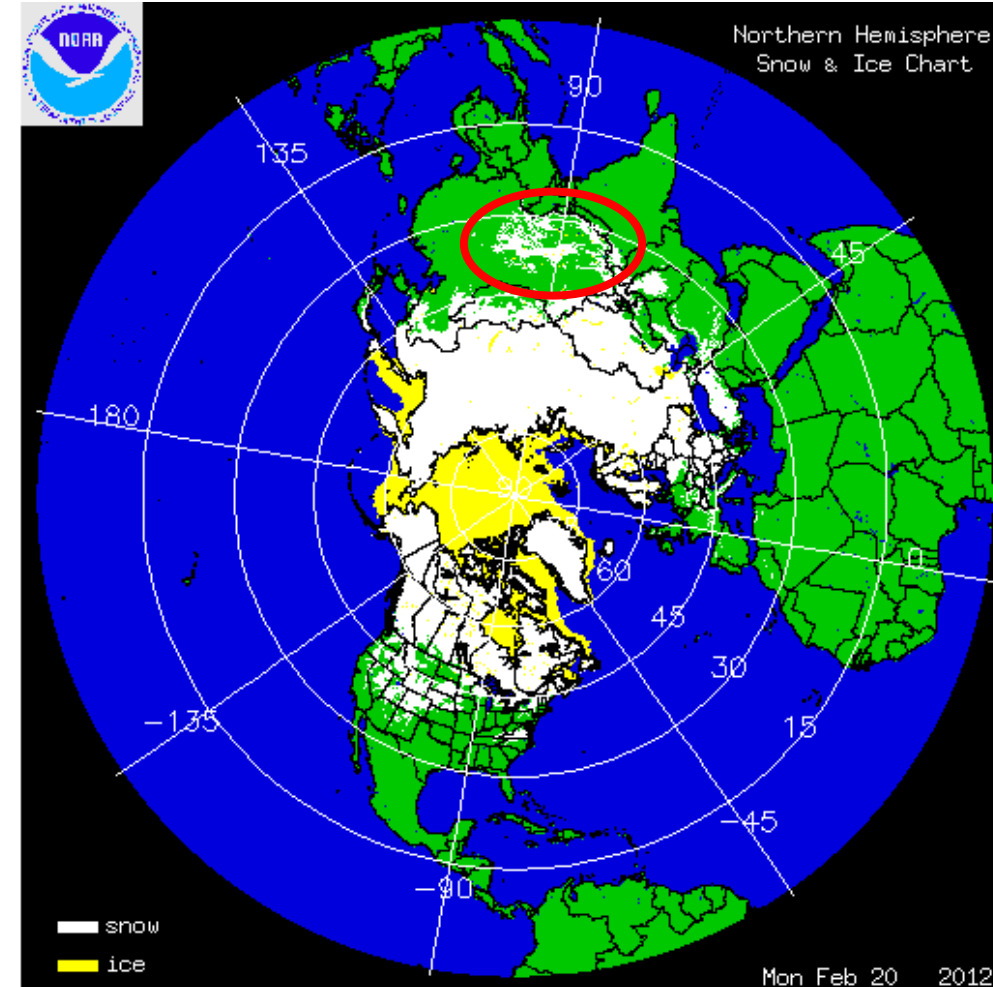
# Impact of Tibetan Plateau snow cover assimilation on NWP

Overestimation of snow in the Himalayas (Orsolini et al. 2019)  
→ Re-assess the potential benefit of IMS snow cover assimilation over the Tibetan Plateau

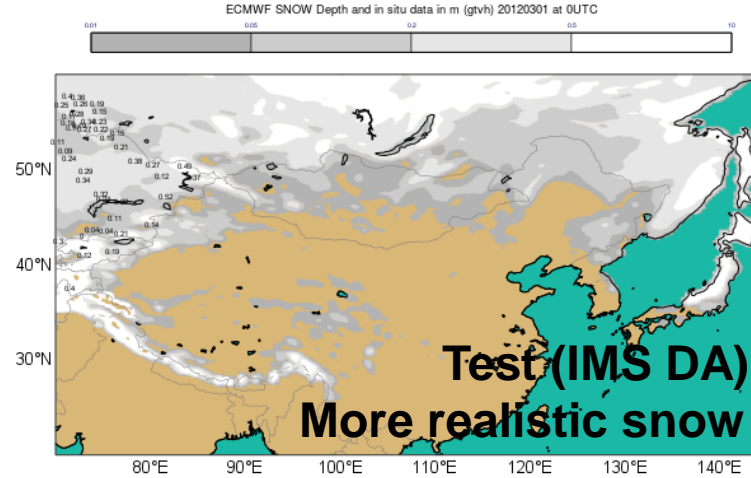
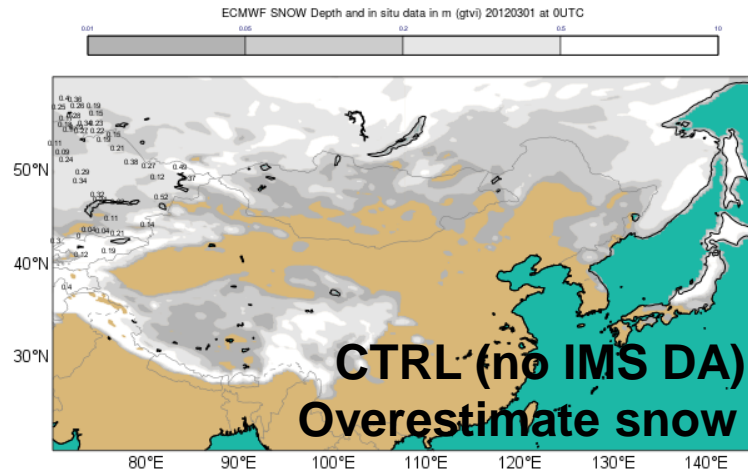
- NWP experiments, Sept 2011 – Dec 2012
- Two 10-day FC per day (488 days, 976 forecasts)
- Resolution: Tco399 (~25 km)
- IFS cycle: 43r3

**CTRL** : QC rejects IMS above 1500m altitude, as for operational NWP and ERA5

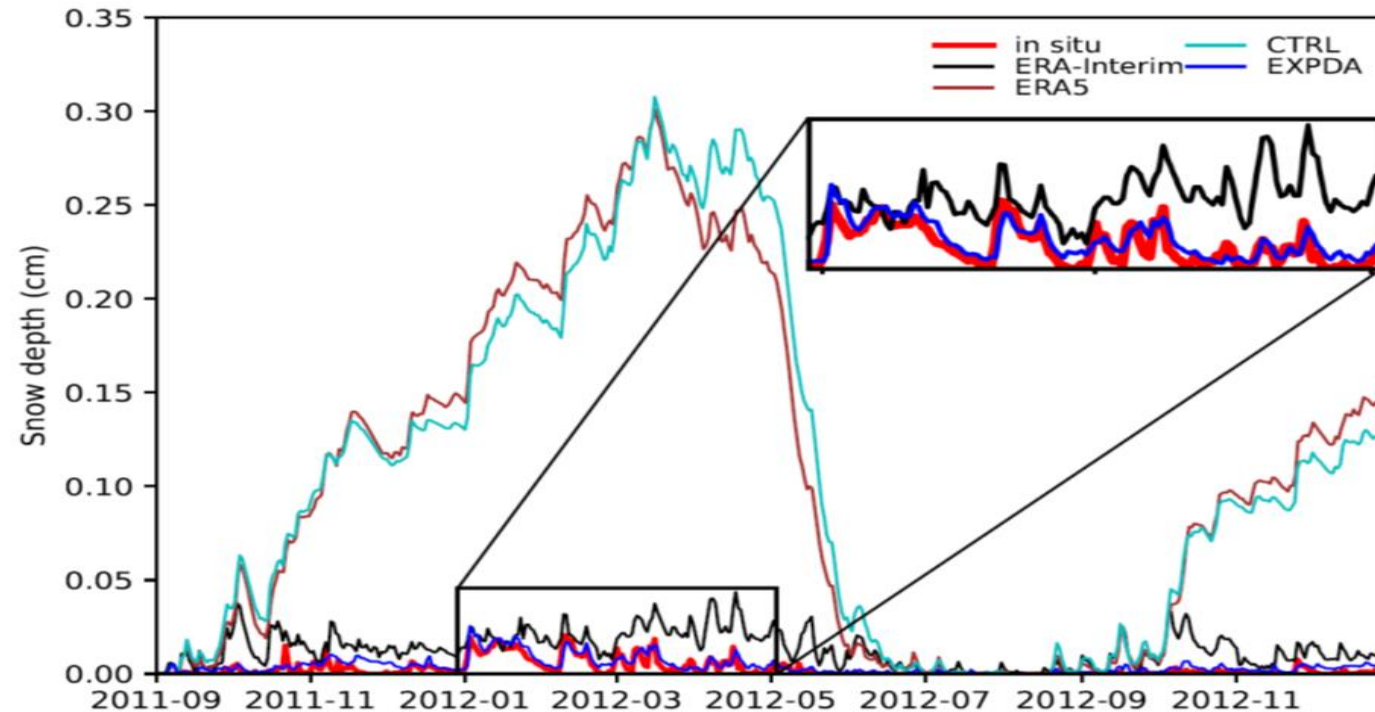
**IMSDA** : use IMS everywhere



# Snow cover coupled data assimilation impact over the Tibetan Plateau

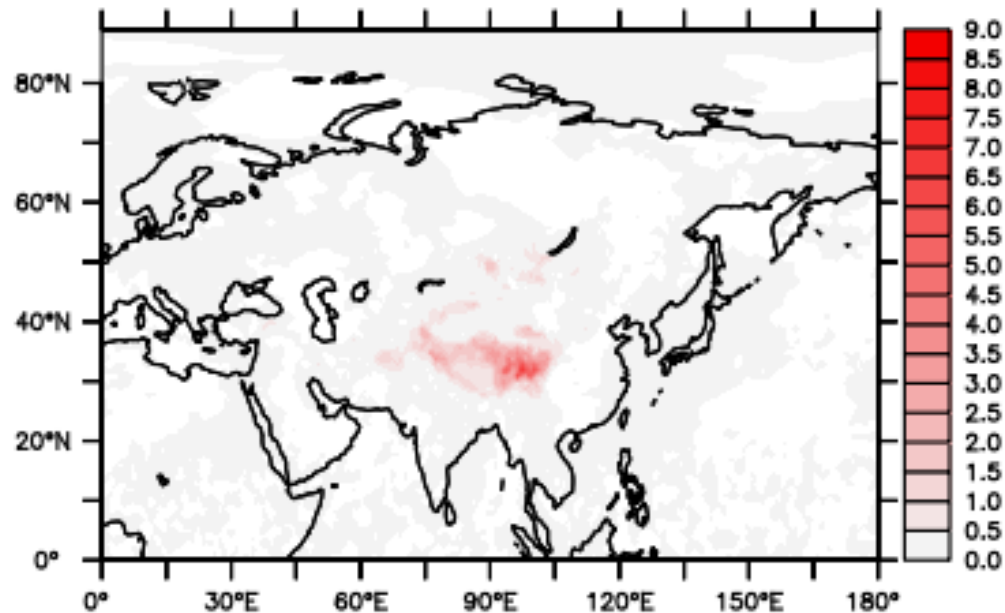


Snow cover DA removes snow and improves snow depth



# Impact of snow cover assimilation on two-meter temperature

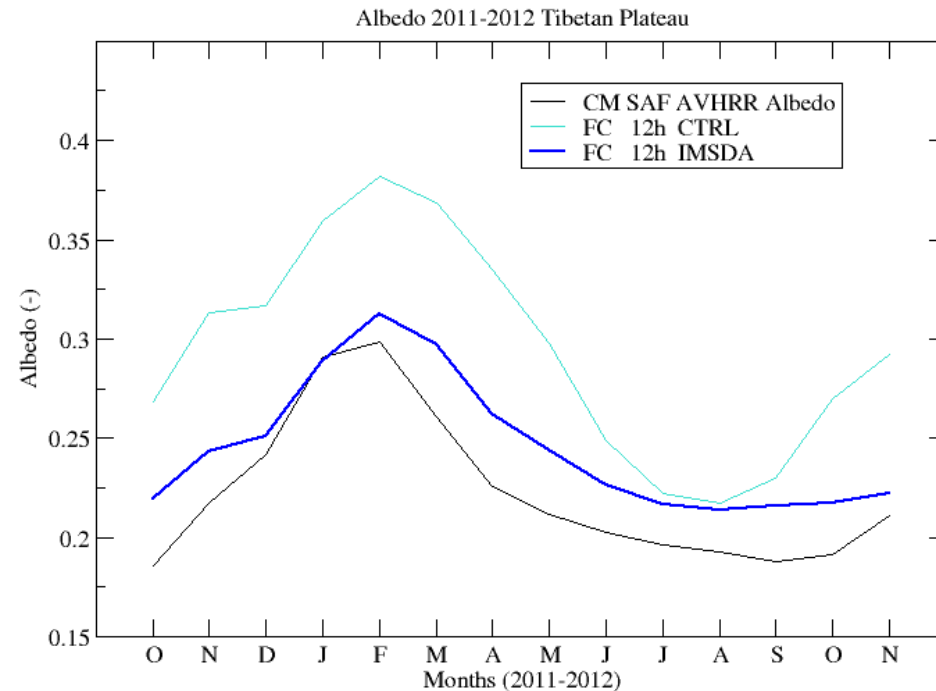
IMS assimilation removes snow  
→ Warmer surface conditions than CTRL



T2m diff (IMSDA-CTRL) (K)  
Forecast day-10  
Oct 2011-Sept 2012

# Surface albedo verification

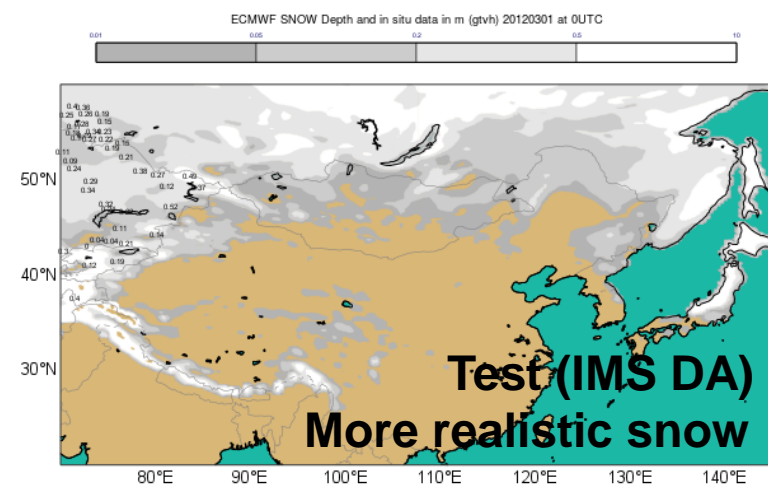
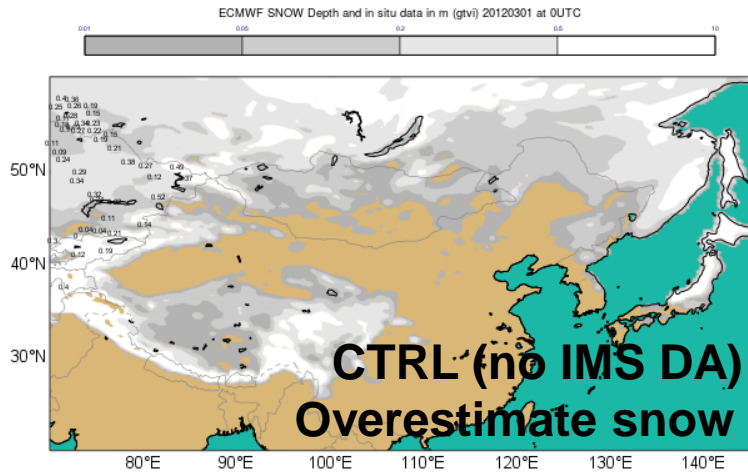
IMS assimilation removes snow  
→ Lower surface albedo



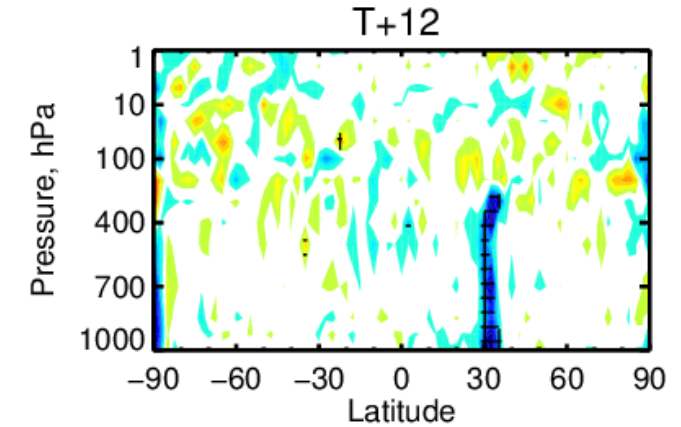
Use Climate Monitoring SAF CLARA-2  
albedo product (Karlson et al. 2017)

# Snow cover coupled data assimilation impact over the Tibetan Plateau

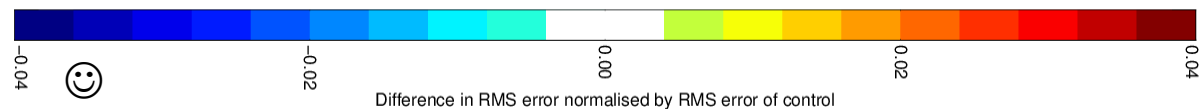
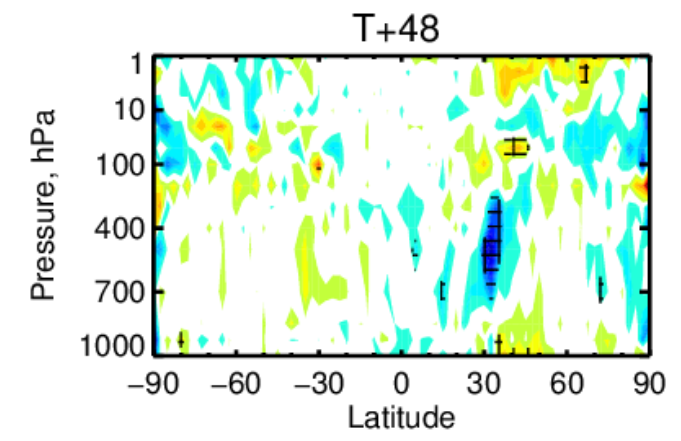
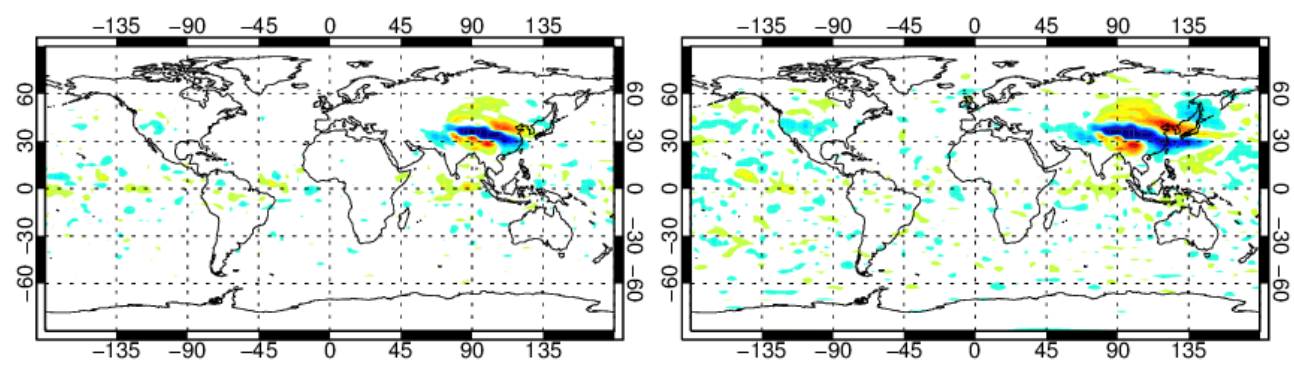
Impact on albedo and momentum  
 → Modifies the jet circulation



Change in humidity FC error  
 Oct 2011 – June 2012



Change in zonal wind  
 Oct 2011 – June 2012



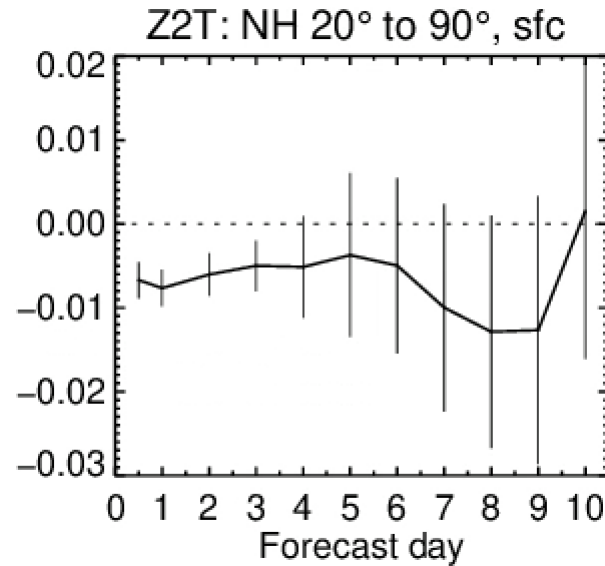
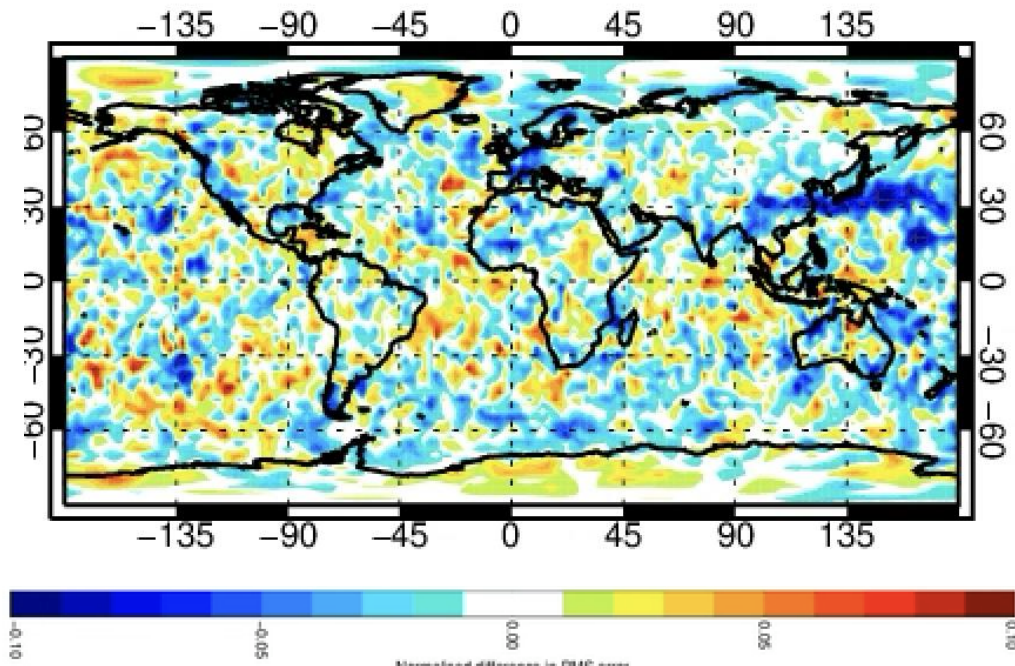


# Recent updates and plans for future implementation

Future improvements (e.g. multi-layer snow model) lead to enhanced consistency between snow and boundary layer processes.  
 → Impact of IMS snow cover assimilation in mountainous areas using improved system give promising results

T+72; 500hPa

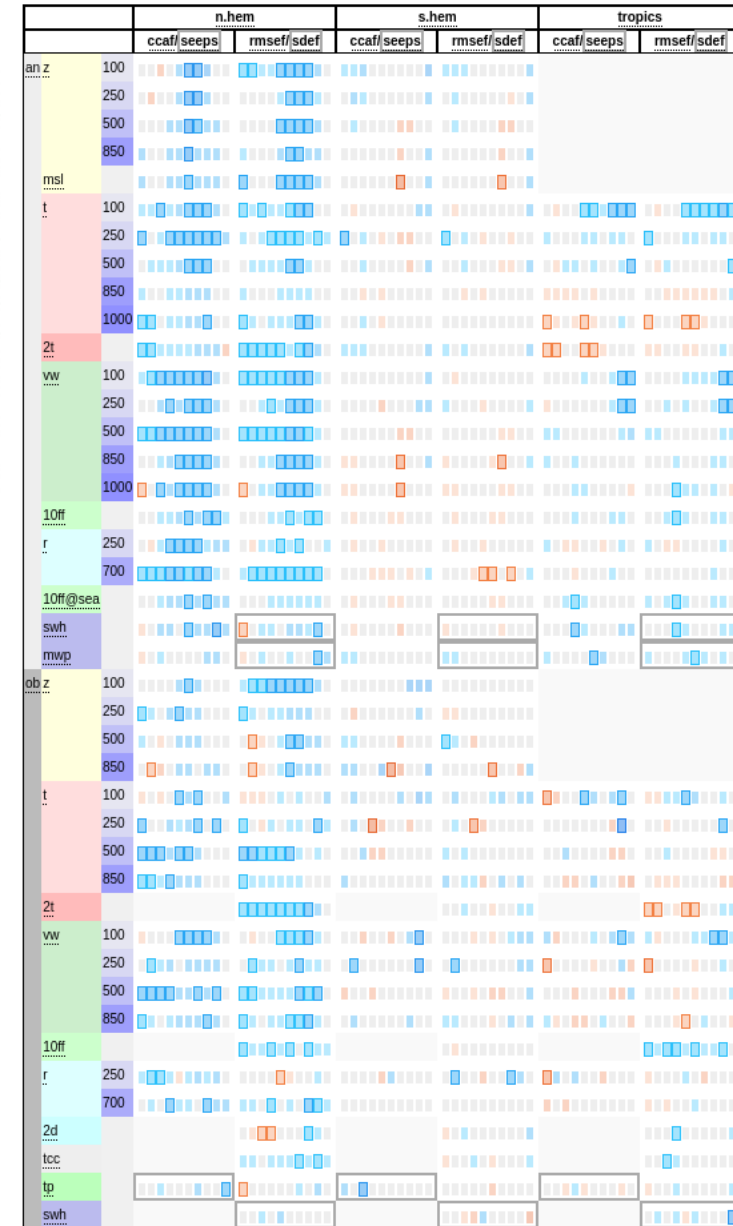
Vector wind error reduction



Surface air temperature improvement

Scorecard →  
 (blue= improved  
 red=degraded)

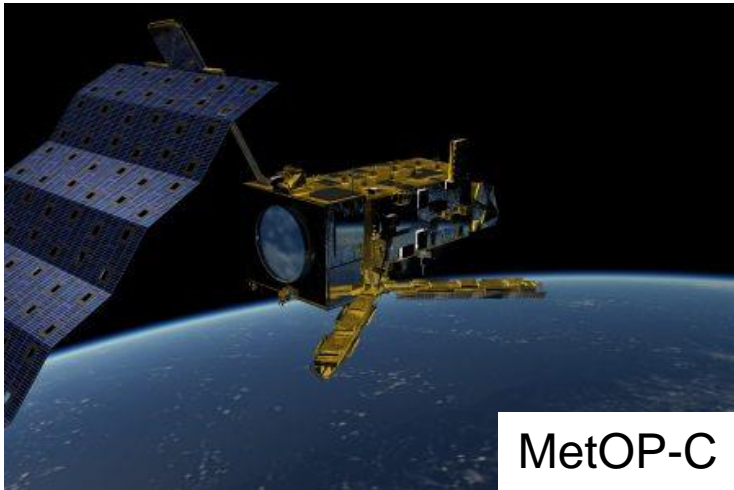
Kenta Ochi et al.



# Soil moisture satellite observations used operationally along with T2m, RH2m screen level observations

## Active microwave data:

**ASCAT:** Advanced Scatterometer  
MetOP-B (2012-), MetOP-C (2018-)  
C-band (5.6GHz) backscattering coefficient  
EUMETSAT Operational mission



Scatterometer soil moisture also used in ERA5  
(ERS-SCAT, Metop/ASCAT)

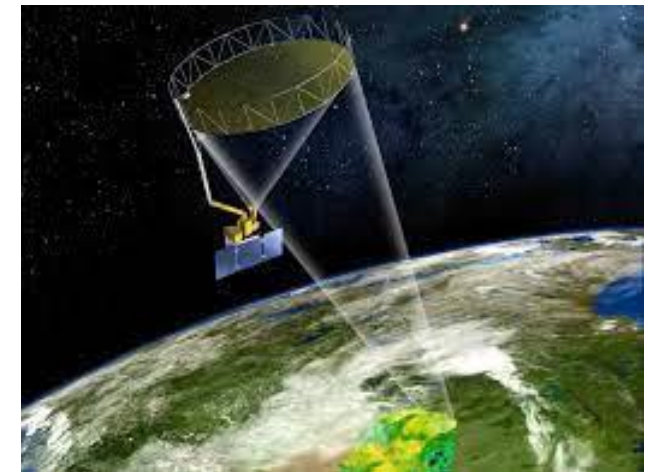
## Passive microwave data:

**SMOS:** Soil Moisture & Ocean Salinity (2009-)  
L-band (1.4 GHz) Brightness Temperature  
ESA Earth Explorer, dedicated soil moisture mission  
(Munoz-Sabater et al., GRSL, 2012)



## **SMAP**

L-band TB 2015-  
NASA Dedicated  
soil moisture mission

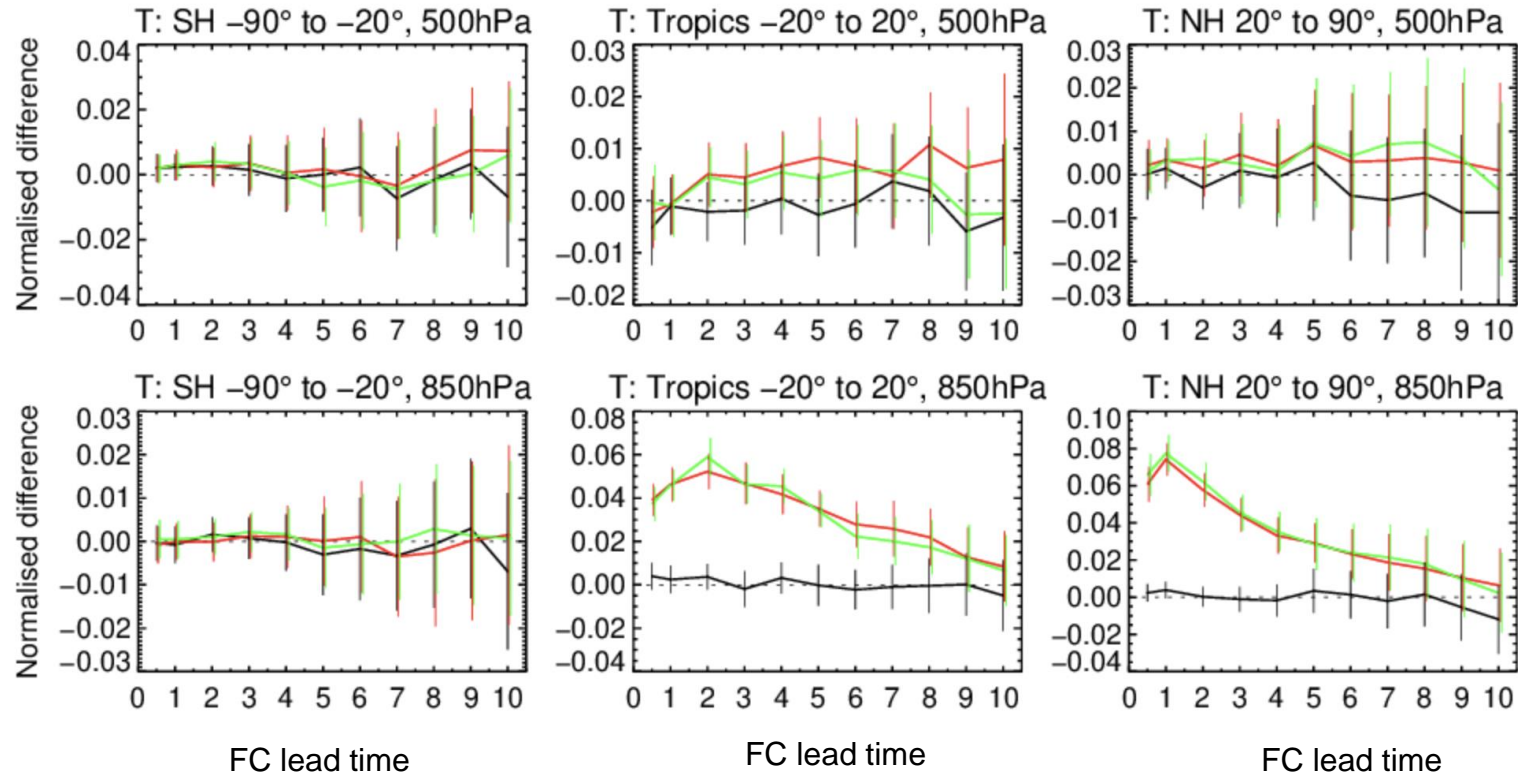




# Soil analysis for NWP: impact on the atmospheric forecast

Temperature RMSE

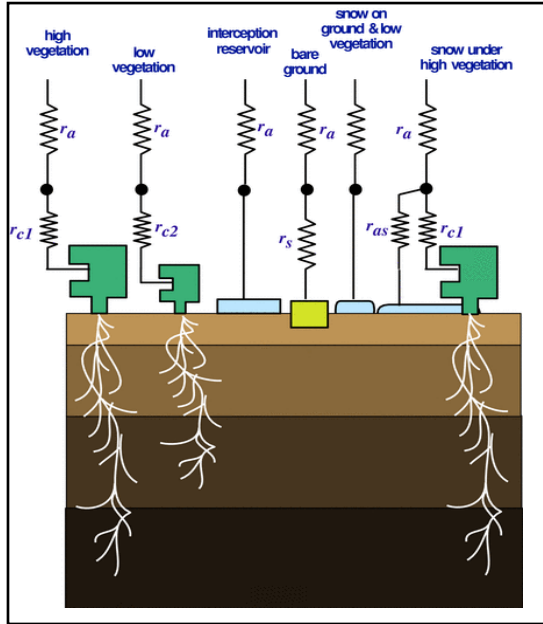
JJA 2020  
IFS cycle 48r1



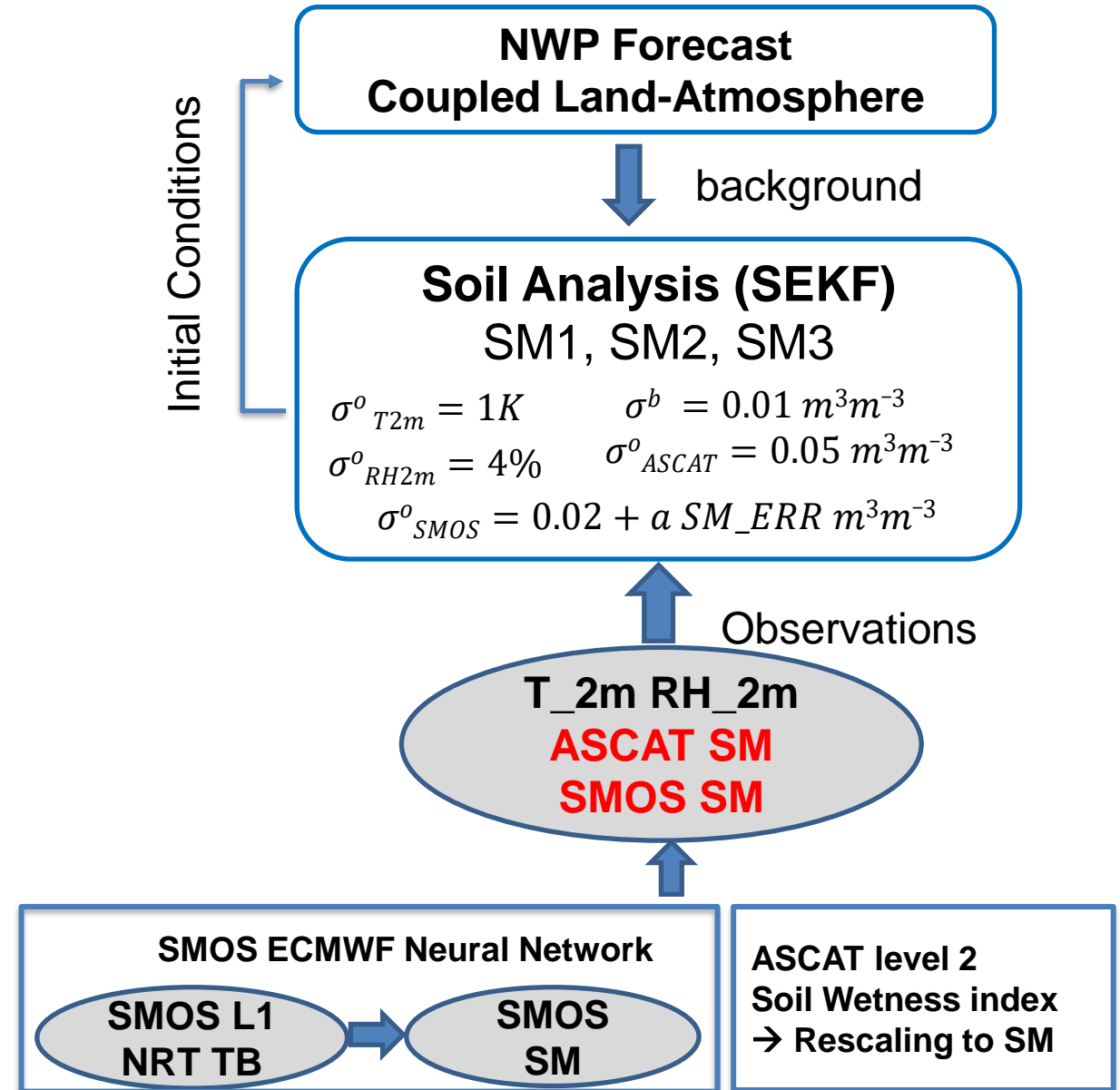
Soil. Moisture DA:  
Without without  
With

# Soil moisture (SM) data assimilation in the IFS

A **Simplified Extended Kalman Filter (SEKF)** is used to corrects the soil moisture trajectory of the Land Surface Model



SEKF: de Rosnay et al QJRMS 2013, Fairbairn et al JHM 2019



# From satellite to root zone soil moisture

## Satellite data → Surface information

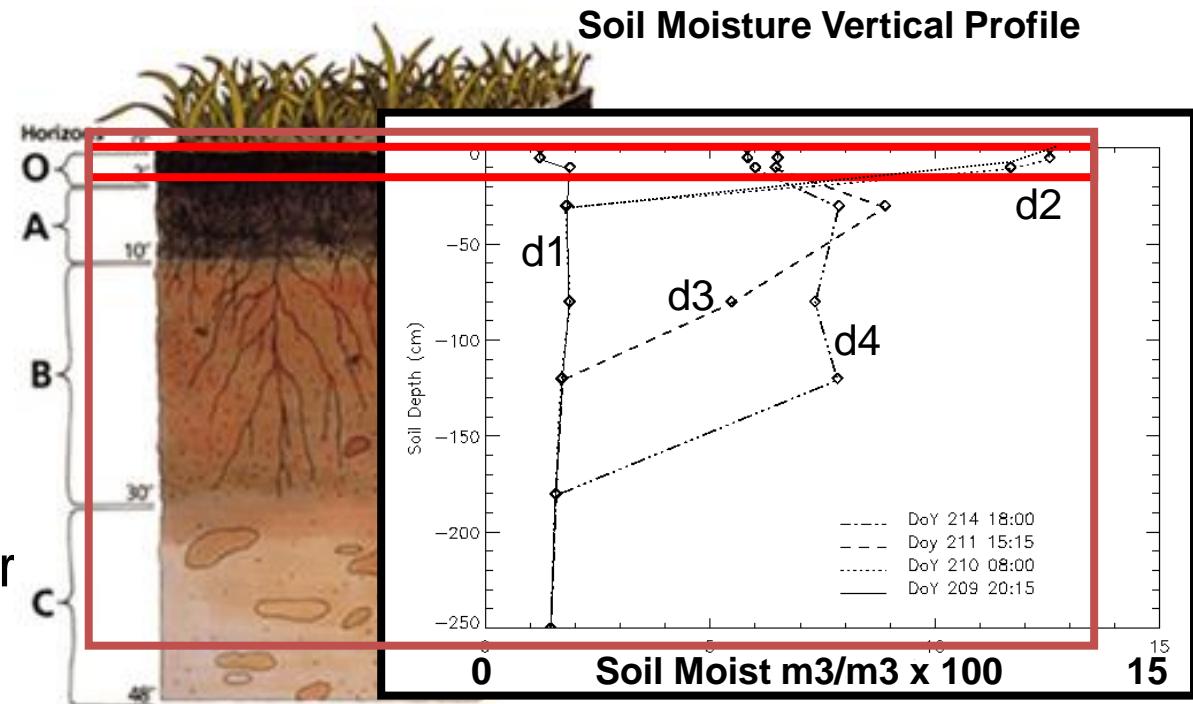
Top soil moisture sampling depth: 0-2cm ASCAT, 0-5cm SMOS

## Root Zone SM Profile

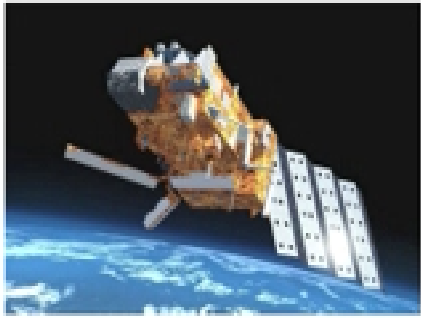
Variable of interest for  
Soil-Veg-Atm interaction,  
Climate, NWP and  
hydrological applications

Accurate retrieval requires to account for  
physical processes

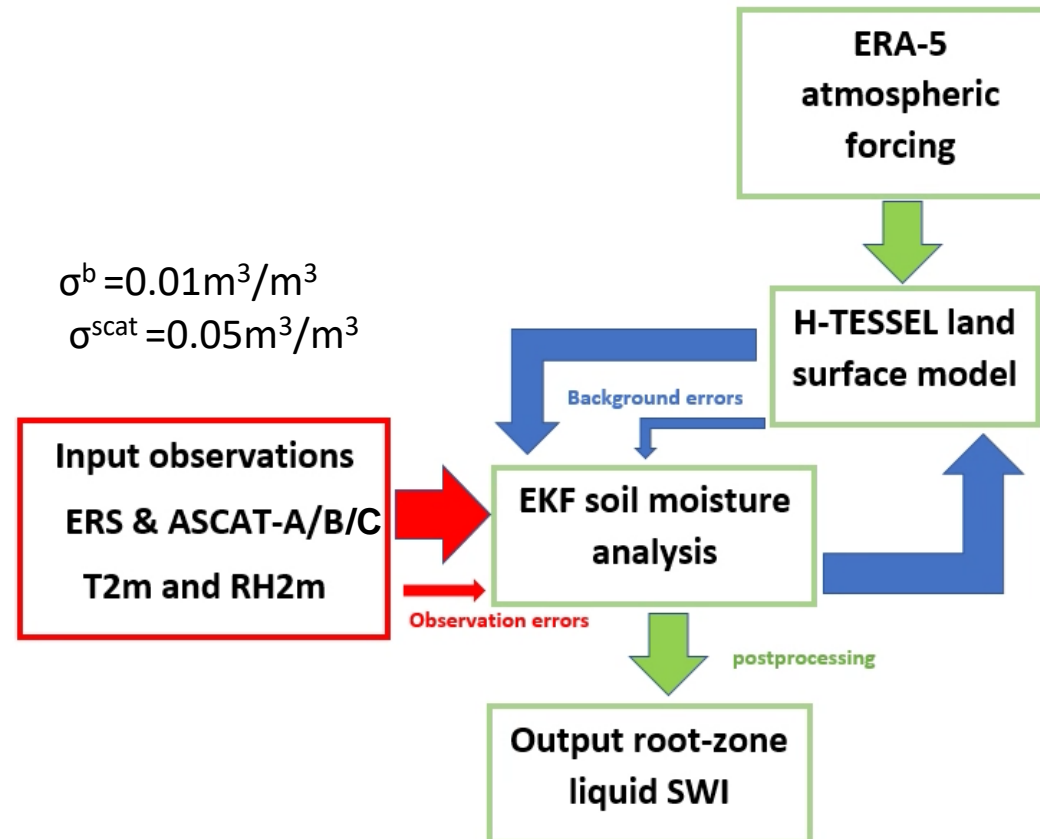
→ Retrieval of root zone soil moisture using satellite data relies on data assimilation



# H SAF scatterometer root zone soil wetness products



SSM derived from  
change-detection approach  
(Wagner et al., 1999)



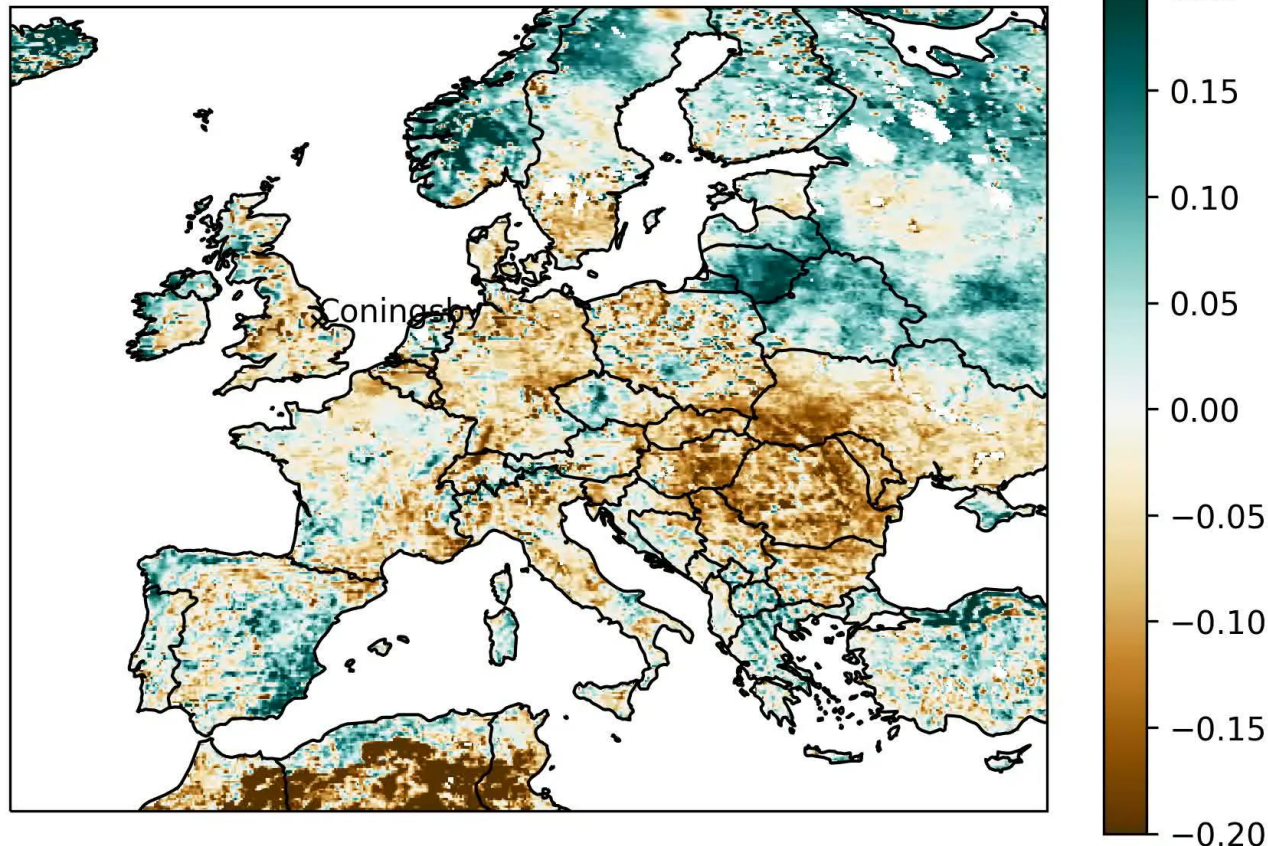
H SAF Root zone soil  
moisture suite

- Daily (00 UTC) global root-zone liquid soil wetness index
- Data record product (H SAF identifier): RZSM-DR2019-10km (H141) covers 1992-2018
- Data record extension product (identifier): RZSM-DR-EXT-10km (H142) covers 2019-2021
- NRT products H14 (25km from 2012) and H26 (10km from 2022)

# Case study: Soil moisture anomalies during July 2022 drought†

H26 anomaly (28-100 cm depth)  
with respect to 1992-2021 H141/H142 July mean

Root-zone SWI anomaly (-), 2022070100



Data assimilation used to propagate in space and time the ASCAT surface swath soil moisture information

- Fractional soil wetness index anomaly
- Extremely dry anomalies develop over most of Europe (<-15%)

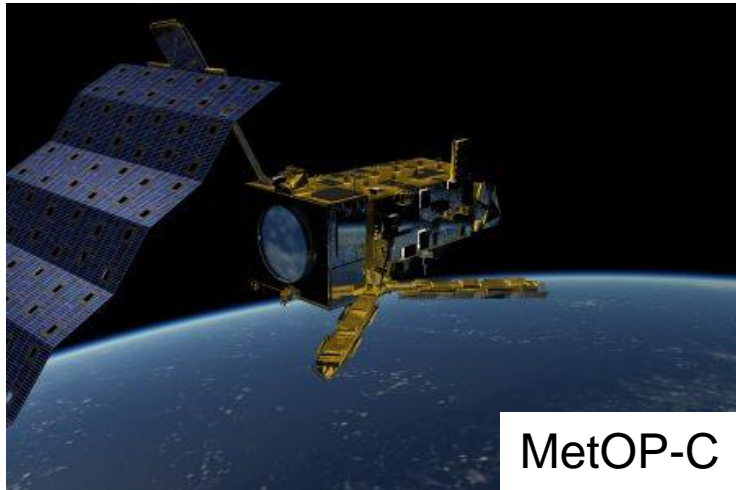
H SAF: [hsafcdop@meteoam.it](mailto:hsafcdop@meteoam.it)



# Soil moisture satellite observations used operationally along with T2m, RH2m screen level observations

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C-band (5.6GHz) backscattering coefficient  
EUMETSAT Operational mission



Scatterometer soil moisture also used in ERA5  
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NASA Dedicated  
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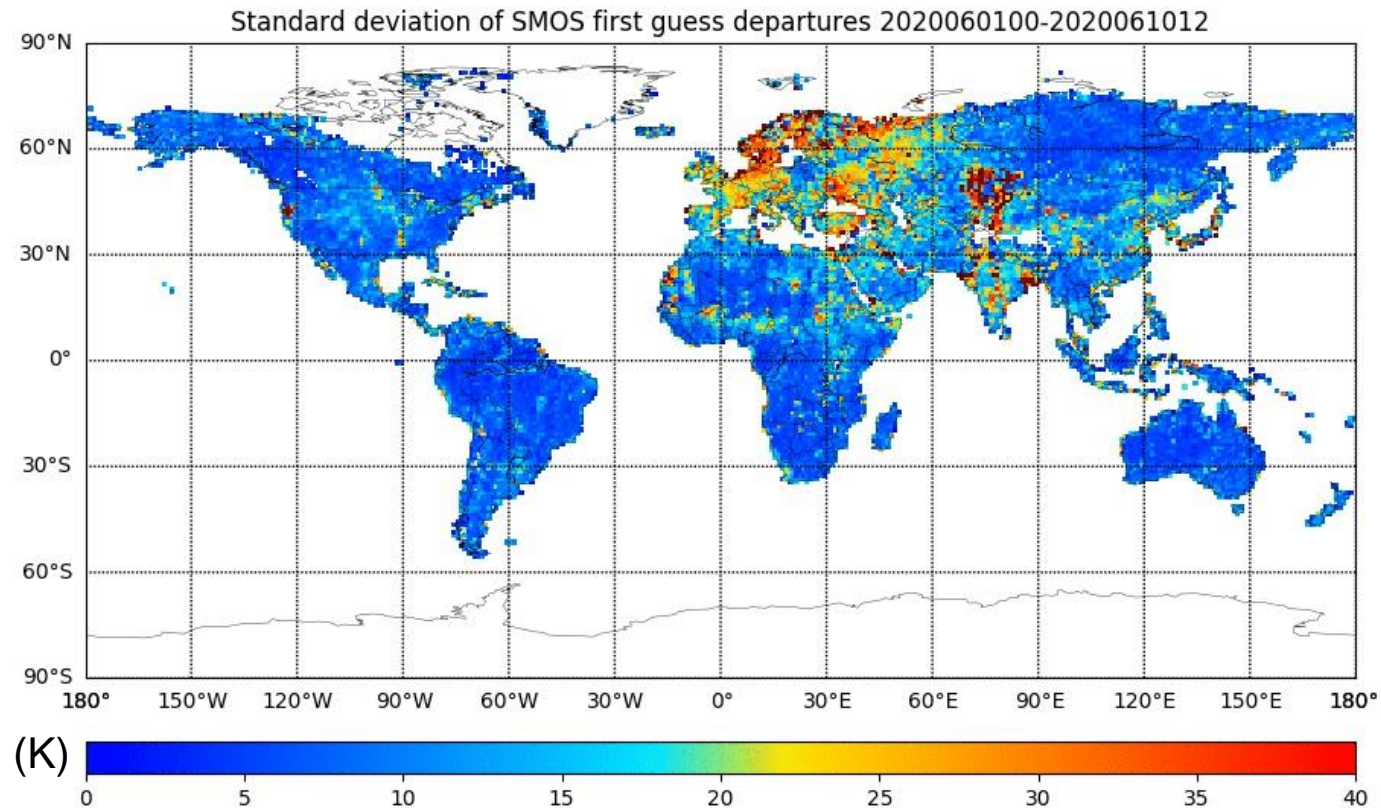


# SMOS near real time brightness temperature monitoring

Some areas are affected by RFI (Radio Frequency Interference) contamination

→ Shown with large StDev of first guess departure (observation minus model)

→ RFI detection and filtering importance for data assimilation



More on SMOS monitoring in  
Weston et al., RS 2021

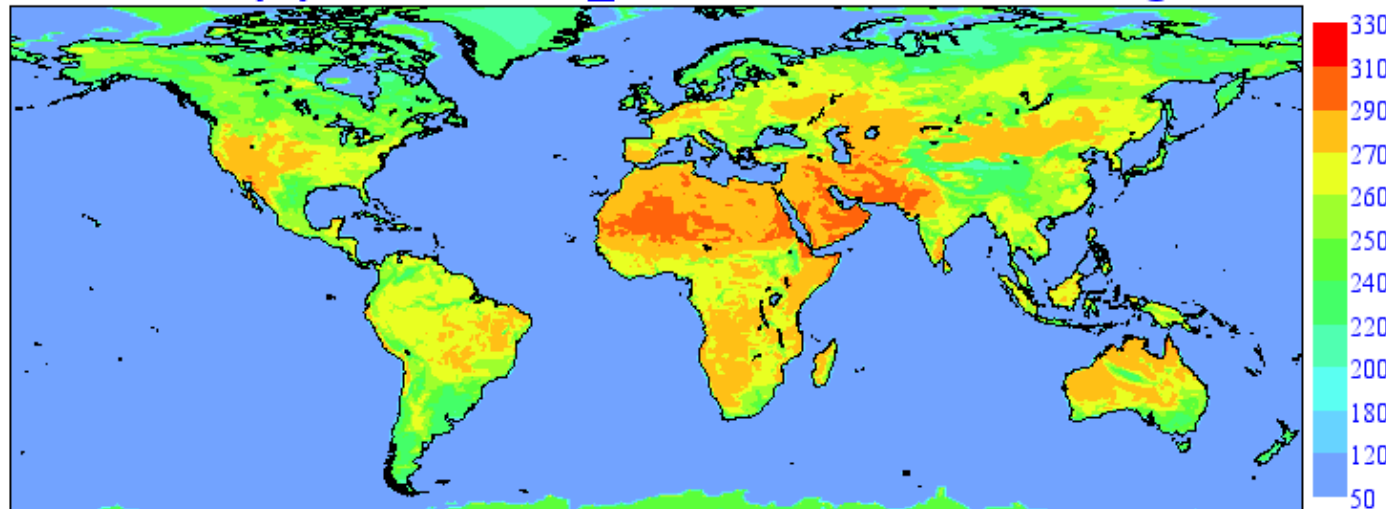


# CMEM Simulations of L-Band Brightness Temperature (TB)

Forward operator: Community Microwave  
Emission Modelling Platform (CMEM)

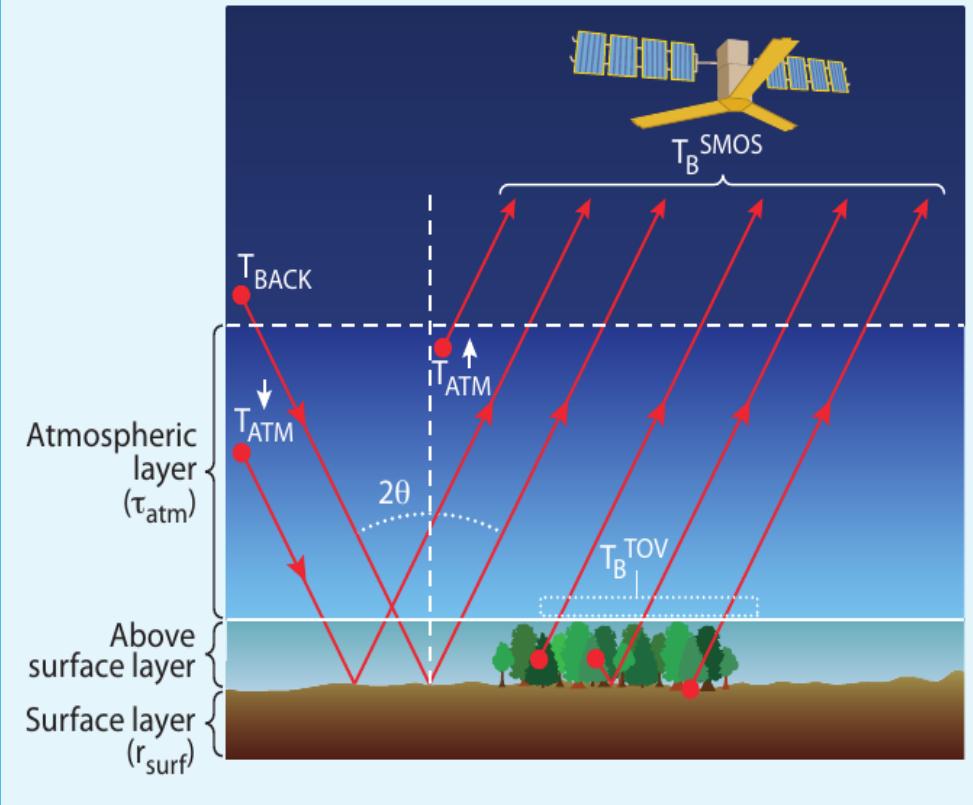
de Rosnay et al. RSE 2020  
Hirahara et al. Rem Sens. 2020

SMOS TB (K) ori WaWsWi\_TOA H 2010070106 at angle 30



How can soil moisture be retrieved from  
SMOS observations?

A



Muñoz Sabater et al, 2011  
Muñoz Sabater et al, 2019

# SMOS Bias correction (BC)

- Data assimilation aims at correcting for the model random errors
- Bias correction method is necessary to match the observations and model climatologies
- Cumulative Distribution Function (CDF) matching → matches mean and variance of two distributions.

Matching parameters (a,b) at each grid point for each month:

$$\begin{aligned} TB_{SMOS\_bc} &= a + b TB_{SMOS\_obs} \\ \text{with } a &= \overline{TB}_{ECMWF} - \overline{TB}_{SMOS\_obs} (\sigma_{ECMWF} / \sigma_{SMOS\_obs}) \\ b &= \sigma_{ECMWF} / \sigma_{SMOS\_obs} \end{aligned}$$

- Based on ECMWF reanalysis CMEM forward TB
- Data sets: 2010-2013, at 3 incidence angles 30°, 40°, 50°
- Computed at 40km resolution (SMOS resolution)
- Monthly CDF: 3-month moving window, similar to Draper et al., 2009
- Multi-angular (3 incidence angles 30°, 40°, 50°) and dual polarisation

de Rosnay et al, RSE 2020

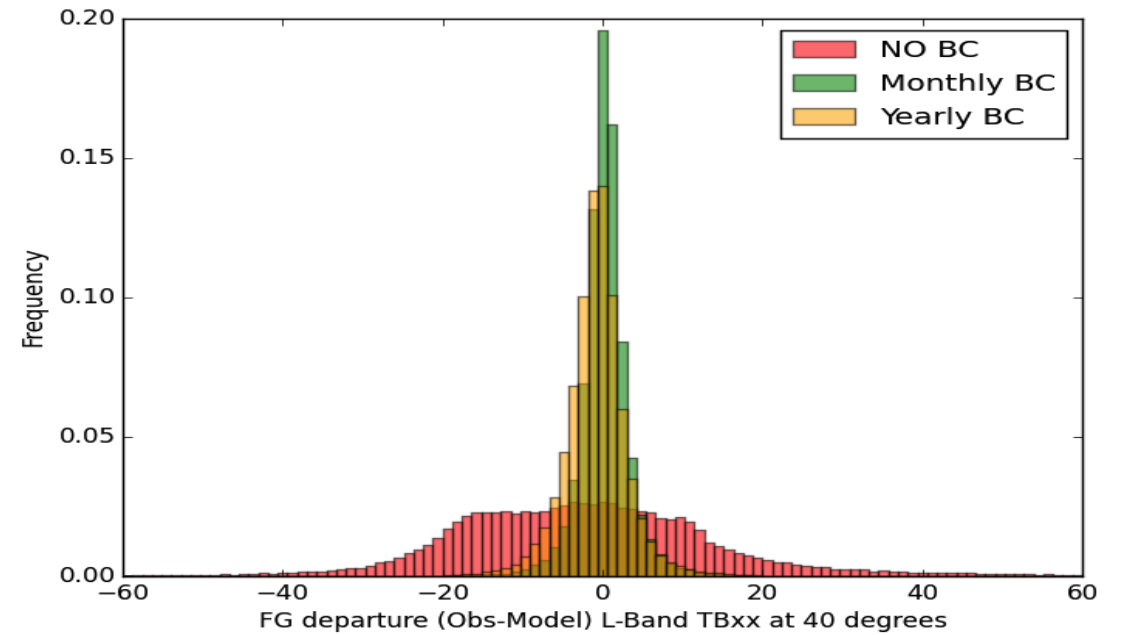
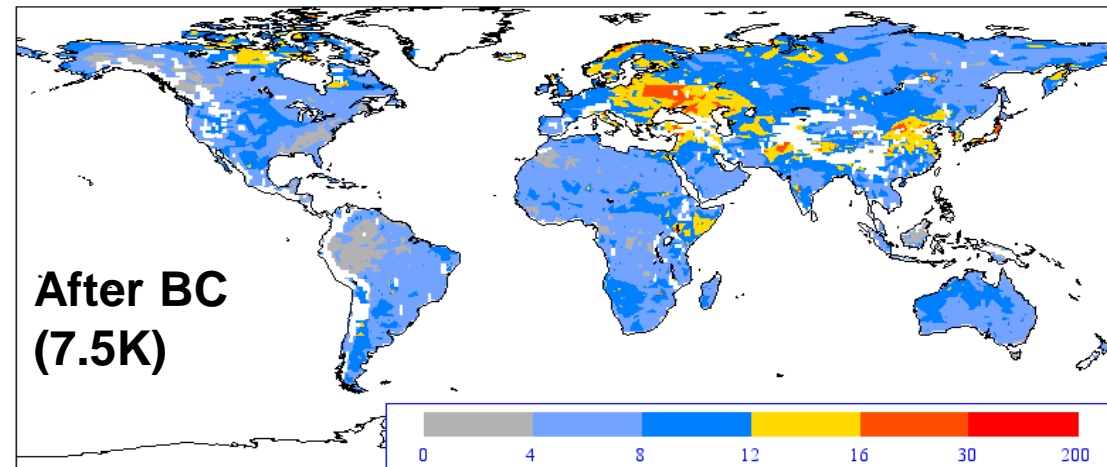
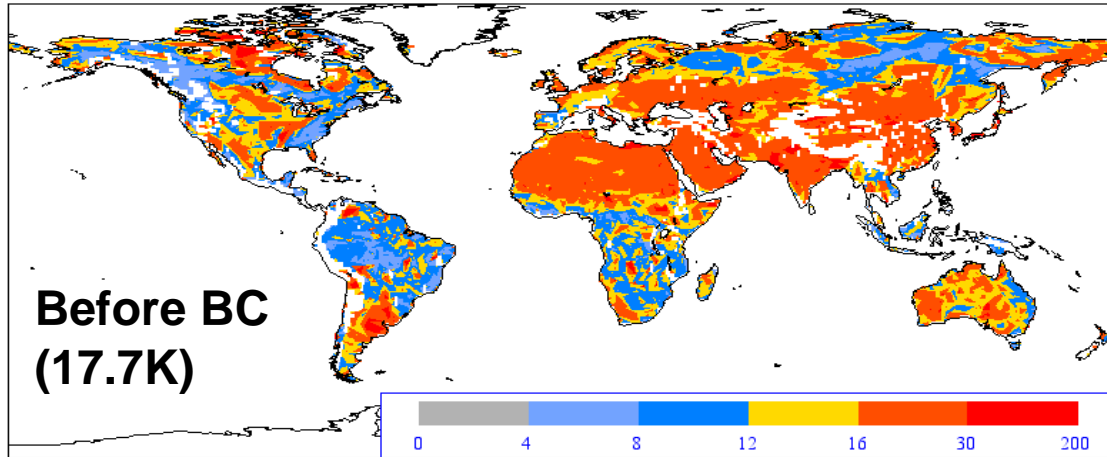
# ECMWF L-band TB Bias correction

RMSD between SMOS Obs and ECMWF

2012

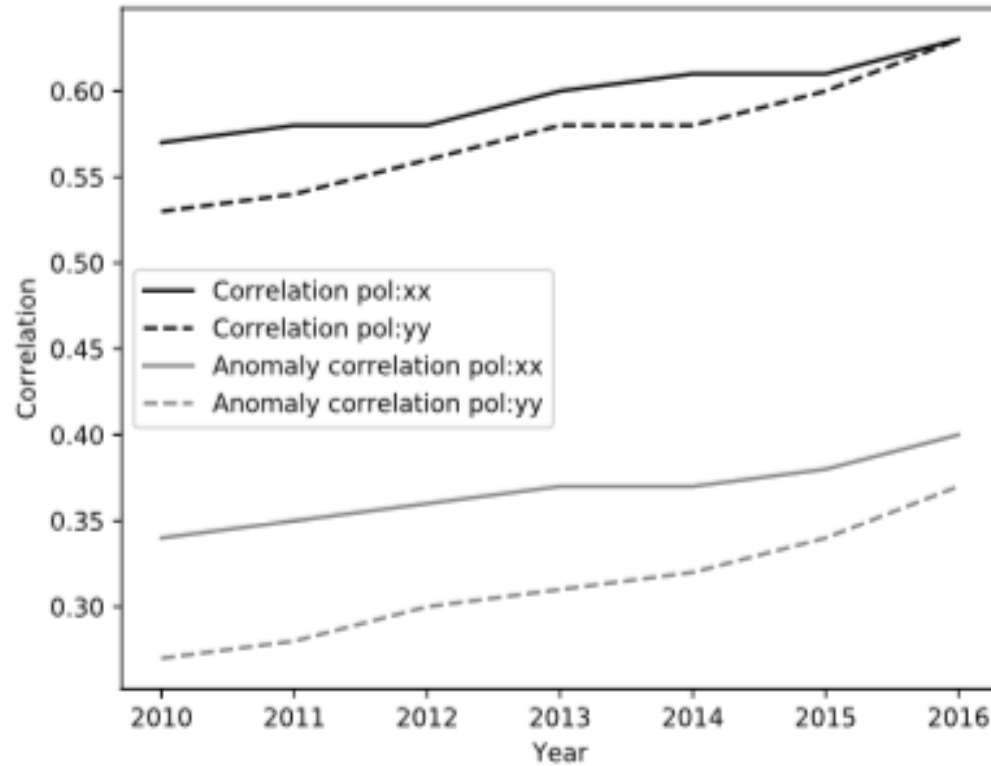
TBxx, 40 degrees

**RMSD (K)**

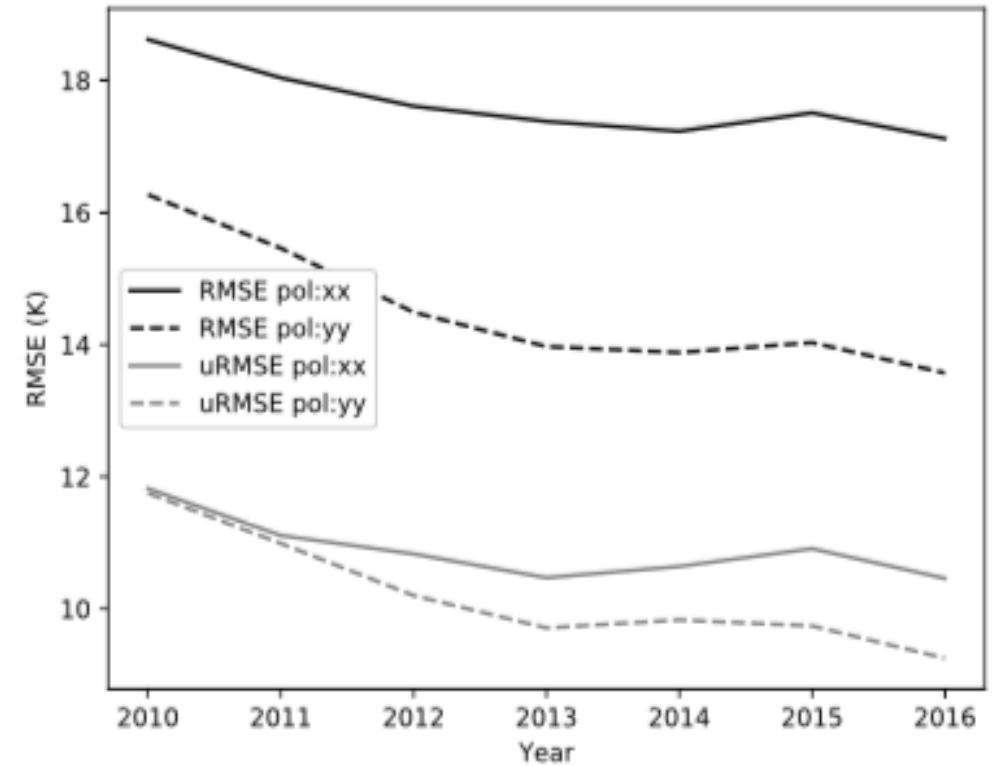


Low residual RMSD, except in areas affected by RFI (Radio Frequency Interferences)

# Comparison between SMOS and ECMWF forward TB for 2010-2016



(a) Correlation

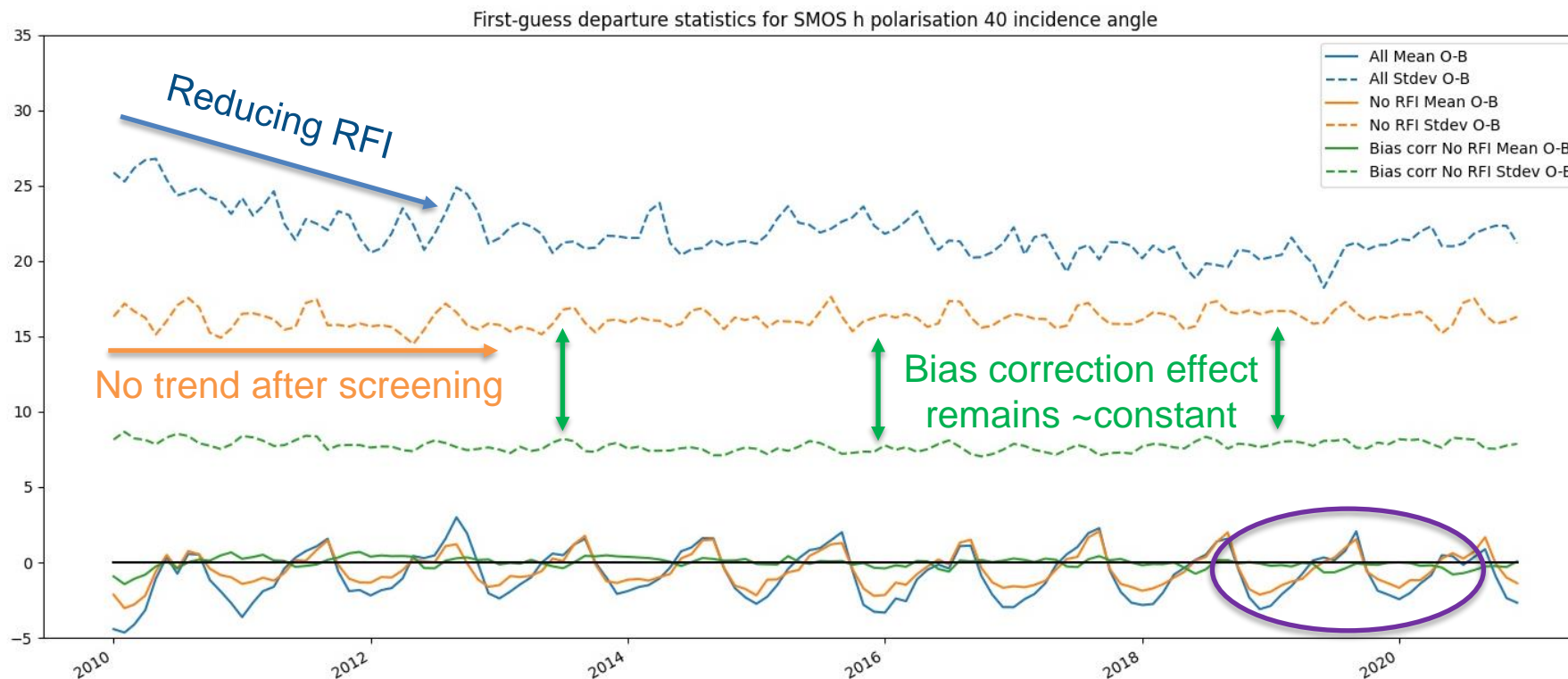


(b) Root mean square error

**Consistent improvement of agreement between SMOS and ECMWF reanalysis at both polarisations, from 2010 to 2016**

# SMOS multi-year monitoring

- Monitor latest re-processed v724 SMOS L1C Tbs against stable ERA5 reference from 2010 to 2021

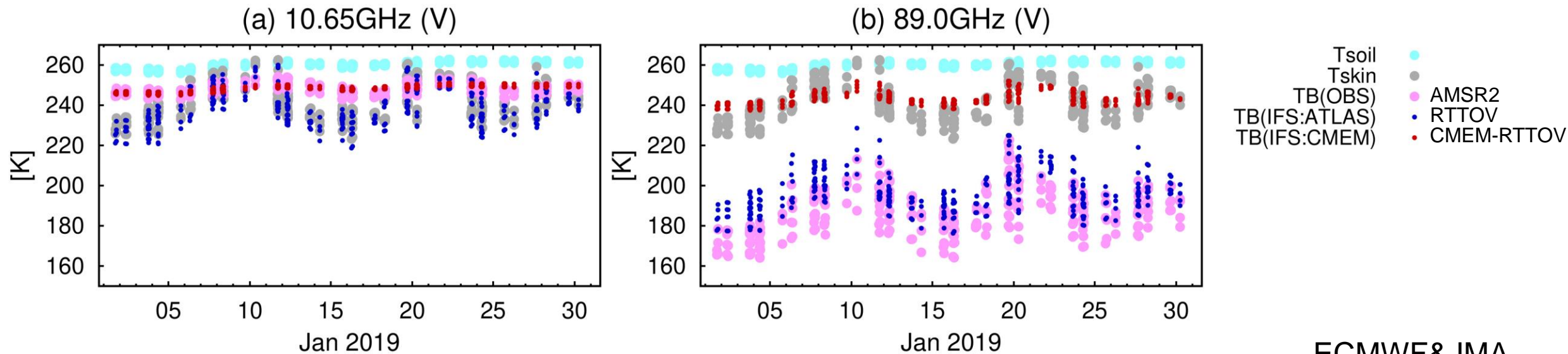


Seasonal biases  
successfully removed

- Key take aways:
  - Improved RFI screening (orange v blue)
  - Newly developed bias correction performs consistently (green v orange)
  - Data quality is consistent over entire lifetime (after screening) – potential assimilation into future reanalyses

# CMEM over snow-covered areas

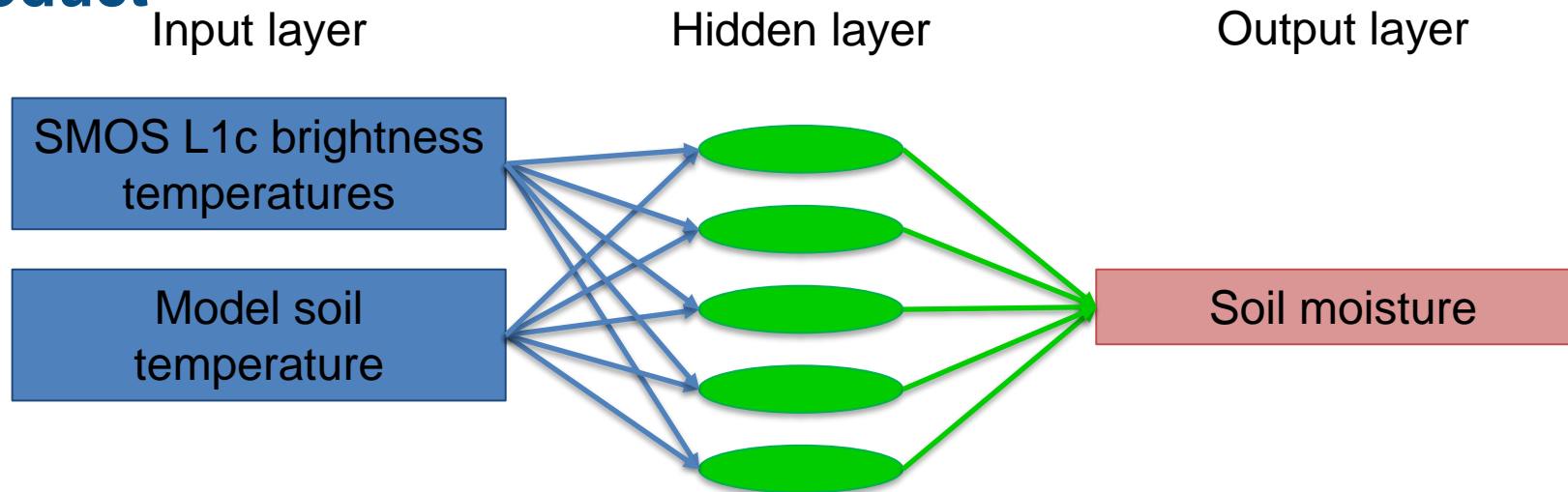
- Towards assimilation of surface-sensitive satellite data over land
- New interface between CMEM and RTTOV, processing of surface sensitive observations
- Implementation of multi-layer snow radiative transfer scheme in CMEM



ECMWF&JMA



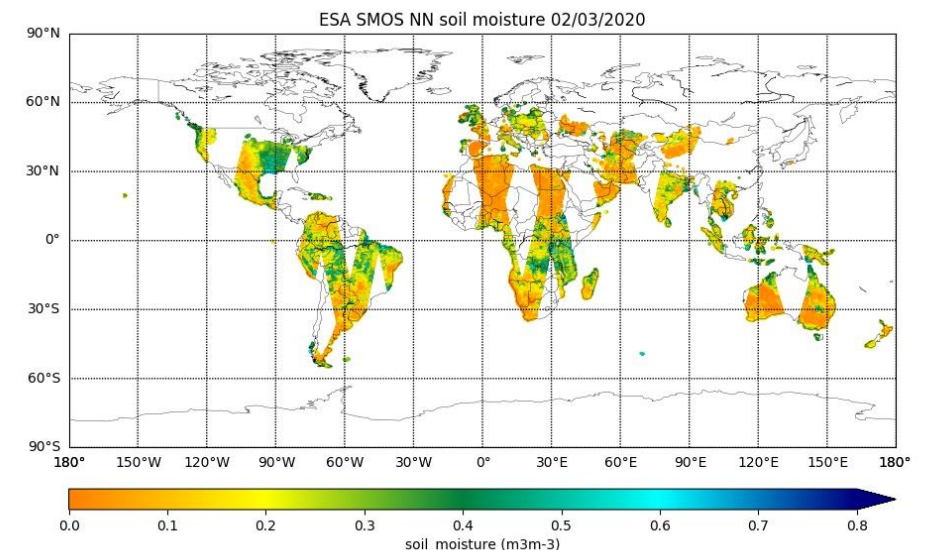
# SMOS Neural network: ESA level 2 SMOS NRT Soil Moisture product



**Designed by CESBIO/Estellus. Implemented by ECMWF**  
Rodriguez-Fernandez et al, HESS 2017

- Neural Network used to retrieve SMOS L2 SM:
  - Trained on SMOS L2 soil moisture
  - Single hidden layer, 5 neurons
- Product available within 4 hours of sensing time
- Available in NetCDF, since March 2016 on ESA SMOS Online Dissemination service

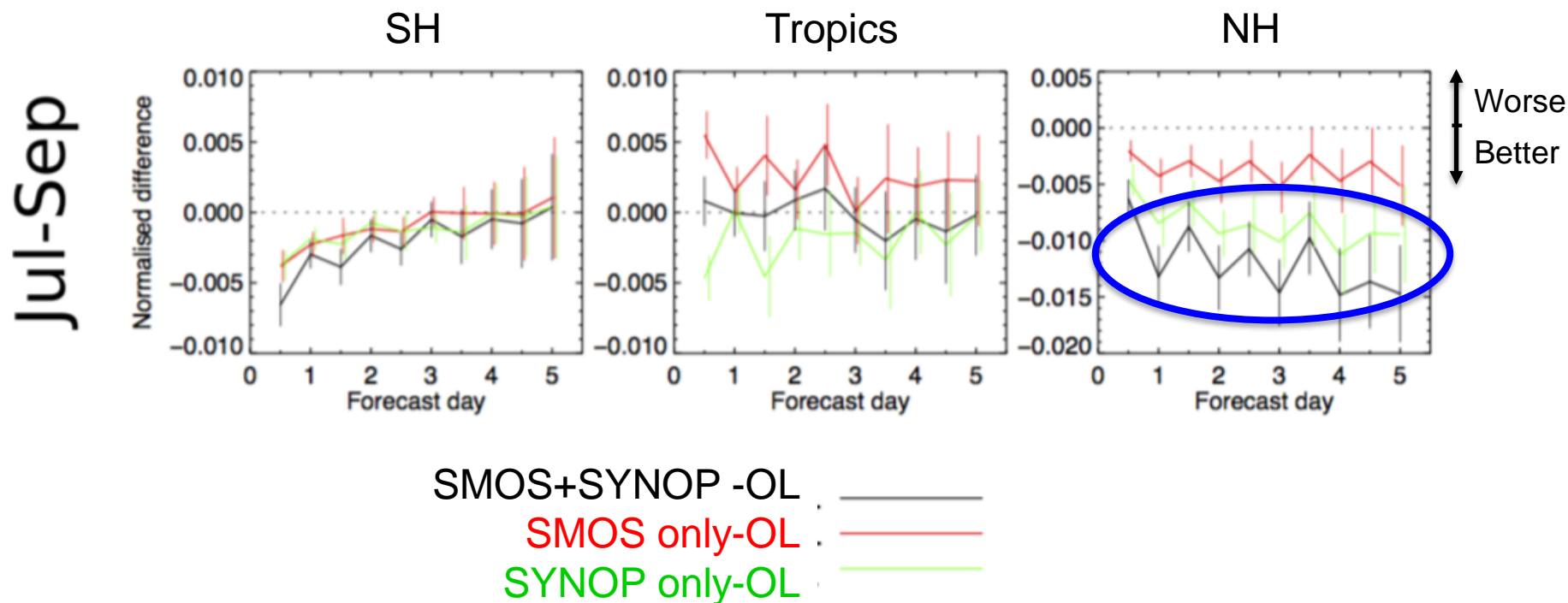
<https://smos-ds-02.eo.esa.int/oads/access>





# SMOS Neural Network SM assimilation in the offline H-TESEL

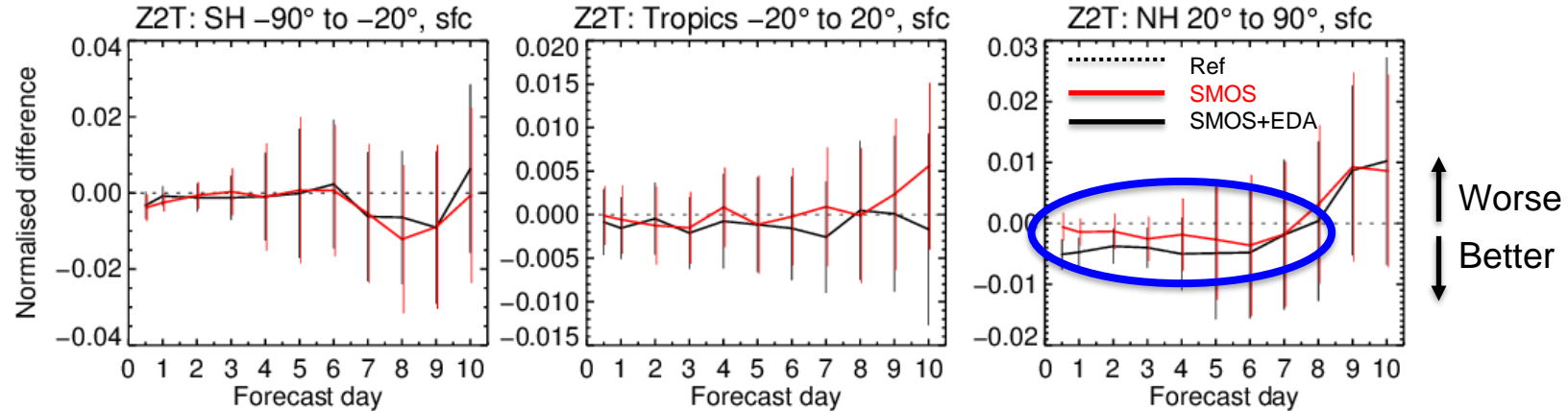
- Second parallel NN trained on ECMWF soil moisture
- Experiments assimilating a SMOS neural network product
  - Offline assimilation in H-TESEL and initialisation of stand-alone atmospheric forecasts (2012)
  - Reference H-TESEL with no assimilation: Open Loop (OL)
- Impact on two-meter air temperature forecasts (July to September 2012)



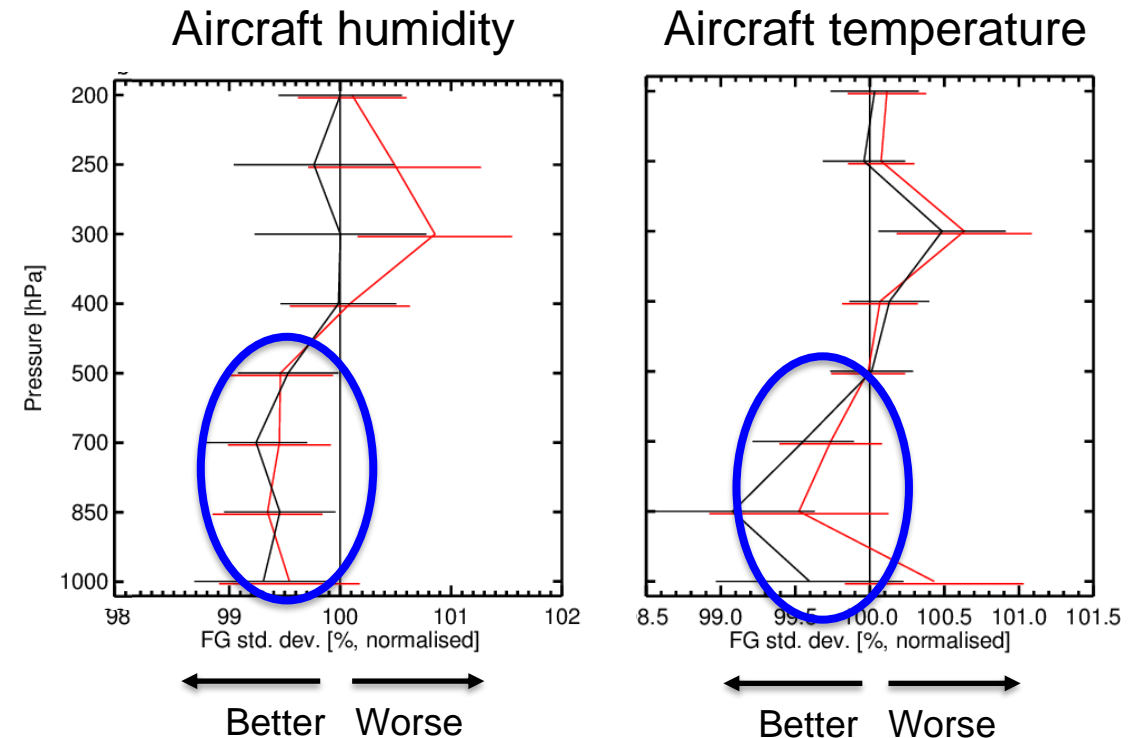
→ Proof of concept of offline SMOS NN assimilation for NWP initialisation

# SMOS neural network assimilation operational in the IFS

1-Jun-2017 to 31-Aug-2017 from 164 to 183 samples. Verified against own-analysis.  
Confidence range 95% with AR(2) inflation and Sidak correction for 8 independent tests

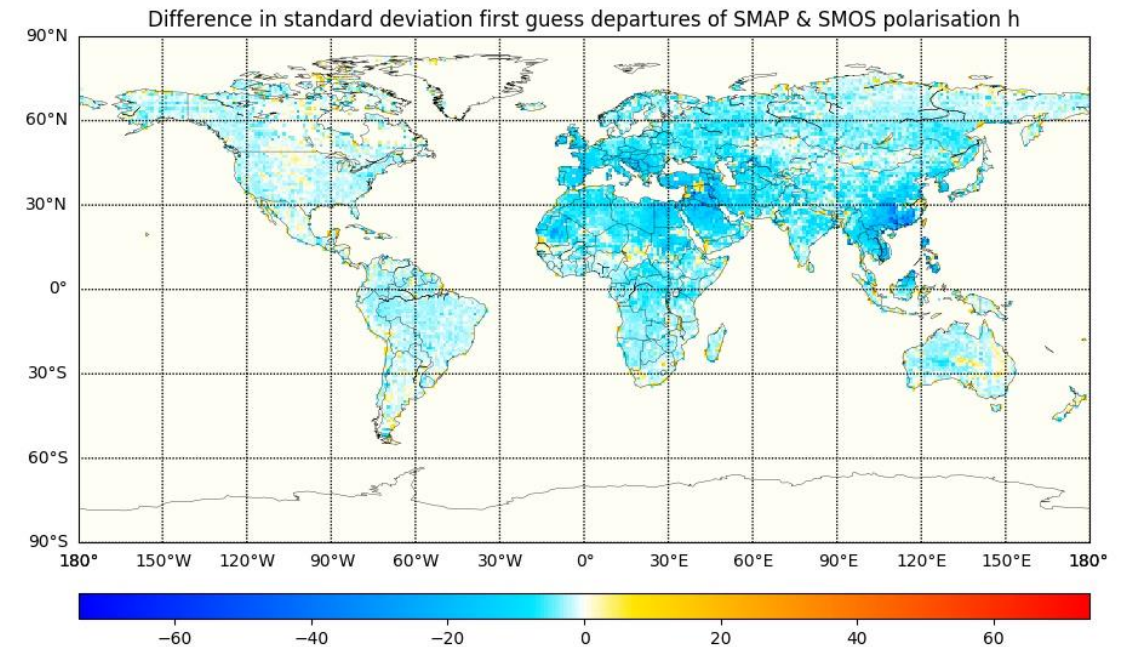
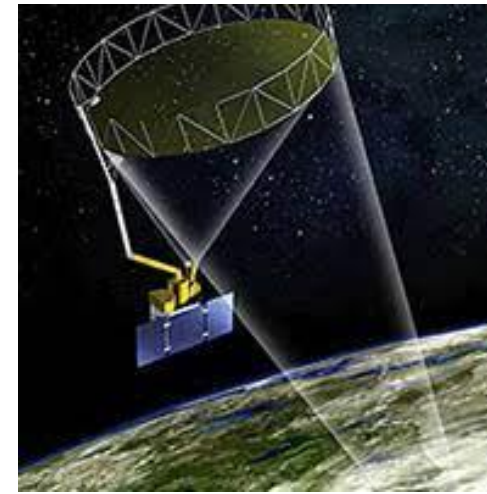


- Results from assimilating soil moisture from SMOS in coupled land-atmosphere forecasting system:
  - Neutral/slightly positive impact on T2m in the Northern hemisphere
  - Improved first-guess fits to aircraft humidity and temperature in lower troposphere



# SMAP monitoring

- SMAP is a NASA satellite with an instrument measuring at 1.4GHz (Entekhabi et al 2010)
- Monitoring of SMAP Tbs will be implemented alongside existing SMOS Tb monitoring
- Data quality looks good:
  - Smaller std dev of first-guess departures
  - Less affected by RFI thanks to onboard filtering
  - Slightly larger biases (before bias correction)
- **Monitoring implemented with 47r2 on 11 May 2021**
- Next steps:
  - Assimilate SMAP Tbs directly into SEKF
  - Revisit CDF-matching bias correction scheme



# Summary

- ECMWF soil moisture and snow based on data assimilation of in situ observations and satellite data (ASCAT, SMOS, snow cover), for NWP and reanalysis ERA5 (Hersbach et al 2020)
- Strong impact of snow assimilation on NWP
- ECMWF SMOS neural network soil moisture assimilated for NWP since 2019
- EUMETSAT H SAF ASCAT root zone products: NRT and Climate data record, based on ASCAT-B/C surface soil moisture assimilation
- Ongoing developments: multi-layer soil moisture and snow forward modelling and assimilation
- Longer term: Assimilation of integrated hydrological variables such as river discharge
- Also not shown, importance of LAI and albedo conditions on energy partitioning (Calvet et al., 2019, Boussetta et al., 2013)

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