



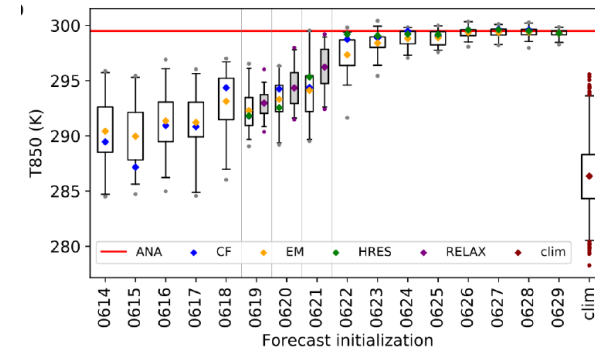
Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra

Eidgenössisches Departement des Innern EDI  
Bundesamt für Meteorologie und Klimatologie MeteoSchweiz

# Diagnostics for investigating the representation of synoptic-scale processes in models and their benefit for medium- to extended-range prediction.

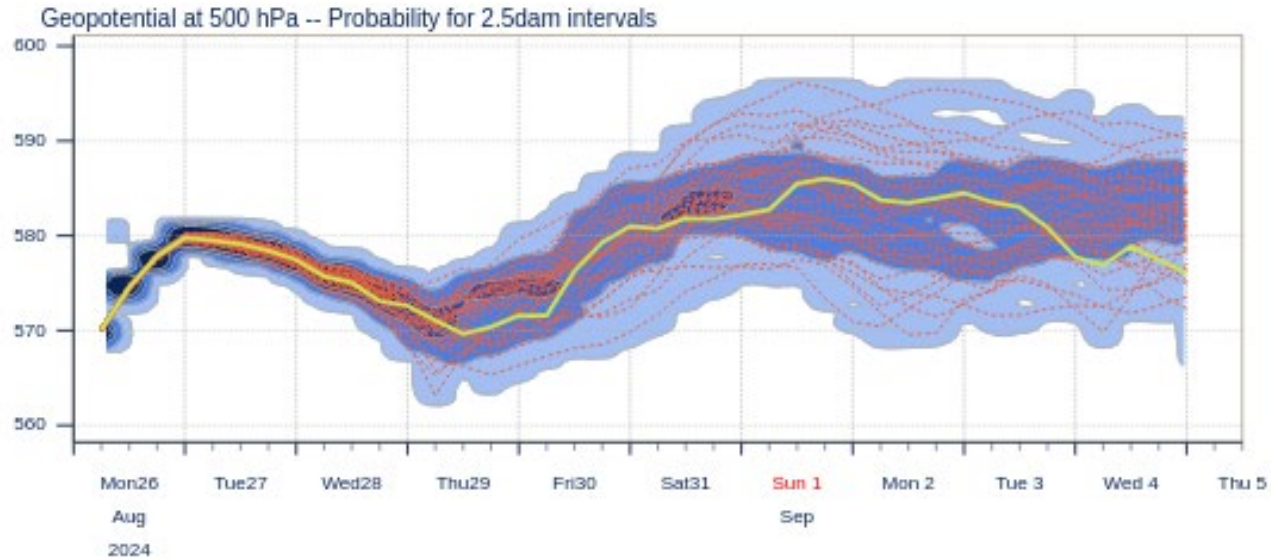
**Christian M. Grams** with many contributions from the former **LSDP@KIT Team and Friends**

**Dominik Bueeler** (now at ETH Zurich), **Moritz Deinhard** (now at Munich Re), **Joshua Dorrington** (now at University of Bergen), **Seraphine Hauser** (now at University of Oklahoma), **Maria Madsen** (now at University of Oklahoma), **Fabian Mockert** (IMKTRO, KIT), **Annika Oertel** (IMKTRO, KIT), **Marisol Osman** (now at University of Buenos Aires), **Julian Quinting** (IMKTRO, KIT), **Jan Wandel** (now at Deutscher Wetterdienst), **Marta Wenta** (now at Axpo Solutions AG)

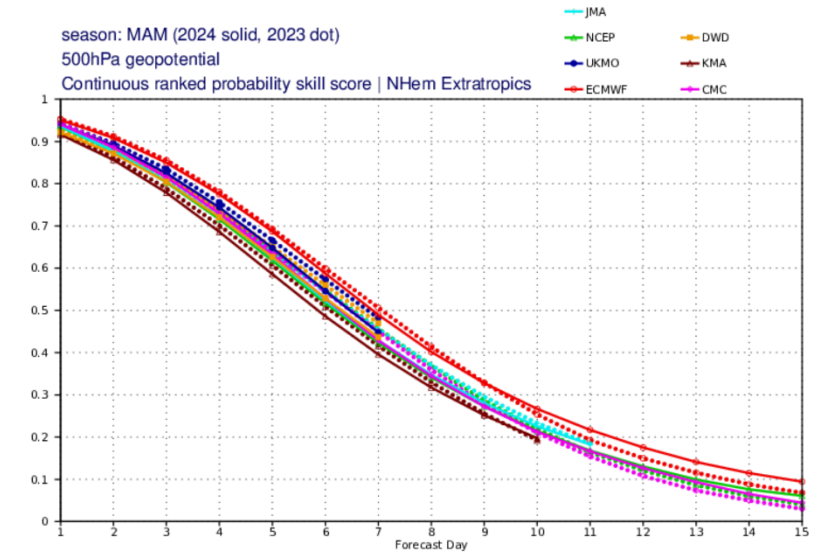




# The forecasting challenge



Continuous ranked probability skill scores (CRPSS) of forecasts of upper-air parameters by TIGGE centres.



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Created at 2024-08-26T12:22:47.311Z

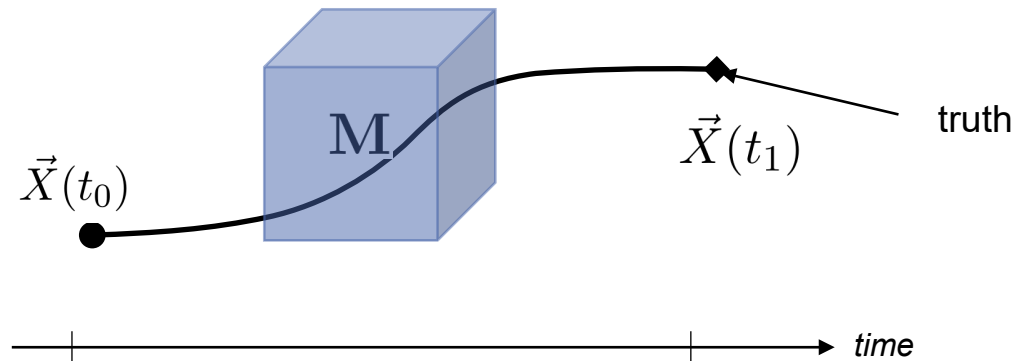


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Created at 2024-08-26T12:22:47.311Z





# The forecasting challenge



$$\vec{X}(t_1) = \mathbf{M} \cdot \vec{X}(t_0)$$

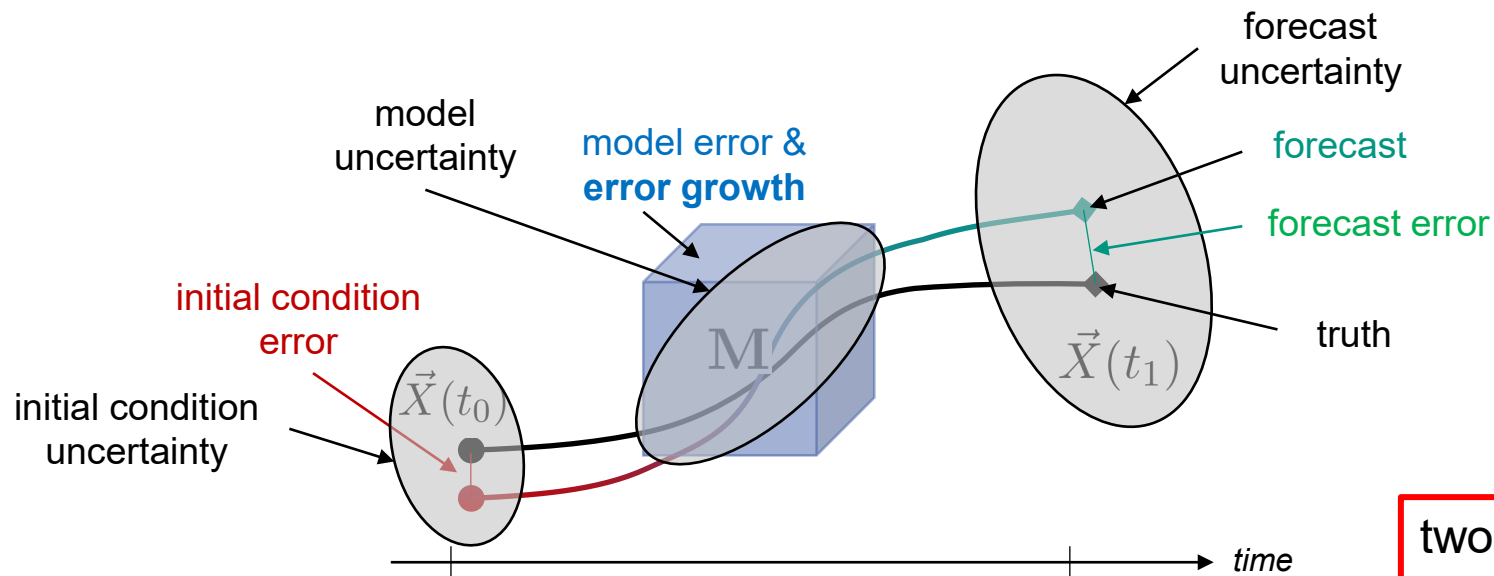
two key problems of NWP  
a) **errors in NWP system**  
b) **error growth**  
and both interact ...



# The forecasting challenge

**error in forecast** due to **IC error**, **model error** & **error growth**

- error growth results in range of possible forecast scenarios (**forecast uncertainty**)



$$\vec{X}(t_1) = \mathbf{M} \cdot \vec{X}(t_0)$$

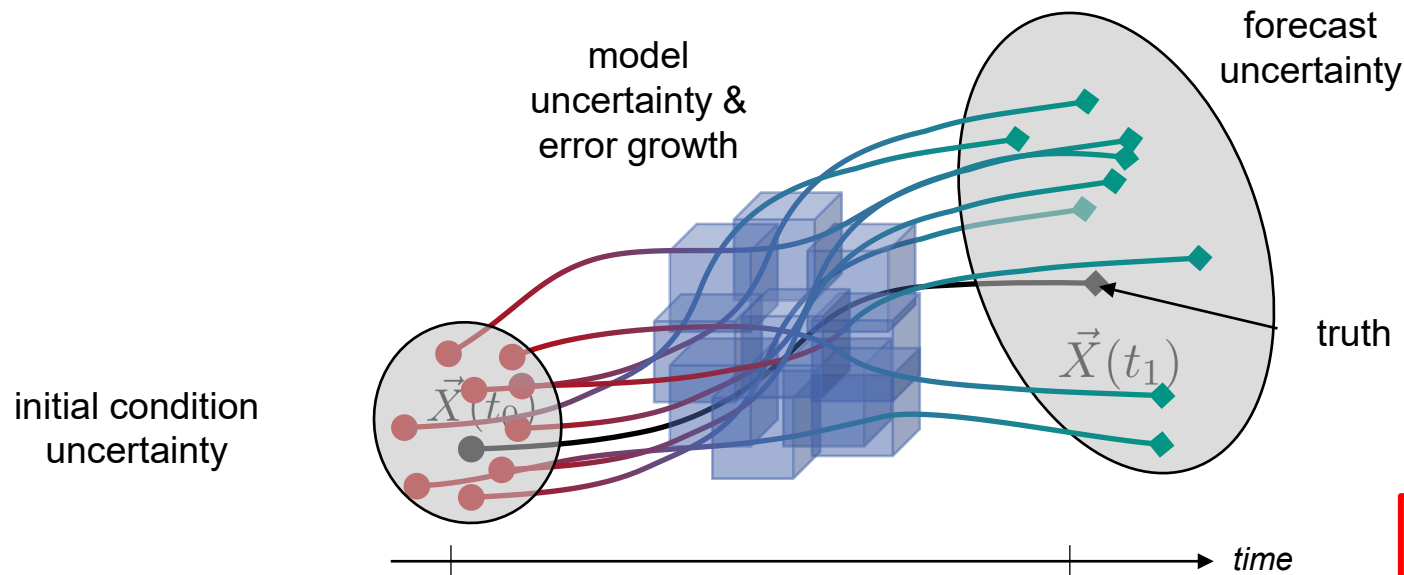
two key problems of NWP  
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and both interact ...



# The forecasting challenge

**error in forecast** due to **IC error**, **model error** & **error growth**

- error growth results in range of possible forecast scenarios (**forecast uncertainty**)
- **ensemble prediction quantifies** this **uncertainty** by **accounting for model and IC uncertainty** in the model design and **predicting PDFs** rather than deterministic values of a target variable.

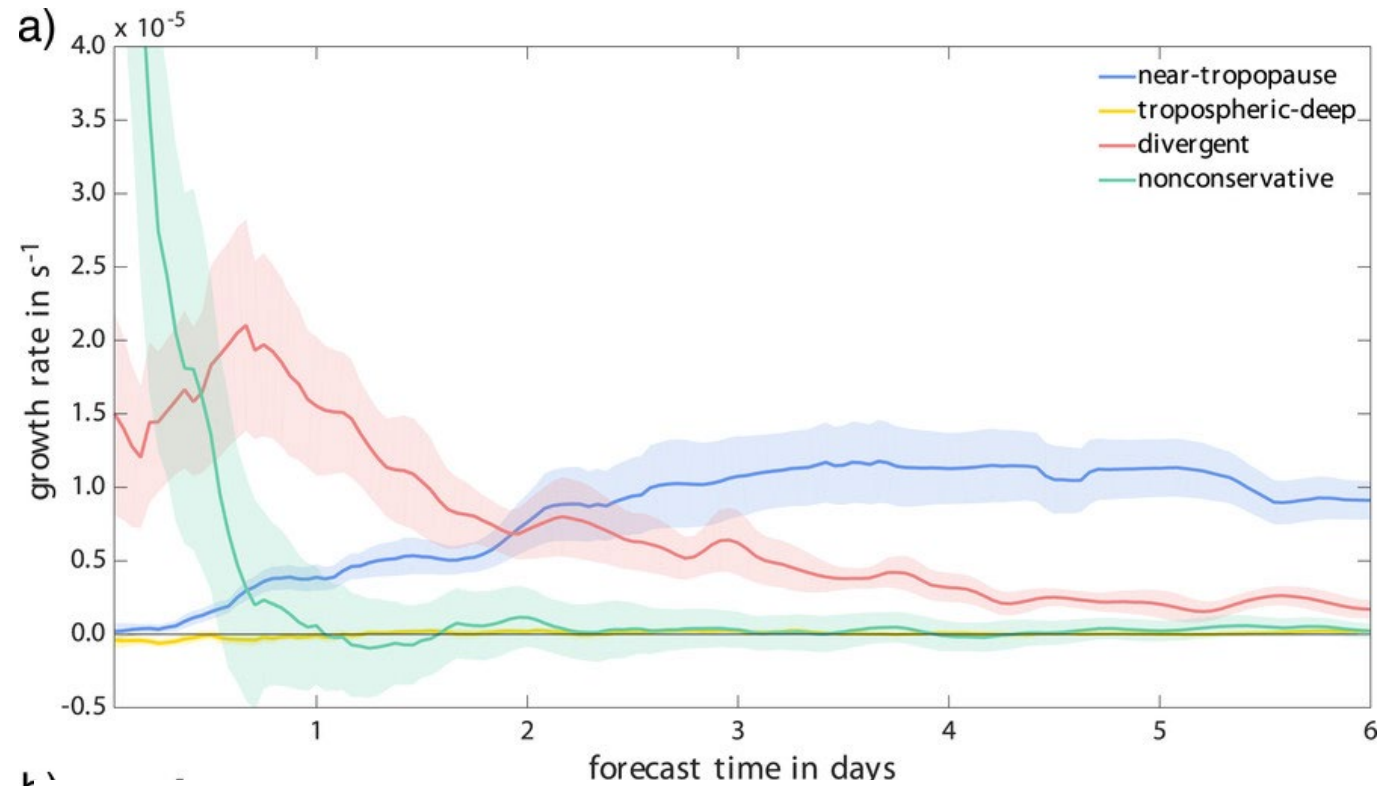


$$\vec{X}(t_1) = \mathbf{M} \cdot \vec{X}(t_0)$$

two key problems of NWP  
a) **errors in NWP system**  
b) **error growth**  
and both interact ...



# upscale error growth



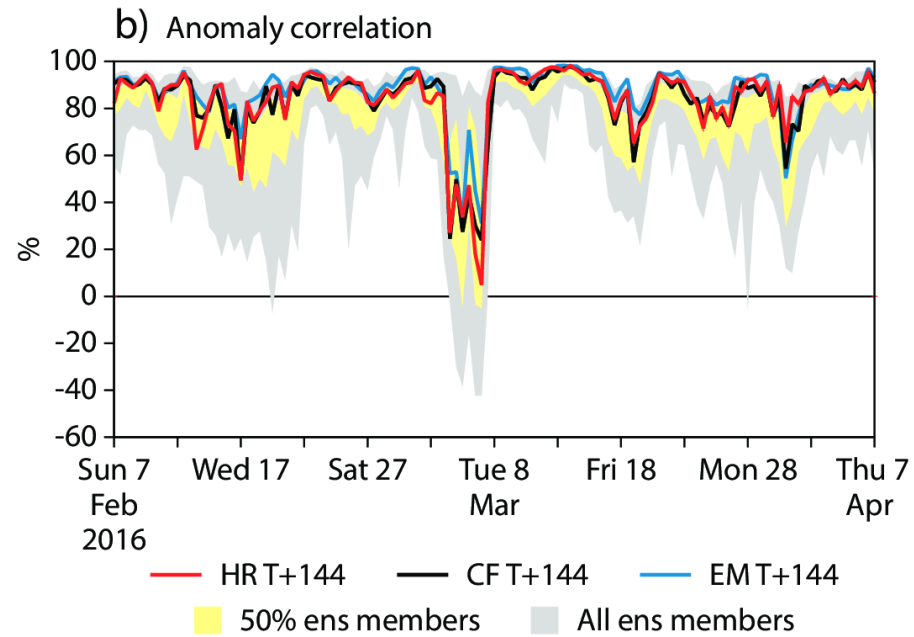
- upscale error growth to regime scale occurs in 3 stages
  - 0-12h small-scales
  - 12-48h meso-scales
  - >2d regime-scale

(e.g. [Zhang et al. 2007](#), [Baumgart et al. 2019](#), [Selz 2019](#), [Selz et al. 2022](#))

Figure 7 from Baumgart et al. 2019 <https://doi.org/10.1175/MWR-D-18-0292.1>



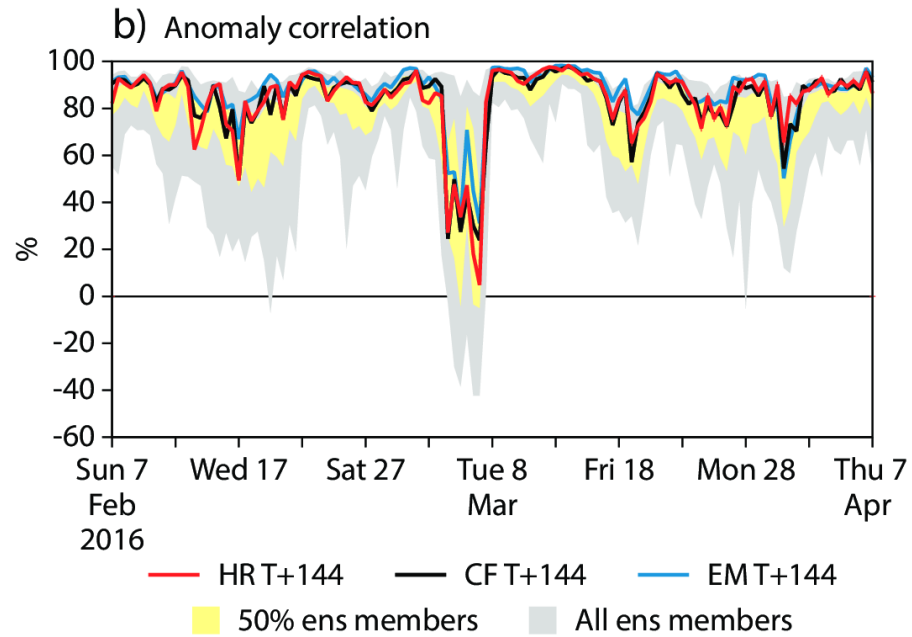
# Flow-dependence – forecast busts



- forecast bust: Period with very low skill of NWP (e.g. [Rodwell et al. 2013](#), [Grams et al. 2018](#), [Parsons et al. 2019](#))

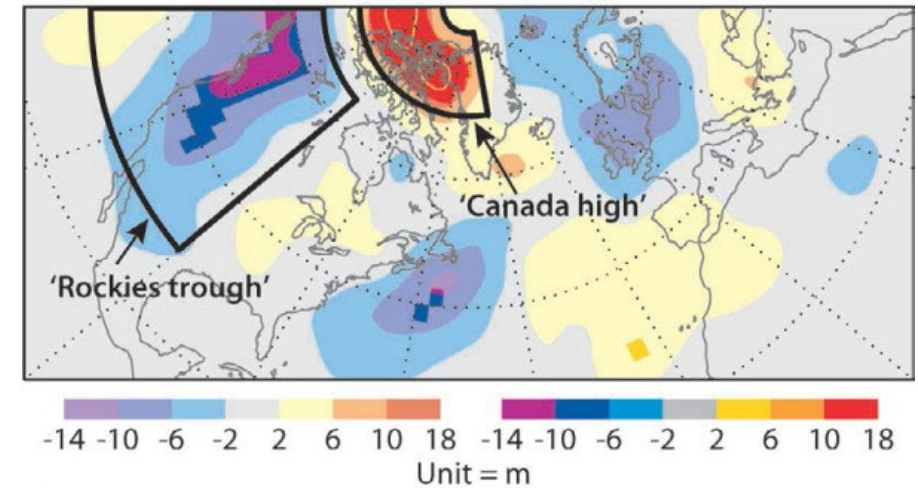


# Flow-dependence – forecast busts

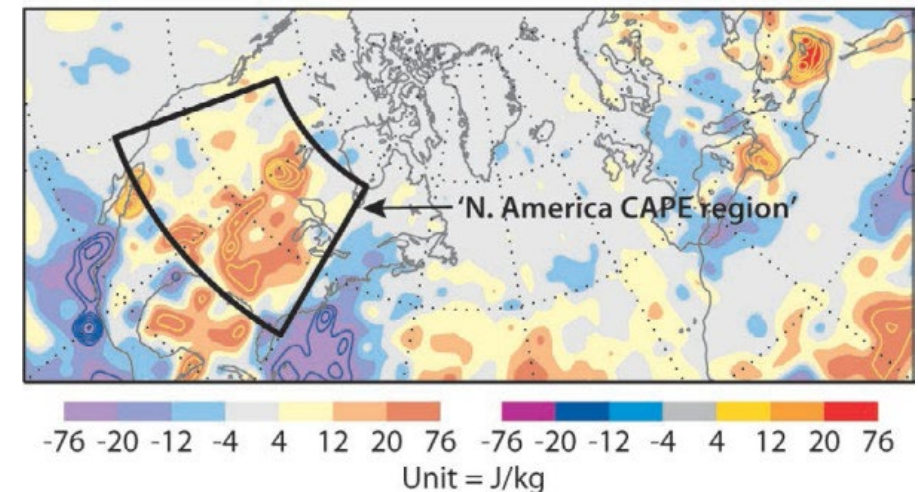


- forecast bust: Period with very low skill of NWP (e.g. [Rodwell et al. 2013](#), [Grams et al. 2018](#), [Parsons et al. 2019](#))
- worst forecast for Europe associated with MCSs over North America ([Rodwell et al. 2013](#)) → 2nd stage of upscale error growth

a Z500 anomaly



b CAPE anomaly

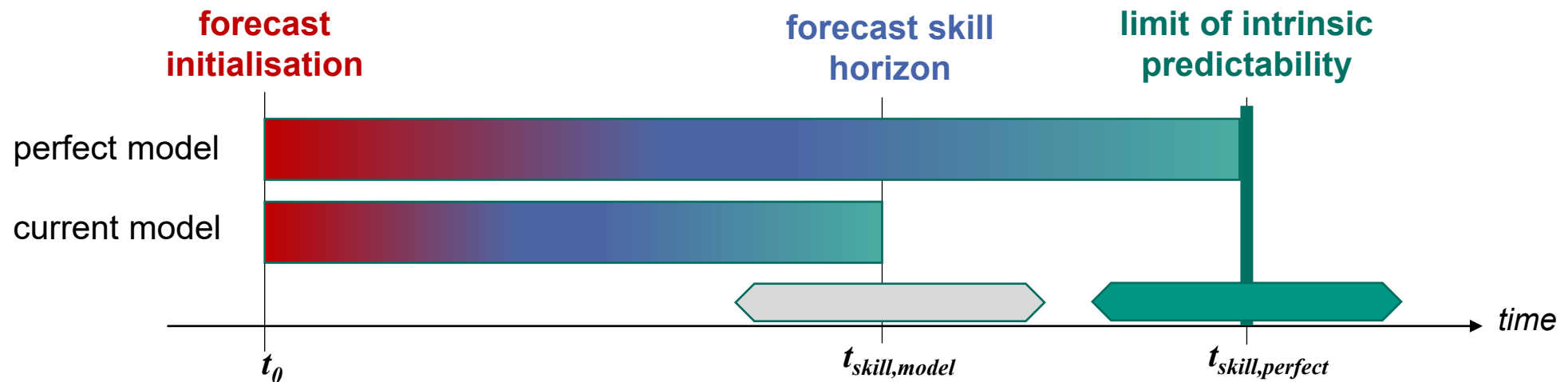






# Forecast Skill and Predictability

- **intrinsic predictability** – characteristic of the atmosphere
  - limited by upscale error growth
  - flow-dependent
  - limits the forecast skill horizon of a NWP system
- **practical predictability** – characteristic of a forecasting system

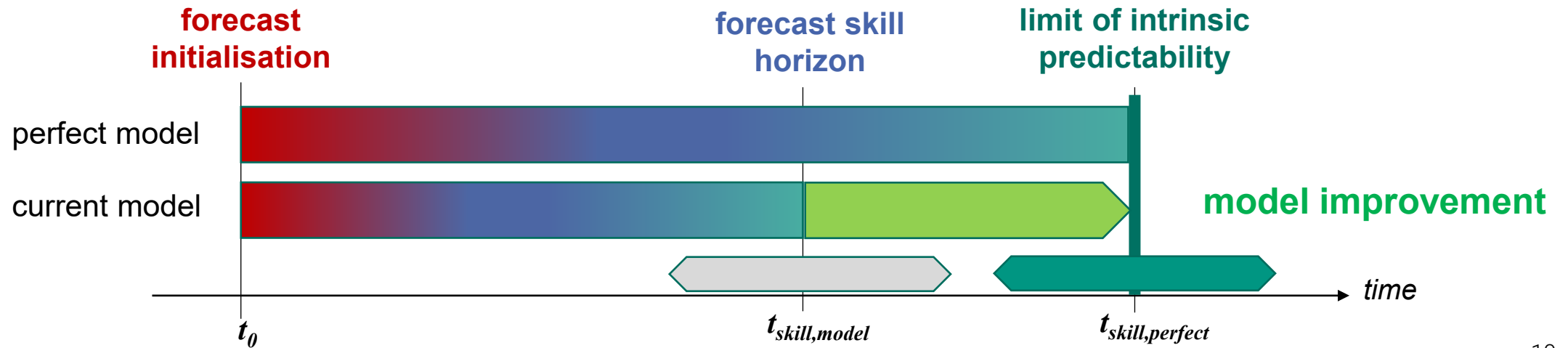




# Forecast skill horizon

Potential to **increase forecast skill** horizon through ...

1. **Improvement of the NWP system** (reducing IC and model error)

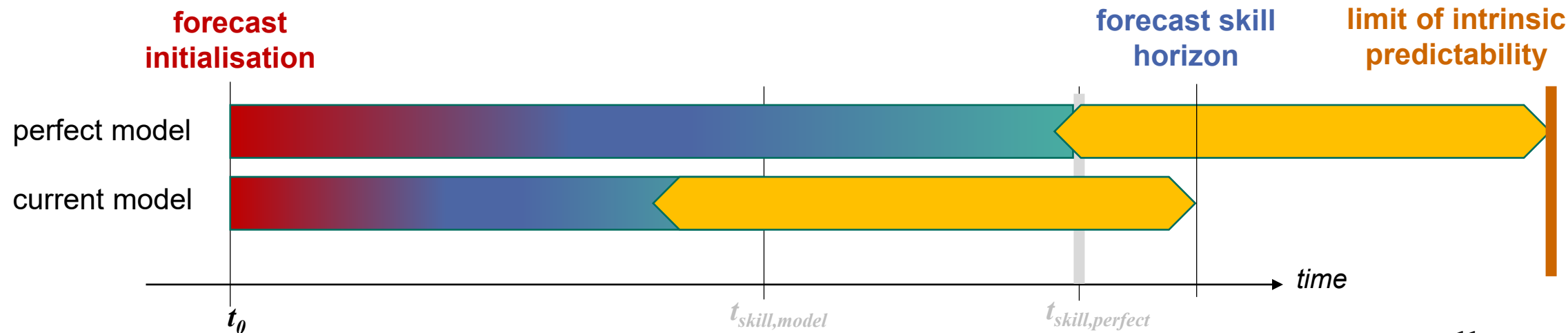




# Forecast skill horizon

Potential to **increase forecast skill** horizon through ...

1. **Improvement of the NWP system** (reducing IC and model error)
2. **Alternate forecast question** (spatial-temporal aggregation & knowledge about sources of predictability)





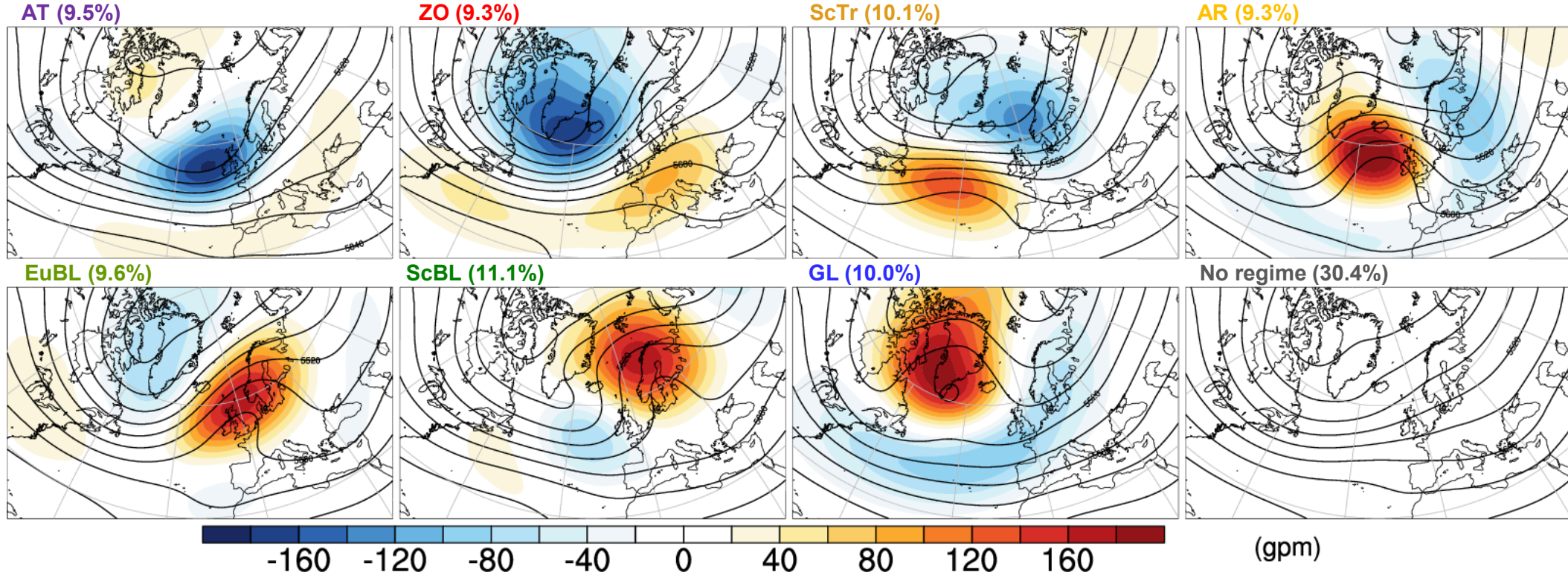
# Outline

1. Predictability, forecast uncertainty, and upscale error growth
2. Weather regimes – a source of predictability?
3. The role of latent heat release in WCB airstreams
4. Forecast opportunities in the light of intrinsic limits of predictability
5. Conclusions



# Weather regimes

cluster mean Z500 anomaly ( $\phi'(\varphi, \lambda)_{wr}$  shading) and absolute values (black contours)



based on Grams et al. 2017,  
adapted to ERA5

## Cyclonic regimes:

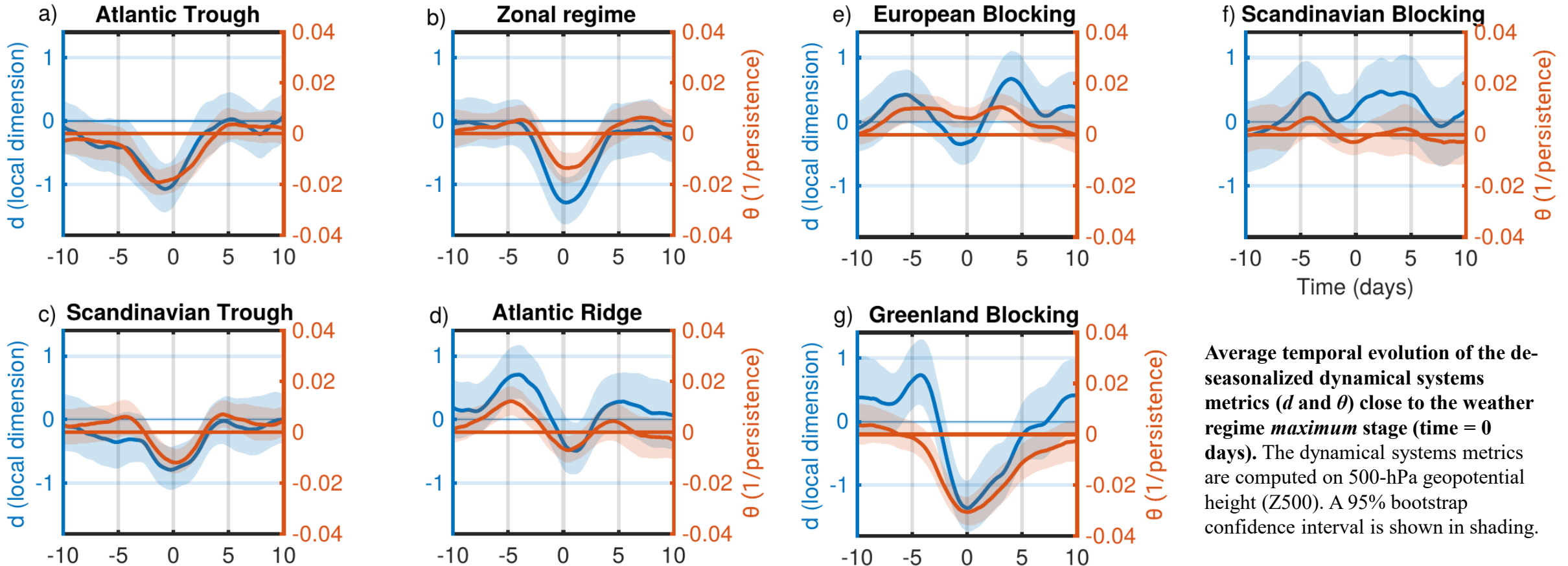
- Atlantic trough
- Zonal Regime
- Scandinavian trough

## Blocked regimes:

- Atlantic ridge
- European blocking
- Scandinavian blocking
- Greenland blocking



# Weather Regimes – intrinsic predictability

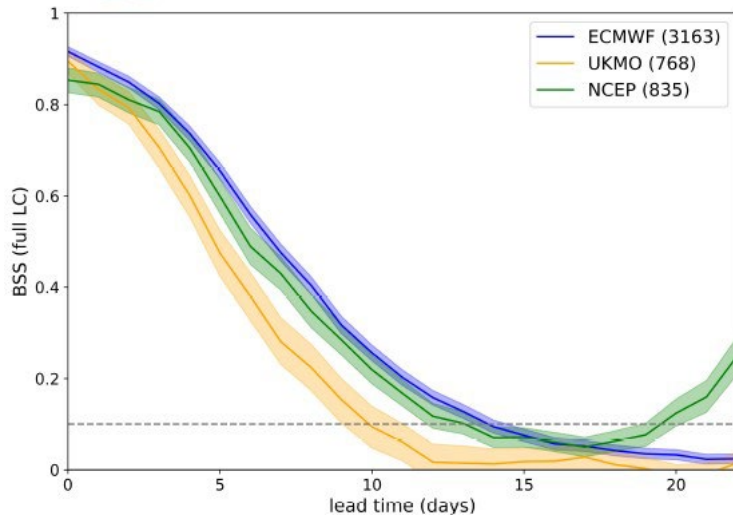


Average temporal evolution of the de-seasonalized dynamical systems metrics ( $d$  and  $\theta$ ) close to the weather regime *maximum stage* (time = 0 days). The dynamical systems metrics are computed on 500-hPa geopotential height (Z500). A 95% bootstrap confidence interval is shown in shading.

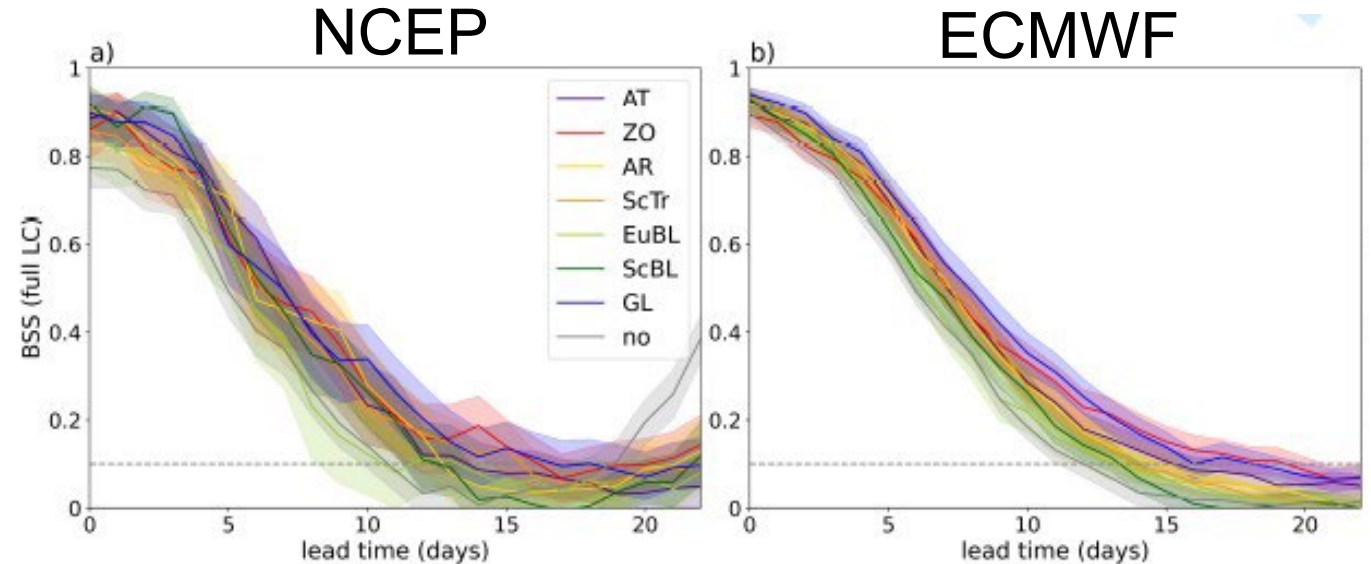


# Weather regimes – practical predictability

*year-round skill for WR LC attribution*



*Year-round daily skill individual WR life cycles*

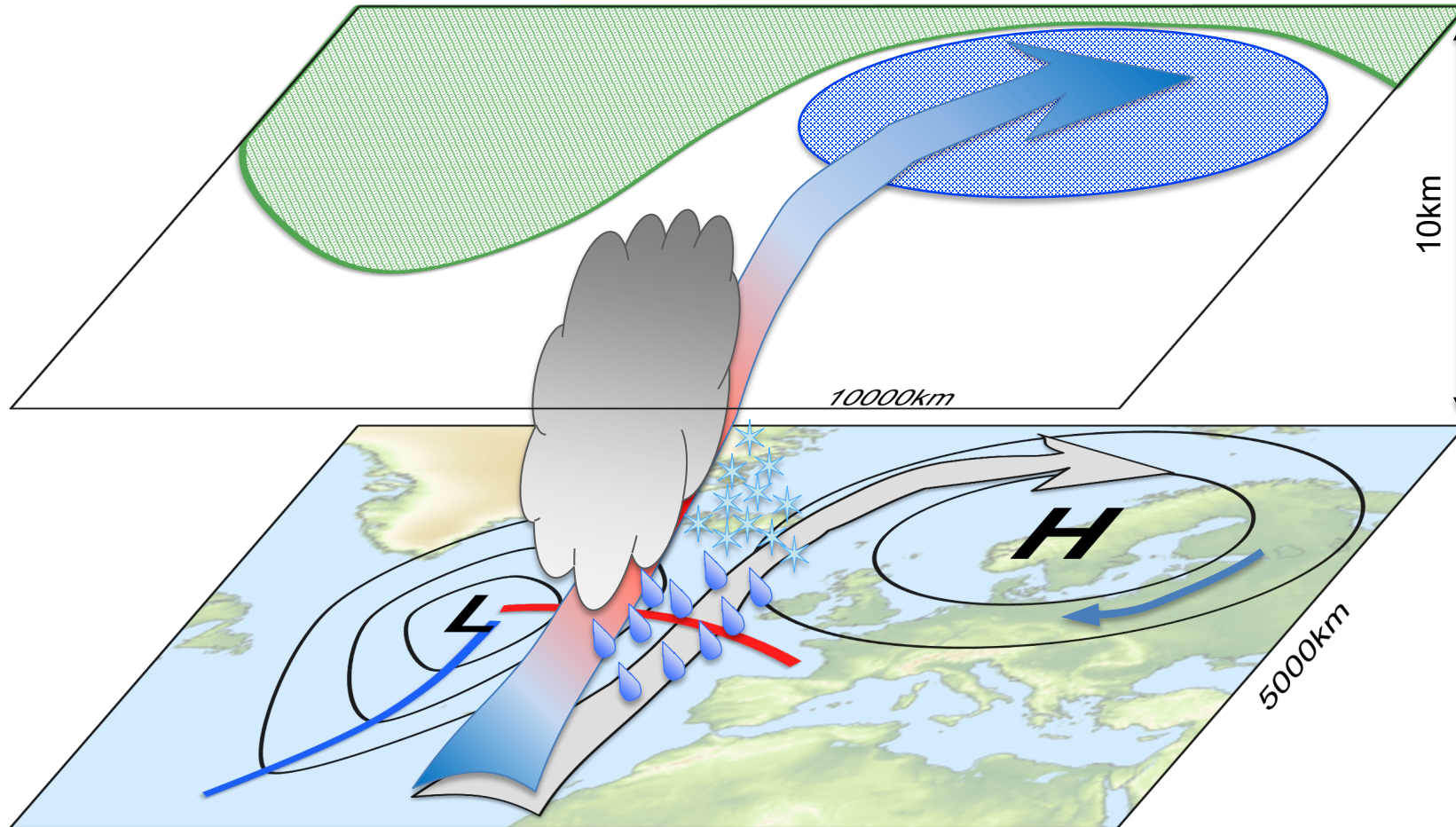


- skill in IFS extended-range reforecasts up to about 14 days
- skill horizon for EuBL (and no regime) is significantly shorter by 3-5 days than for other regimes
- skill horizon longest for ZO, GL (representing the NAO phases) driven by winter and likely due to high persistence and stratospheric

→ **EuBL least forecast skill horizon**



# Role of latent heat release

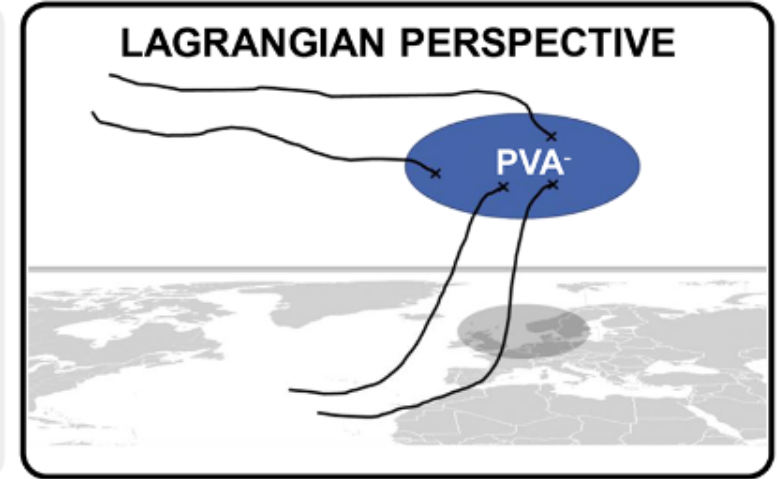
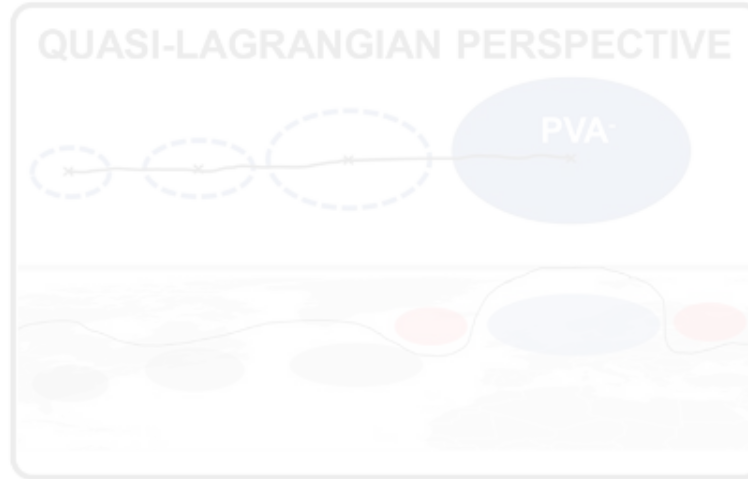
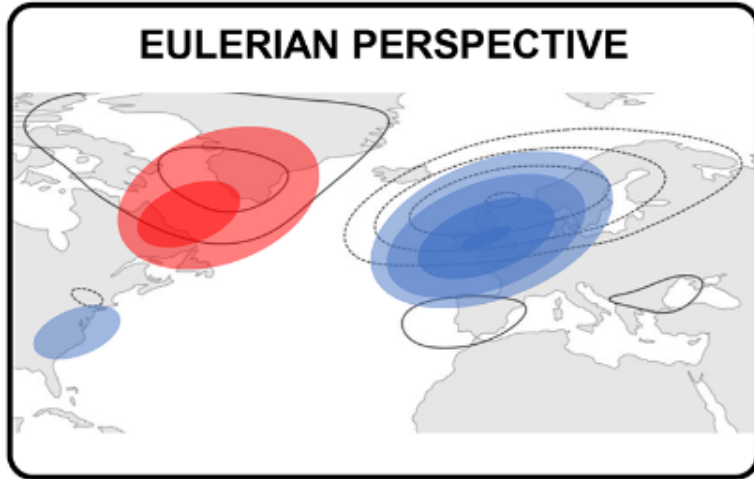


Pfahl, S. et al., 2015, *Nature Geosci.*, [doi:10.1038/ngeo2487](https://doi.org/10.1038/ngeo2487) , Grams and Archambault, 2016, *Mon. Wea. Rev.*, [doi:10.1175/MWR-D-15-0419.1](https://doi.org/10.1175/MWR-D-15-0419.1)  
Steinfeld and Pfahl, 2019, *Clim. Dyn.*, [doi:10.1007/s00382-019-04919-6](https://doi.org/10.1007/s00382-019-04919-6) , Steinfeld et al., 2020, *Weather Clim. Dynam.*, [doi:10.5194/wcd-1-405-2020](https://doi.org/10.5194/wcd-1-405-2020)





# Diagnostics to quantify contributions to blocking



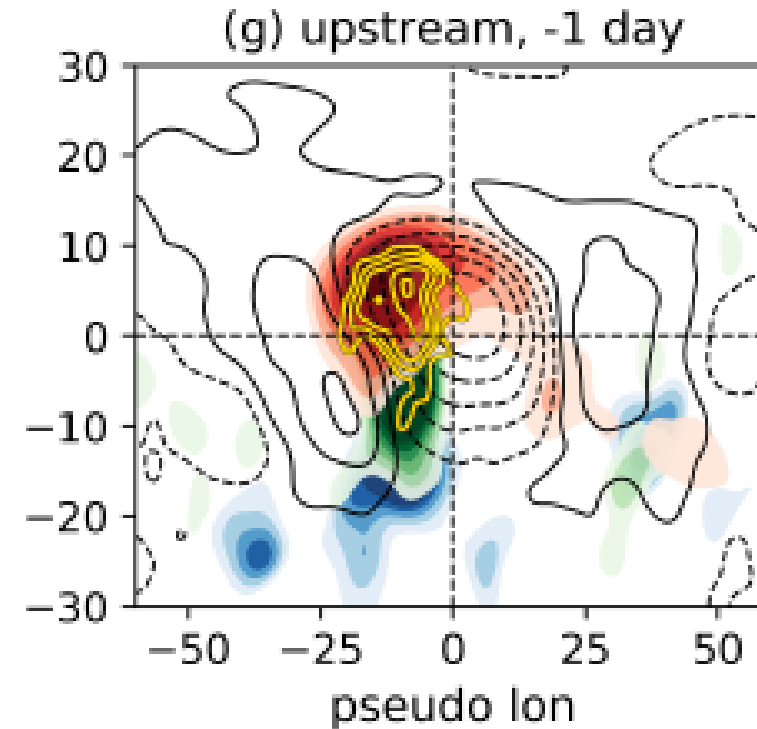
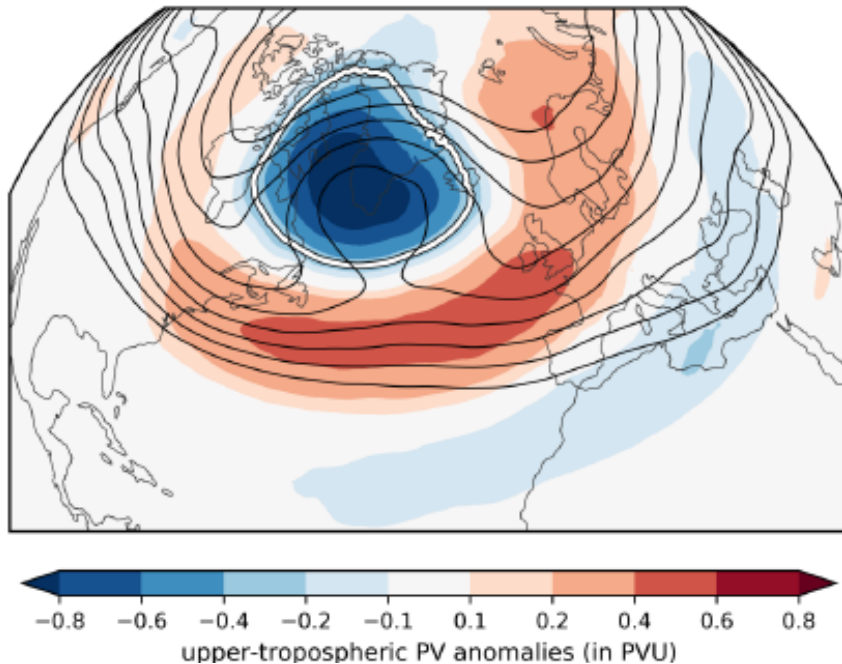
- e.g. [Michel and Rivière 2011](#)
- emphasises role of dry dynamics

- [Teubler and Riemer 2020](#) and [Hauser et al. 2023](#) bring moist and dry dynamics in perspective
- contributions to amplitude by
  - barotropic dynamics (**UP**)
  - baroclinic interaction (**LOW**)
  - divergent wind (**DIV**, *indirect moist-diabatic contribution*)

- e.g. [Pfahl et al. 2015](#)
- emphasises role of moist dynamics



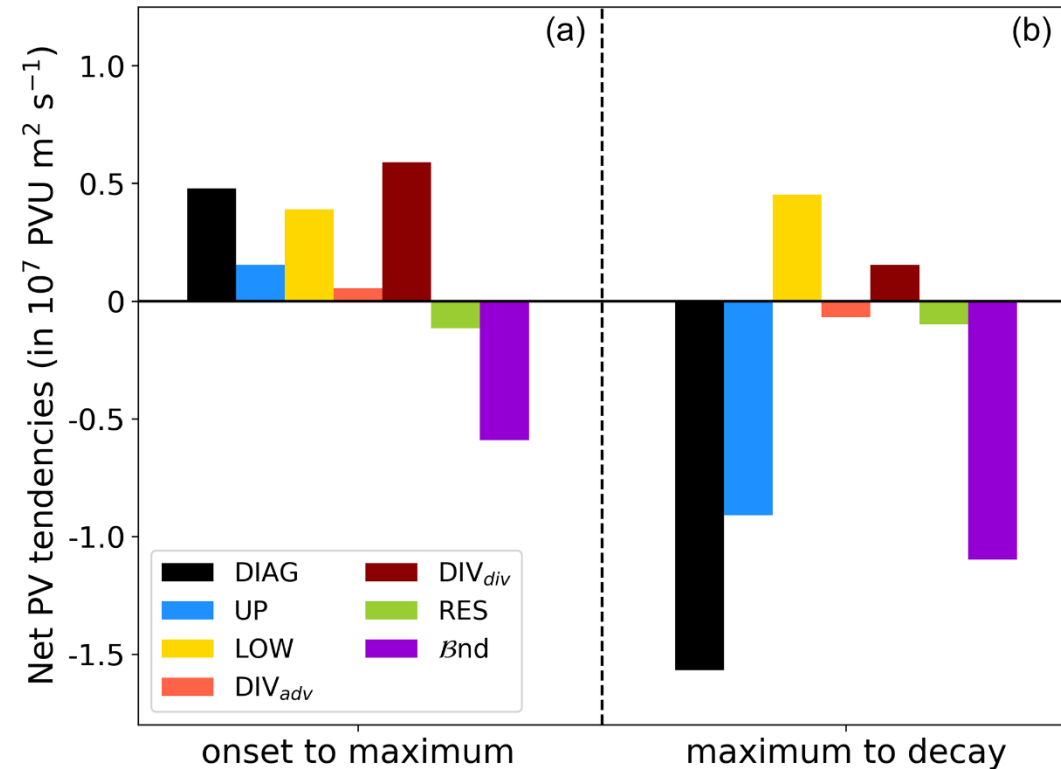
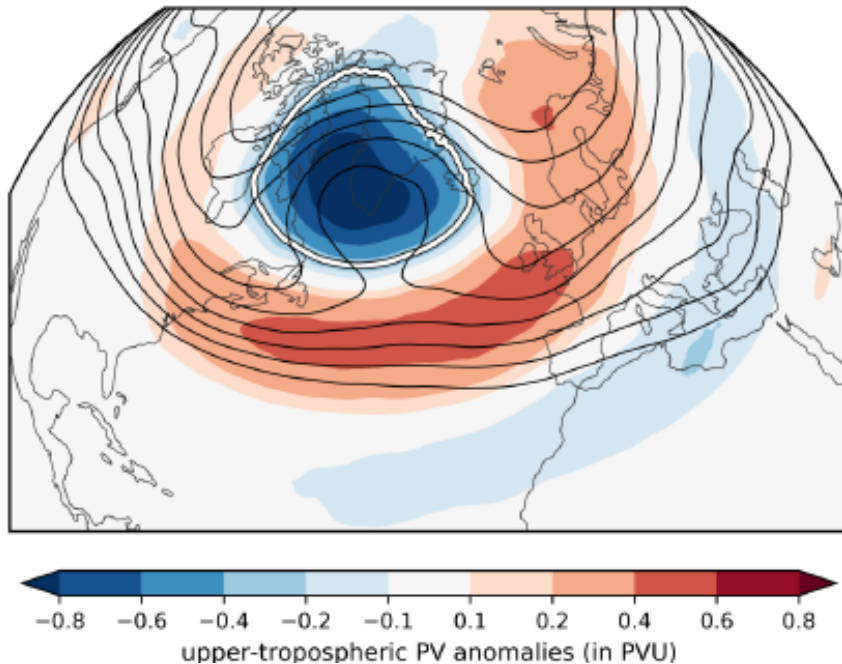
# Quantifying the relevance for blocking – quasi-Lagrangian PV diagnostics



- PV amplitude evolution for blocking onset over Greenland.  
→ **strong positive tendencies due to divergent wind components associated with WCB**



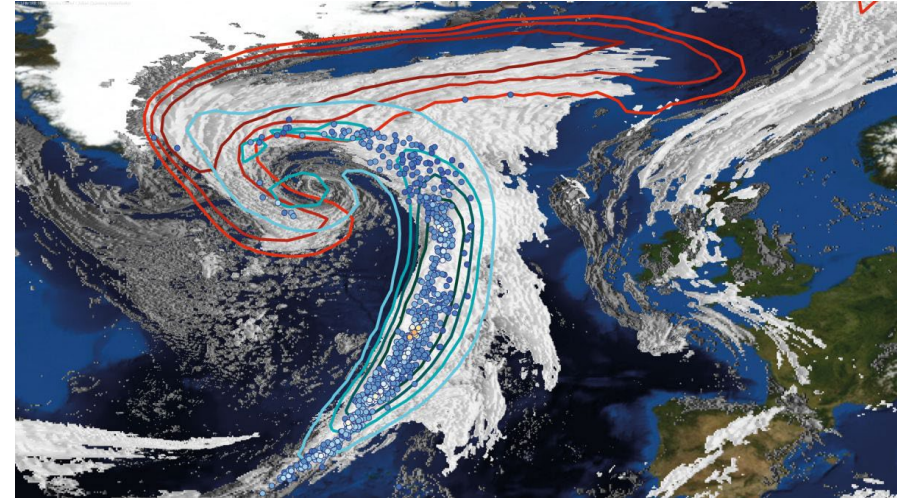
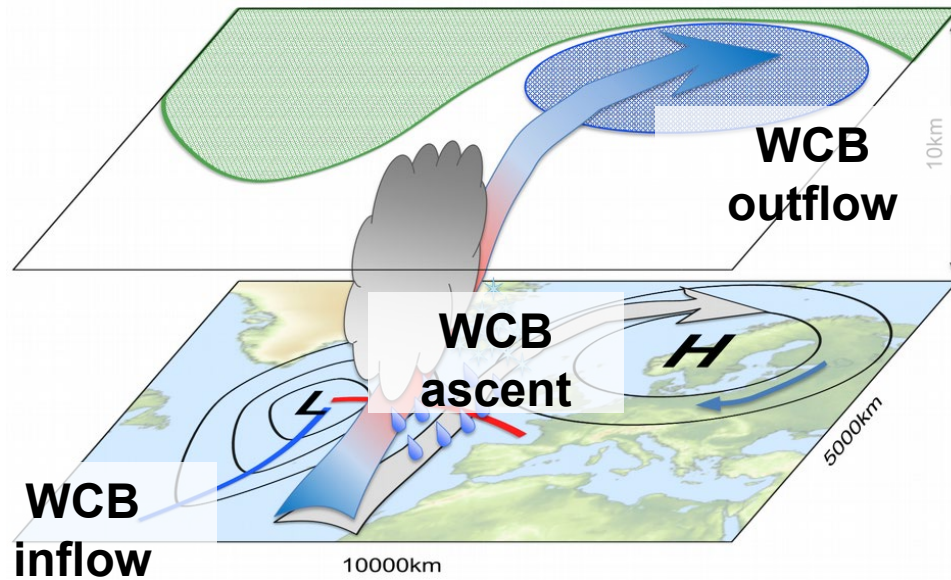
# Quantifying the relevance for blocking – quasi-Lagrangian PV diagnostics



- PV amplitude evolution during Greenland blocking life cycle  
    «*downstream moist-baroclinic development*» ([Teubler and Riemer 2020](#))



# WCB representation in models – ELIAS2.0



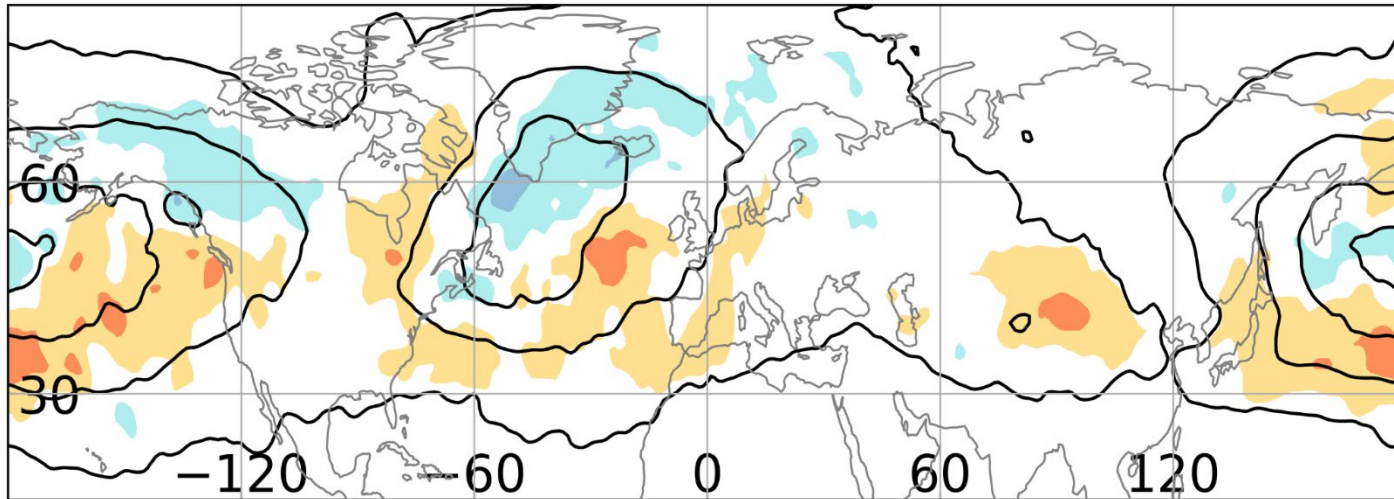
Example: dots Lagrangian air parcels in ascent phase. contours: conditional probability from Eulerian metric (plot: J. Quinting & A. Oertel)

- WCB identification requires trajectory calculation based on high spatio-temporal resolution  
→ *feasible with reanalysis data and for case studies*
- lack of spatio-temporal resolution and huge data amount of S2S ensemble reforecasts requires different approach
- Eulerian metric for signature of WCB stages based on Unet-type convolutional neural network  
→ *Quinting and Grams (2022)*, doi:[10.5194/gmd-15-715-2022](https://doi.org/10.5194/gmd-15-715-2022)
- Predictor selection and metric based on logistic regression  
→ *Quinting and Grams (2021)*, doi:[10.1175/JAS-D-20-0139.1](https://doi.org/10.1175/JAS-D-20-0139.1)



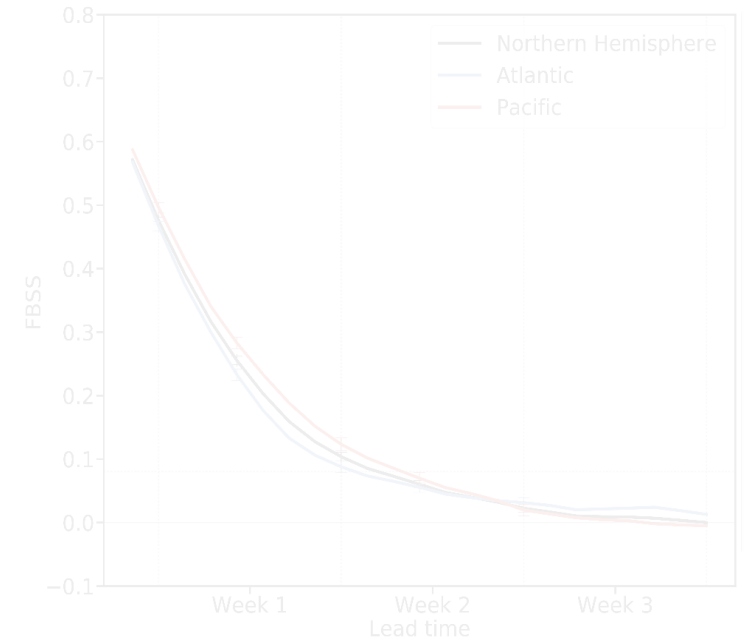
# WCBs in models – bias and skill

## WCB outflow frequency bias (week 2)



- underestimation of WCB outflow downstream of stormtracks
- daily WCB outflow skill vanishes after 7 days
- slightly more skill in North Pacific than North Atlantic
- skill for weekly mean frequency into week 2

## WCB outflow skill (weekly)

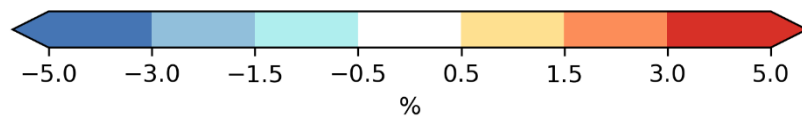
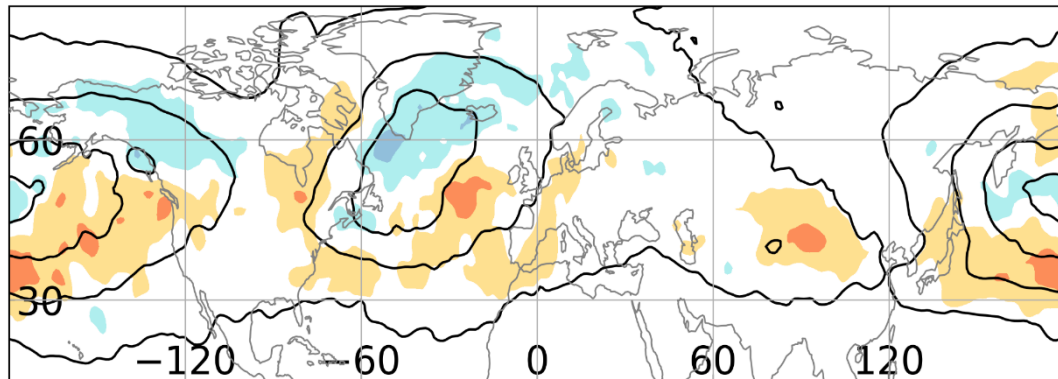


Wandel et al. 2021 [doi:10.1175/JAS-D-20-0385.1](https://doi.org/10.1175/JAS-D-20-0385.1) & Wandel 2023 ([PhD thesis](#))



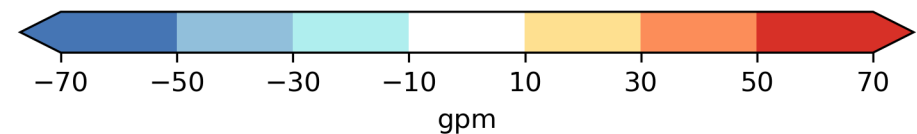
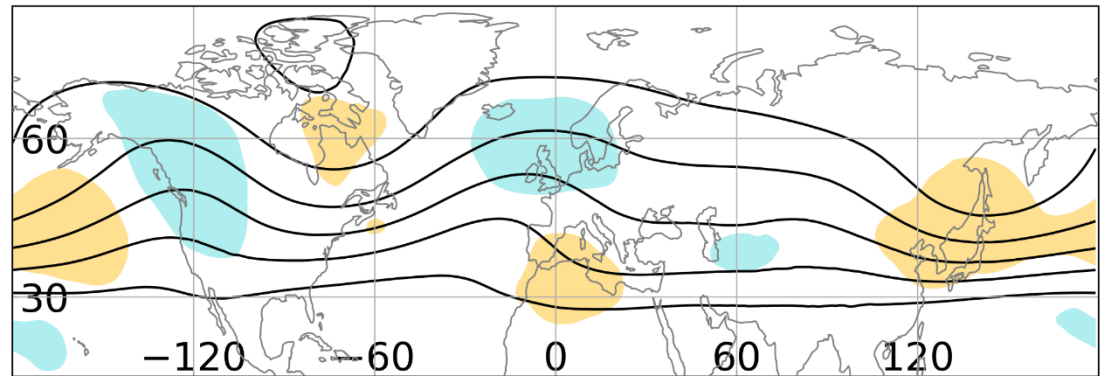
# WCB outflow bias and bias in 300 hPa geopotential height in week 2 (DJF)

### WCB outflow frequency bias



— 0.5, 5, 10, 15 %

### 300 hPa geopotential height bias



— 8400-9600 gpm (every 200 gpm)

- WCB outflow biases coincide with biases in geopotential height

source: Jan Wandel



# WCB outflow at **EuBL** onset in ERA-I in pentad 4

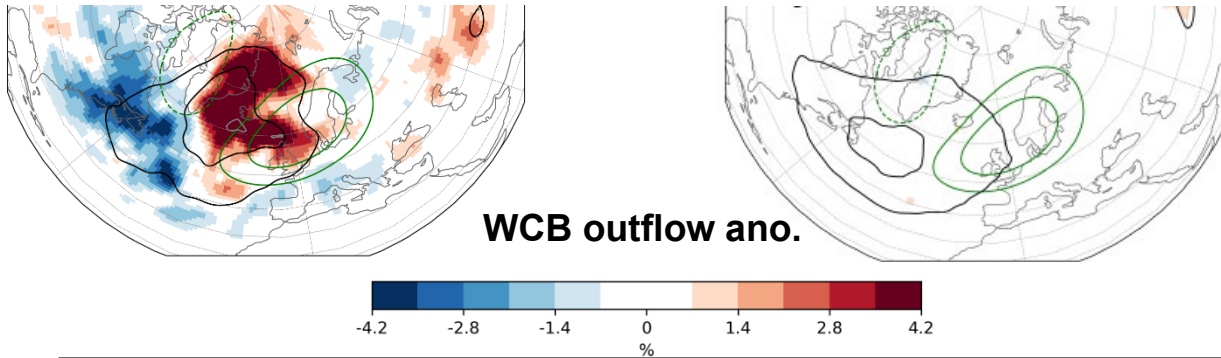
- EuBL onset in **ERA-I** at lead time **pentad 4 (15-19d)**: ERA-Interim, all members in IFS reforecast, verifying members (hits), members missing the onset (misses)

**ERA-Interim** (38 events)

**all** (1078 members)

**hits** (41)

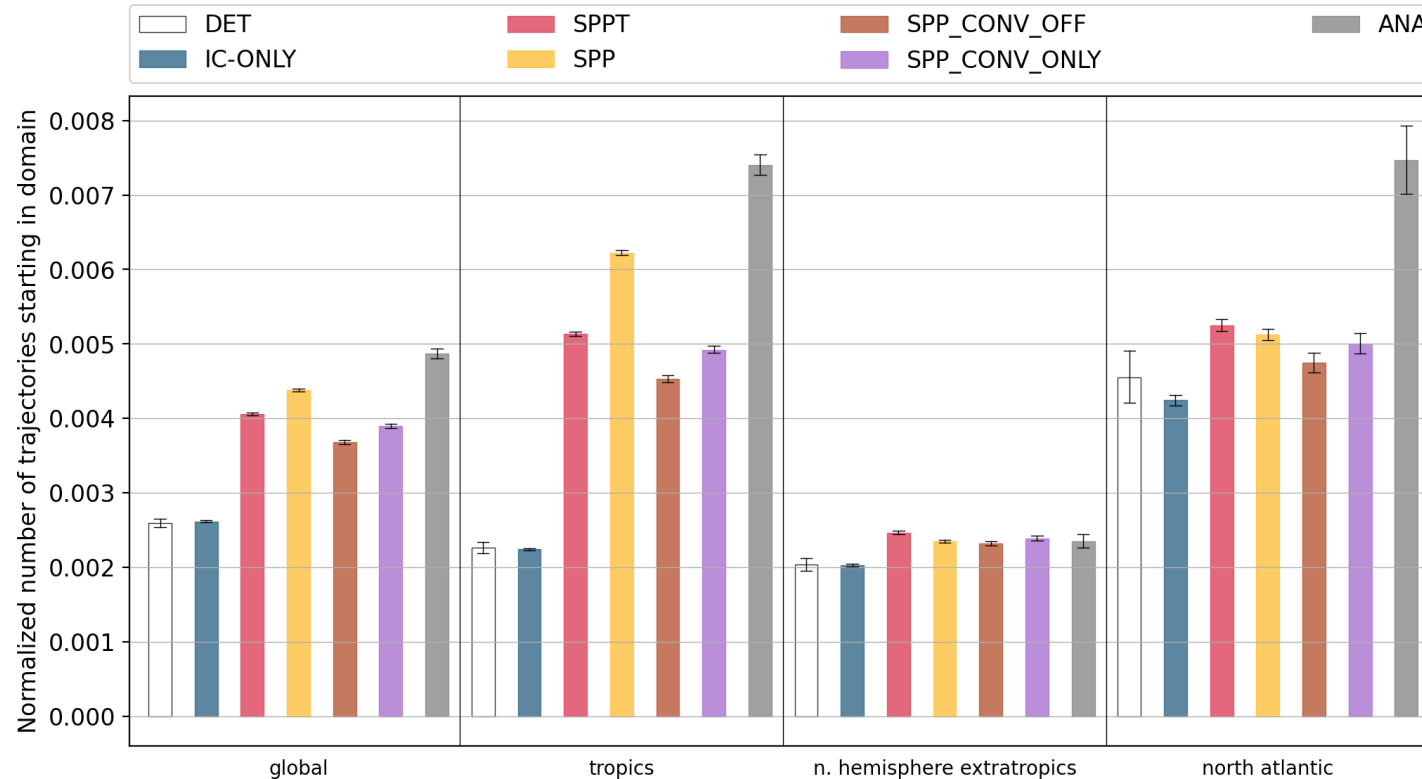
**misses** (1037)



- NWP models struggle predicting regimes beyond week 2; lowest skill for **EuBL** blocking
- WCBs frequencies underestimated in model. Skill lost in week 2
- WCB activity prior to blocked regimes (in particular **EuBL**) challenges subseasonal prediction
- correct WCB representation is a window of forecast opportunity even at lead times > 2 weeks!



# WCB sensitivity to model configuration

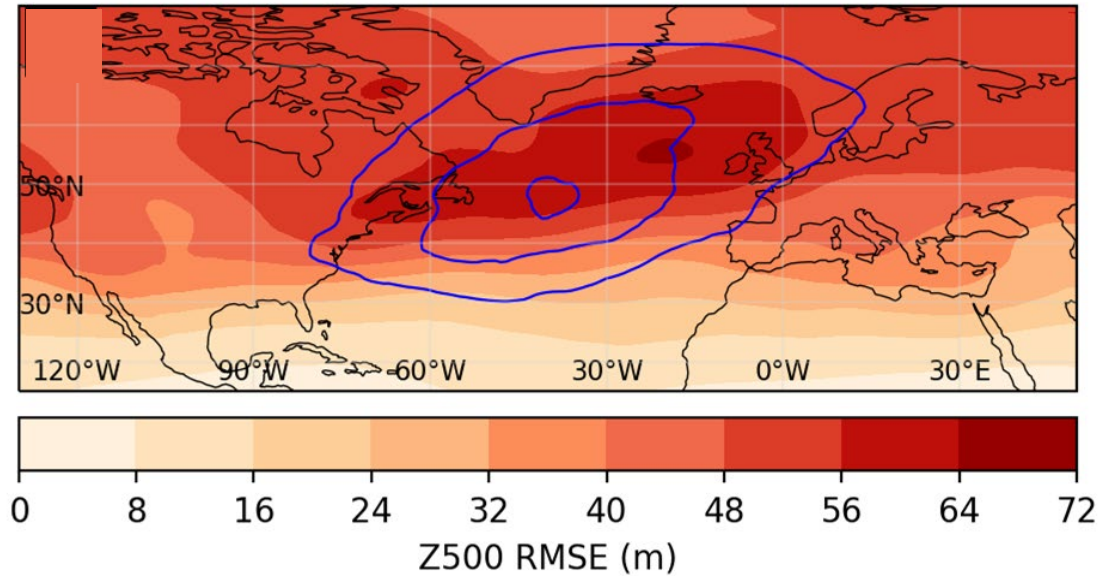


- systematic underestimation of ascending airstreams without stochastic perturbations
- perturbation are confined to regions with active convection scheme
- airstream characteristics do not change (LHR, outflow height,...)
- affects  $\omega$ -distribution, precipitation, ridge area





# WCB role in error growth (DJF)

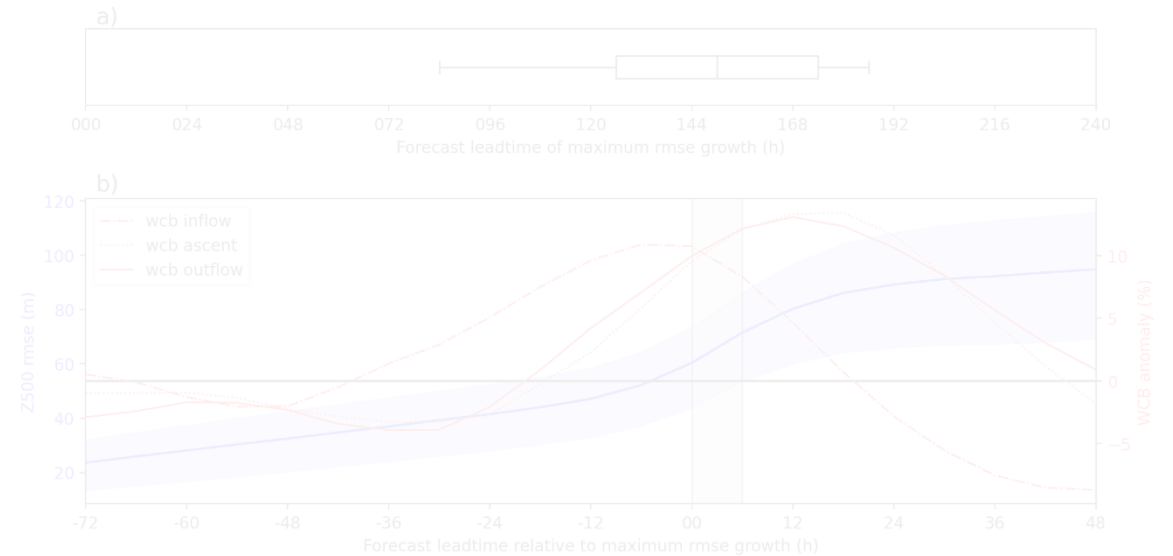


climatological RMSE



climatological WCB-outflow frequency

- co-occurrence of Z500 RMSE and WCB outflow



- WCB activity begins prior to maximum error growth
- WCB projects small IC error to upper-level RW



# Forecast opportunities in light of intrinsic limits of predictability

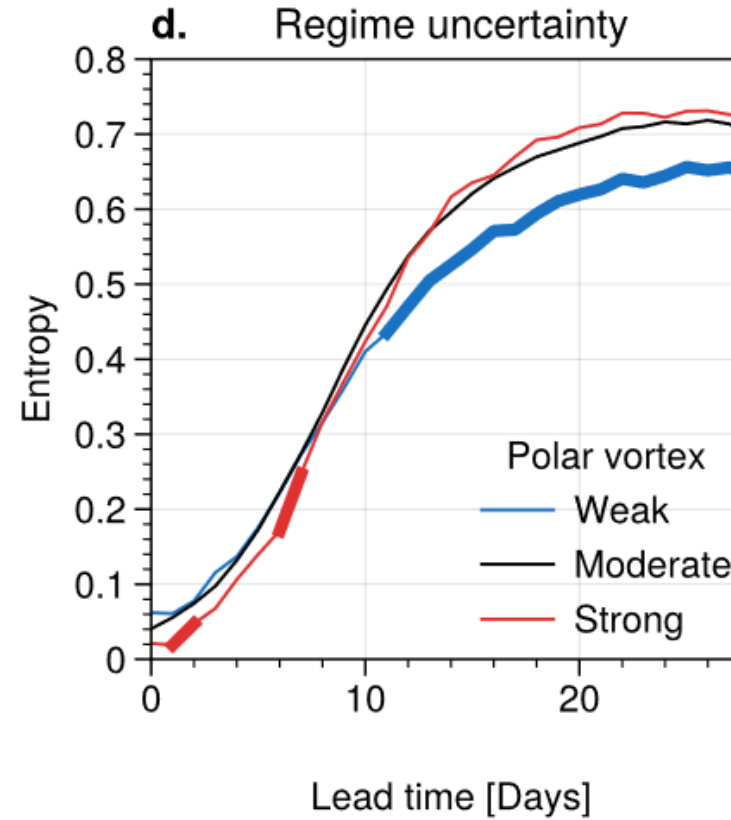
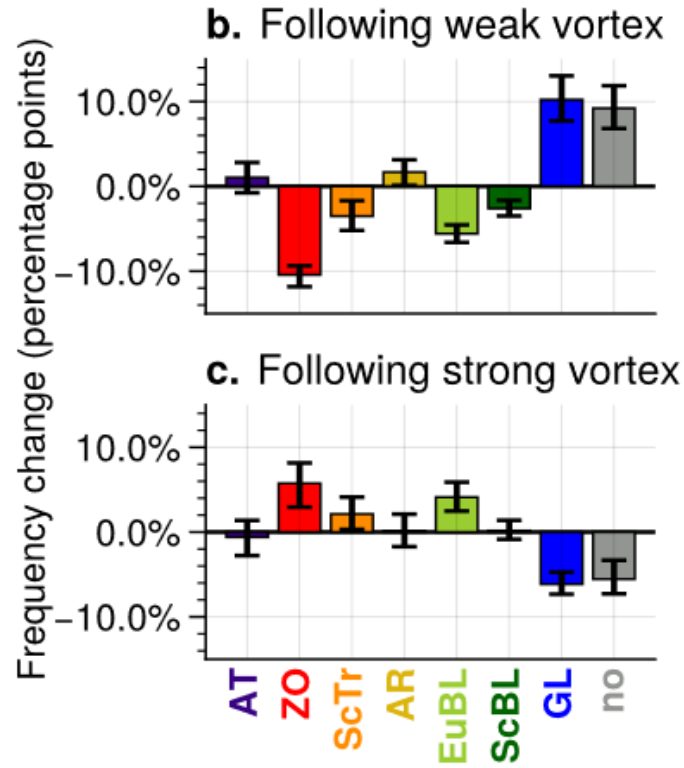
arise from knowledge about flow-dependent predictability ...

- WCB activity during onset of blocked regimes
- MJO teleconnection and WCB activity ([Quinting et al. 2023](#))
- relationship of surface weather and regimes, for example
  - serial cyclone clustering ([Hauser et al. 2023](#))
  - cold renewable energy droughts in Germany and UK ([Mockert et al. 2023](#))
  - intra-regime weather variability ([Spaeth et al. 2024](#), [Gerighausen et al. 2024](#))
- Stratospheric influence on regime predictability ([Beerli and Grams, 2019](#), [Domeisen et al. 2020](#), [Spaeth et al. 2024](#))
- detecting predictability barriers and knowing when they will be overcome ([González-Alemán et al. 2022](#), [Oertel et al. 2023](#), [Dorrington et al. 2024](#))



# Regime-dependent changes in forecast uncertainty

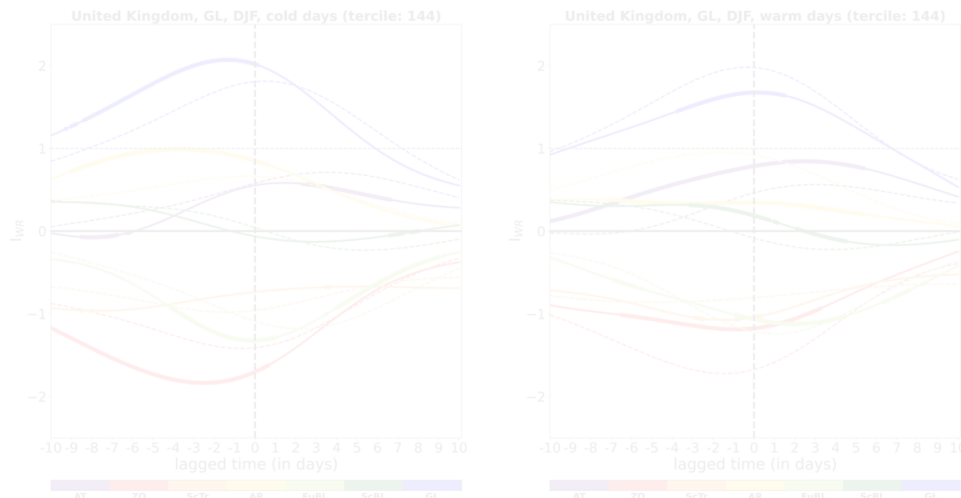
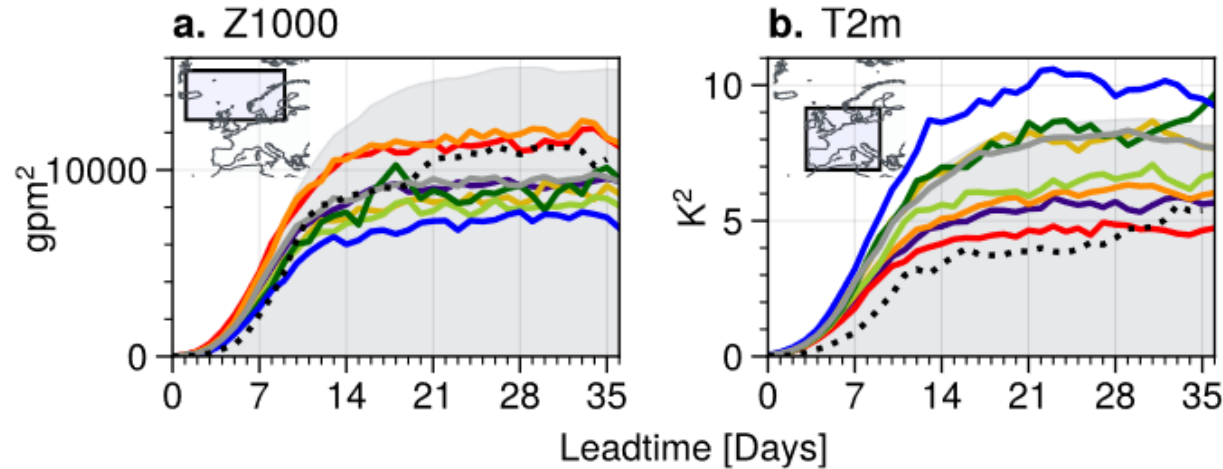
([Spaeth et al. 2024](#), [Gerighausen et al. 2024](#))





# Regime-dependent changes in forecast uncertainty

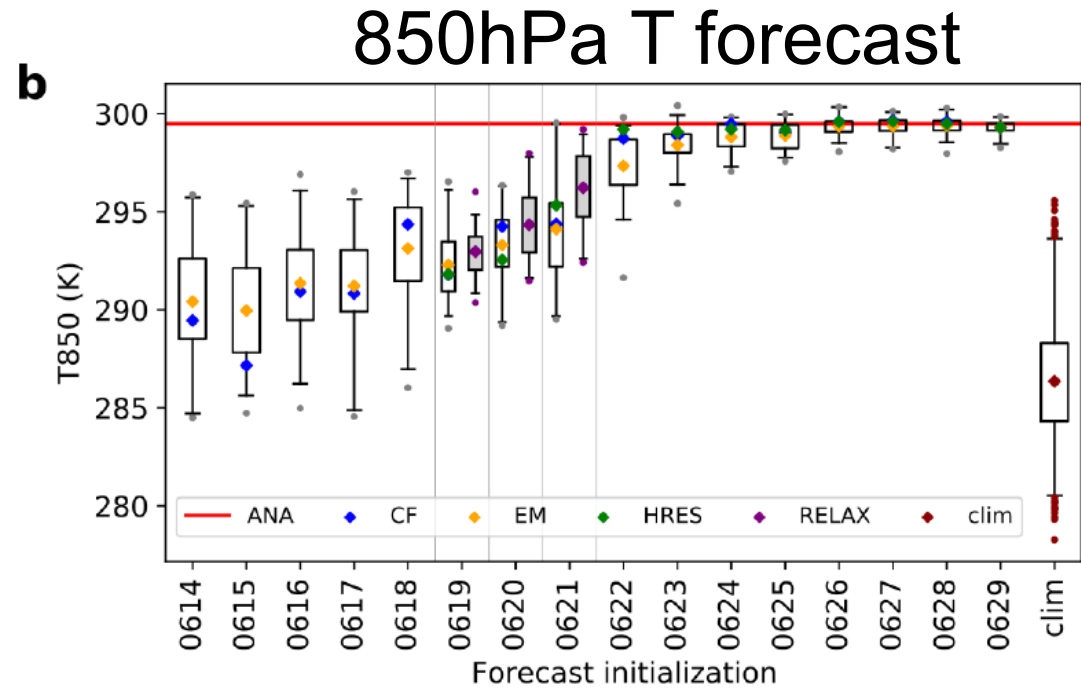
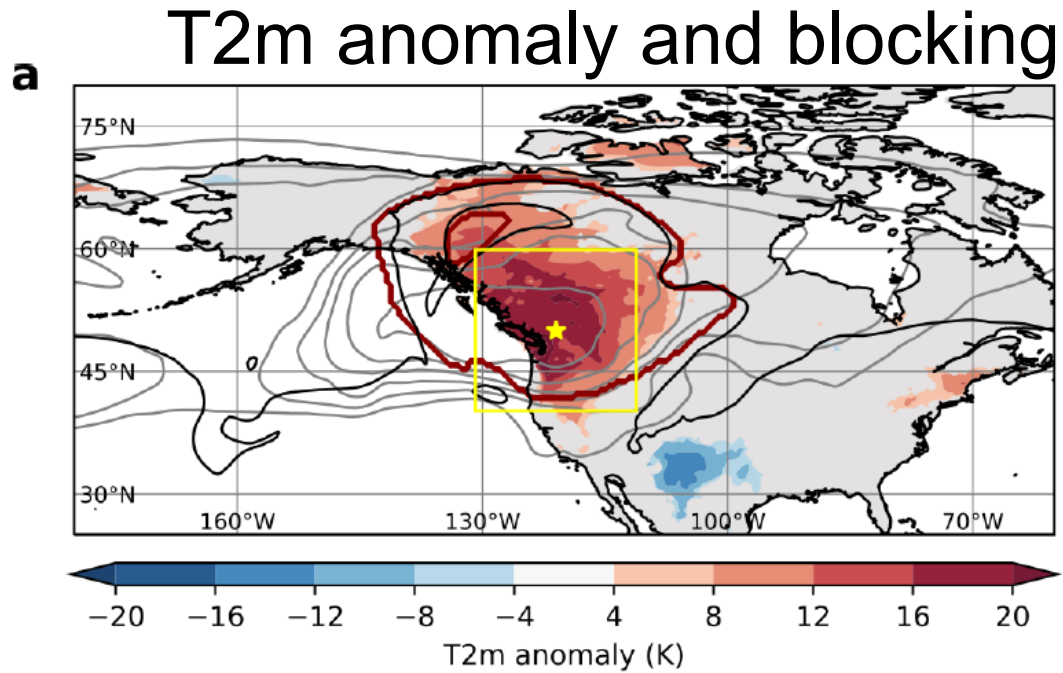
([Spaeth et al. 2024](#), [Gerighausen et al. 2024](#))



- weather regime indices during cold/warm UK Greenland blocking winter days
- T2m impact of GL depends on
  - amplitude of GL blocking
  - duration
  - co-projection in another regime



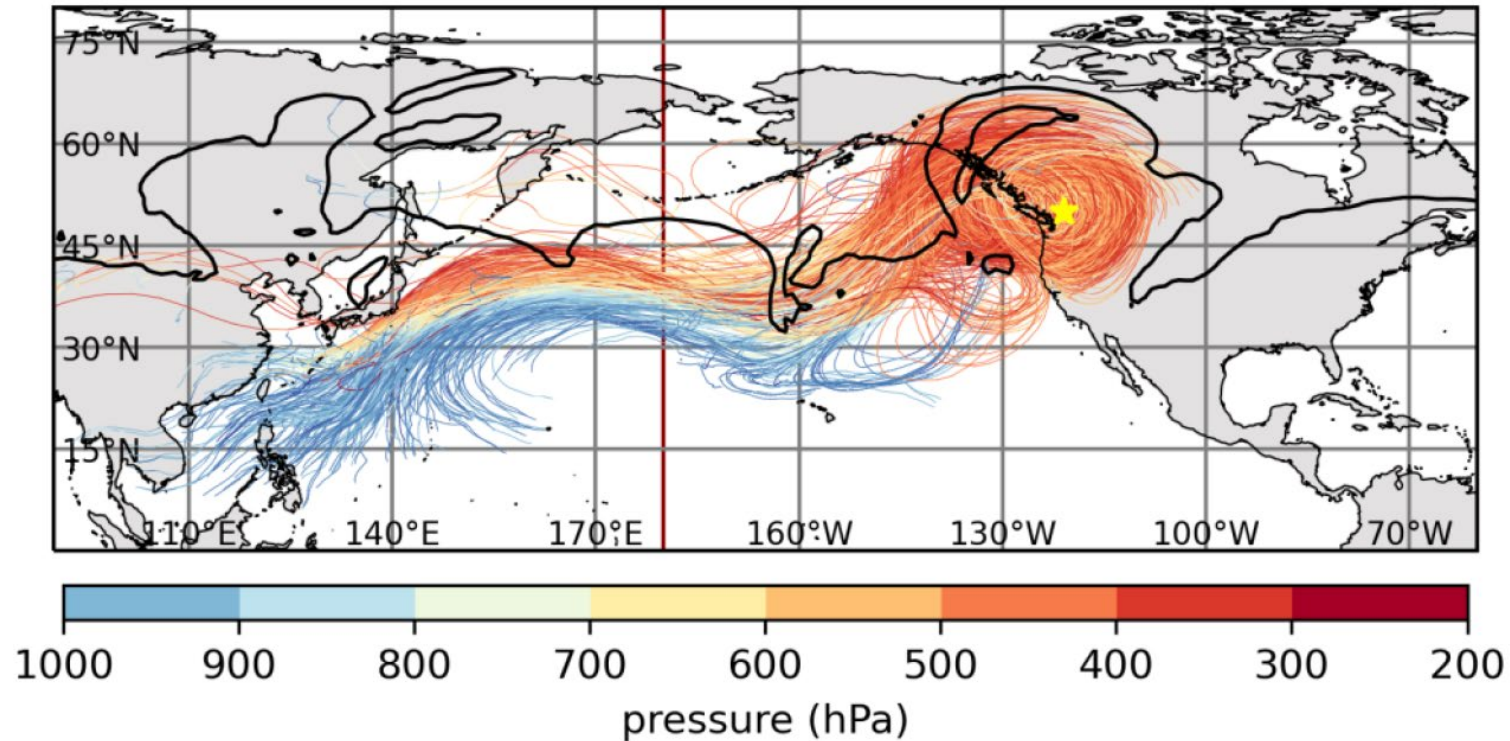
# Predictability barriers





# Predictability barriers

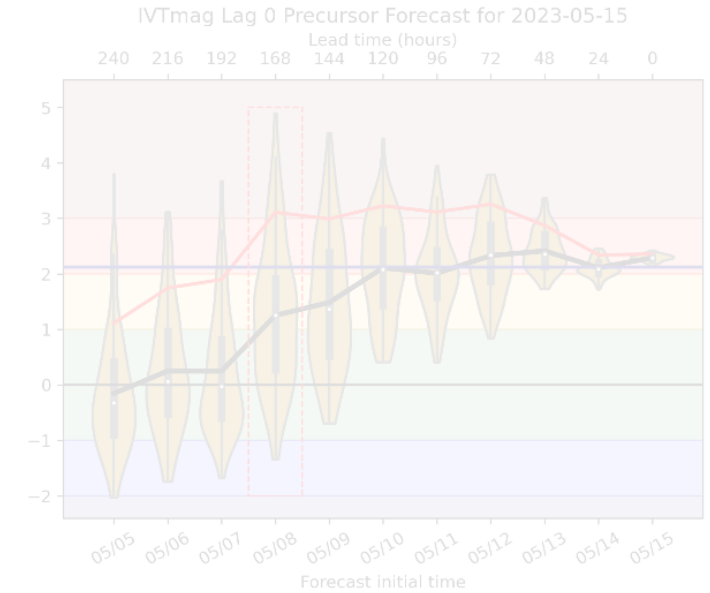
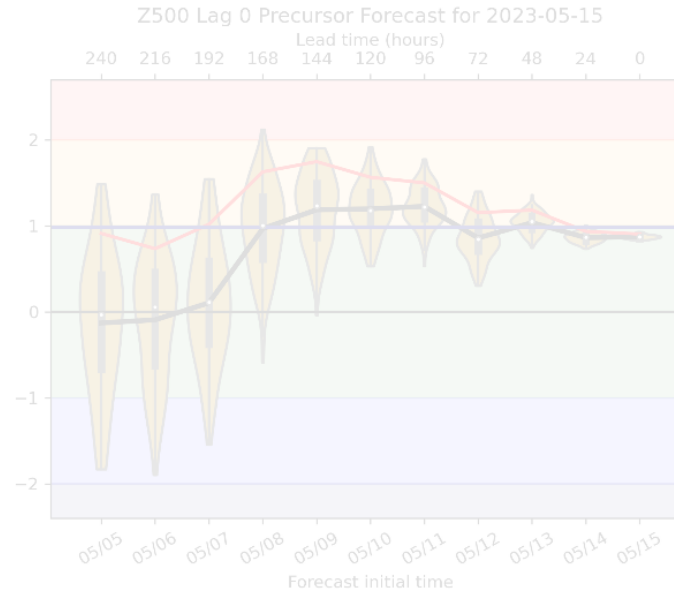
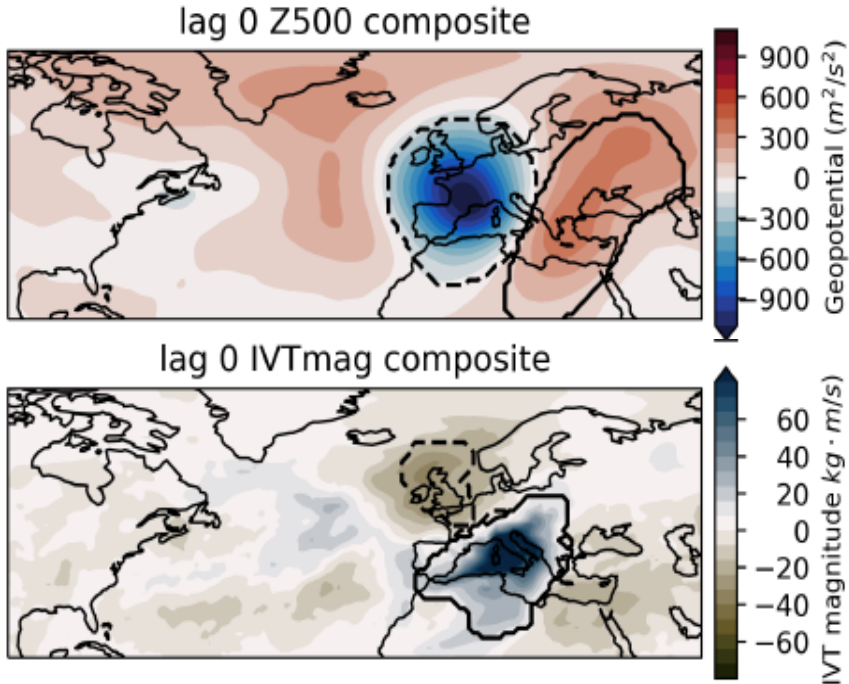
a



- WCB activity and downstream development reduced intrinsic predictability
- **How to know a priori about when the forecast becomes more reliable?**

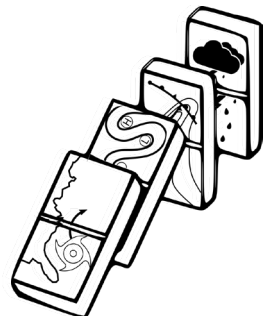


# Predictability barriers – identification using event-prone regime approach



- ensemble precursor forecast indicates Emilia-Romagna floods in May 2023 up to 8 days ahead
- predictability barrier around 8 May

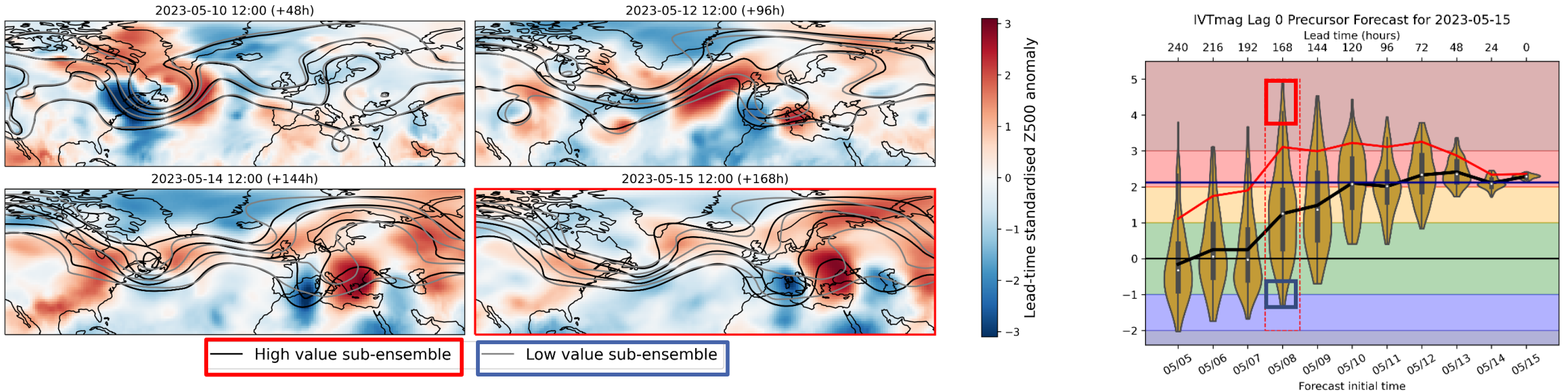
Domino – a framework for automated precursor analysis



Dorrington et al., 2024, doi:[10.1002/qj.4622](https://doi.org/10.1002/qj.4622)  
<https://github.com/joshdorrington/domino>



# Predictability barriers – identification using event-prone regime approach



- subsetting ensemble according to IVT precursor activity identifies reasons and is sharper
- cyclogenesis near Newfoundland during 8-12 May reduces **intrinsic** predictability





# Conclusions

- “moist-baroclinic development” contributes substantially to forecast uncertainty on medium- to subseasonal time scales
- SPPT and SPP reduce systematic WCB biases
- still WCB activity contributes to flow-dependent situations of low intrinsic predictability
- forecast storylines help to overcome predictability barriers

## ***What is ultimate predictability and what is holding NWP back?***

*The predictability of the atmosphere is intrinsically limited. However, this is flow-dependent and linked to a chain of synoptic events. We have to think more in dynamical “forecast storylines”, know about the critical event causing a “predictability barrier”, and benefit from knowing when the barrier will be overcome.*

contact: [christian.grams@meteoswiss.ch](mailto:christian.grams@meteoswiss.ch)



# References

## Mentioned Papers:

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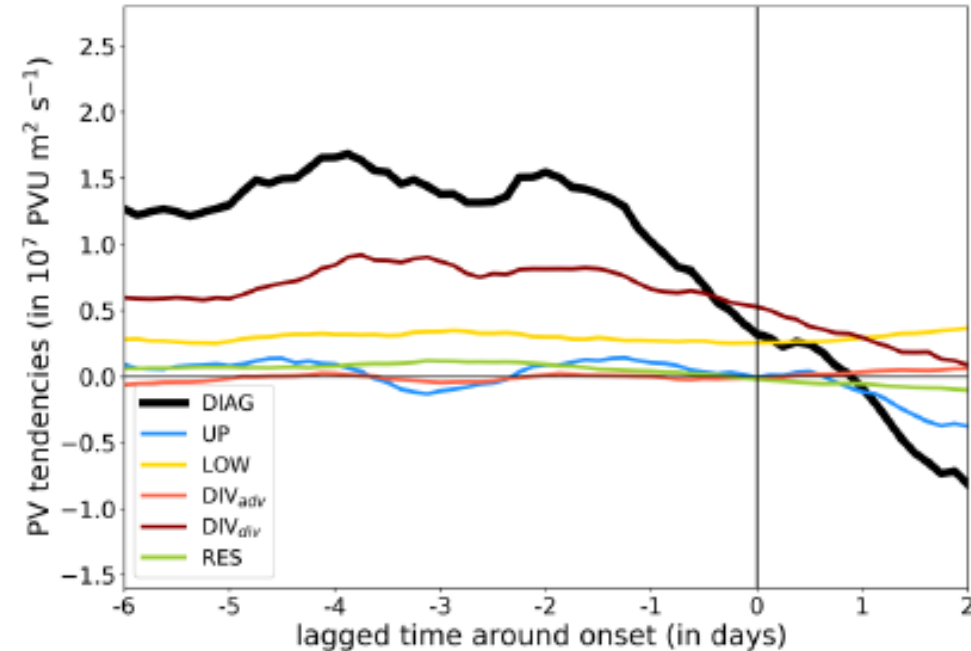
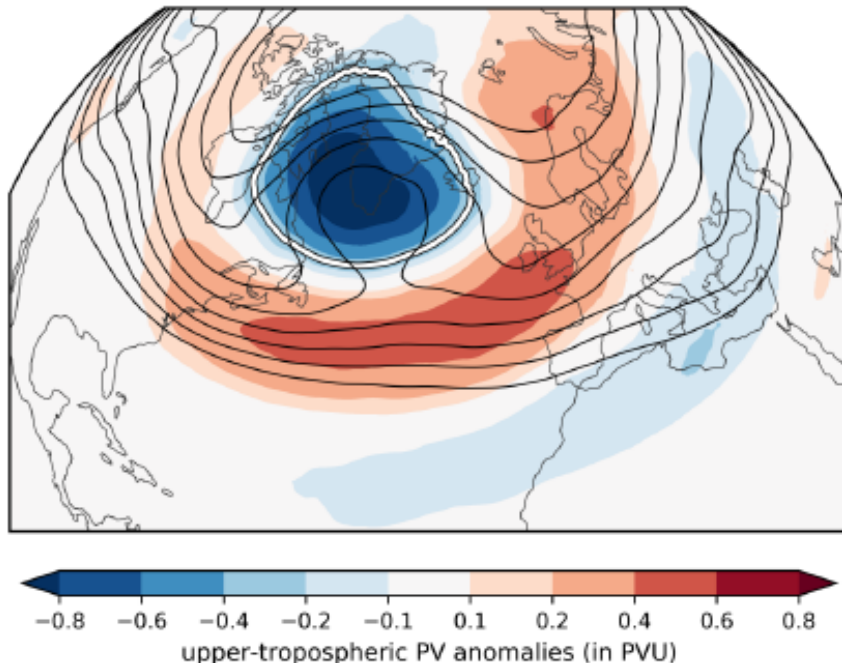
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Christian M. Grams

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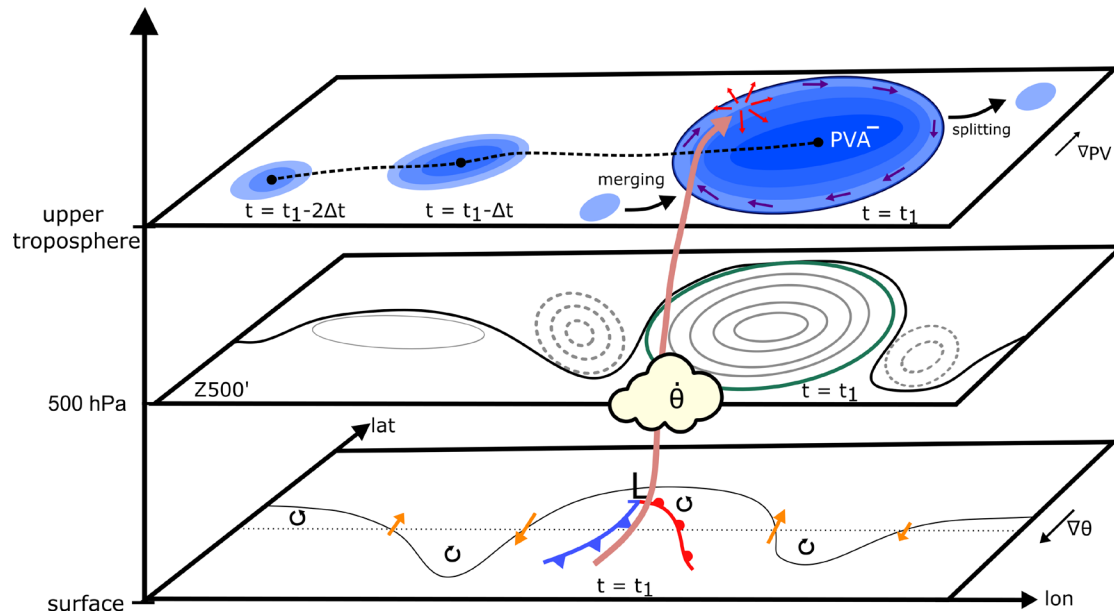
# Quantifying the relevance for blocking – quasi-Lagrangian PV diagnostics



- PV amplitude evolution for blocking onset over Greenland.  
→ **strong positive tendencies due to divergent wind components associated with WCB**



# Quantifying the relevance for blocking – quasi-Lagrangian PV diagnostics



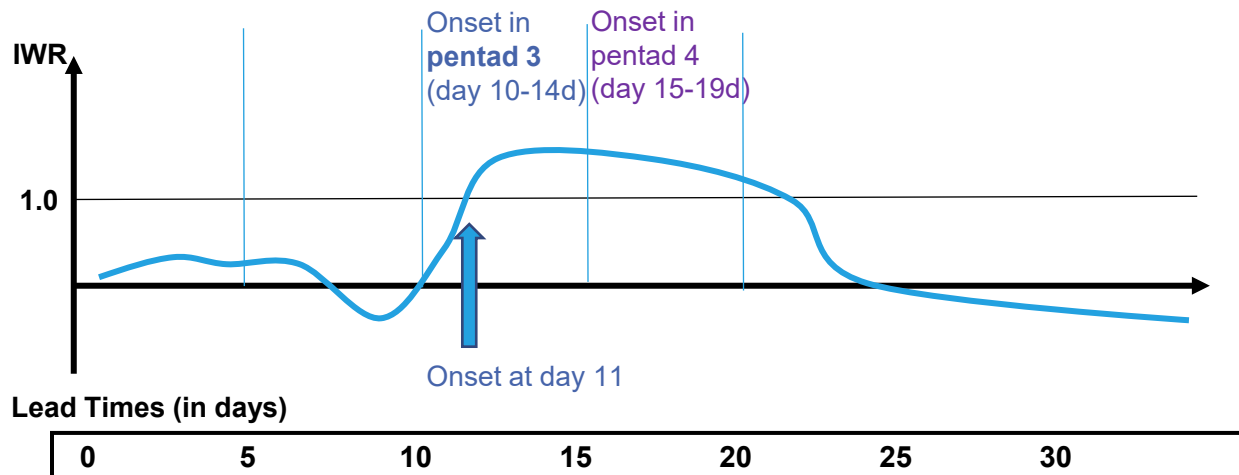
- tracking of upper-level PV anomalies associated with blocking
- contributions to amplitude evolution by
  - barotropic dynamics (**UP**)
  - baroclinic interaction (**LOW**)
  - divergent wind (**DIV**, *indirect moist-diabatic contribution*)



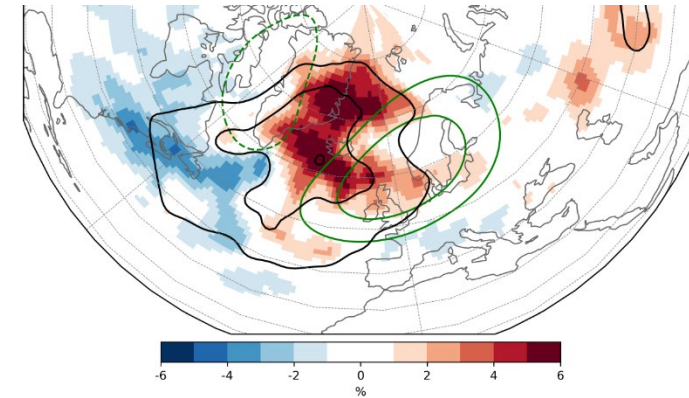
# WCB outflow at **EuBL** onset

example **ERA-Interim** anomalies  
for ERA-I onset **lead time 10-14d (pentad 3)**

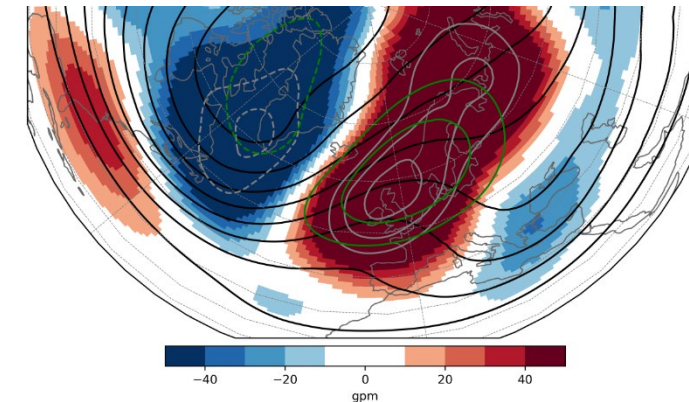
- **EuBL onset in ERA-Interim at given lead time window**
- focus on leadtime pentads rather than weeks, as WCB skill is lost after 10 days
- WCB outflow frequencies based on ELIAS2.0 for ERA and model at these lead times (not necessarily an **EuBL** onset in model)



**WCB outflow freq. anomaly**



**Z500**



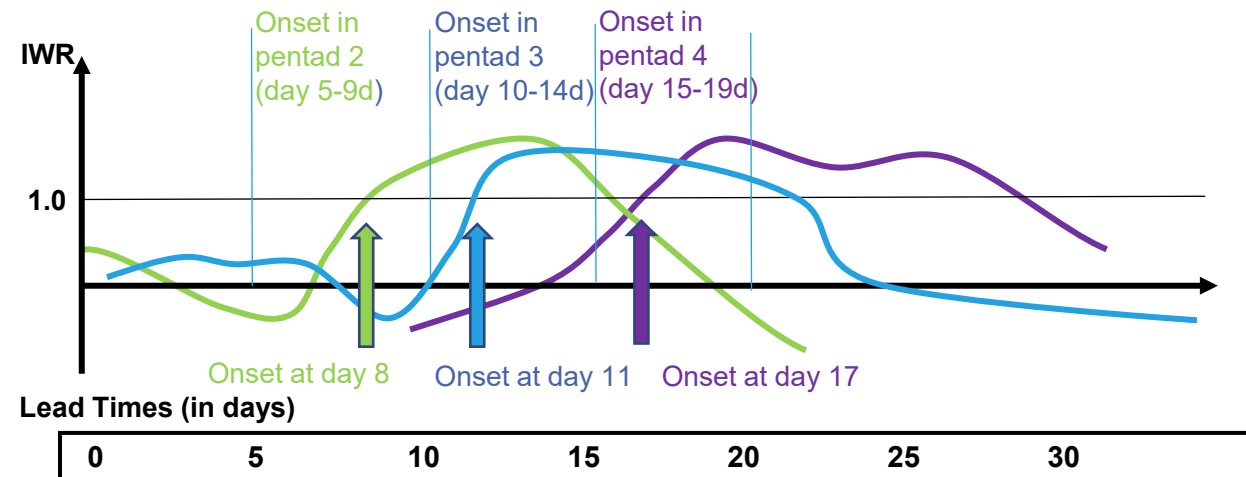
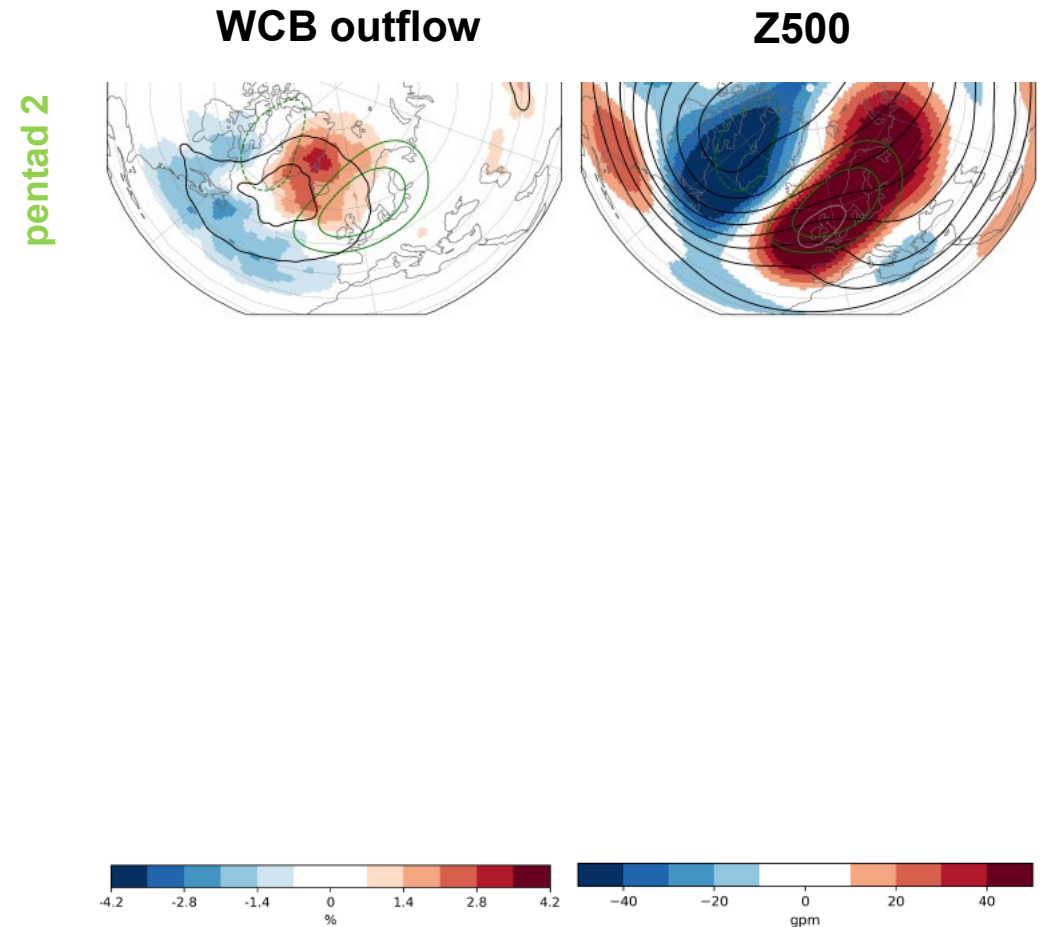




# WCB outflow at **EuBL** onset in ERA-I in pentad 4

- **EuBL onset in ERA-Interim at given lead time window**
- focus on leadtime pentads rather than weeks, as WCB skill is lost after 10 days
- WCB outflow frequencies based on ELIAS2.0 for ERA and model at these lead times (not necessarily an **EuBL** onset in model)

Reforecast anomalies for ERA-I onset in different pentads





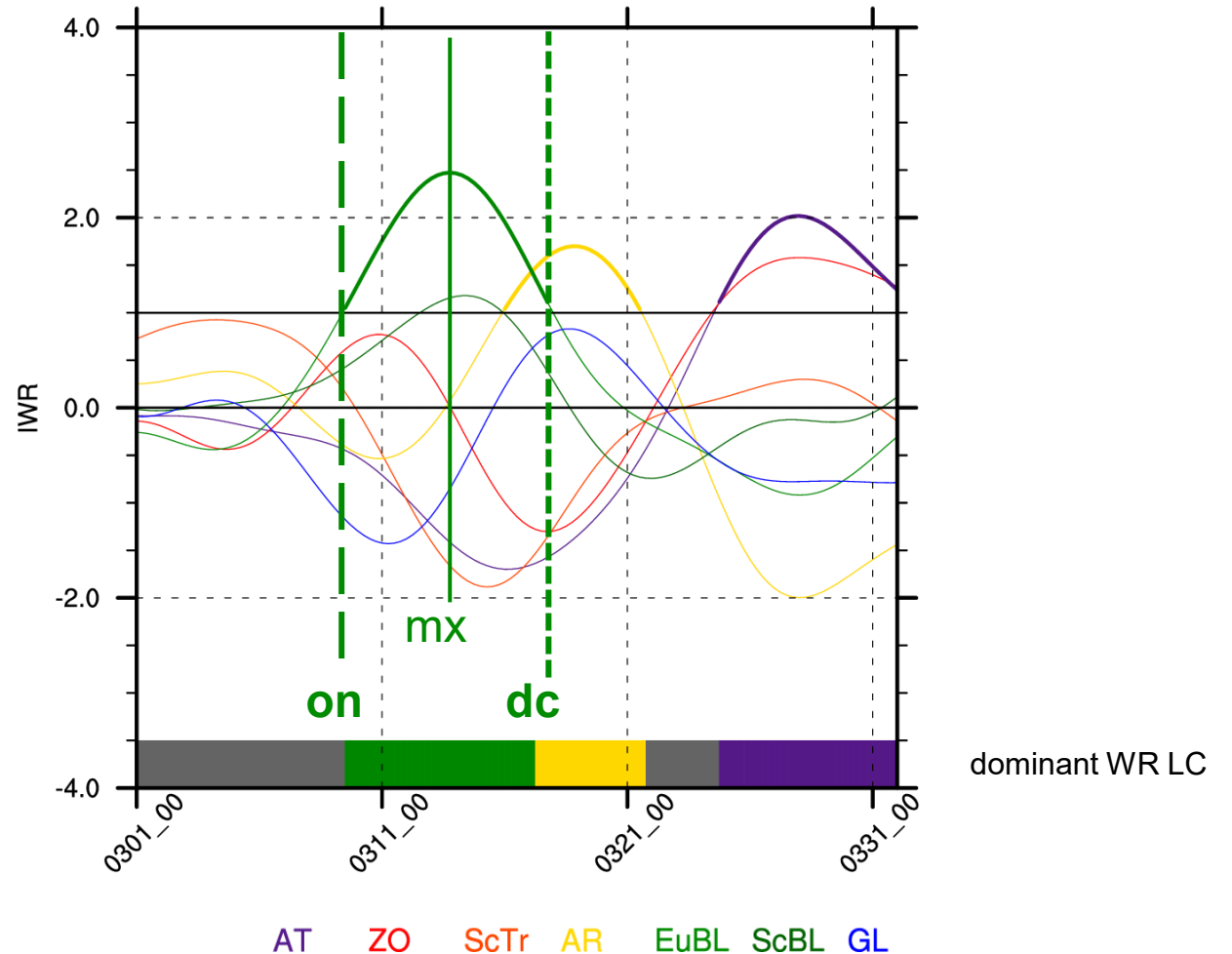
# Weather regime life cycles

- Weather regime Index  $I_{wr}$  following Michel and Rivière (2011), *JAS*, doi:10.1175/2011JAS3635.1

$$P_{wr}(t) = \phi'(\varphi, \lambda, t) \cdot \overline{\phi'(\varphi, \lambda)_{wr}} \quad \overline{P_{wr}} = \frac{1}{N} \sum_{1979}^{2014} P_{wr}(t)$$

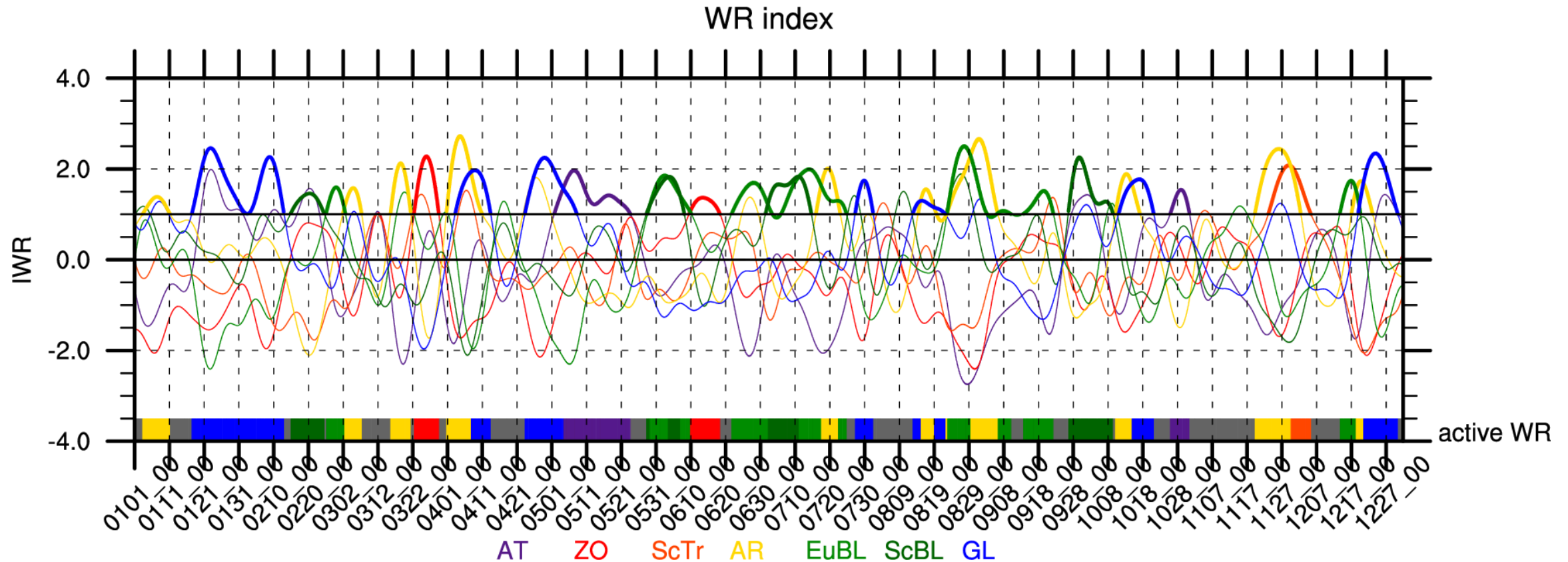
$$I_{wr}(t) = \frac{P_{wr}(t) - \overline{P_{wr}}}{\sqrt{\frac{1}{N-1} \sum_{1979}^{2014} (P_{wr}(t) - \overline{P_{wr}})^2}}$$

- Objective definition of **onset**, maximum, **decay** for individual weather regime LCs





# Weather regimes 2021





# Weather regime characteristics

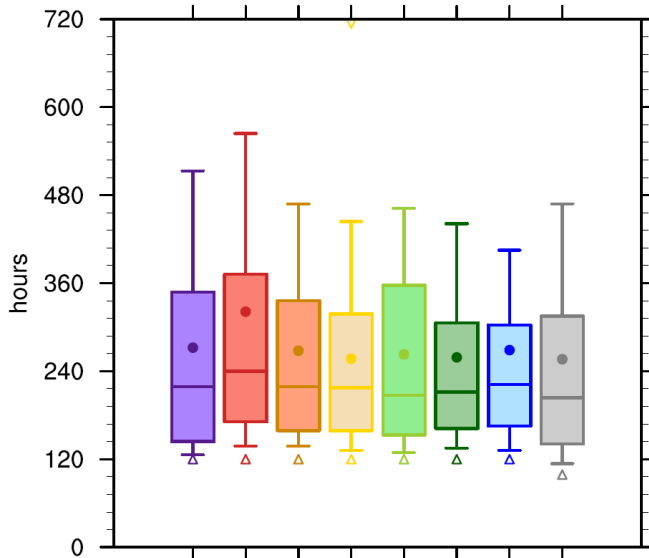
## Cyclonic regimes:

- Atlantic trough
- Zonal Regime
- Scandinavian trough

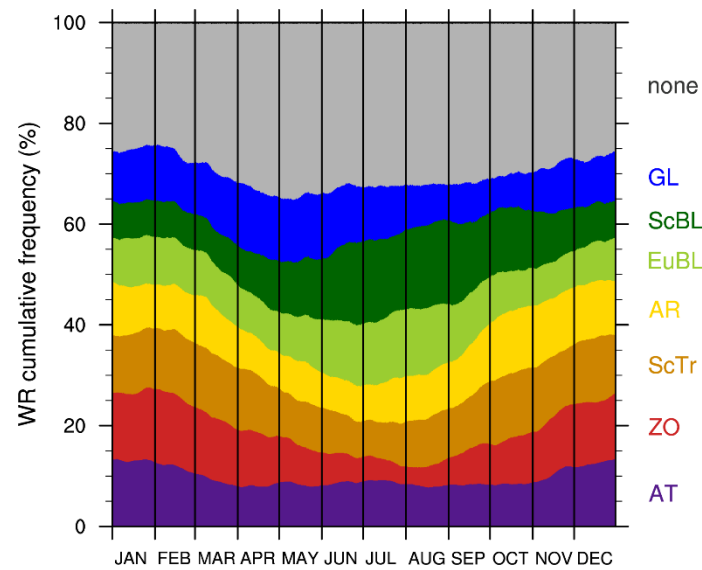
## Blocked regimes:

- Atlantic ridge
- European blocking
- Scandinavian blocking
- Greenland blocking

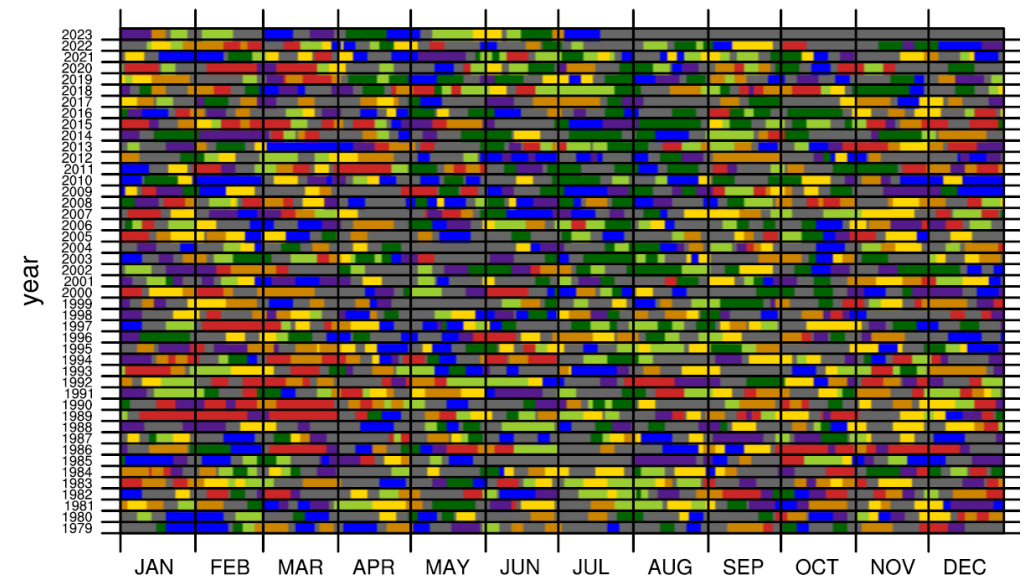
duration



frequency



interannual variability





# An Eulerian WCB Metric (ELIAS2.0)

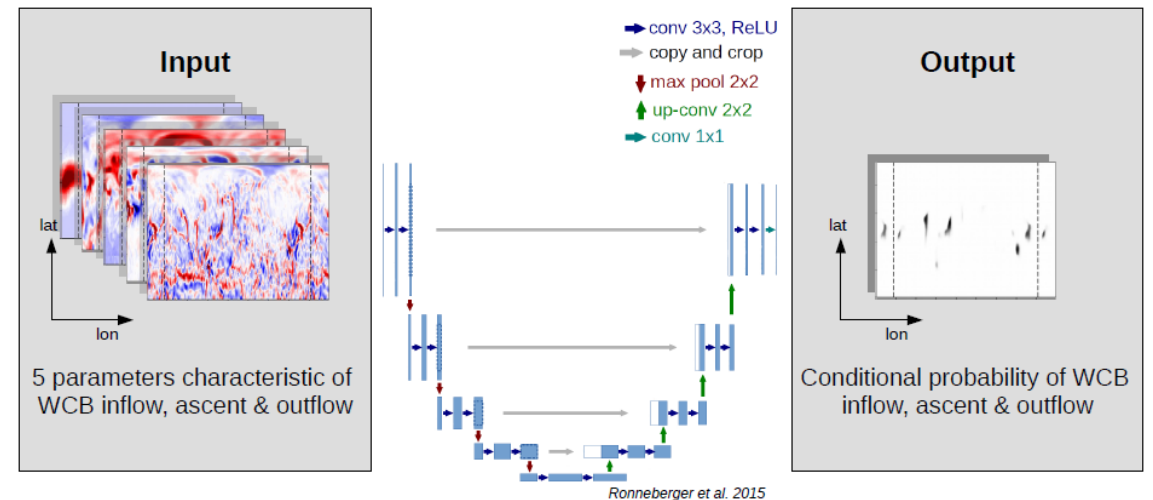
## Predictor selection

- Predictors based on standard input fields at pressure levels: T, qv, Z, u, v

P	WCB inflow	WCB ascent	WCB outflow
1	700-hPa thickness advection	850-hPa relative vorticity	300-hPa relative humidity
2	850-hPa meridional moisture flux	700-hPa relative humidity	300-hPa irrotational wind speed
3	1000-hPa moisture flux convergence	300-hPa thickness advection	500-hPa static stability
4	500-hPa moist potential vorticity	500-hPa meridional moisture flux	300-hPa relative vorticity
5	conditional probability of ascent (+24 h)*	30-d WCB ascent climatology**	conditional probability of ascent (-24 h)*

## UNet-type convolutional neural network

- trained on global ERA-Interim at 1.0° grid spacing



Quinting and Grams (2021), *JAS*, [doi:10.1175/JAS-D-20-0139.1](https://doi.org/10.1175/JAS-D-20-0139.1)

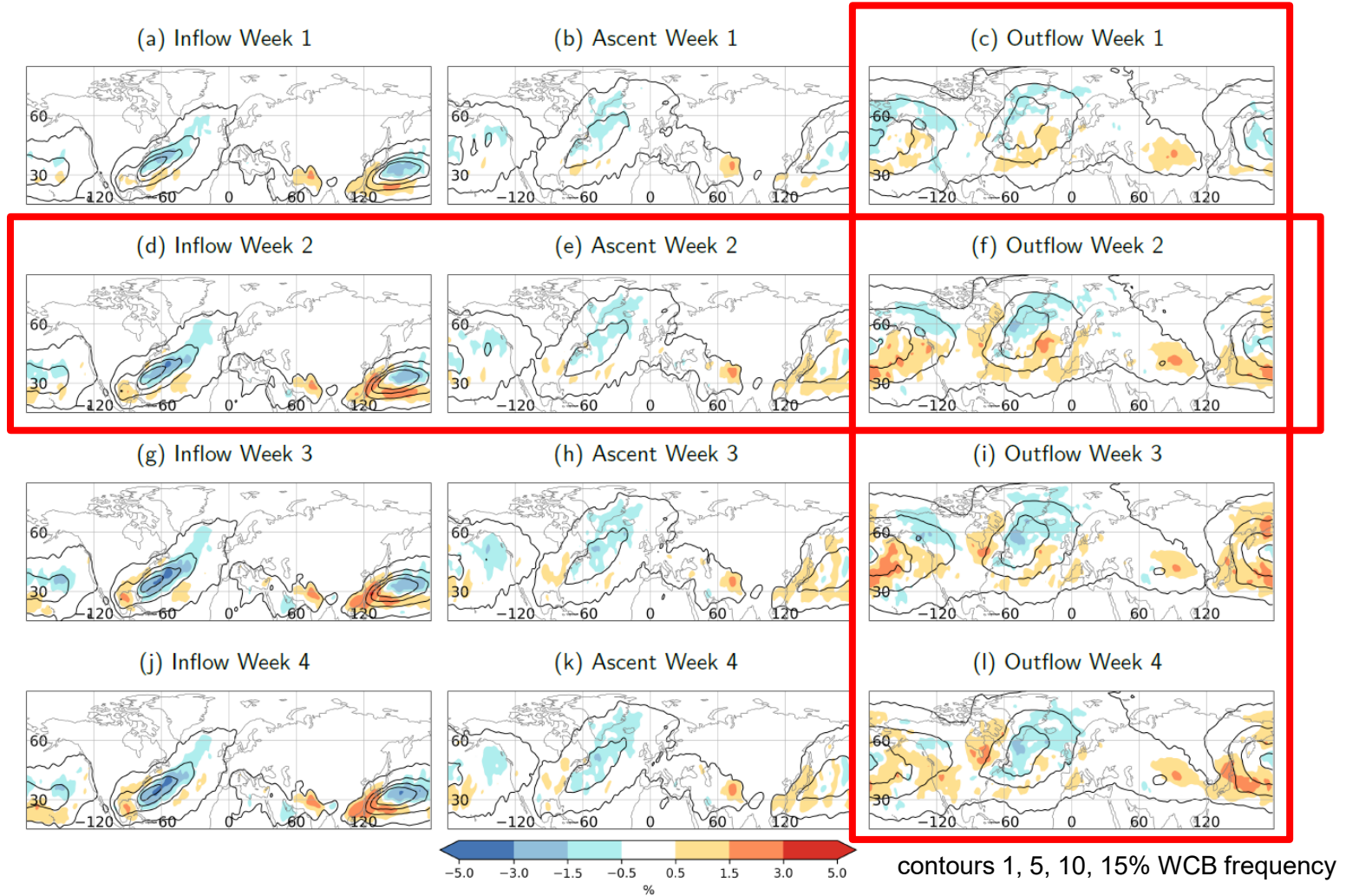
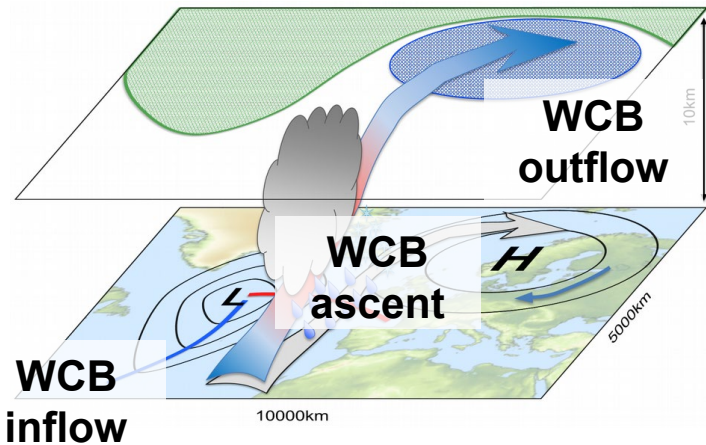
Quinting and Grams (2022), [doi:10.5194/gmd-15-715-2022](https://doi.org/10.5194/gmd-15-715-2022)

[https://git.scc.kit.edu/nk2448/wcbmetric\\_v2](https://git.scc.kit.edu/nk2448/wcbmetric_v2)



# WCB frequency biases (DJF)

ECMWF S2S ensemble reforecasts  
DJF 1997-2017 (~920 initial times)  
→ Detection of WCB inflow, ascent,  
outflow mask in each member



Wandel, J., J. F. Quinting, and C. M. Grams, 2021: Toward a Systematic Evaluation of Warm Conveyor Belts in Numerical Weather Prediction and Climate Models. Part II: Verification of Operational Reforecasts. *Journal of the Atmospheric Sciences*, 78, 3965–3982, doi:[10.1175/JAS-D-20-0385.1](https://doi.org/10.1175/JAS-D-20-0385.1).



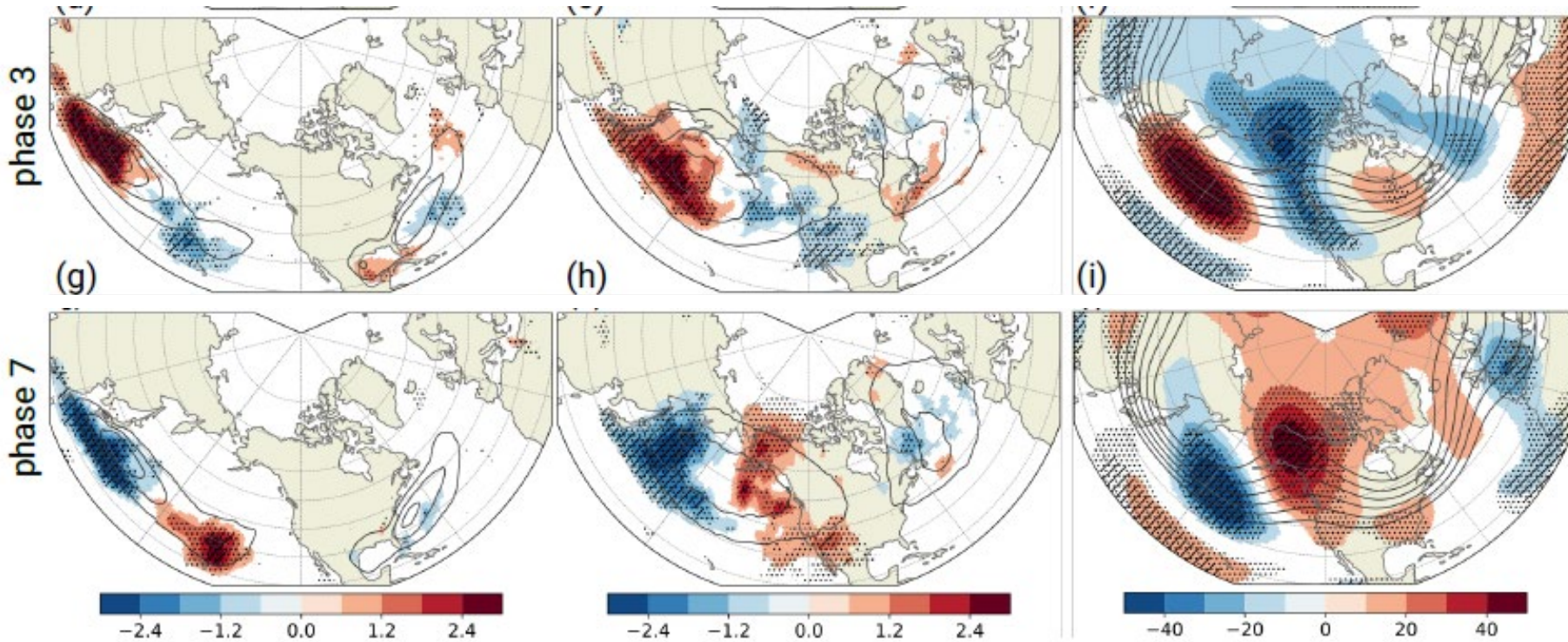
# A potential modulation of the MJO teleconnection by WCB activity (led by Julian Quinting)

- systematically enhanced WCB activity
  - in WPAC after phase 2/3 along with zonally-oriented flow
  - in EPAC after phase 6/7 along with blocked flow

WCB inflow [%]

WCB outflow [%]

Z300 [gpm]



0-4 d after active MJO  
(pentad 1)

Quinting et al., *in review for WCD*  
[doi:10.5194/egusphere-2023-783](https://doi.org/10.5194/egusphere-2023-783).



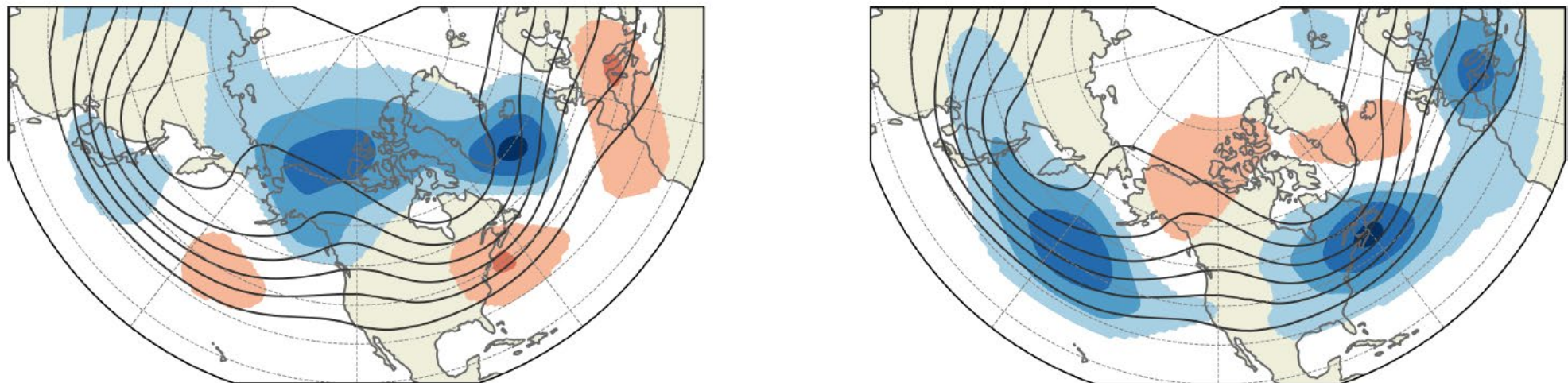
# A potential modulation of the MJO teleconnection by WCB activity (led by Julian Quinting)

- canonical NAO+ (ZO) regime response after Ph2/3 and NAO- (GL) after 6/7

**Z500 anomaly [gpm] pentad 3 (10-14d) after active MJO**

**Phase 2/3**

**Phase 6/7**



Quinting et al., *in review for WCD*  
[doi:10.5194/egusphere-2023-783](https://doi.org/10.5194/egusphere-2023-783).

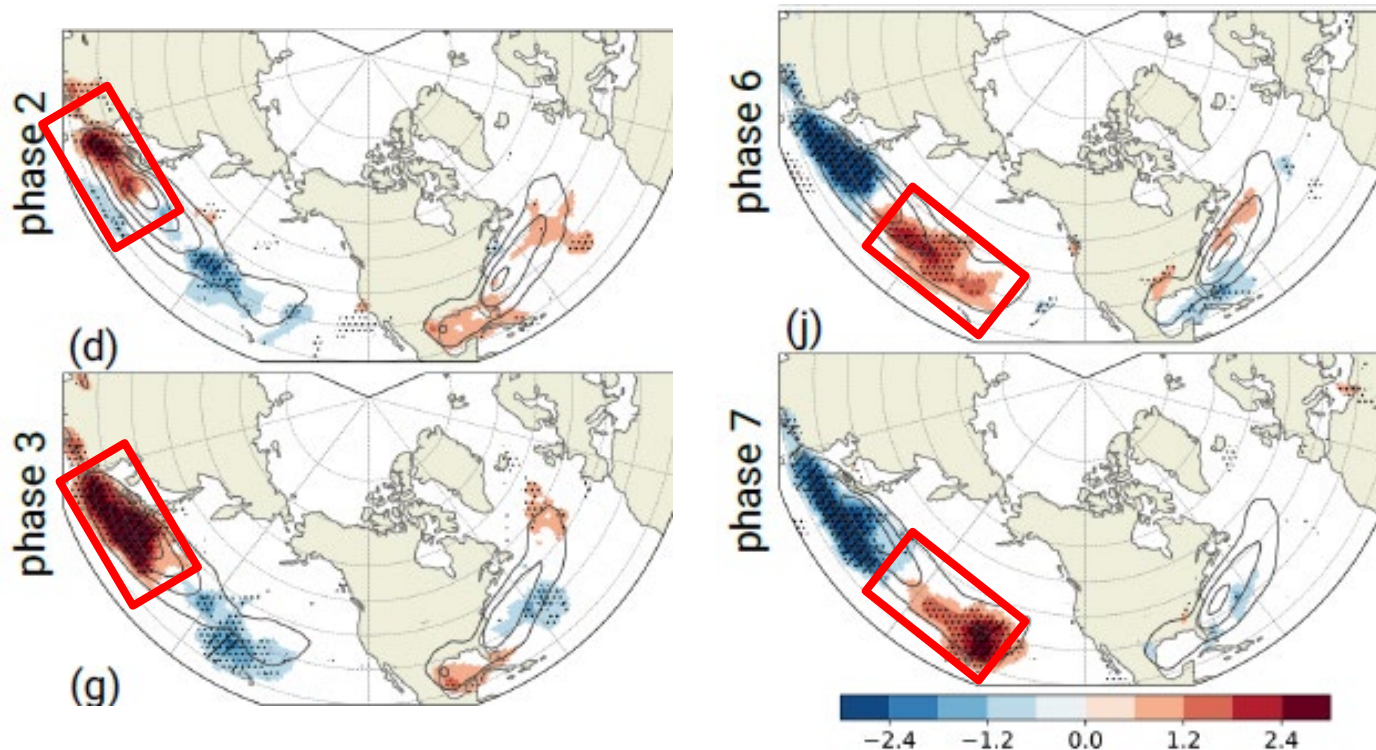




# A potential modulation of the MJO teleconnection by WCB activity

- stratification according to low (lower 33%) and high (upper 33%) of WCB activity in WNPAC

WCB inflow (%) pentad 1 (0-4d)

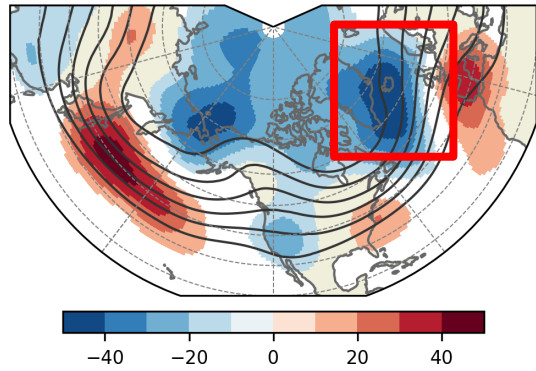


Quinting et al., *in review for WCD*  
[doi:10.5194/egusphere-2023-783](https://doi.org/10.5194/egusphere-2023-783).

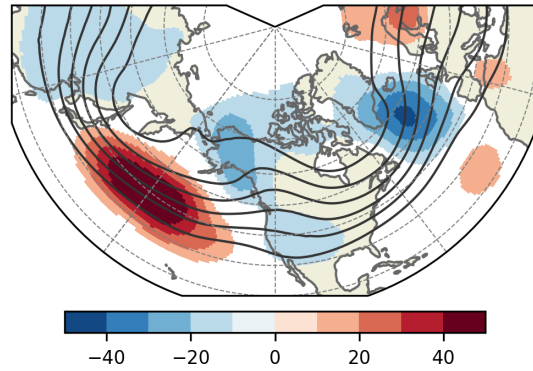


# MJO teleconnection after Ph 2 and 7 with high/low WCB activity.

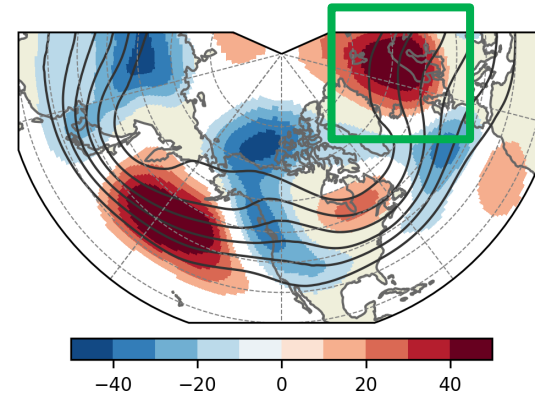
## Z500' pentad 2 (5-9d)



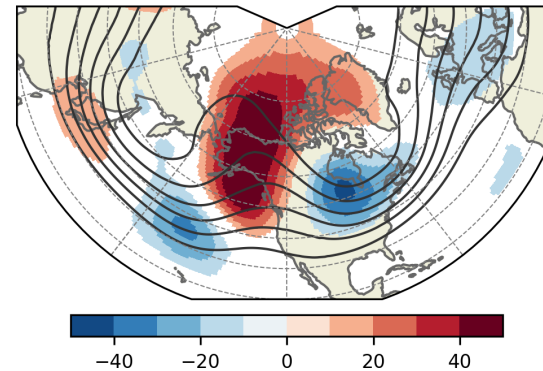
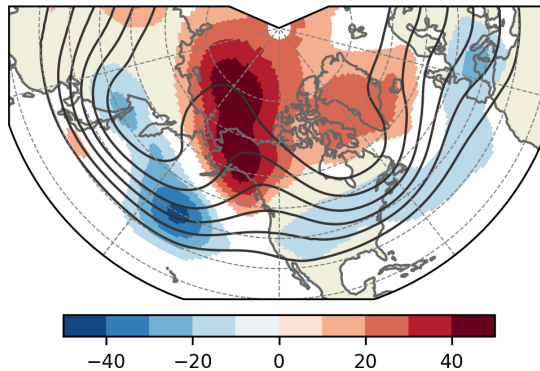
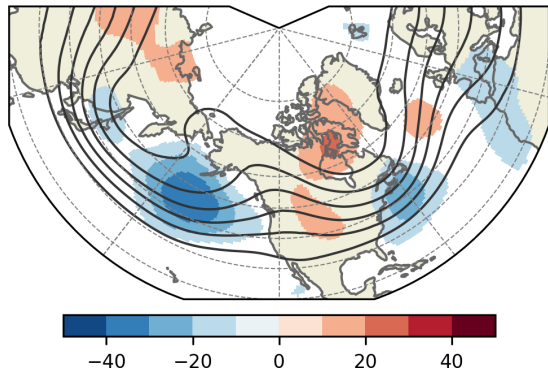
low



mid



high



- Ph 2:
  - low WCB activity → **NAO+**?
  - high WCB activity → **BL**?
- Ph 7:
  - low & high WCB activity → indifferent picture
  - intermediate WCB activity → NAO- response

Quinting et al., *in review for WCD*  
[doi:10.5194/egusphere-2023-783](https://doi.org/10.5194/egusphere-2023-783).



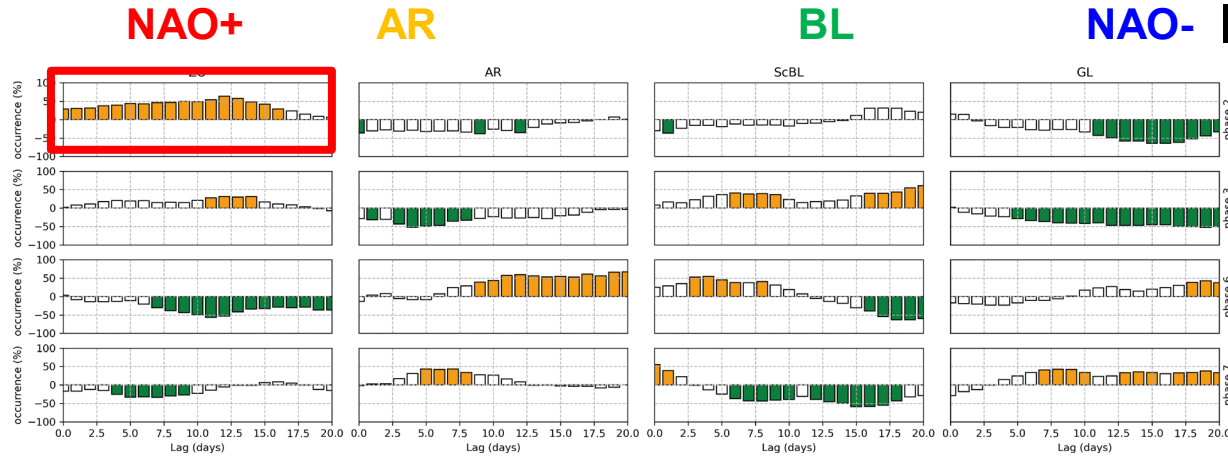
# Regime occurrence after low / high WCB activity

phase 2

phase 3

phase 6

phase 7



low WCB activity

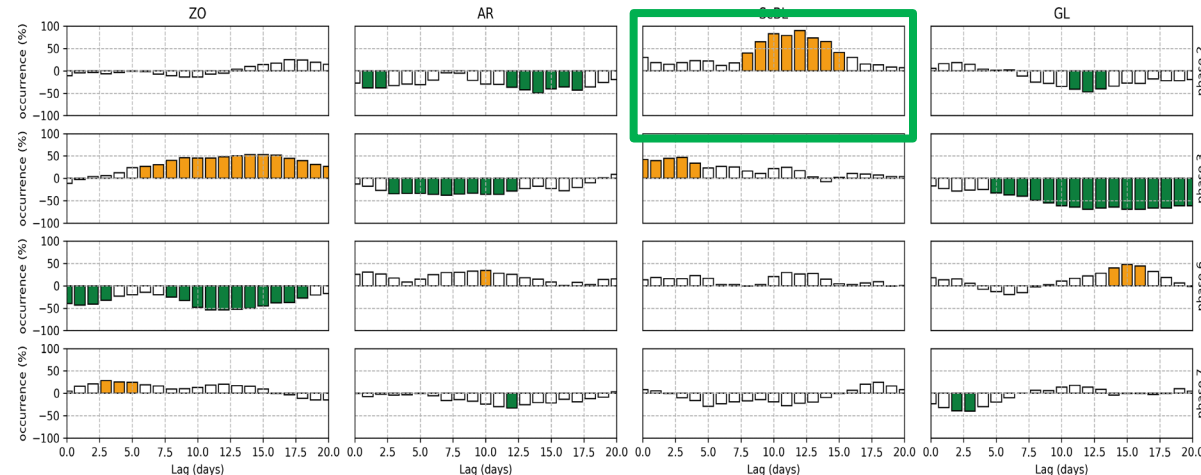
- **NAO+** response after ph 2
- weak AR/NAO- response after ph 6/7

phase 2

phase 3

phase 6

phase 7



high WCB activity

- **BL** response after ph 2
- indifferent response after ph 6/7

Quinting et al., *in review for WCD*  
[doi:10.5194/egusphere-2023-783](https://doi.org/10.5194/egusphere-2023-783).



# Forecast skill horizon

Potential to **increase forecast skill** horizon through ...

1. **Improvement of the NWP system** (reducing IC and model error)
2. **Alternate forecast question** (spatial-temporal aggregation & knowledge about sources of predictability)

