

# **Progress and prospects on coupled data assimilation for exploitation of interface observations and in support of climate monitoring and weather prediction**

Patricia de Rosnay, Phil Browne, Eric de Boisséson, Margarita Choulga, Stephen English, David Fairbairn, Sébastien Garrigues, Zdenko Heyvaert, Christoph Herbert, Eleni Kalogeraki, Tsz Yan Leung, Tony McNally, Kenta Ochi, Ewan Pinnington, Kirsti Salonen, Pete Weston, Hao Zuo

**and many others**

# Coupled data assimilation: Why?

- ECMWF forecasts are based on an Earth system model → need Earth system data assimilation
- Provide balanced initial conditions across the coupled forecast model components
- Improve exploitation of satellite data sensitive to several Earth system components towards an “all surface” approach → Interface observations

ASCAT

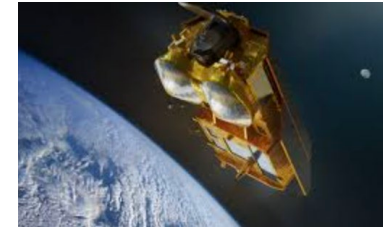


SMOS

Sentinel-1



HydroGNSS



CRISTAL

LSTM

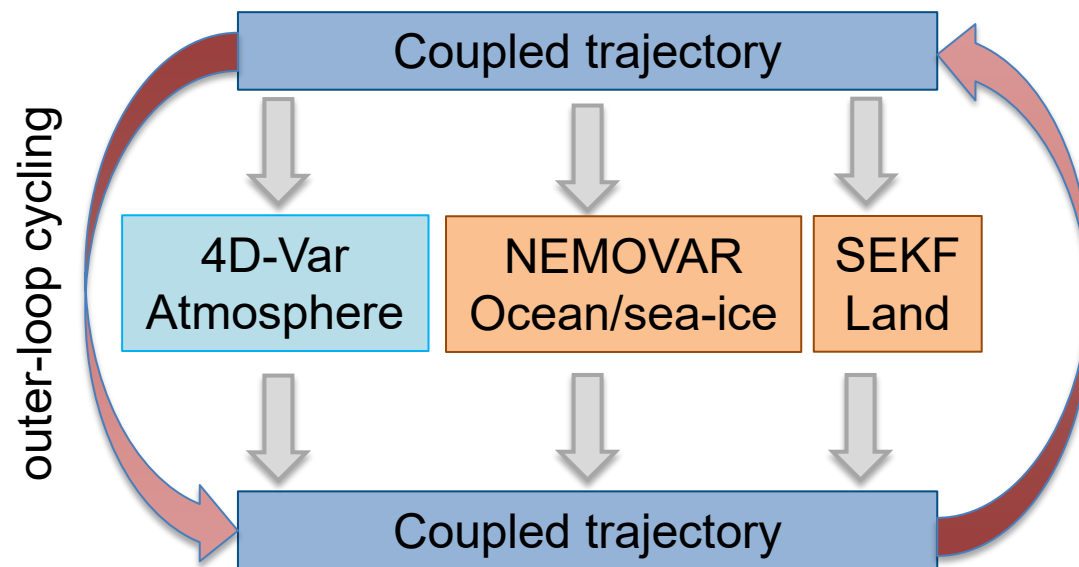


CIMR



# Coupled data assimilation research at ECMWF

Outer loop coupling  
based on incremental  
4D-Var cycling



Browne et al. in prep 2025 → ocean-atmosphere coupling

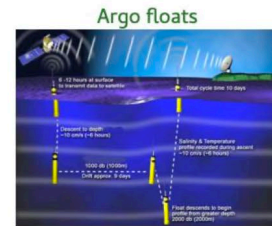
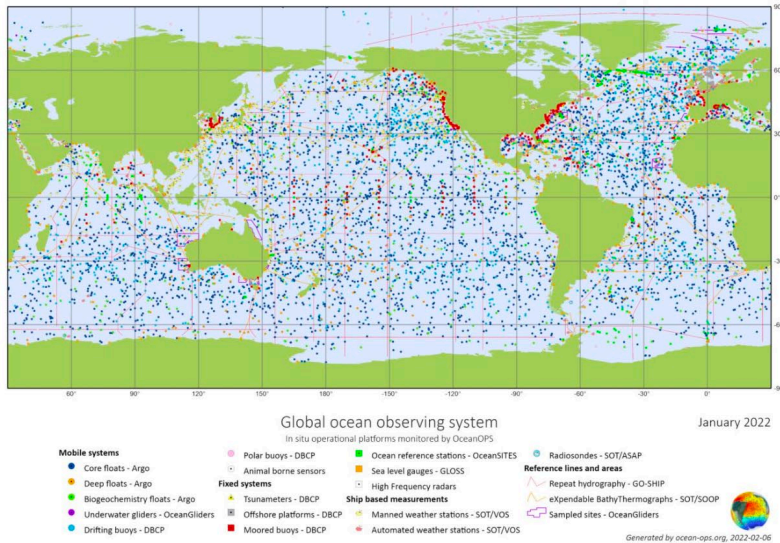
Herbert et al. in prep 2025 → land-atmosphere outer loop coupling

de Rosnay P. et al QJRMS 2022 → Coupled DA strategy

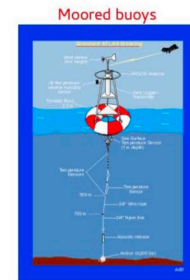
(SEKF: Simplified  
Extended Kalman Filter)

# Assimilation of ocean observations

New observations types are emerging: ALAMO, gliders, Deep Argo, BioArgo, drifter, saildrone ...



Argo operational cycle.  
[Argo 2018]

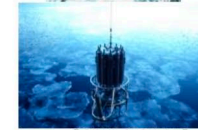


[PMEL 2018]



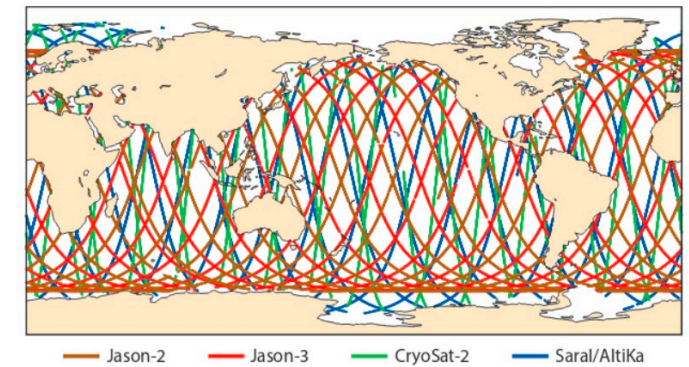
[MEOP et al. 2015]

Ship based observations



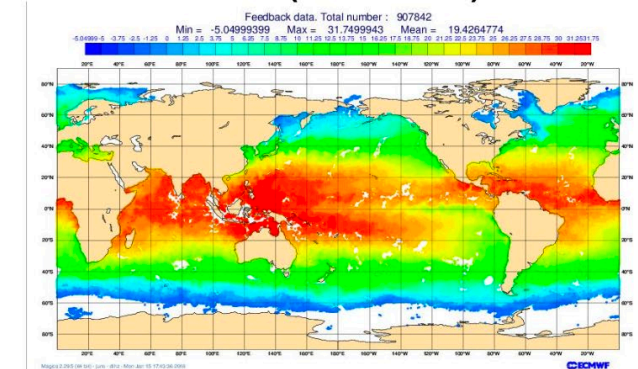
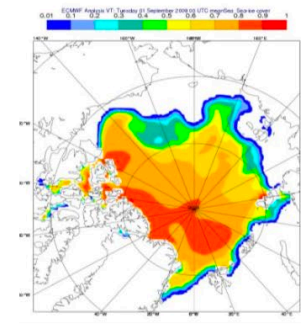
[CSIRO 2001]

## Sea-Level Anomaly (Altimeter)



## SST (IR, PMW)

## Sea-ice concentration

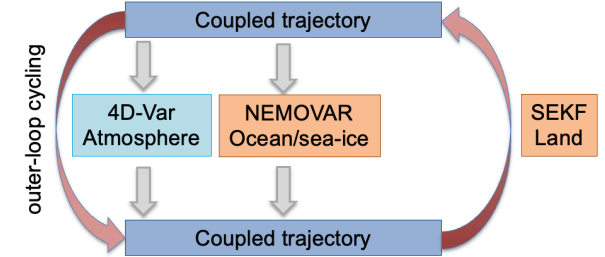


ORAS6 data assimilation and monitoring  
→ **Hao Zuo (today at 16:00)**, Eric de Boissésou et al.

# Coupled observation operators in the ocean-sea-ice-atmosphere system

From IFS cycle 50r1 in Q4 2025

- Coupled nonlinear trajectories
  - Consistent physics and surface processes
  - Access to 3D ocean and sea ice model variables to build more complex observation operators
- Traditional ocean observations now have much less lag to NWP impact
- Constrain the system with existing L1 satellite data by approximating coupled observation operators, by extending the atmospheric control vector and adding a penalty term in the ocean/sea-ice cost function



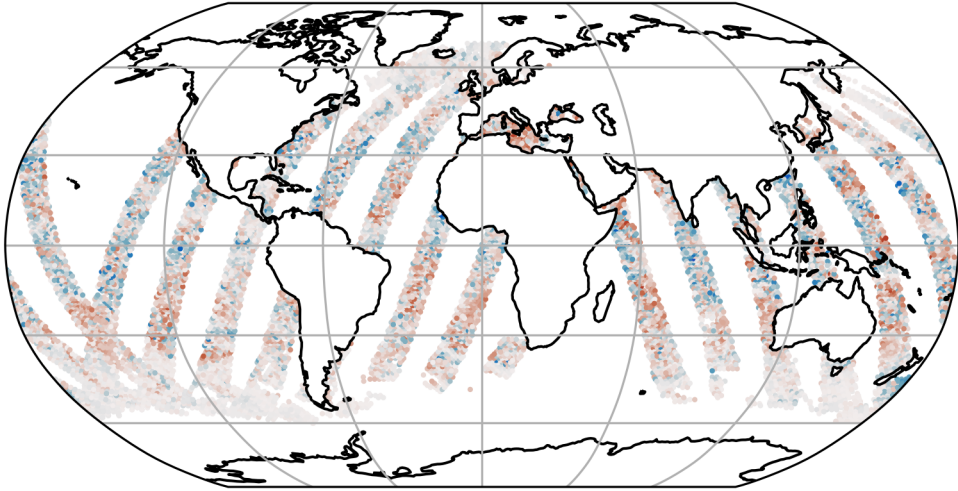
In this implementation, the ocean component is anchored to the thoroughly tested ORAS6 reanalysis preventing long-term drift of slow modes.



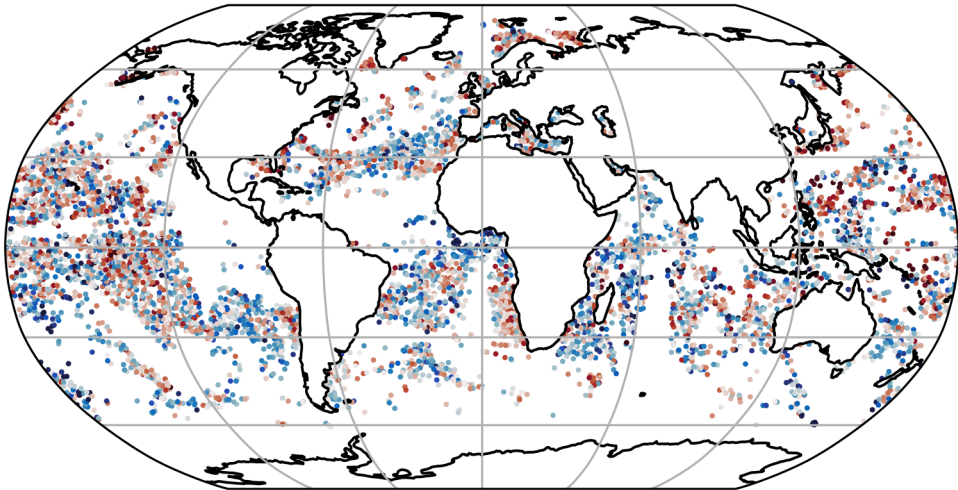
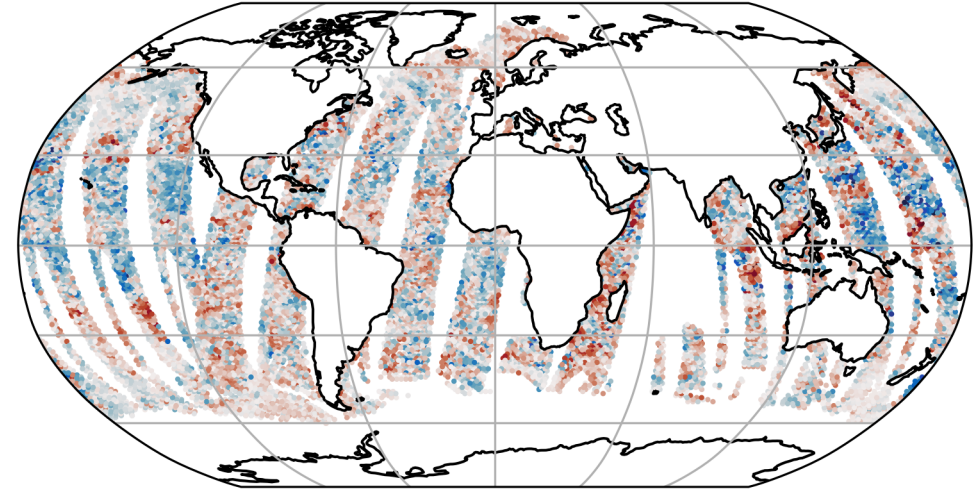
# Coupled observation operators in the ocean-sea-ice-atmosphere system

## Skin temperature XCV

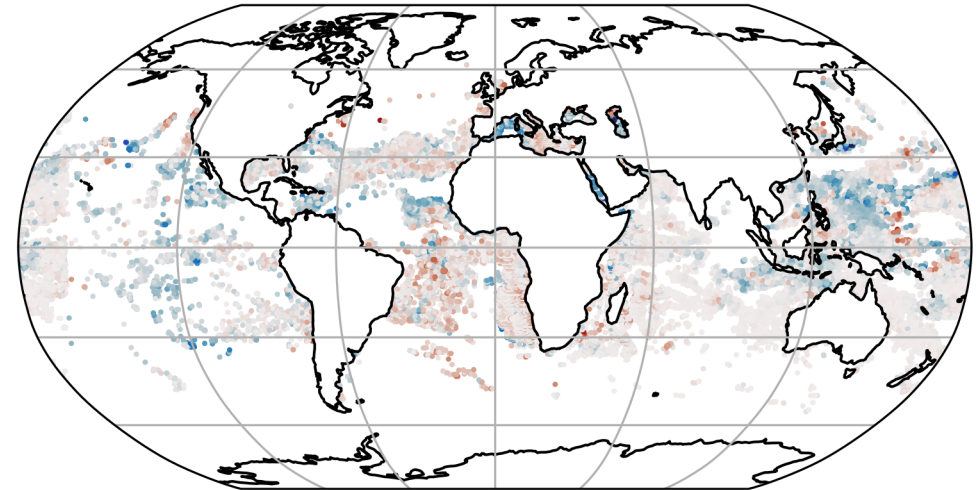
GMI: MW LEO



AMSR2: MW LEO



CrIS: Hyperspectral IR LEO

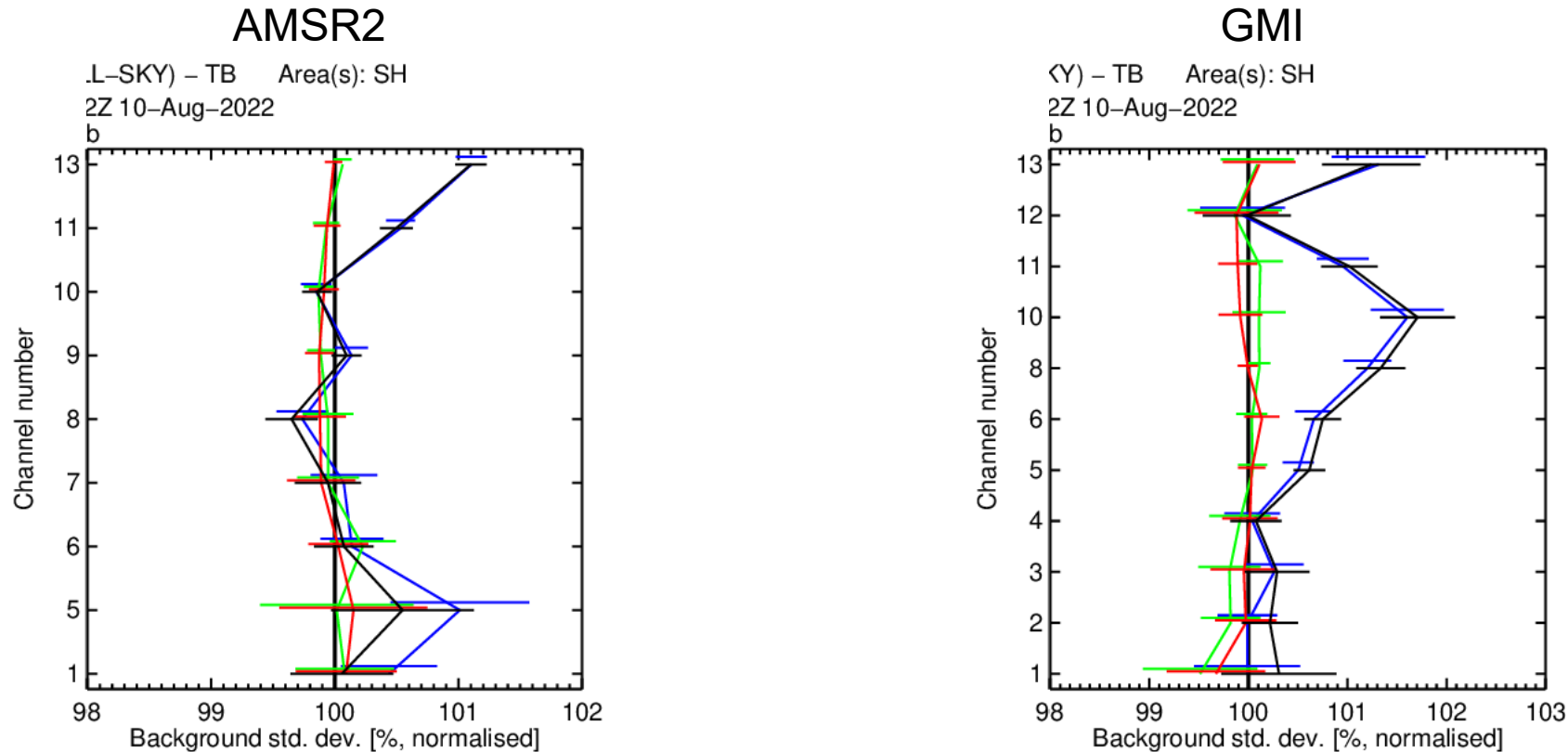


IR: GEOS  
Meteosat/GOES/Himawari

Phil Browne

# Coupled observation operators in the ocean-sea-ice-atmosphere system

Impact of coupled observation operators on satellite data usage – SH winter case

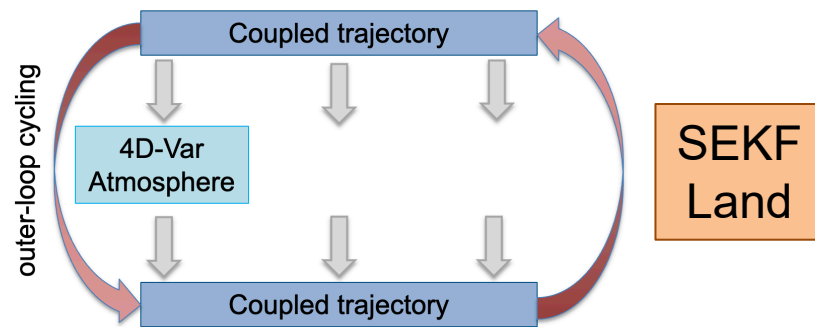


Blue = removal of all coupled observation operators

Black = removal of all sea ice coupled observation operator

Phil Browne

# Soil analysis: impact on the atmospheric forecast

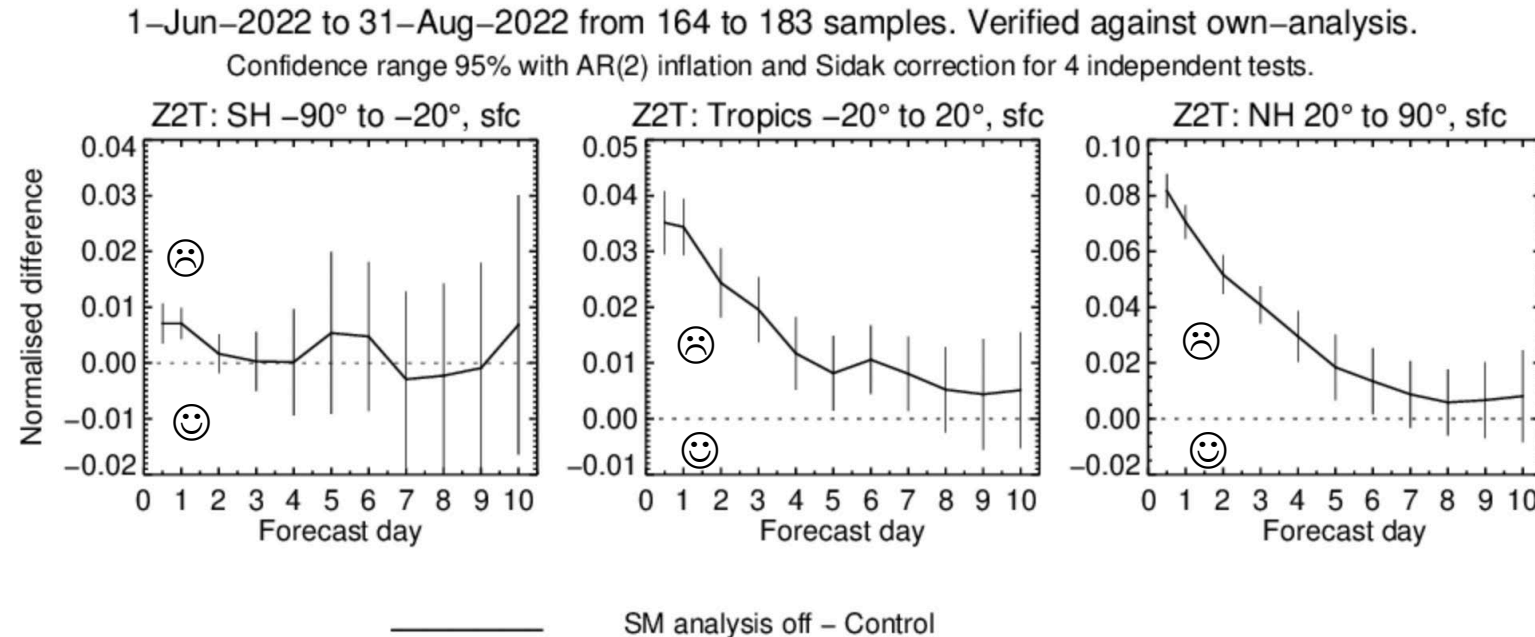


## Current system:

Atmospheric and land data assimilation (DA) run in parallel to initialise the forecasts and the next 12h model background  
→ Weakly coupled DA

T2m RMSE

JJA 2022  
IFS cycle 49r1



Degradation  
when no SM DA

Zdenko Heyvaert

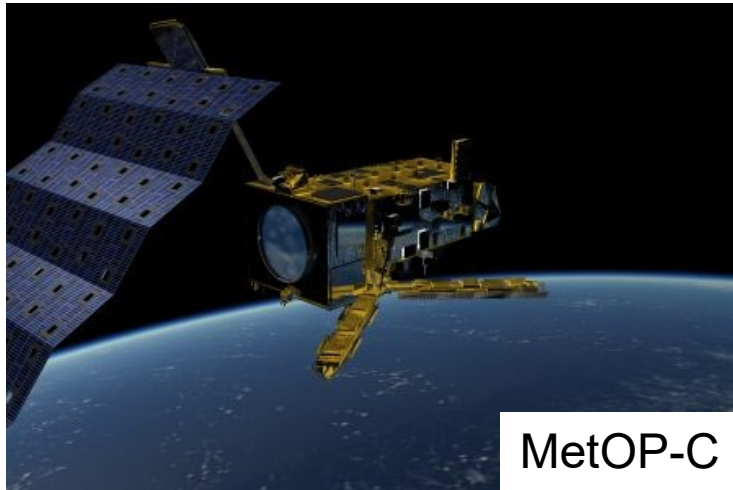
→ Significant positive impact of soil moisture (SM) DA on low level atmospheric temperature forecasts



# Soil moisture satellite observations used for NWP along with T2m, RH2m screen level observations

## Active microwave data:

**ASCAT**: Advanced Scatterometer  
MetOP-B (2012-), MetOP-C (2018-)  
C-band (5.6GHz) backscattering coefficient  
EUMETSAT Operational mission



Scatterometer soil moisture used in ERA5 (ERS-SCAT, Metop/ASCAT)

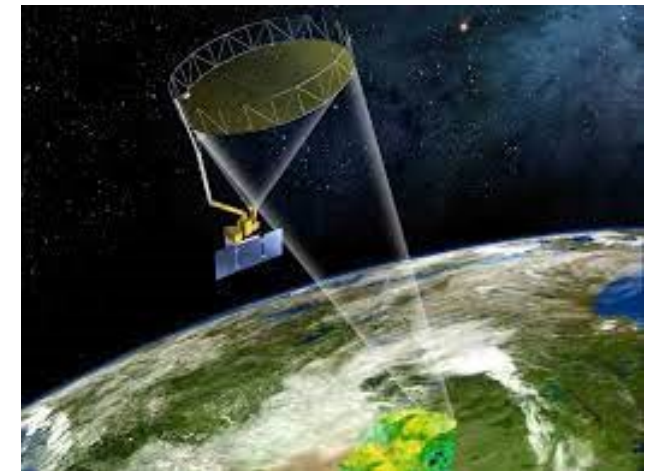
## Passive microwave data:

**SMOS**: Soil Moisture & Ocean Salinity (2009-)  
L-band (1.4 GHz) Brightness Temperature  
ESA Earth Explorer, dedicated soil moisture mission  
(Kerr et al., 2016)



and SMOS in ERA6

**SMAP (monitoring)**  
L-band TB 2015-  
NASA Dedicated  
soil moisture mission

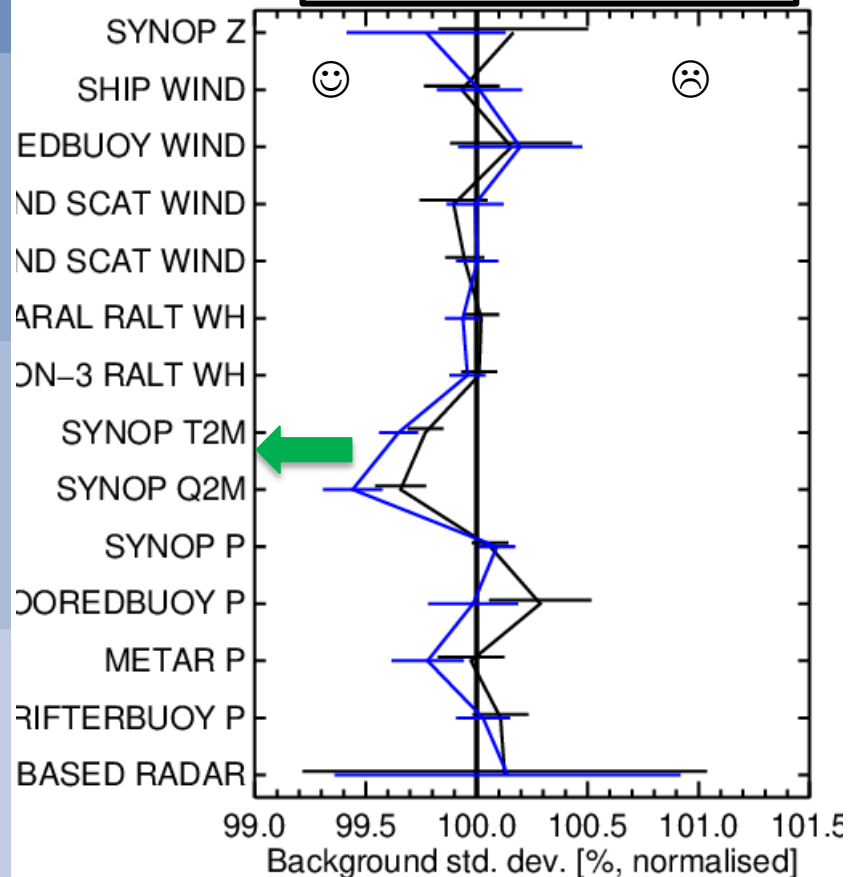


# SMOS data assimilation impact

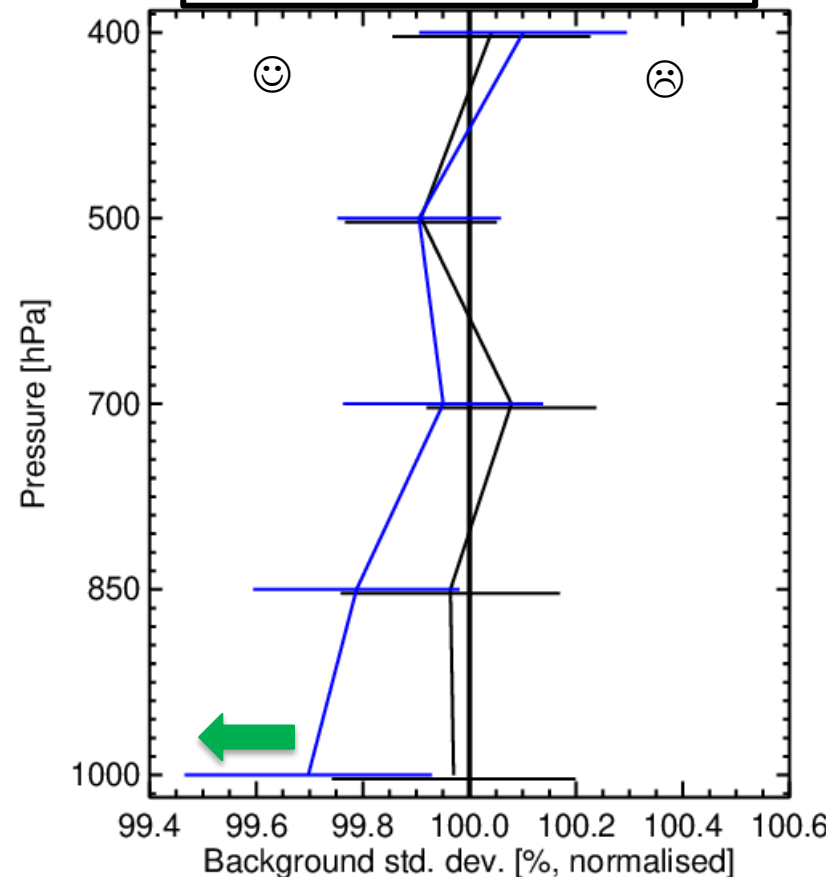
Kirsti Salonen

- Comparing random forest (XGBoost) and Neural Network SMOS data assimilation
- **Assimilation of SMOS soil moisture has positive impact near surface when compared to no SMOS experiment (100% line).**
- The positive impact of assimilation of **XB** based soil moisture is stronger than of **NN** in 49r1.

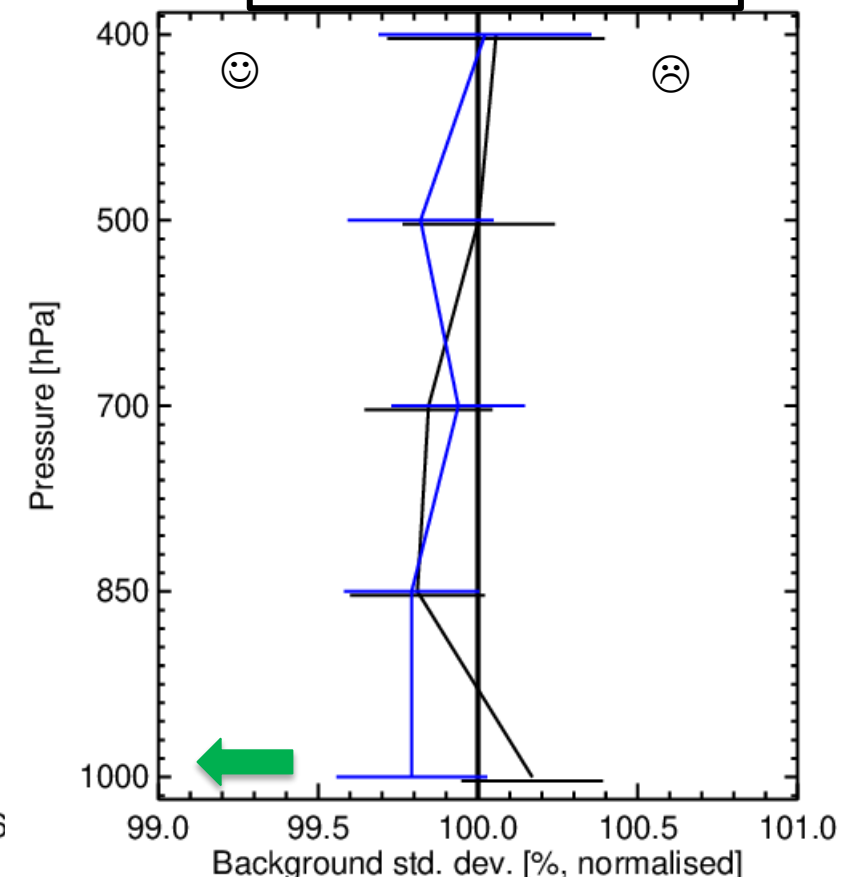
Surface observations



Radiosonde temperature



Radiosonde humidity



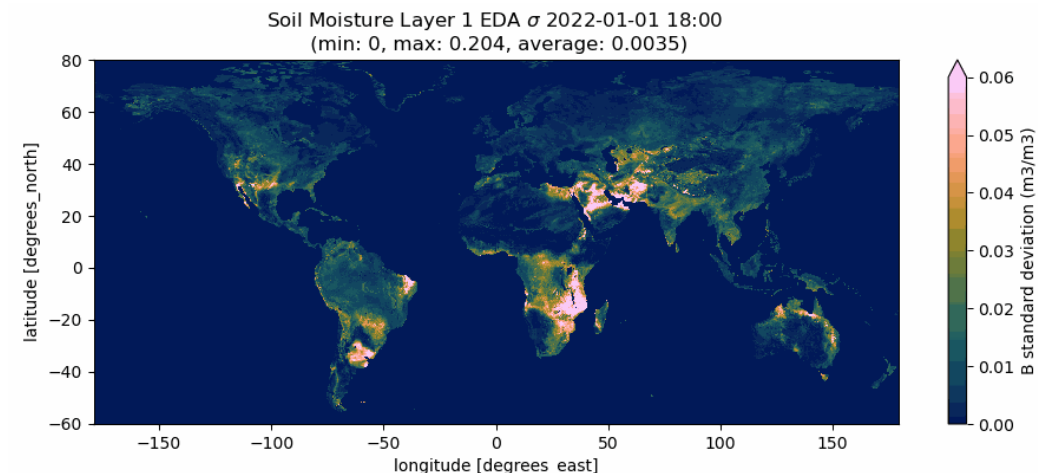
# Ensembles for the Land Surface

- Background errors are static in the current land DA
- Spread in Ensemble of Data Assimilations (EDA) soil moisture highly variable in space and time
- Find improvement in surface temperature and humidity scores against observations when using flow-dependent **B**

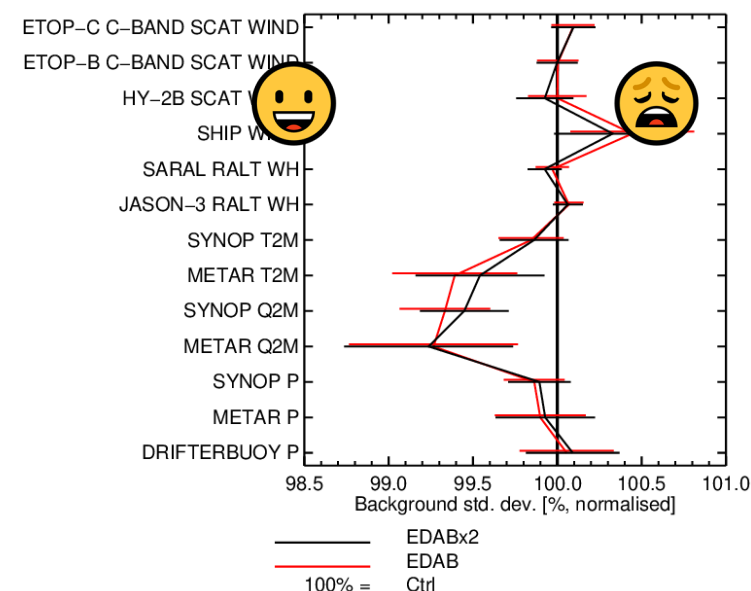
→ Flow dependent **B** matrix in land DA from IFS cycle 50r1 (Q4 2025)

Ewan Pinnington

→ poster, Land ancillary data workshop 9-10 April



From 00Z 2-Dec-2022 to 12Z 27-Feb-2023



# Time varying lake cover for land reanalysis

## Time varying vegetation and lakes for next generation of C3S reanalysis

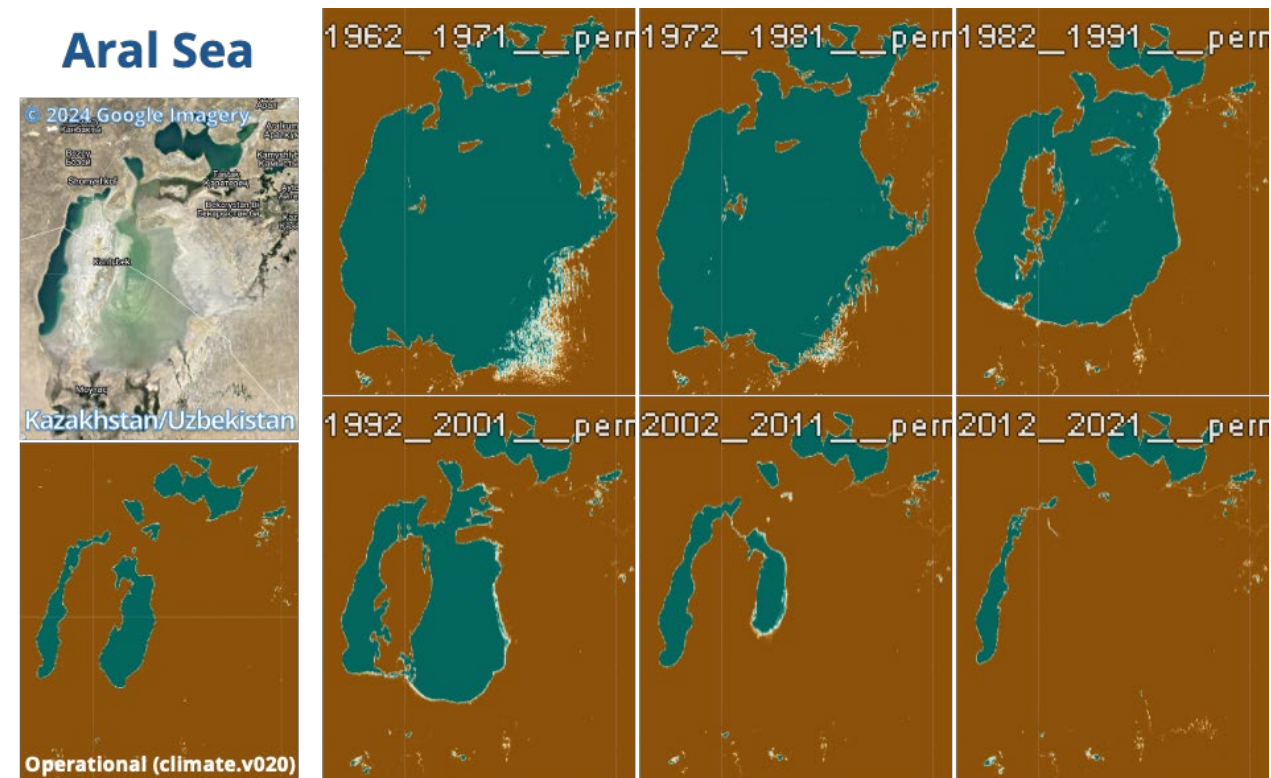
Aral sea **comparisons** between experiment results and **satellite composite data CCI LAKES improvement**

Impact on  $T_s$  – Aral Sea Region (Bias reduction)

2012-2019:	1.5 K
2002-2011:	4 K
1995-2001:	6 K

Margarita Choulga

→ poster, Land ancillary data workshop 9-10 April

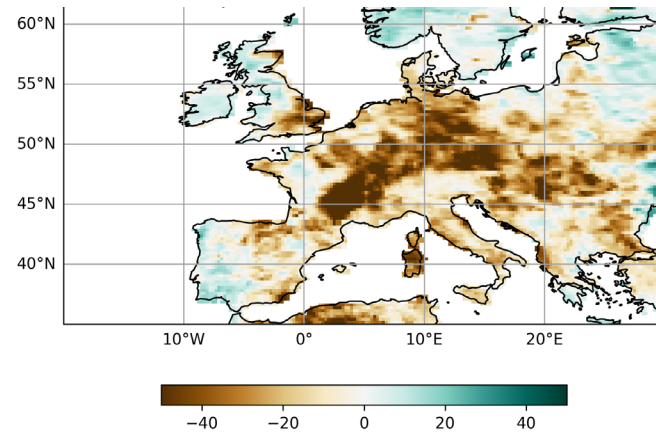




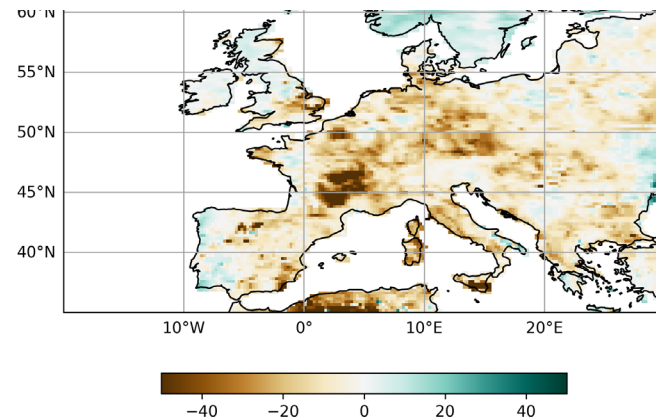
# Time varying vegetation for land reanalysis

## European 2003 heatwave – LAI/Land Cover impact

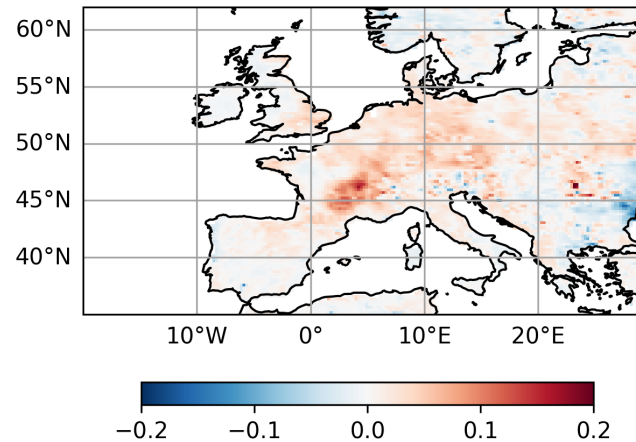
Low veg LAI anomaly (%), July 2003



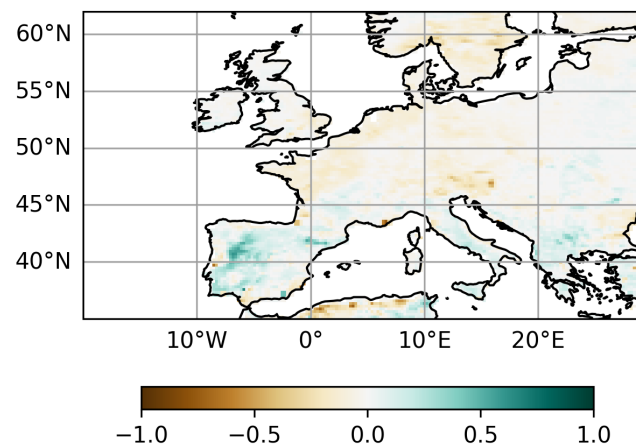
High veg LAI anomaly (%), July 2003



T2m sensitivity (K)



RH2m sensitivity (%)



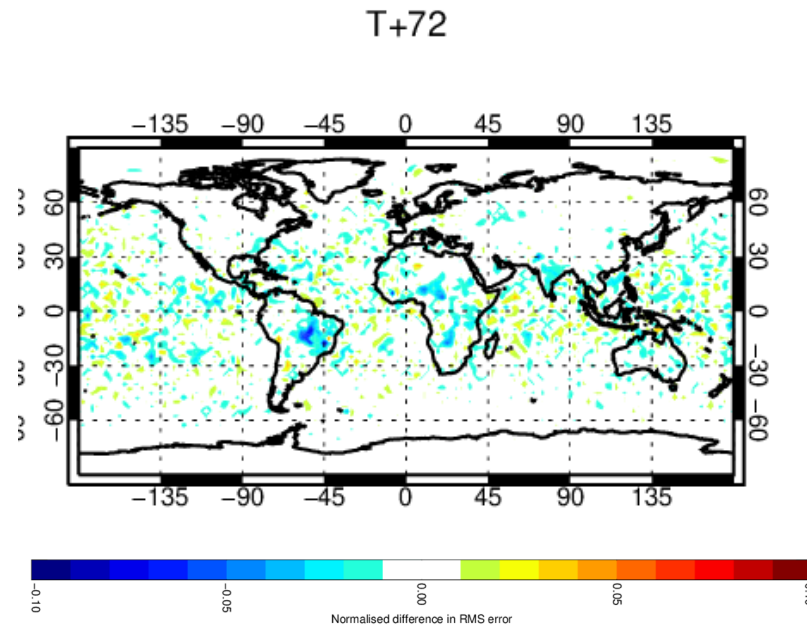
- **Left:** Negative LAI anomaly ( $\sim -40\%$ ) relative to static maps over central/western Europe due to anomalously dry conditions during July 2003
- **Right:** July 2003 average diff in 12 UTC T2m/RH2m for ecLand with time-varying vegetation vs control (static climatological vegetation)
- ecLand with time-varying vegetation demonstrates warmer conditions in places with negative LAI anomalies



# SMOS Vegetation Optical depth (VOD) assimilation

Assimilation of VOD from passive microwave sensors to constrain vegetation water and carbon cycle variables.

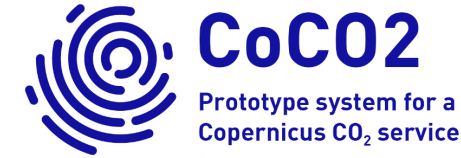
- L-band VOD (1.41GHz) from SMOS
- C-band VOD (6.9GHz) and X-band VOD (10.65GHz) from AMSR2



T2m RMSE reduction (blue) 2018-2021

→ Positive impact of VOD assimilation on NWP

→ Challenges in terms of GPP impact



Funded by the  
European Union

Pete Weston et al

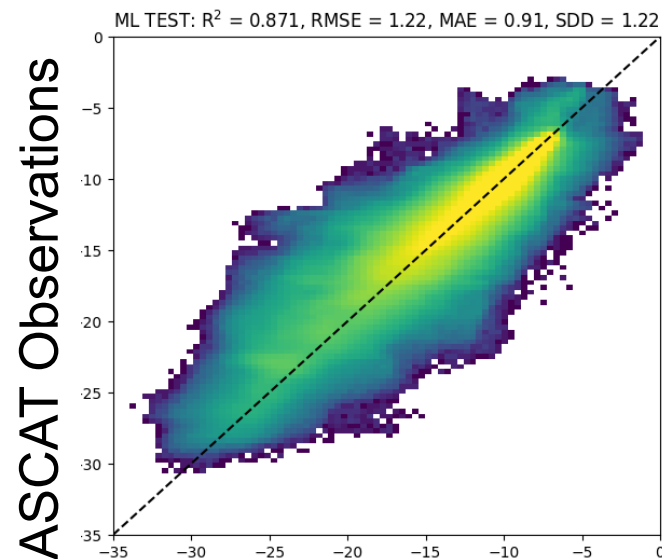
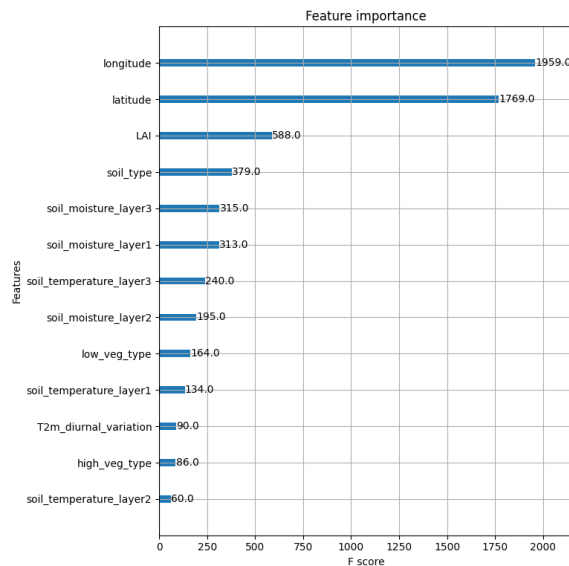
Calvet et al.: Demonstrator systems for using remote sensing data (LAI, VOD, SIF) in online global prior fluxes for the CO2MVS prototype", CoCO2 H2020 project D3.4, June 2023, <https://coco2-project.eu/sites/default/files/2023-11/CoCO2-D3-4-V2-1.pdf>

# Passive and MW observation operators

Enhance the exploitation of satellite observations in coupled land-atmosphere assimilation to constrain vegetation water and carbon cycle variables.

→ Development of ML-based observation operators for MW and SIF observations

## Information content analysis



ASCAT backscatter over land

Sébastien Garrigues et al.

NN model

→ Ongoing implementation in the IFS

→ Prepares for future observations assimilation such as Metop-SG/SCA, Copernicus Expansion CO2 and CIMR missions, which are all relevant to consistently constrain vegetation and carbon fluxes in CO2MVS

# LAI analysis using Solar Induced Fluorescence (SIF)

<https://doi.org/10.5194/essd-13-5423-2021>  
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Data description paper | 


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The TROPOSIF global  
dataset from the SIF

Data Descriptor | [Open access](#) | [Published: 20 July 2022](#)

Luis Guanter , Cédric Bacour, Andre  
Christian Retscher, Philipp Köhler, Chi

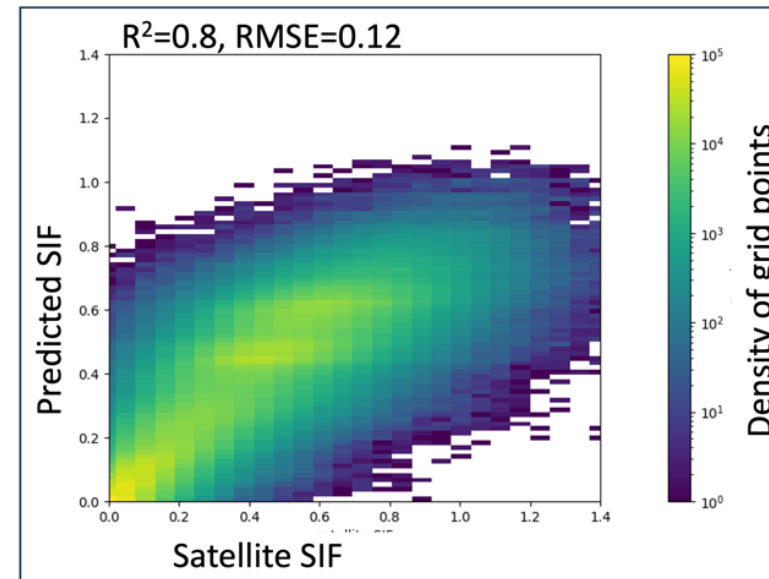
## A long-term reconstructed TROPOMI solar-induced fluorescence dataset using machine learning algorithms

[Xingan Chen](#), [Yuefei Huang](#), [Chong Nie](#), [Shuo Zhang](#) , [Guangqian Wang](#), [Shiliu Chen](#) & [Zhichao  
Chen](#)

SIF: electromagnetic signal emitted by the  
chlorophyll of assimilating plants

- part of the energy absorbed by  
chlorophyll a is not used for  
photosynthesis but emitted at longer  
wavelengths as a two-peak spectrum  
roughly covering the 650–850 nm  
spectral range.
- Relevant to analyse vegetation LAI and  
Gross Primary Production

Exploratory work to use SIF at ECMWF.  
Observation operator development

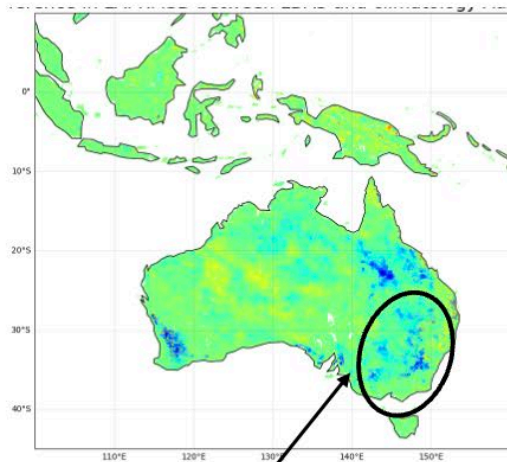


Sébastien Garrigues

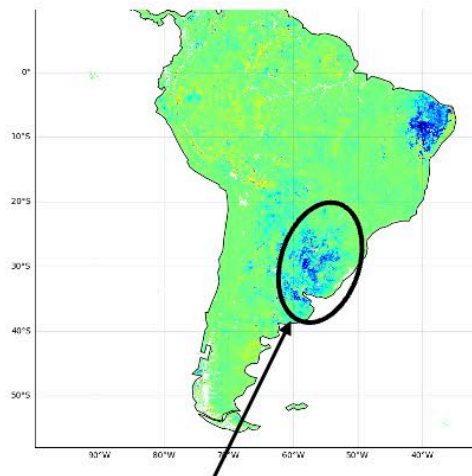
# LAI analysis using Solar Induced Fluorescence (SIF)

**Impact of SIF DA shown as LAI RMSD differences with vs without SIF data assimilation against satellite (Copernicus Land) LAI for 2022**

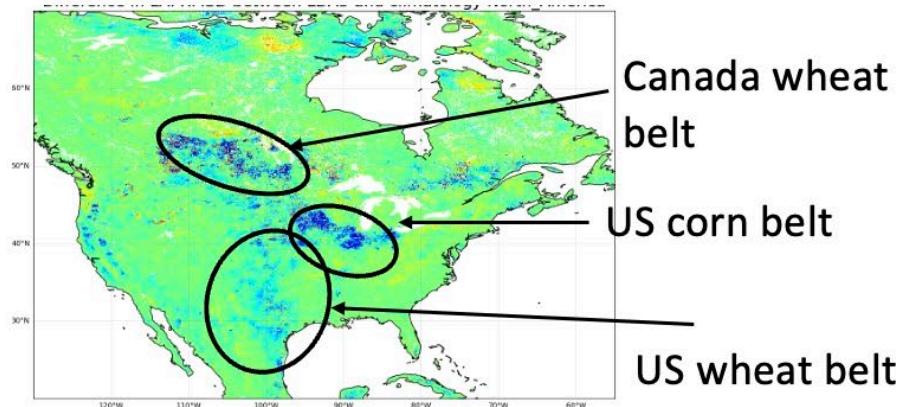
**Improvement for cropland**



Australian wheat belt



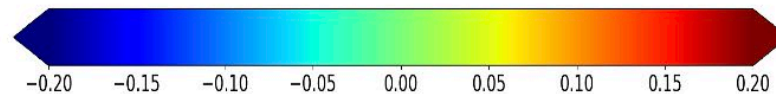
Soybean region



Canada wheat belt

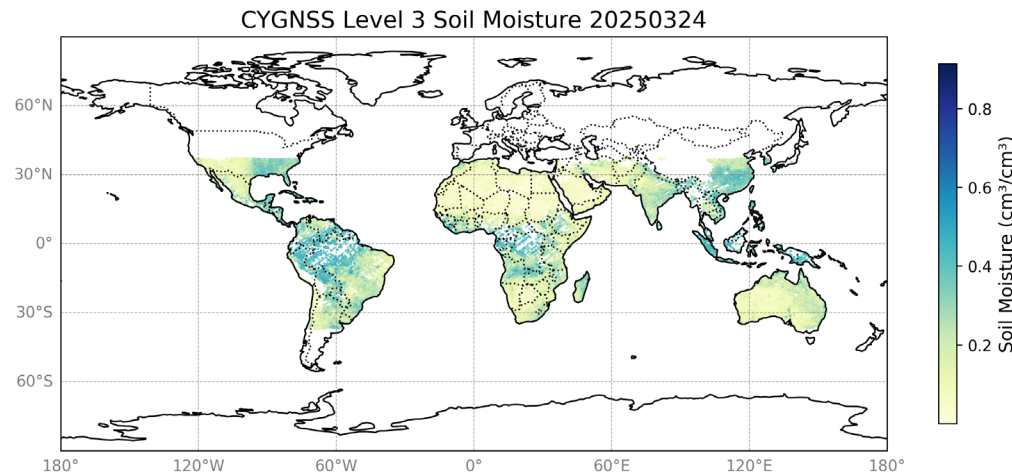
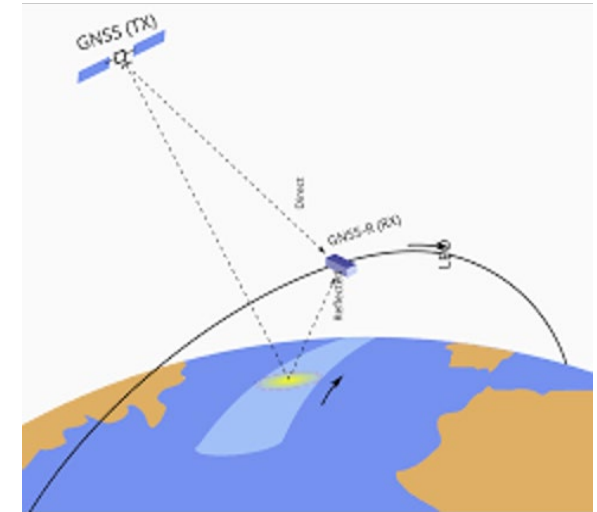
US corn belt

US wheat belt



# Investigate GNSS-R observation usage over land surface

- **GNSS (Global Navigation Satellite System) systems include** GPS (Global Positioning System), Galileo (European GNSS system, GLONASS (Russian system), BeiDou (Chinese system)
- **GNSS-R (Reflectometry) gets information from the signal reflected at the surface, e.g from the CYGNSS constellation and future HydroGNSS ESA scout mission**

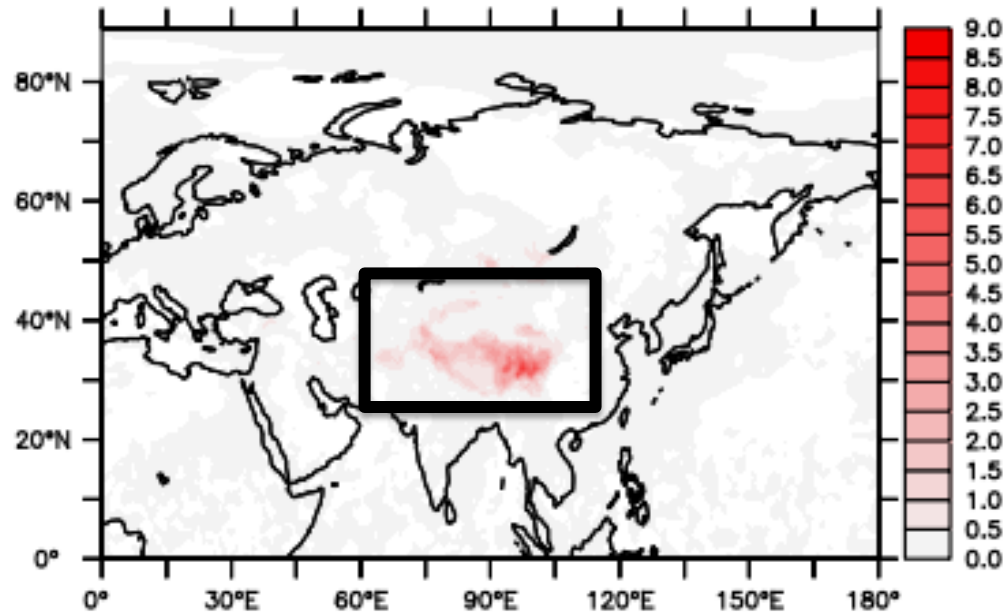


→ Potential of GNSS-R DA over land for NWP and reanalysis



## Impact of snow cover assimilation on two-meter temperature

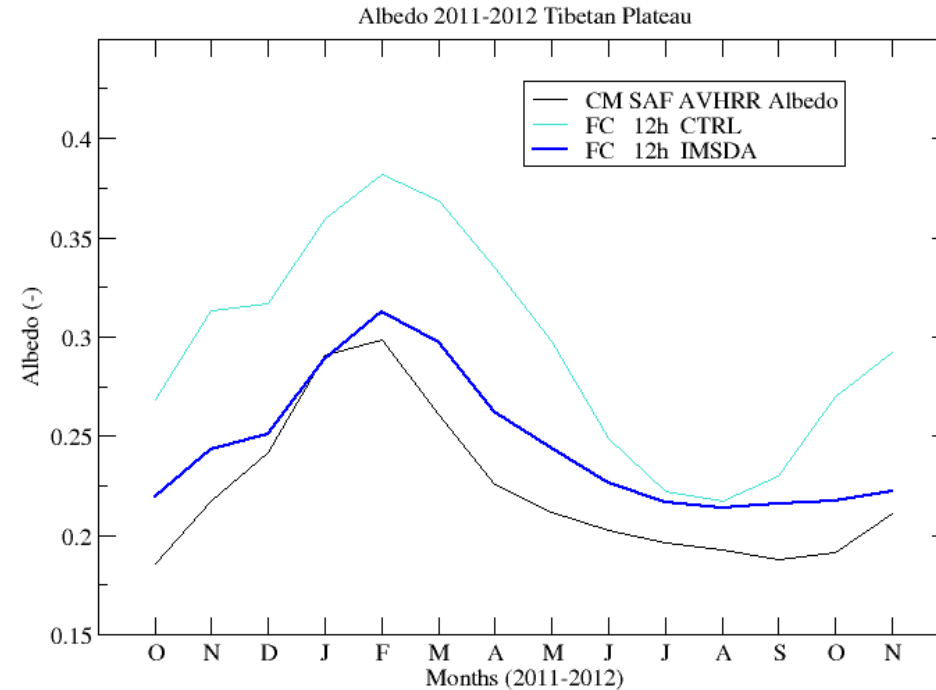
Snow cover assimilation removes snow  
→ Warmer surface conditions than CTRL



T2m diff (IMSDA-CTRL) (K)  
Forecast day-10  
Oct 2011-Sept 2012

## on surface albedo

Snow cover assimilation removes snow  
→ Lower surface albedo



Use Climate Monitoring SAF CLARA-2  
albedo product (Karlsson et al. 2017)

# Snow reanalysis from ERA5 to ERA6



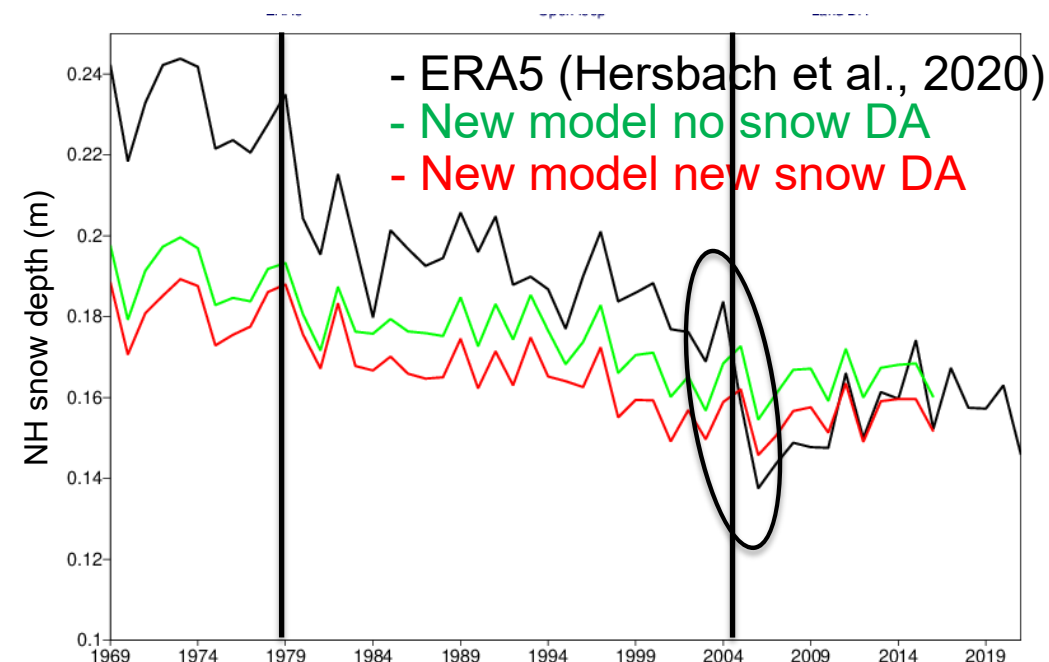
Funded by the  
European Union

- Step change in the ERA5 snow mass from 2004 (IMS snow cover started to be assimilated)
- Snow DA reduced the positive snow cover bias, but it amplified the snow mass negative trend

ERA6-Land 1<sup>st</sup> prototype (1939-2022)

ERA6:

- Snow model and a set of snow data assimilation improvements (Arduini et al., 2020)
- Snow cover DA: ESA CCI Cryoclim (1987-2010) + NOAA/NESDIS IMS (2010-NRT)



Kenta Ochi et al., in prep 2025

# Unified soil & snow temperature analysis

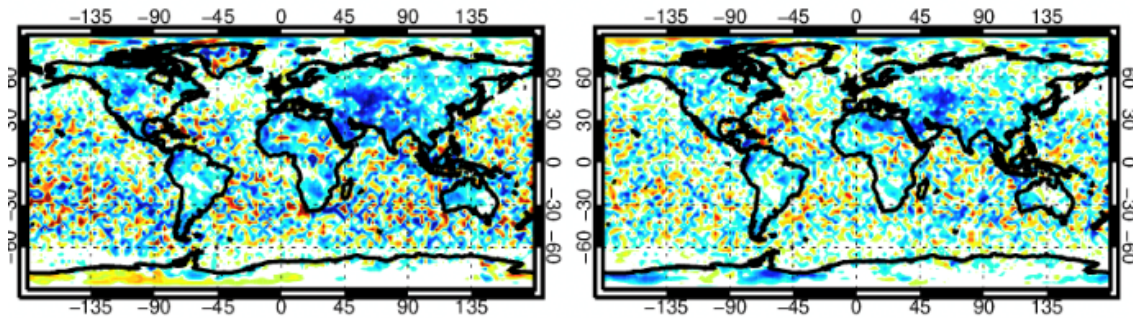
Integration of the soil and snow temperature analysis in the SEKF, instead of using a 1D-OI approach

Change in RMS error in Z2T (SEKF IFS-3309 – 1D-OI control)

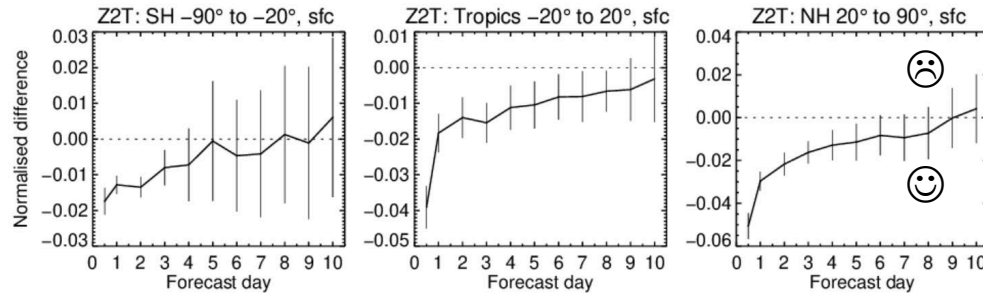
1-Jun-2022 to 31-Aug-2022 from 164 to 183 samples. Verified against own-analysis.  
No statistical significance testing applied

T+12

T+24



1-Jun-2022 to 31-Aug-2022 from 164 to 183 samples. Verified against own-analysis.  
Confidence range 95% with AR(2) inflation and Sidak correction for 4 independent tests.



SEKF IFS-3309 – 1D-OI control

control variables  $x_i =$

swvl1  
swvl2  
swvl3  
stl1  
stl2  
stl3  
**tsn**

Implemented in:

- 49r2 (ERA6)
- 50r1 (NWP Q4 2025)

- Significant improvement in T2m forecasts

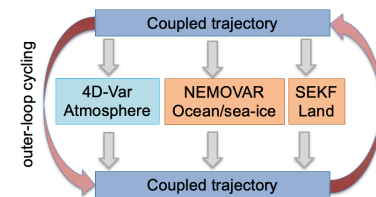
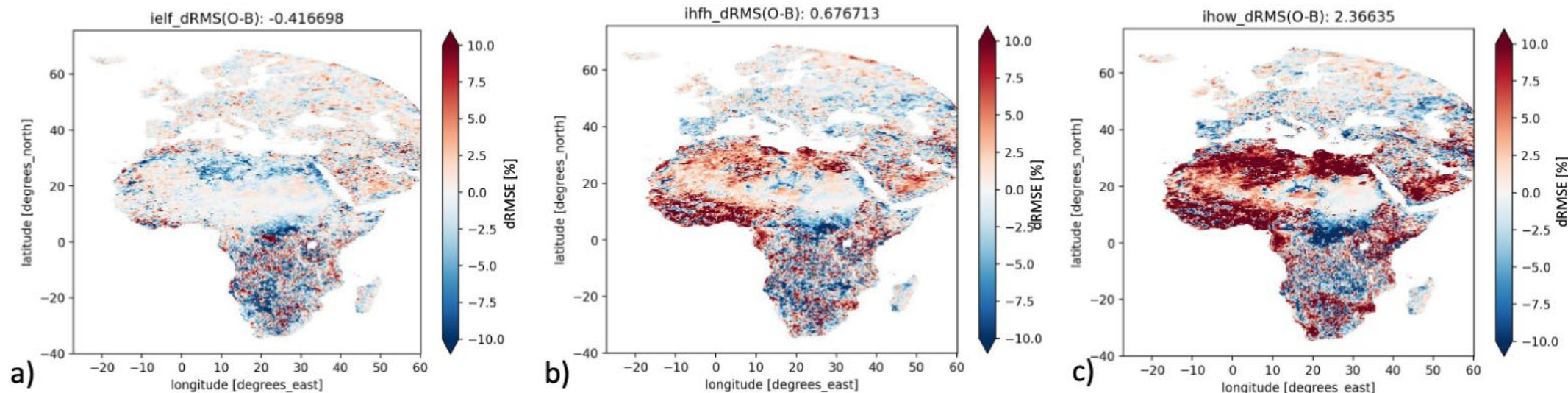


Funded by the  
European Union

Christoph Herbert et al. QJRMS 2024

# Strength of coupled land-atmosphere data assimilation

RMSE vs SEVIRI LSA SAF MSG LST (DJF 2022-2023)



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European Union

- Optimal strength of coupling differs for atmosphere and land skills in the current system
- Ongoing thermal skin conductivity optimisation
- Potential of land surface temperature data assimilation in coupled land-atmosphere DA system

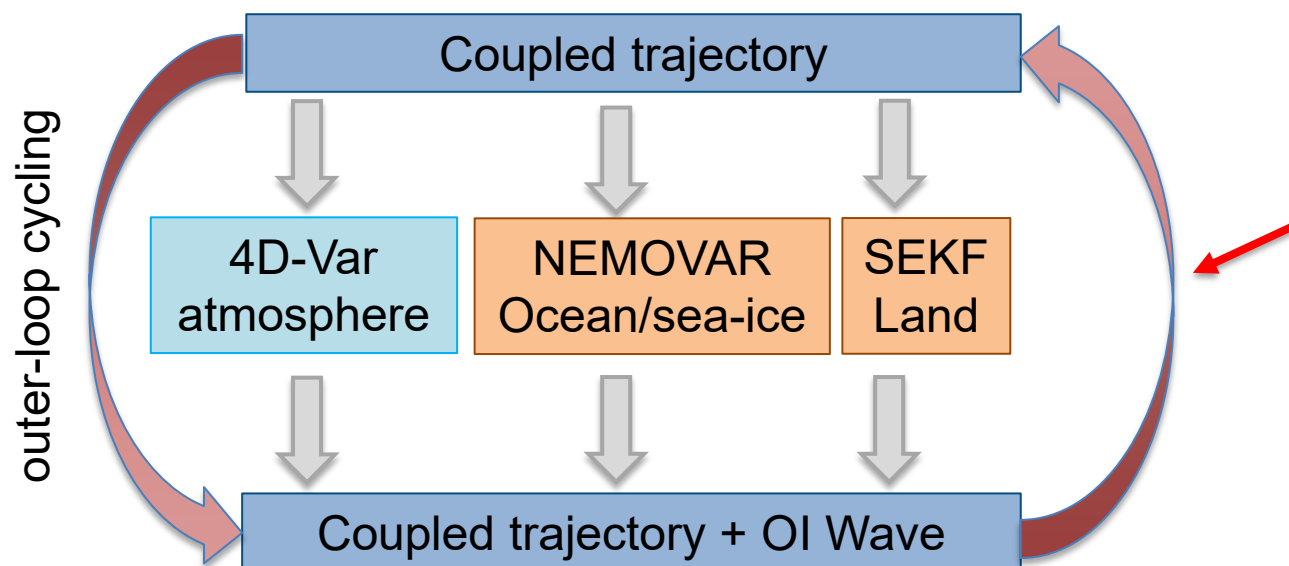
Christoph Herbert



# ECMWF-ESA project DANTEX 2024-2027

**DANTEX:** Data Assimilation and Numerical Testing for Copernicus Expansion Missions

- Preparation of coupled data assimilation of CIMR, CRISTAL and LSTM, and exploitation of Sentinel-1 wave spectra data
- For LSTM: Explore the potential of LST observations for coupled land-atmosphere assimilation in Earth system predictions systems.



Bring surface sensitive satellite information in the coupled DA cycling  
→ DANTEX:  
CIMR L1  
CRISTAL L2/L1  
LSTM L1/L2  
Sentinel 1 L1

Using existing sensors:  
SMAP, AMSR2  
Cryosat-2, Altika,  
SEVIRI

Christoforos Tsamalis  
Tsz Yan Leung  
Zdenko Heyvaert  
James Steer



# Summary and future plans

- Progressive implementation of coupled data assimilation (DA) for ocean-land-atmosphere for NWP, CO2MVS, and climate reanalysis, further develop DA in each component. More Earth system components on the way (e.g. fire, hydrology)
- Enhance exploitation of existing interface observations using level 1 in the coupled data assimilation system over land, ocean, sea-ice, snow, and account for time varying land surface characteristics for climate reanalysis. Key role of ML/AI in these developments
- Explore new observations types (e.g. SIF, GNSS-R) and prepare for coupled level 1 data assimilation of the upcoming Copernicus Sentinel missions

Thank you !