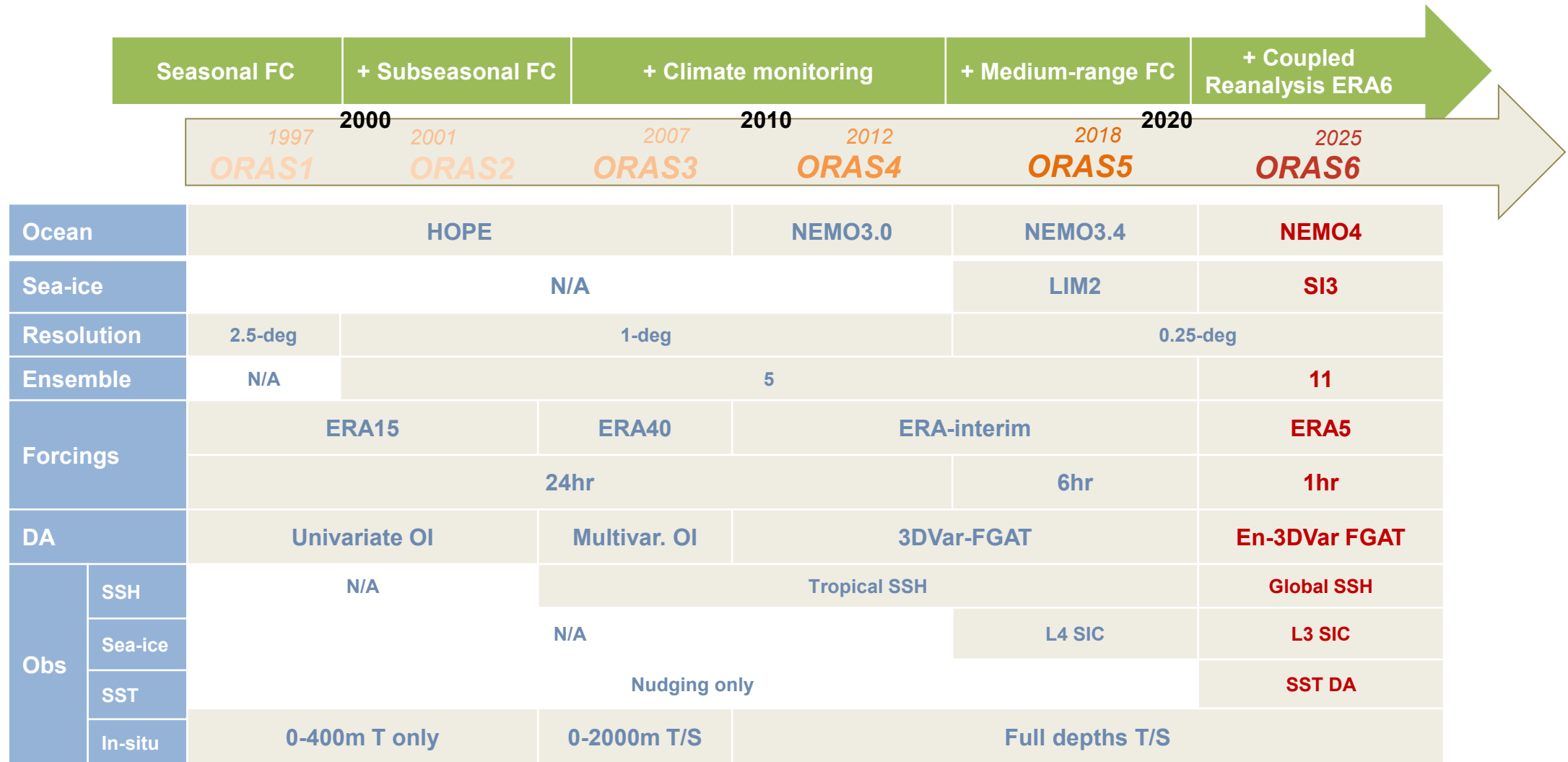


ECMWF's next ensemble reanalysis system for ocean and sea-ice: ORAS6

Hao Zuo, Magdalena Alonso Balmaseda, Philip Browne, Eric de Boisseson, Marcin Chrust, Stephanie Johnson, Sarah Keeley, Michael Mayer, Kristian Mogensen, Charles Pelletier, Christopher Roberts, Patricia de Rosnay, Toshinari Takakura

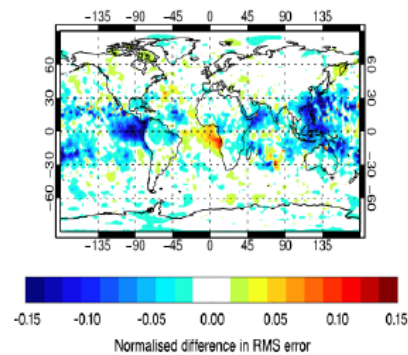
and many others

Why ocean (and sea-ice) reanalyses

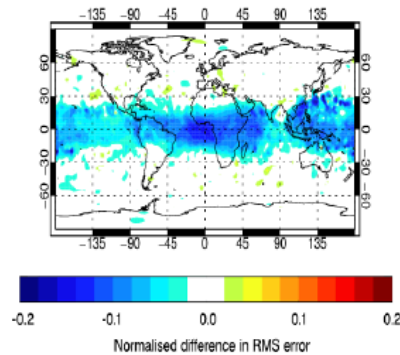


Why ocean (and sea-ice) analysis

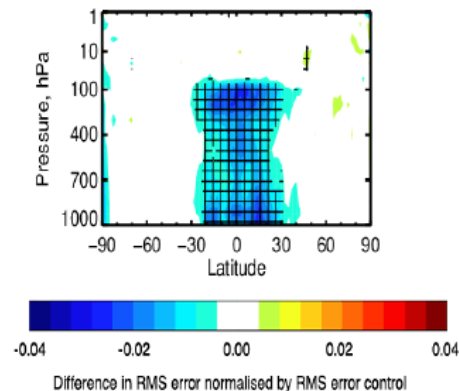
Mean Sea Level Pressure
Improvement from Ocean coupling



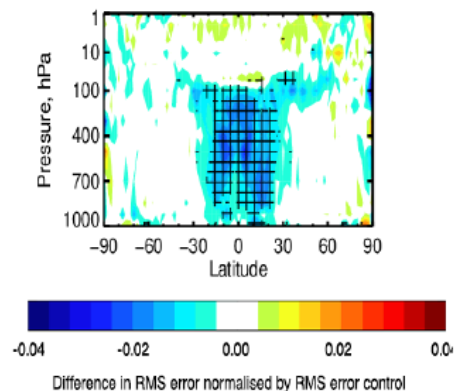
500 hPa Geopotential Height
Improvement from Ocean coupling



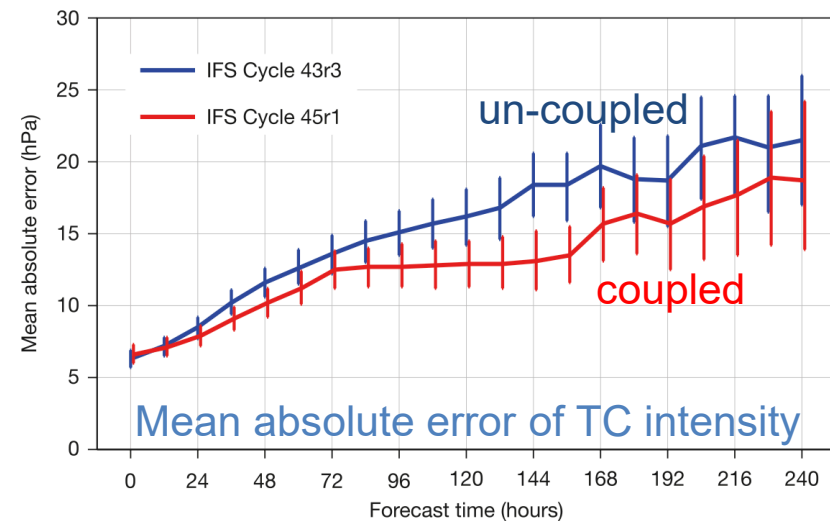
Winds improvement
from Ocean coupling



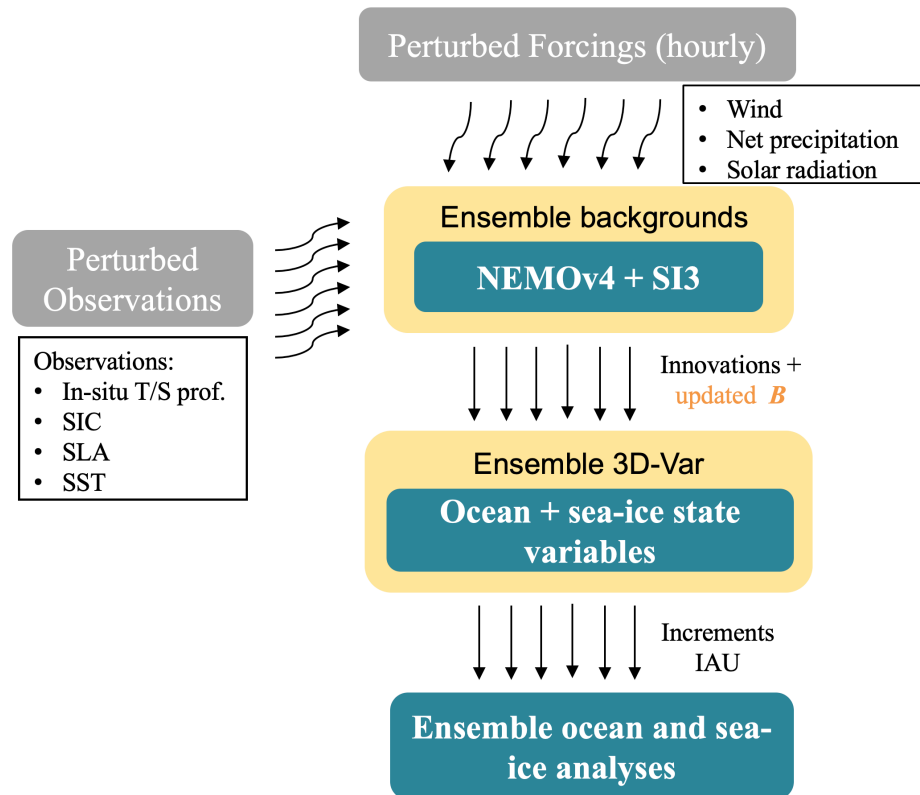
Relative Humidity
improvement from Ocean coupling



- ECMWF forecasts became coupled for all timescales since 2018 (CY45R1) - include **dynamical ocean and sea-ice** components (*Mogensen et al., 2018, Buizza et al., 2018*).
- Coupling (**partial**) with the ocean improves the weather forecast scores, with reduced RMSE (blue) in Day+5.
- Coupling with ocean reduces intensity error in HRES forecasts of tropical cyclone (TC).



Key updates in ORAS6



	ORAS5	ORAS6
Forcing	ERA-interim: 24 h radiation	ERA5: hourly
Model	NEMOv3.4 + LIM2 0.25-deg	NEMOv4 + SI3 (multi-cat.) 0.25-deg
DA algorithm	Deterministic 3DVar-FGAT	Hybrid <u>Ens</u> 3DVar-FGAT
SST DA	Nudging	<u>Ens</u> 3DVar
Ensemble	4 + 1 control	10 + 1 control
Output	<u>Netcdf</u>	GRIB2
Data cover	1981 onwards	1950 onwards
Production	Sequential	Parallel streams

Relevant publications

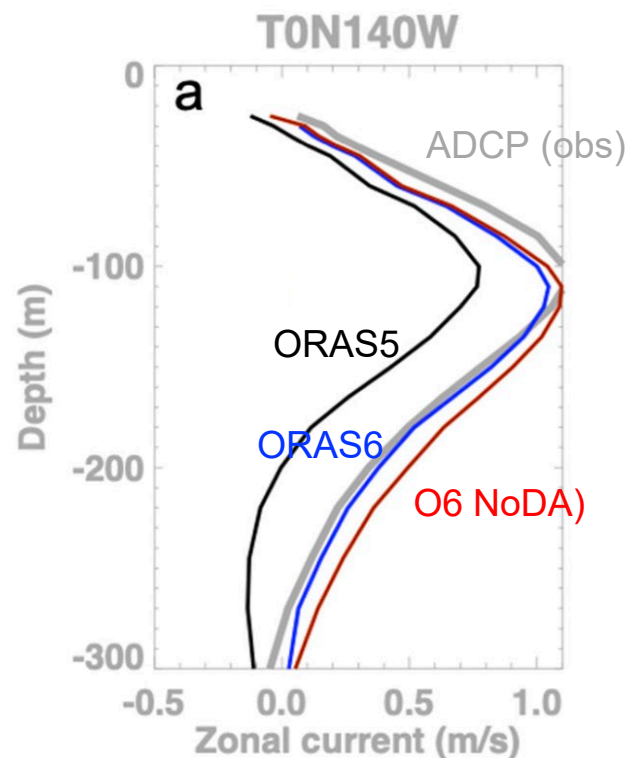
- ORAS6 – Zuo et al., NL180, 2024
- GRIB2 – Sármany et al., NL178, 2024
- 2024 SAC special paper – Zuo et al.
- ORAS6 system – Zuo et al., in preparation
- Ocean EDA – Chrast et al., 2024 QJRMS,
- Sea-ice DA – Browne et al., TM, in preparation
- Obs impact study – Mogensen et al., in preparation

Development of ORAS6 has been partially supported by EU-funded projects: C3S-ERGO, CMEMS-GLORAN and their follow-ups.

Model/forcing upgrades - NEMOv4/SI³ + ERA5

The ocean and sea-ice model in ORAS6 is based on [NEMO4.0.6](#), which includes a new multcategory sea-ice model [SI³](#), with prognostic salinity. Atmospheric forcing comes from [hourly ERA5](#) reanalysis and has [a new bulk formulation](#) for the computation of fluxes.

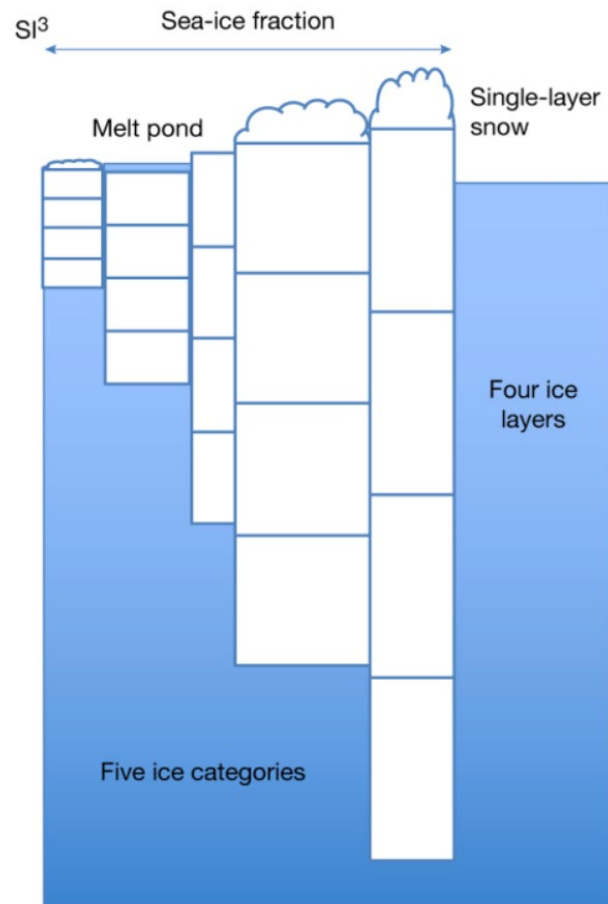
- Large improvements on *SST diurnal cycle* and *upper ocean currents*



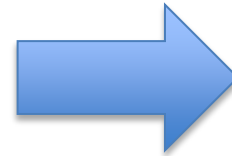
2015-2020 Mean zonal current (in m/s) from TAO mooring station at equator (0N, 140W). Verified against the Acoustic Doppler Current Profilers (ADCP).

Multi-category SI³ model

- The SI³ has improved sea-ice concentration in marginal ice zone.
- The SI³ model is found to have **thinner** ice on average in the Arctic.

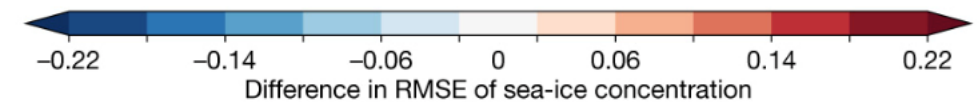
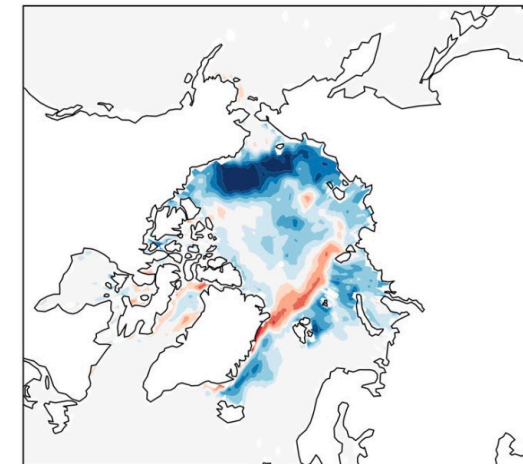


ORAS6 uses five thickness categories, each with four thermodynamic layers and one snow layer on top.



(Keeley et al., NL180)

SIC RMSE: SI³ – LIM2
(Sep-Nov, 1999-2019)



DA updates - En3DVar with Hybrid-B

The hybrid covariance formulation in NEMOVAR (*Thanks to EU funded C3S project – ERGO/ERGO2*)

$$\mathbf{B}_h = \mathbf{K}_b \mathbf{D}_h^{1/2} \mathbf{C}_h \mathbf{D}_h^{1/2} \mathbf{K}_b^T$$

\mathbf{B}_h is the hybrid covariance matrix; \mathbf{C}_h is the correlation matrix (using hybrid diffusion operator κ_h); \mathbf{D}_h is a block-diagonal matrix of variances (using hybrid std dev σ_h).

Hybrid background error std formulation

$$\sigma_h = \frac{1}{h} \log(e^{hw_m \sigma_m} + e^{hw_e \sigma_e} - 1)$$

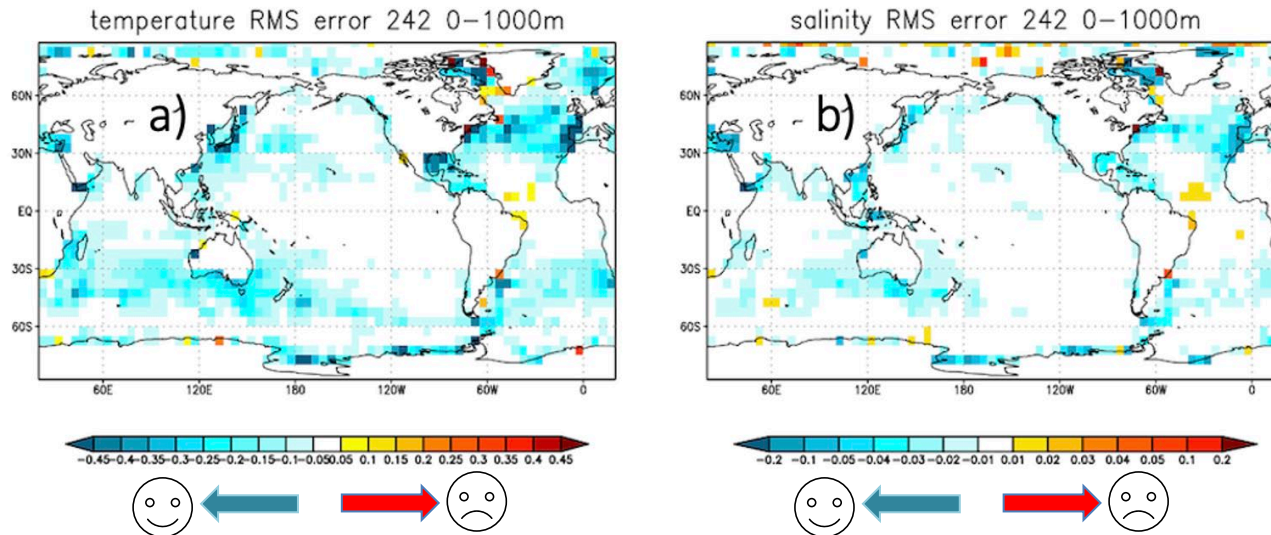
(Chrust et al., 2024 QJRMS)

Here w_m, w_e are dimensionless weighting factors for *modelled/ensemble* components of standard deviations, and h is a hardness factor.

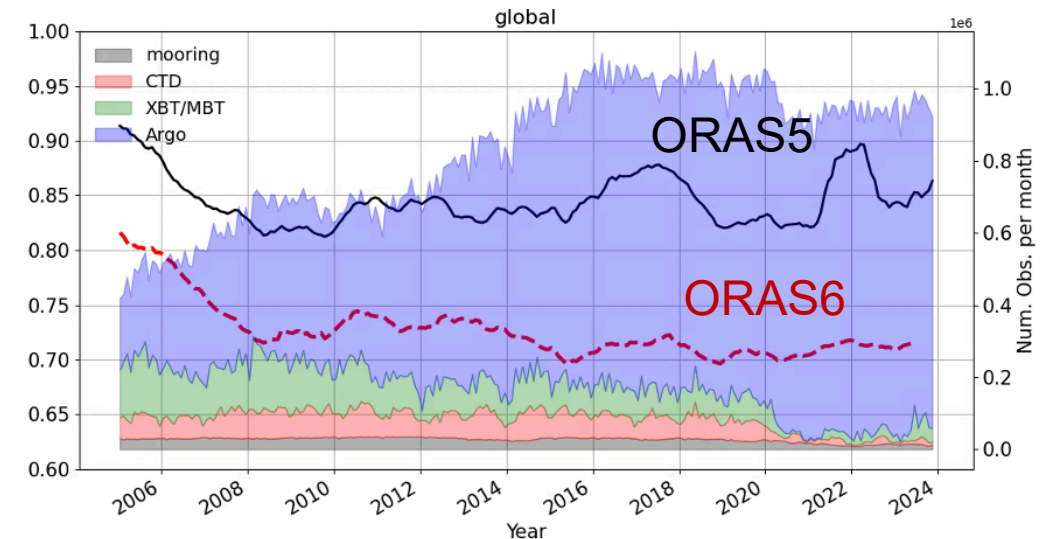
ORAS6 sub-surface performance

- Compared to ORAS5, fit-to-observations error standard deviations have been reduced by **~15%** for sea-water temperature, and by **~7%** for sea-water salinity.

RMSE: ORAS6 – ORAS5 (2010-2020, 0 – 1000m, against all in-situ obs)



Temperature RMSEs (0 – 1000m, against all in-situ obs)



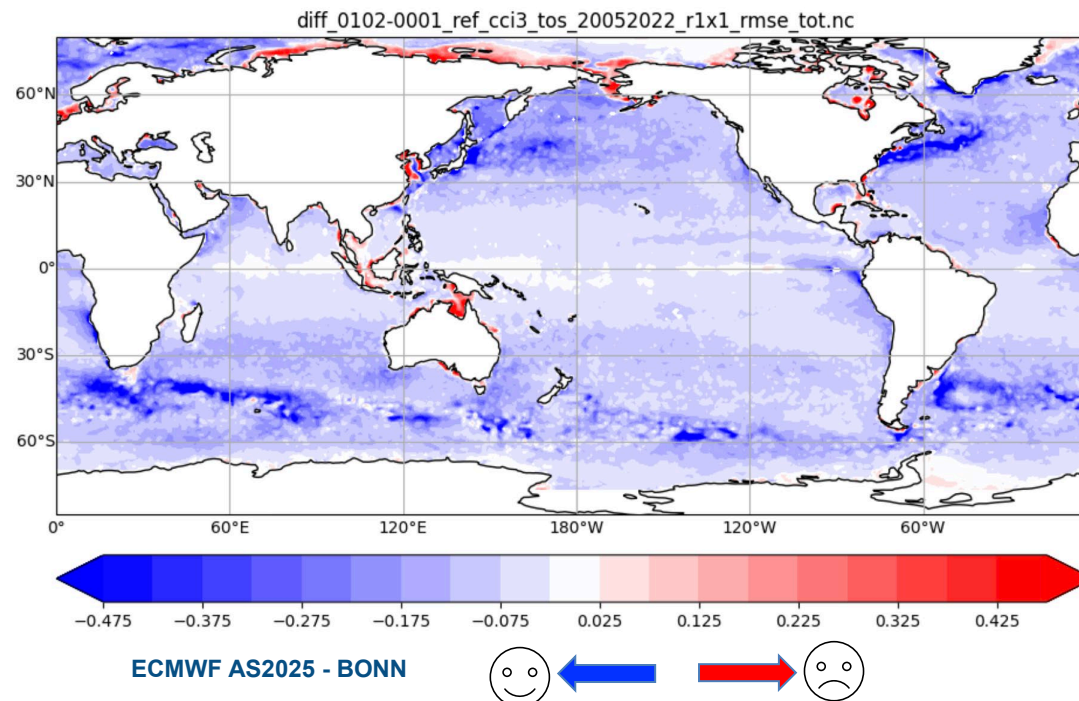
Zuo et al., 2024 NL180

SST DA with En3DVar

Direct assimilation of L4 SST data with En3DVar

- **Flow-dependent correlation length-scales** is essential, thanks to a novel factorized formulation of normalization factors (*Weaver et al., 2021*)
- Require careful treatment in observation operator (e.g. LST)

SST RMSE: ORAS6 – ORAS5
(2005-2022, against ESA CCIv3)

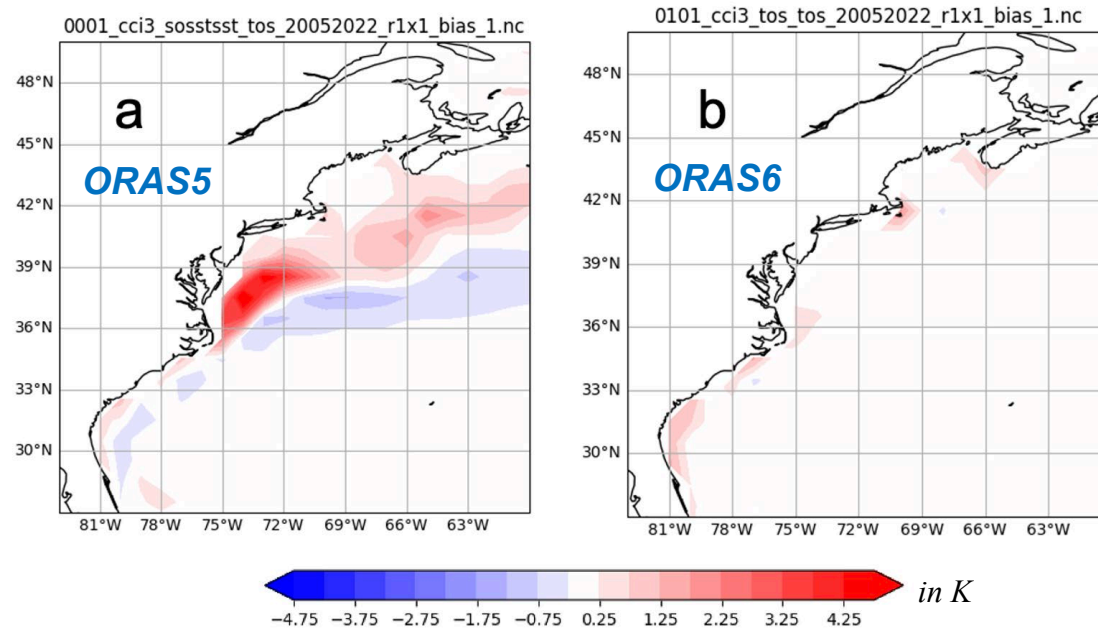


RMSE has been
reduced by
~39%

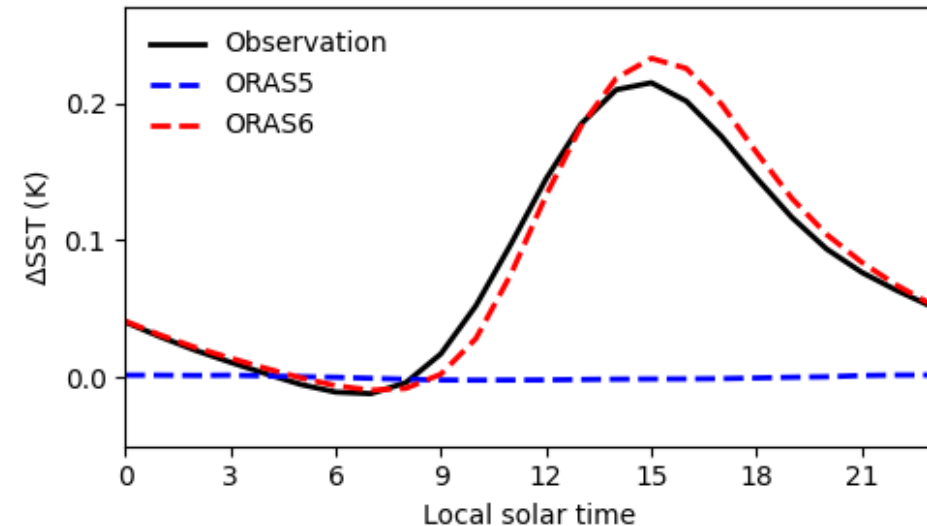
ORAS6 SST performance

- Greatly reduced SST biases in the GS regions – **partial coupling no longer needed**
- Good representation of SST diurnal cycle – **possible for hourly coupling**

SST biases in the Gulf Stream regions (2015-2022, against CCIv3)



Global mean SST diurnal cycle (2019, against drifter data)

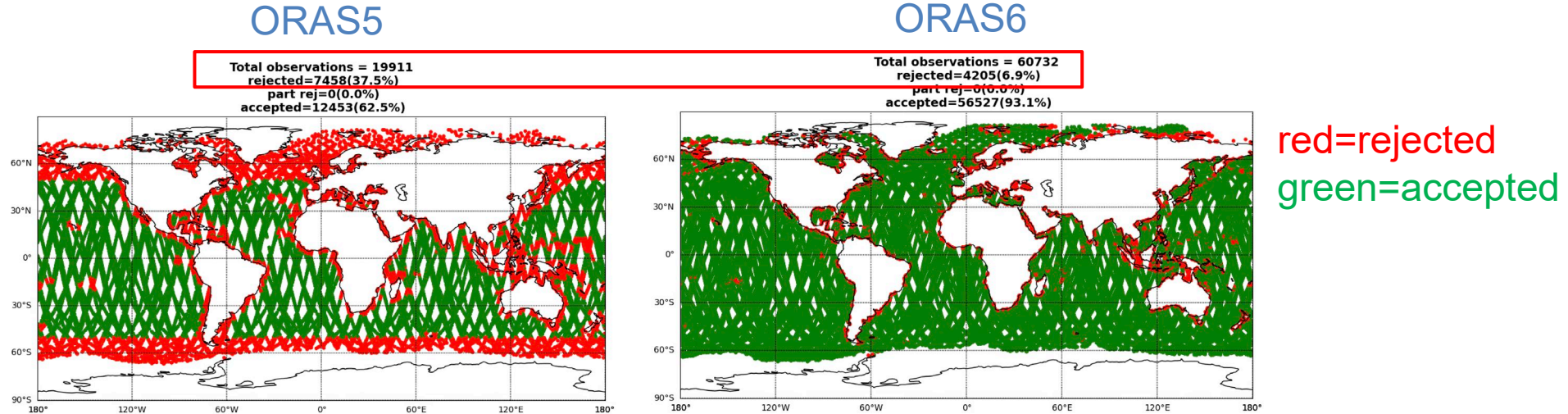


Zuo et al., 2024 NL180

Sea Surface Height DA

- ORAS6 now assimilates sea-level anomalies (SLA) with increased resolution and global coverage

SLA obs QC results (5-day)



- New method for MDT estimation - accounting for **regional trend errors** in the MDT first guess (MDT_b)

$$MDT_a = MDT_b + K((c_o - c_b)T)$$

c_b and c_o are the first guess and observed trend of SSH. The weight matrix K varies latitudinally in ORAS6;
 T is the reference time period.

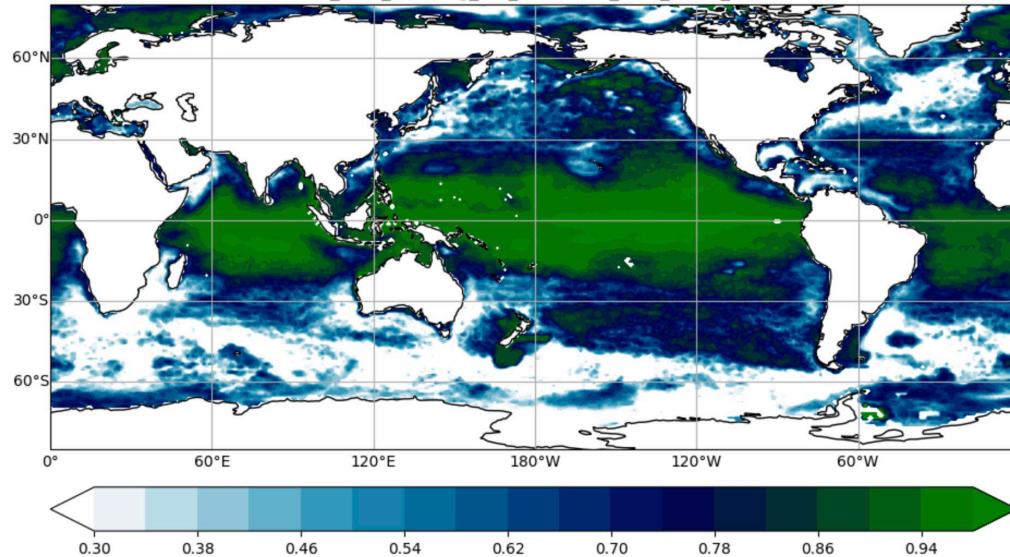
ORAS6 SSH performance

- ACC of SLA in ORAS6 has increased by **0.15** (> 0.3 in the extra-tropics).

Temporal correlation between SLAs from reanalyses and observations (2005-2022, against ESA CCIv3 sea-level data)

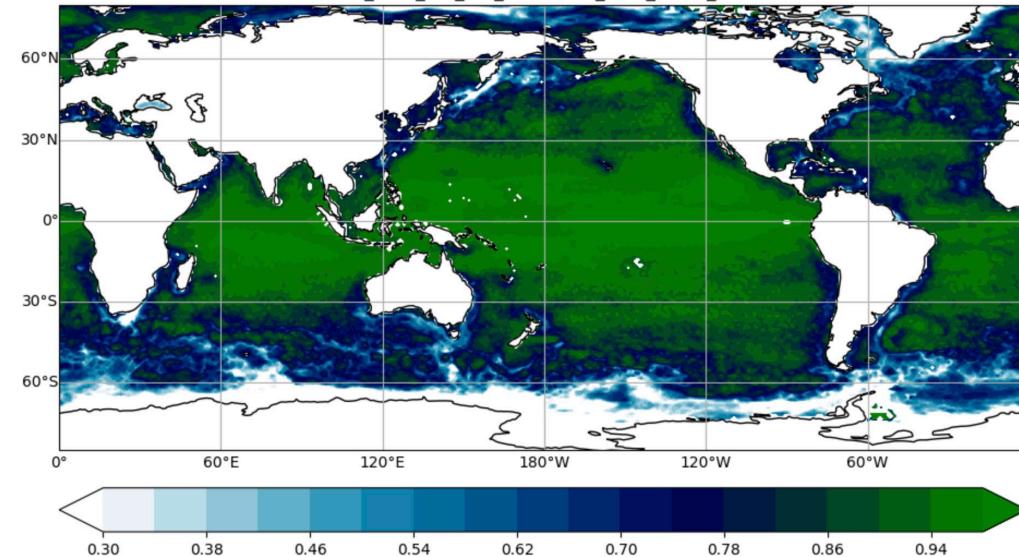
ORAS5

0001_c3so_sossheig_zos_20052022_r1x1_correl_12.nc



ORAS6

0102_c3so_zos_zos_20052022_r1x1_correl_12.nc



temporal correlations are diagnosed after removal of the seasonal cycle. Note that correlations smaller than 0.3 are shown as white.

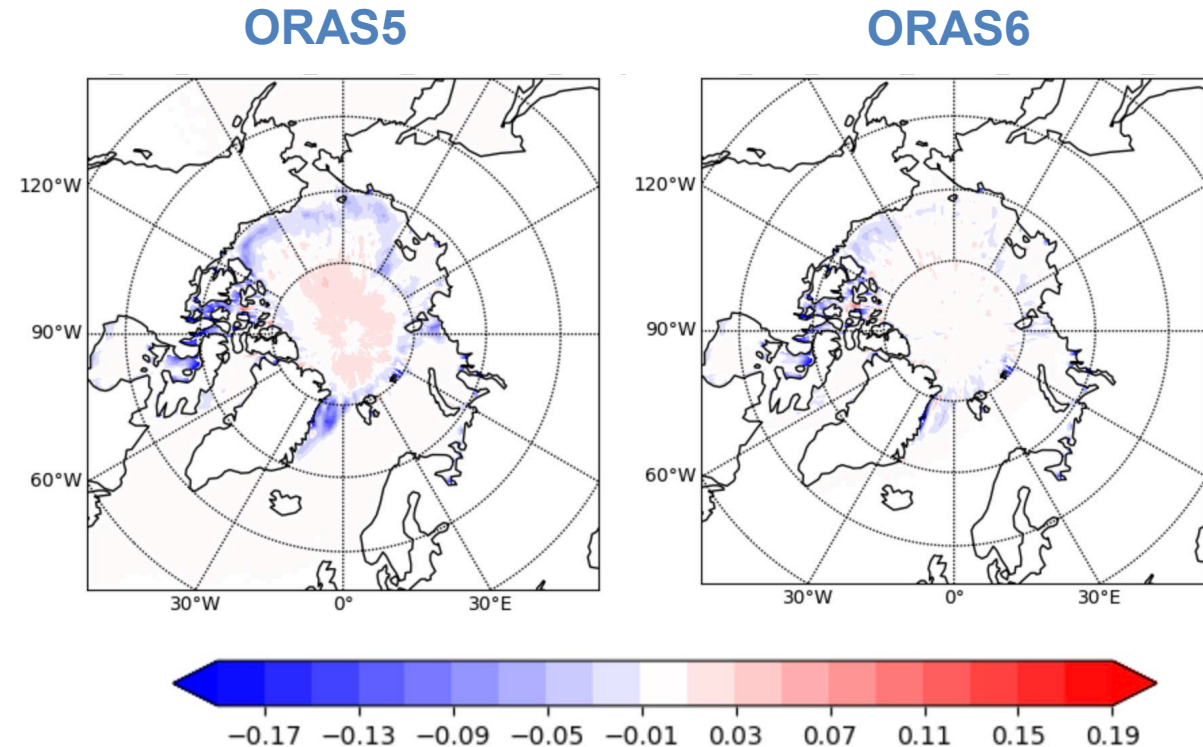
Zuo et al., in preparation

Sea-ice DA and performance

Assimilation of sea-ice concentration data with SI³ model

- Switch to **L3 OSI SAF** data → more robust in operational service
- Distribute of total increment among different thickness categories using model **background thickness profile**
- Introduce **thermodynamic balance** between sea-ice and ocean state variables
- The thickness errors cannot be ameliorated by the analysis system as thickness is not currently assimilated

Sea-ice concentration biases
(Sep, 2005-2022, against CCIv3)



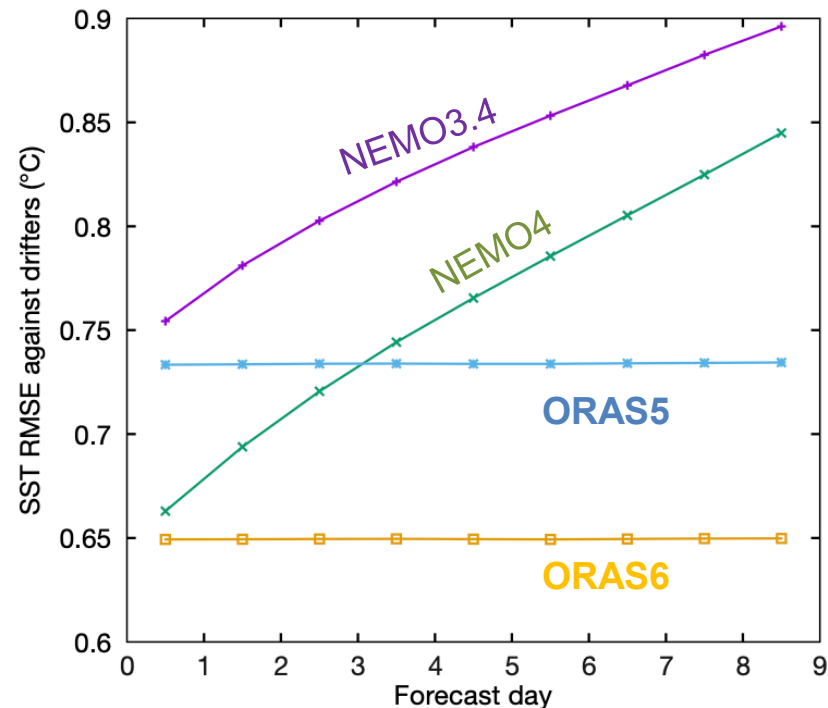
Browne et al., in preparation

Medium-range forecasts

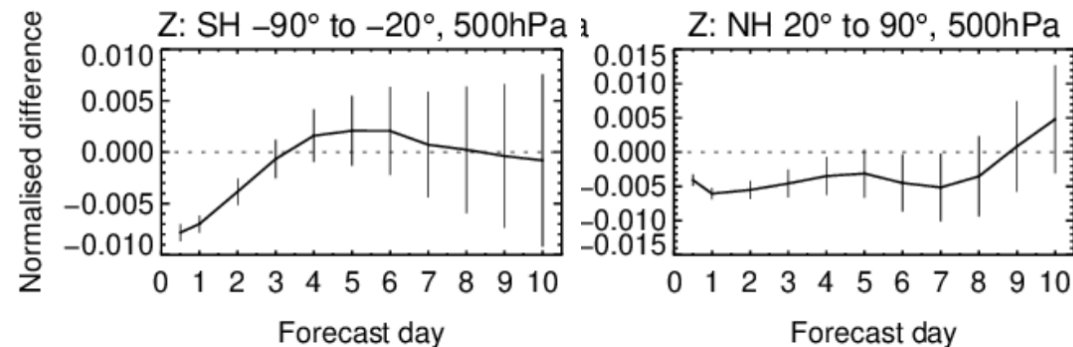
- Reference system (**NEMO3.4/LIM2/ORAS5**) still uses operational **partial tendency SST coupling**.
- The new **NEMO4/SI3/ORAS6** system uses **full coupling with SST**; more sea-ice fields (cover, snow and ice thickness) are also coupled with atmosphere.
- Partial coupling does not bring any benefit with the new system.

RMSE of SST forecasts

(Tco1279, 2020-2021, against drifter data)



Relative difference in RMSE: 500 hPa geopotential (2020-2021, against 0001)



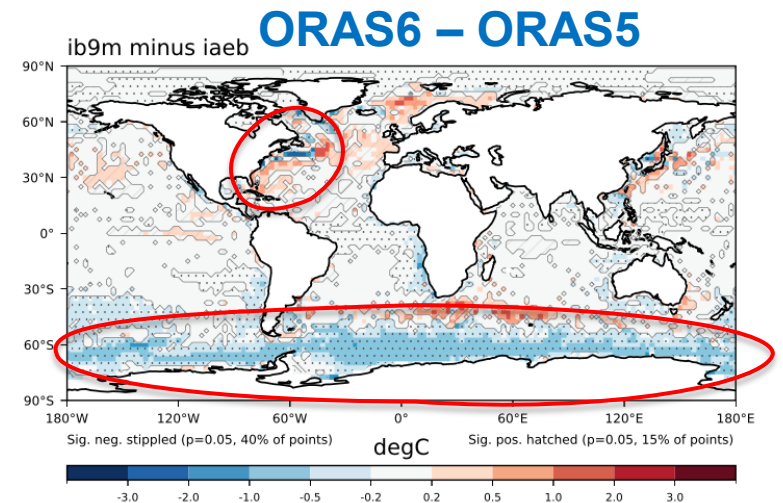
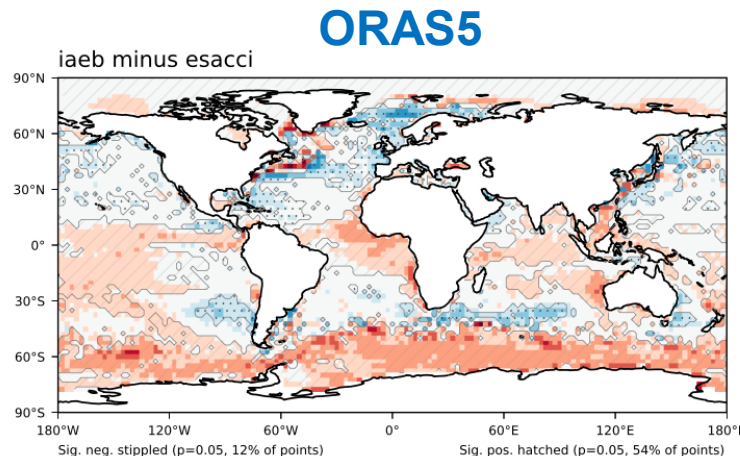
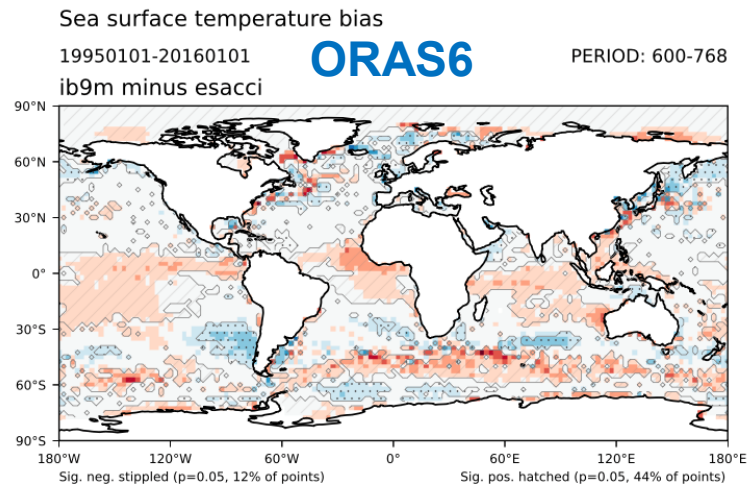
NV4/ORAS6 – NV34/ORAS5

Zuo et al., 2024 NL180

Sub-seasonal forecasts

- Use the same coupling strategies as in the medium-range forecasts.
- Sub-seasonal forecasts with NEMO4/SI³/ORAS6 provide robust improvements to SST.
- Mean state and forecasts anomalies for atmospheric fields are generally very similar to operational system – *further tuning of the coupled system is necessary (compensation of errors)*.

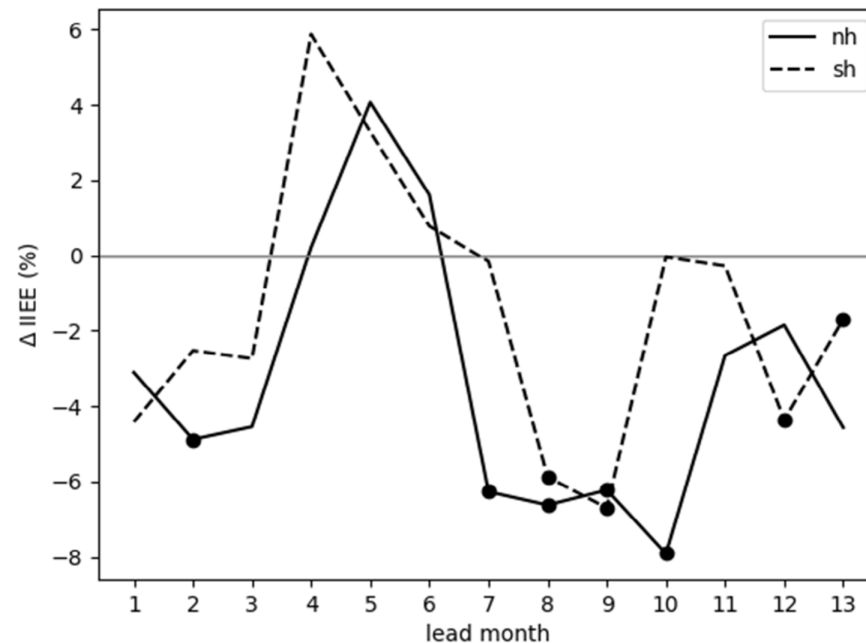
Week 4 SST forecasts biases (1995-2016, against CCIv2)



Seasonal forecasts

- Long-range sea ice forecasts are mostly improved with the new system. However, the change in forecast performance varies strongly with region, season and lead time.
- Sea-ice thickness biases changed in ORAS6 due to lack of constraints.

Change in the integrated ice edge error (IEEE) with NV4/ORAS6 system
(May and November start, 2005-2022, against OSI-SAF 450a)

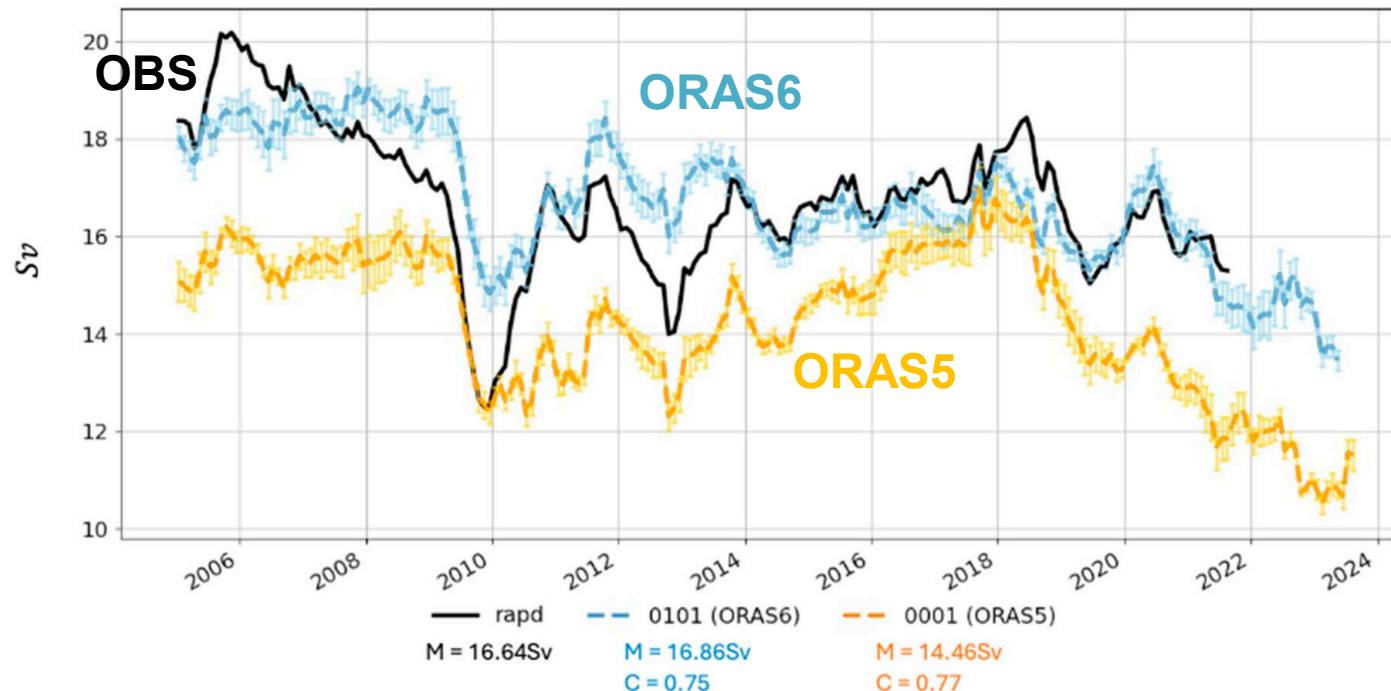


Negative value indicates that IEEE in the new system (NV4/ORAS6) is reduced compared to the reference system (NV34/ORAS5)

Climate monitoring

- ORAS6 will support climate monitoring (e.g. by contributing to C3S-ESCR).
- Robust improvements in tracking Atlantic Meridional Overturning Circulation (**AMOC**) and global sea-level changes.
- Larger uncertainties remains in the estimations of interannual variations of ocean heat content.

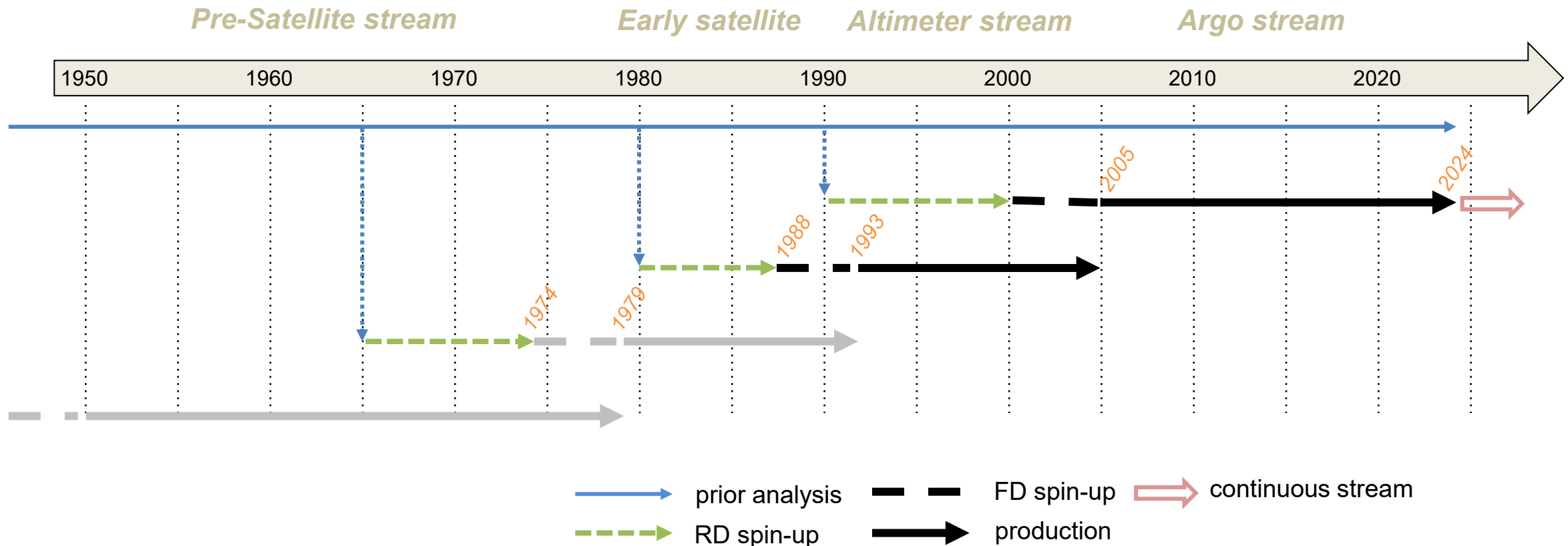
Maximum AMOC transports (in Sv) across 26.5° N
(All ensemble members, at ~1000m depth)



The M and C values stand for mean value and correlation coefficients with the RAPID measurement (in black).

ORAS6 production streams

- ORAS6 will be produced in separate parallel streams to cover from 1950-present.
- **Different EDA configurations** to account for evolving ocean in-situ observing network.
- Constraining SST and sea-ice in the pre-Satellite period remain to be challenging.



Summary and outlook

- ORAS6 will support coupled forecasting system from CY50R1 (Q4 2025). In addition, ORAS6 will also provide ocean and sea-ice conditions for ERA6.
- The performance of ORAS6 is significantly improved, especially for the estimation of the upper ocean states (e.g. SST). Initial target to remove partial coupling has been achieved.
- Medium-range forecasts (NEMO4/SI³) initialized from ORAS6 show good performance over the entire global, with an average skill gain in the SST prediction of ~3-4 days.

Highlights of ORAS6

- *additional 8 years of RD works after ORAS5*
- *partial coupling is no longer needed in coupled forecasts*
- *providing ocean and sea-ice conditions for ERA6*
- *providing training database for AIFS-Ocean*

Summary and outlook

Challenges in ORAS6 development

- One of the main challenges in developing ORAS6 is simultaneously and accurately capturing both **high- and low-frequency** variability to meet the **diverse requirements/priorities** for different applications (*medium-range, sub-seasonal to seasonal, ERA6, Climate monitoring*).
- The sea-ice in ORAS6 can be **biased thin**. Need to introduce sea-ice thickness assimilation (*DANTEX-CRISTAL*)
- In the future we may consider interweaving staggered separate developments, which specifically target weather and climate (e.g. ERA6-Ocean).

What beyond ORAS6?

- Post-ORAS6 developments will be focusing on **higher spatial resolution** model, with potential **4D-Var** approaches and **coupled data assimilation**.
- Explore **ML approaches** to support enhanced observation usage, strengthen ensemble approaches (e.g. B estimation with ocean emulators) and improve bias correction in ocean reanalysis.
- Assimilation of **more interface observations**, such as drifting buoy temperatures, sea-ice thickness (including snow) and possibly ocean currents.

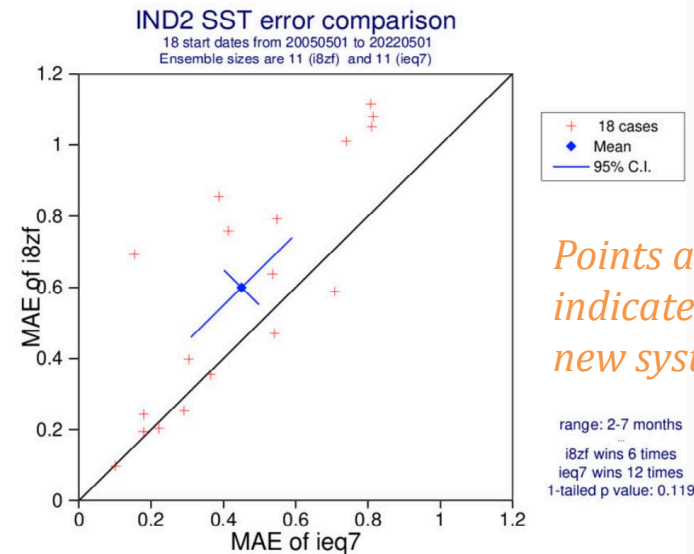
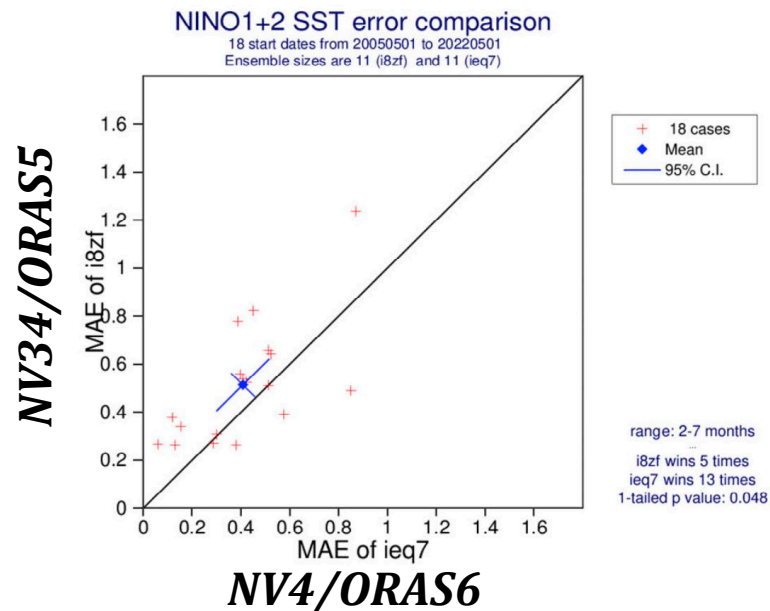
Thank you !

Extra slides

Seasonal forecasts

- New system has substantial impact on the mean states of ocean (e.g. SST) and atmospheric variables (e.g. humidity and precipitations), with some differences persist for several months.
- Improvements in ENSO variability in the Central Pacific (NINO3.4), in the coastal region (NINO1+2), and over the Eastern node of the Indian Ocean Dipole (IND2) in the first season.

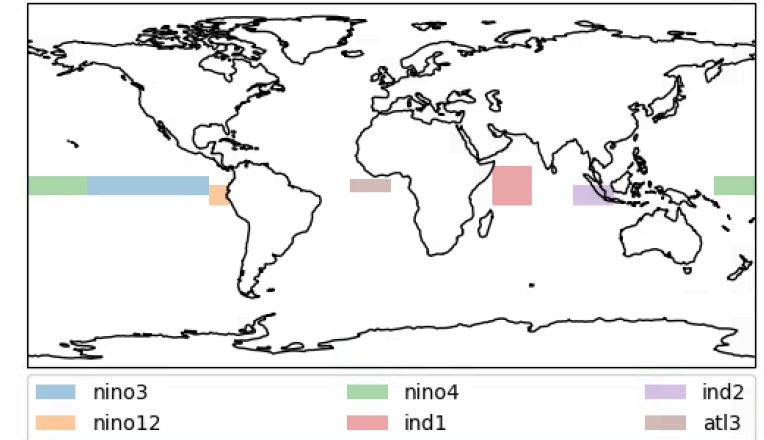
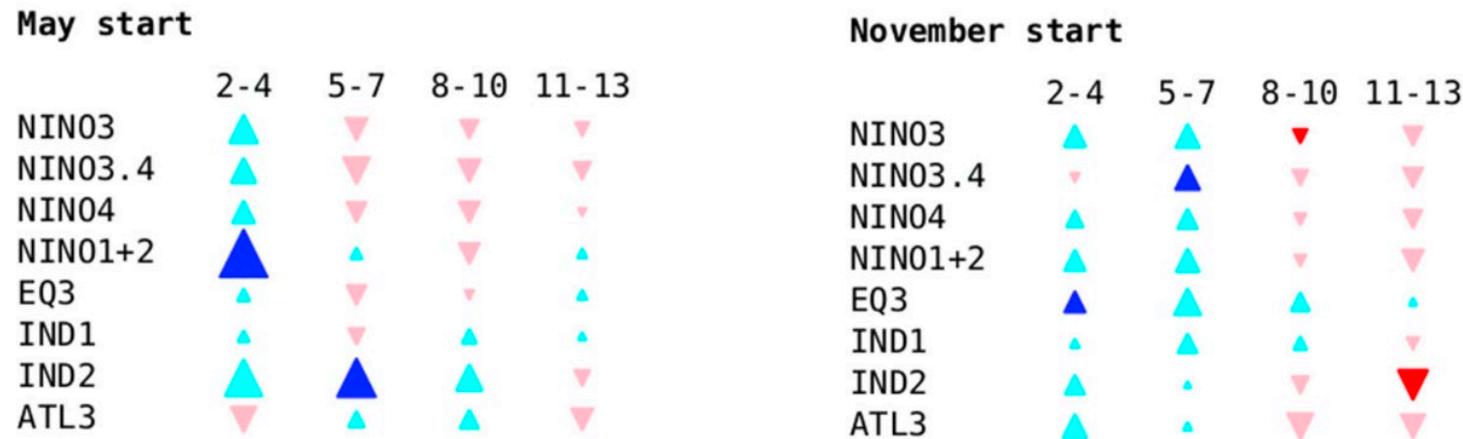
Mean absolute error of SST reforecasts (month 2-7) (May 1st start, 2005-2022)



Points above the diagonal indicate reduced errors for the new system (NV4/ORAS6)

ORAS6 and seasonal forecasts

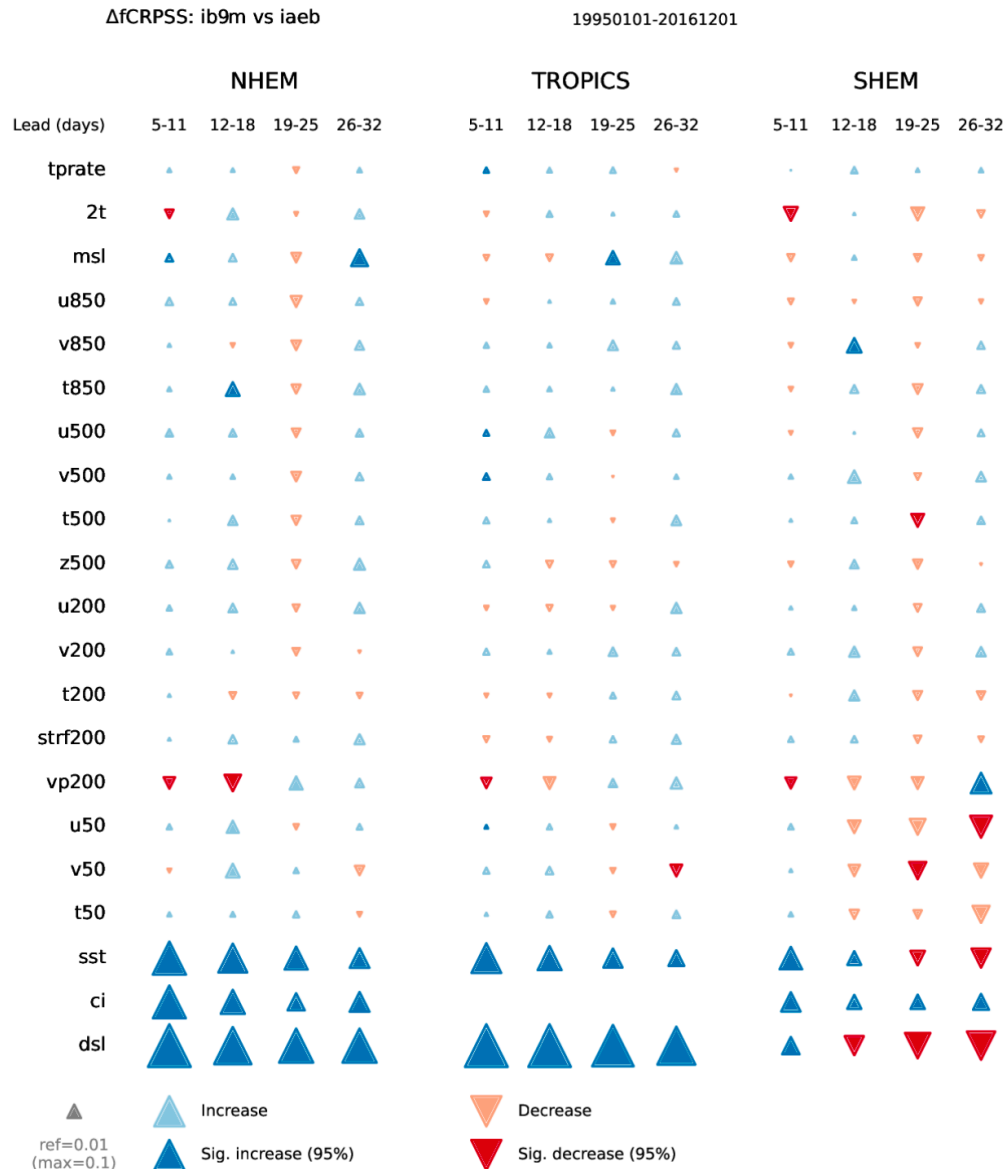
Difference in mean absolute error
NEMO4/SI³/ORAS6 - NEMO3.4/LIM2/ORAS5



Blue/red triangles indicate an improvement/degradation when introducing NEMO4/SI³/ORAS6. Reforecasts are initialized on 1st May and 1st Nov between 2005-2022. Seasonal (three month) means are calculated for a seven-month forecast.

- NINO1+2 is an index for the coastal El Niño by the South American Coast
- IND1 and IND2 are indices for the West/East component of the Indian ocean dipole

ORAS6 and sub-seasonal forecasts



Scorecard summarising the impact of switching from NEMO3.4/LIM2/ORAS5 to NEMO4/SI3/ORAS6 prototype on the fair version of the continuous ranked probability skill score (fCRPSS). Positive (blue) triangles indicate that fCRPSS is improved with NEMO4/SI3+ORAS6 prototype and symbol areas are proportional to the magnitude of the difference.

- total precipitation rate (tprate)
- 2m temperature (2t)
- mean sea level pressure (msl)
- temperature (t)
- zonal/meridional wind (u/v)
- geopotential height (z)
- streamfunction (strf)
- velocity potential (vp)
- sea surface temperature (sst)
- sea ice concentration (ci)
- dynamic sea level anomalies (dsl)