

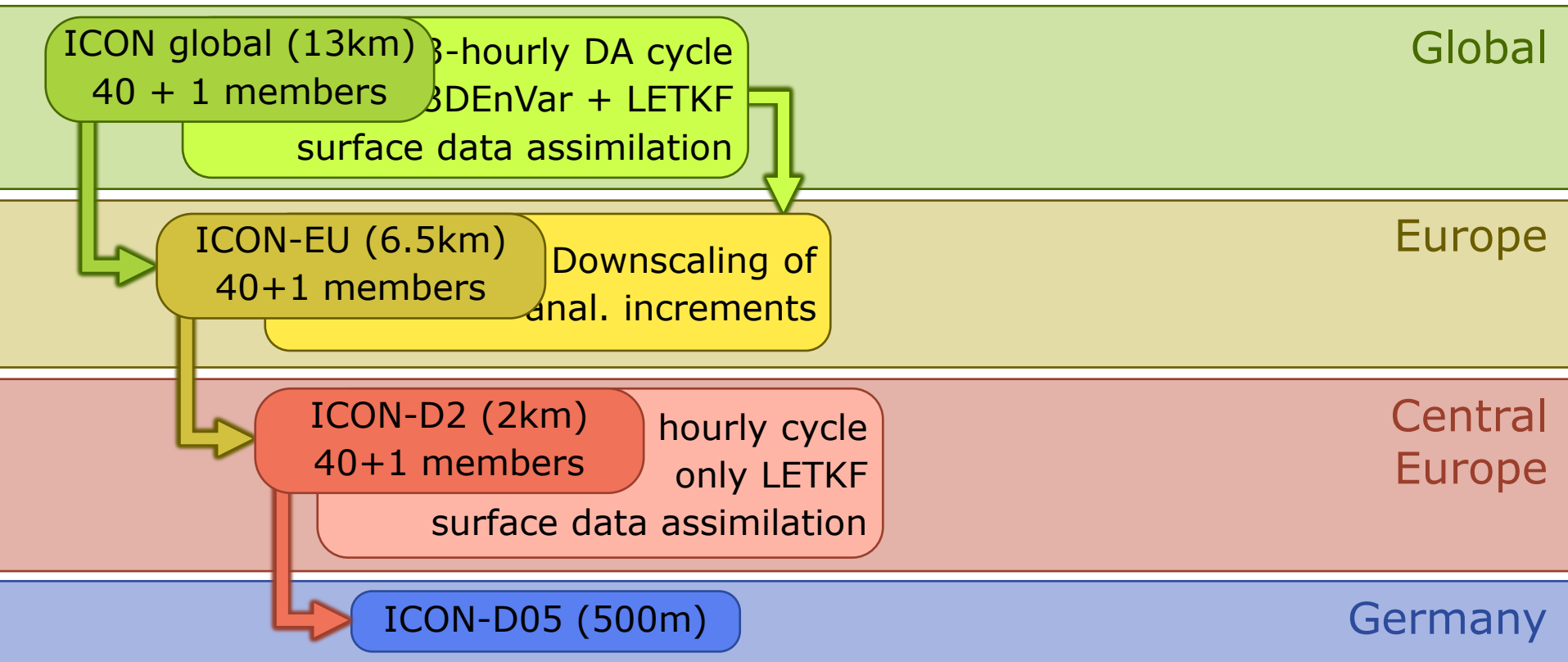
# DWD's vision for future NWP: A fully data-driven data assimilation approach

**Jan Keller**

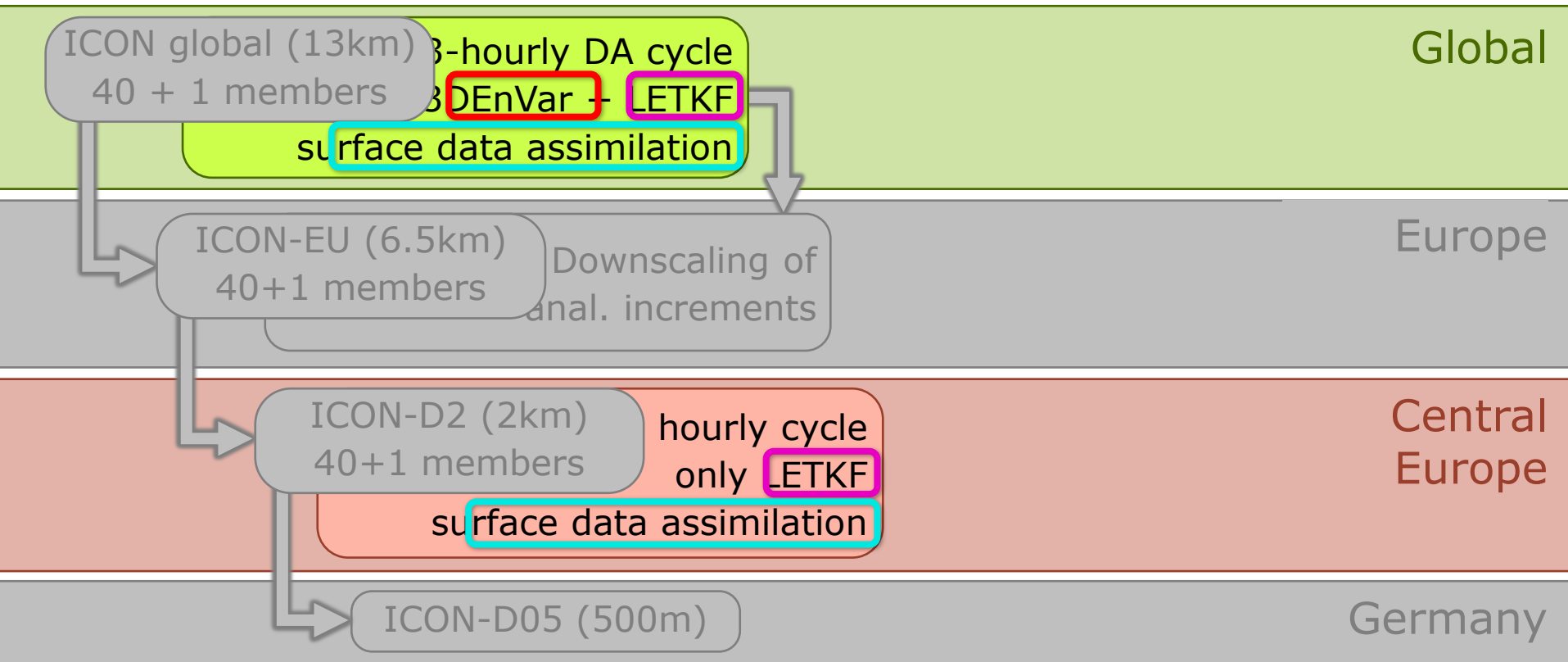
Roland Potthast, Thomas Deppisch and the AI development team at DWD



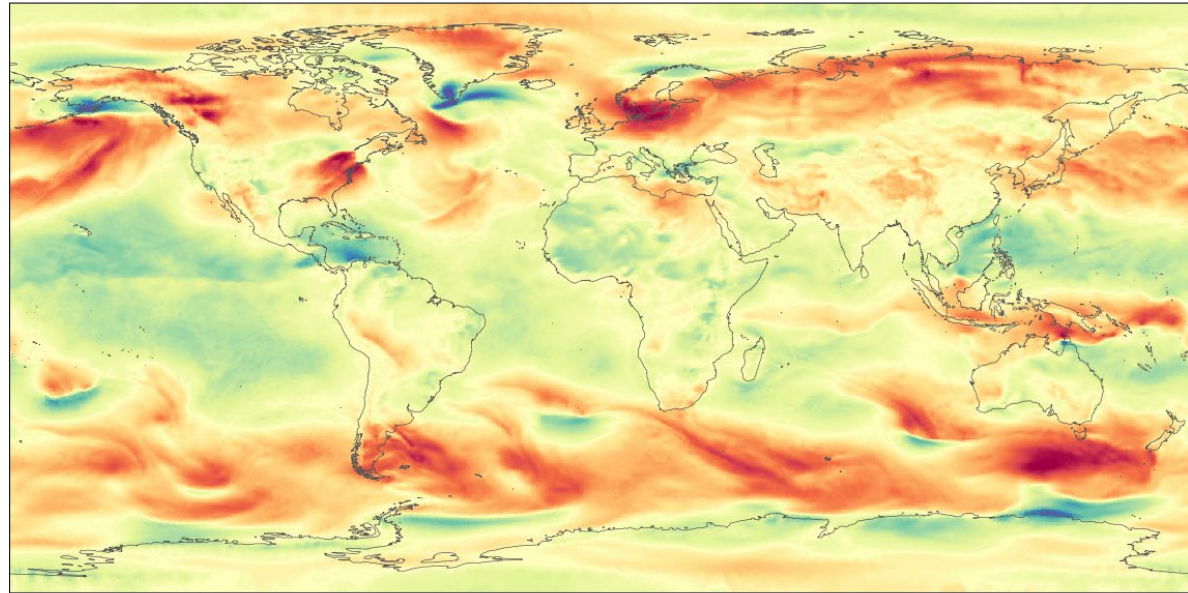
# Data Assimilation at DWD – Status



# Data Assimilation at DWD – Status



- Developments show benefits of AI-based models
  - Higher performance and better forecast quality
- AICON
  - AI model for ICON
  - Global and LAM configurations in development

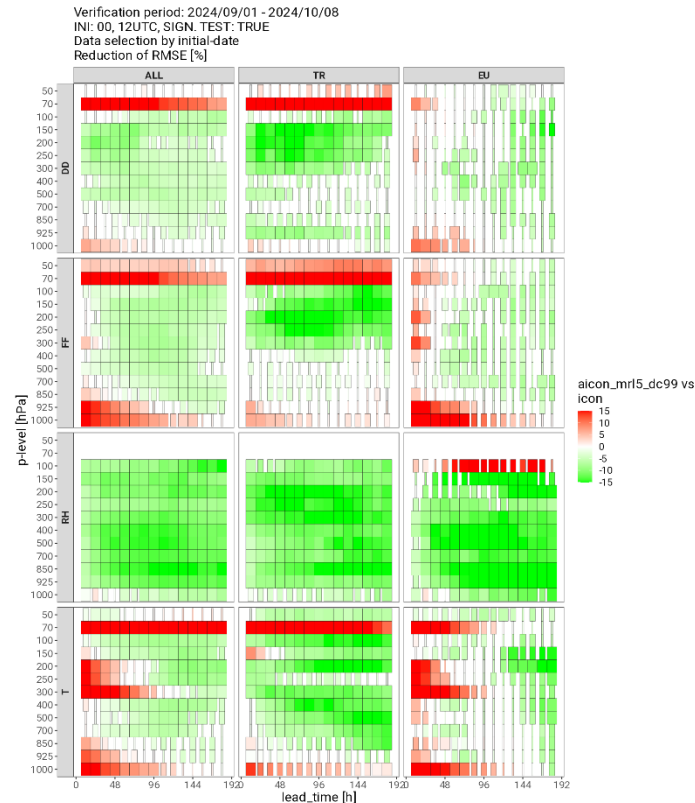
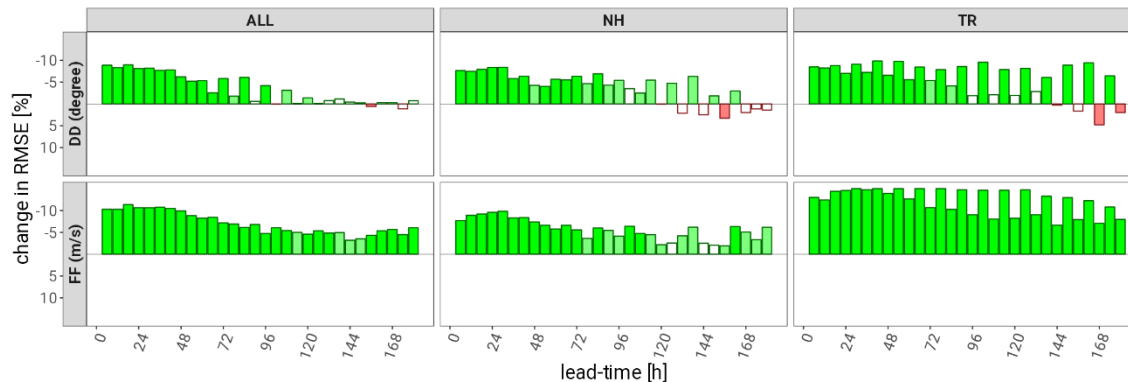


# AICON – DWD's AI-based NWP model

- AICON global (development version)  
performance against operational ICON

Forecasts initialized from 2024/09/01 to 2024/10/08  
Reduction of RMSE [%], INI: 00, 12UTC, SIGTEST: TRUE

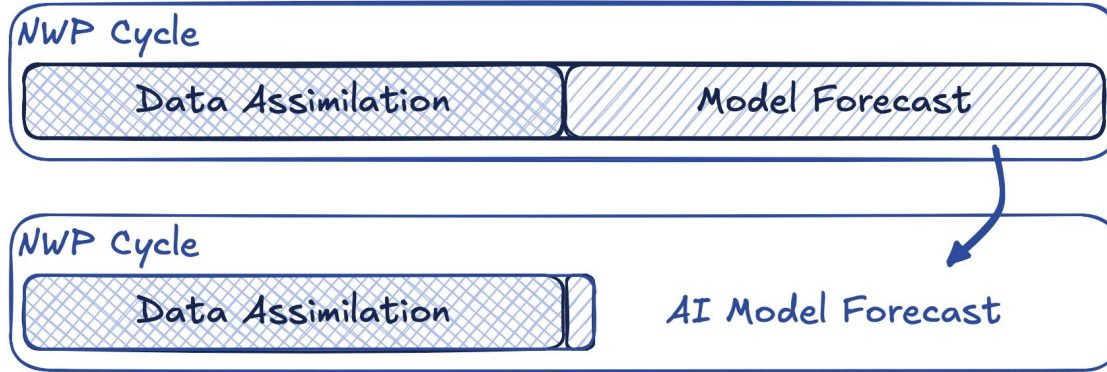
■ aicon\_mrl5\_dc99 better ■ icon better Significance 0.00 0.25 0.50 0.75 1.00



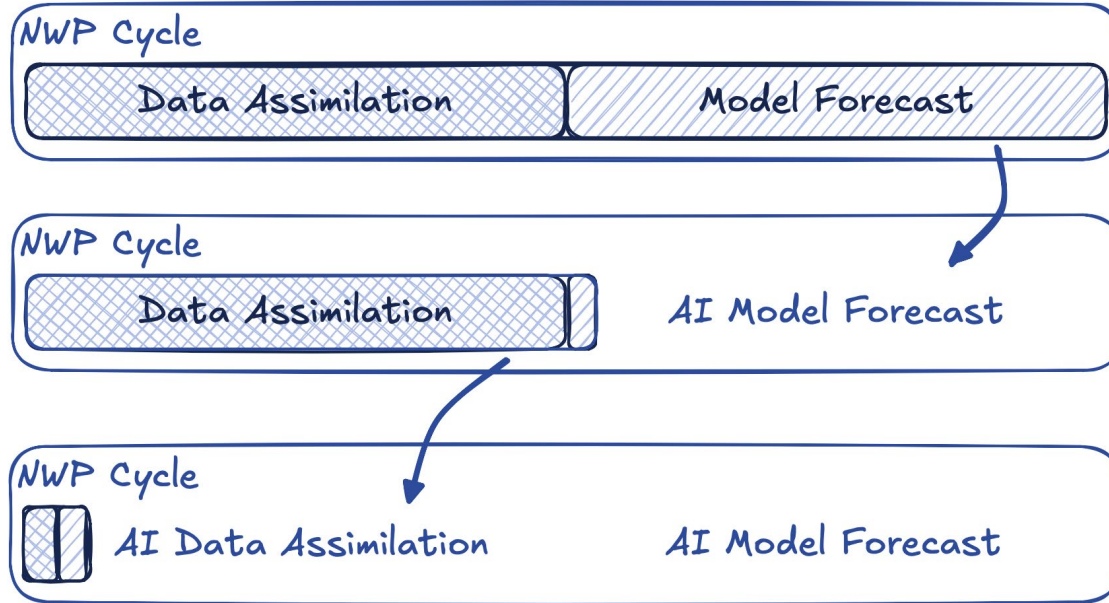
# AI in the NWP cycle



# AI in the NWP cycle

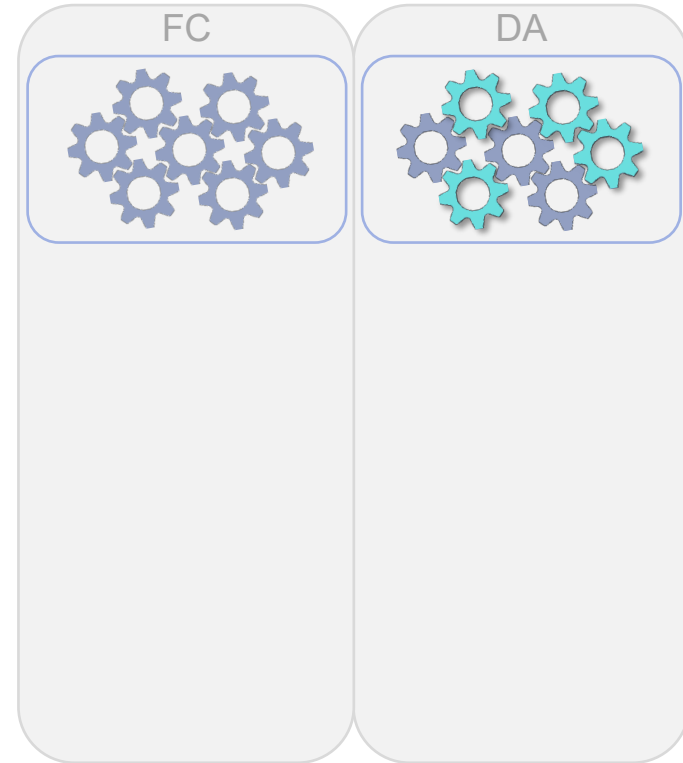


# AI in the NWP cycle





- Approaches in AI-based data assimilation
  - Substituting / adding modules in the DA system
    - bias correction
    - observation operators



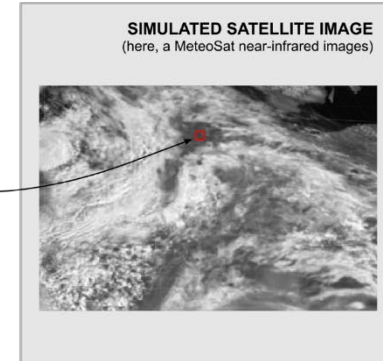
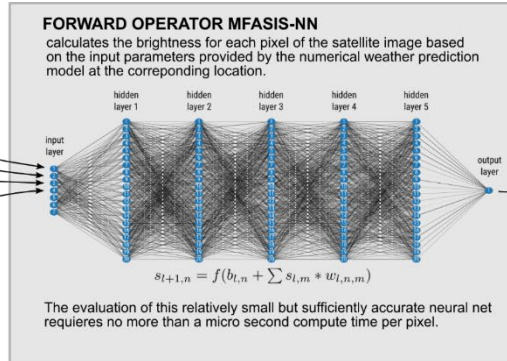
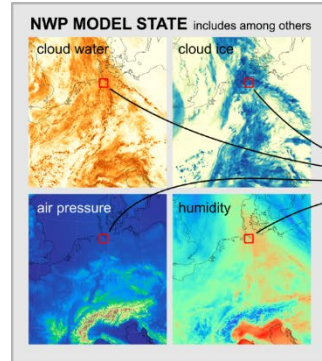
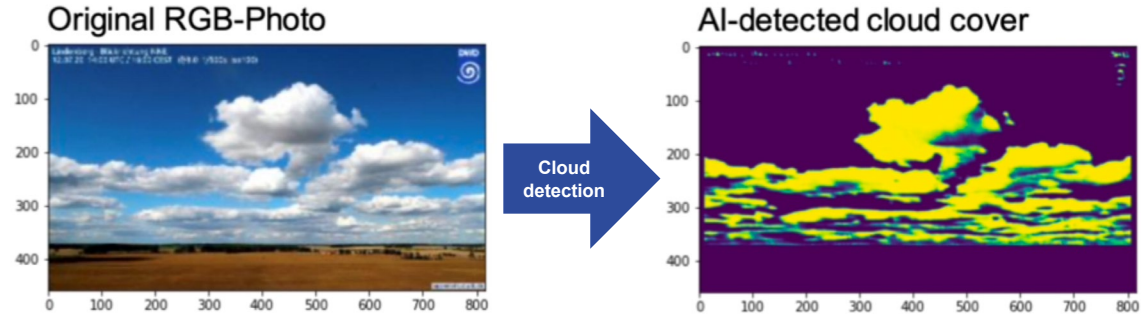
- AI components implemented and tested in DA at DWD

- Forward operators for cloud cameras

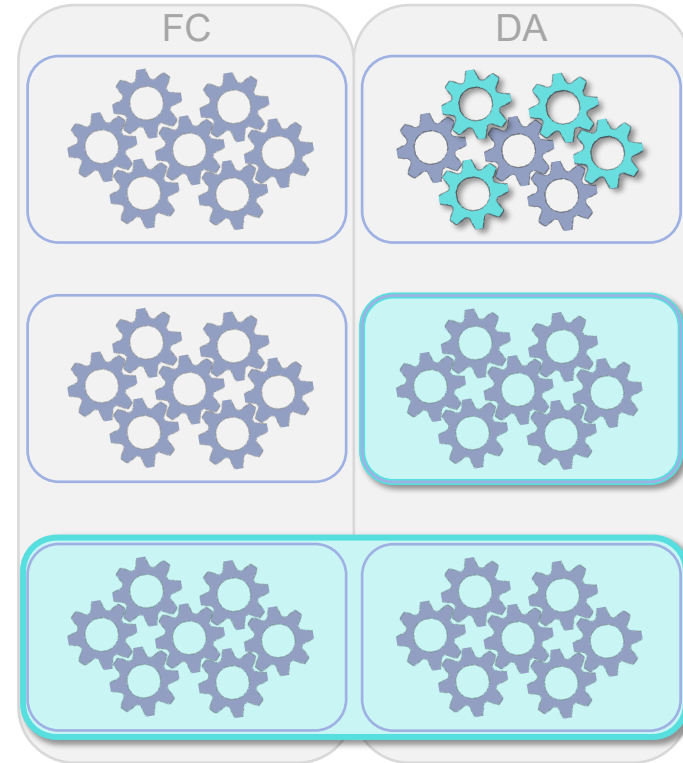
- Radiative transfer accelerator

MFASIS

- integrated into RTTOV



- Approaches in AI-based data assimilation
  - Substituting / adding modules in the DA system
    - bias correction
    - observation operators
  - Learning the data assimilation step
    - Learn the mapping from forecast / observations to analysis (increments)
  - End-to-End approach
    - Integration of DA and forecasting into one model using observations for initialization



- AI-Var as a basis for DWD's AIDA framework
- How does it work?
  - Common AI approach would train on  
input: forecast (background  $x_\xi^b$ ) and observations ( $y_\xi$ )  
target: analysis ( $x_\xi^a$ )
  - Training data would be sampled from

$$\mathcal{S}_1 := \{s_\xi = (y_\xi, x_\xi^b, x_\xi^a), \quad \xi = 1, \dots, n_t\}$$

and the model would train using the loss function

$$l = (\hat{\mathbf{x}} - \mathbf{x}^a)^2$$

- However, in AI-Var, we learn the DA functional itself  $f(x^b, y) \mapsto x^a$
- Training data now samples only from

$$\mathcal{S}_2 := \{s_\xi = (y_\xi, x_\xi^b), \quad \xi = 1, \dots, n_t\}$$

- Data assimilation cost function is injected into the training as loss function

$$l = (\hat{\mathbf{x}} - \mathbf{x}^b)^T \mathbf{B}^{-1} (\hat{\mathbf{x}} - \mathbf{x}^b) + (\hat{\mathbf{y}} - \mathbf{y})^T \mathbf{R}^{-1} (\hat{\mathbf{y}} - \mathbf{y})$$

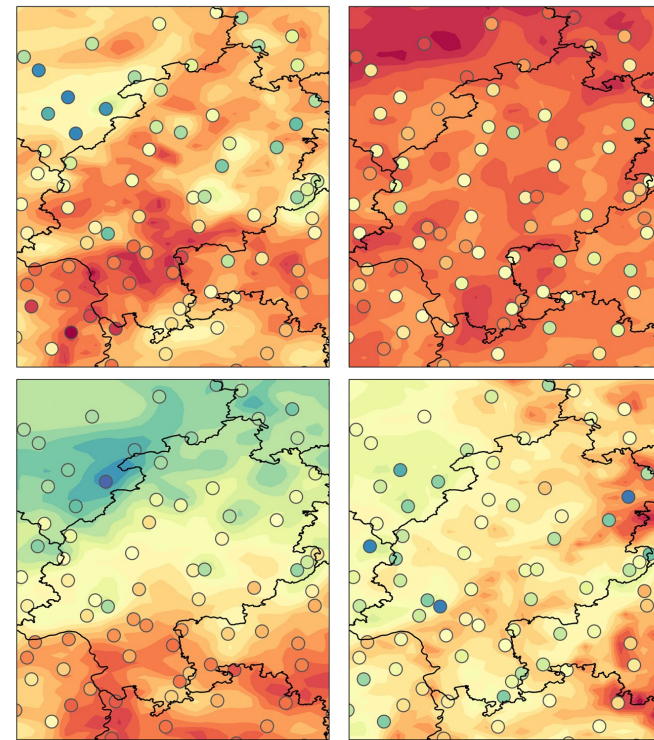
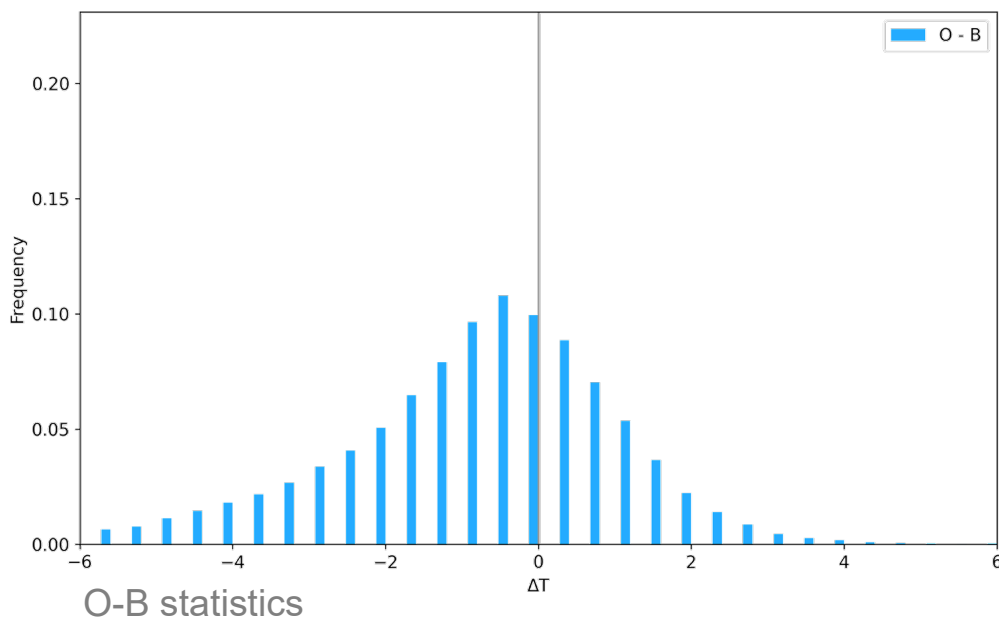
→ Loss penalizes deviations from first guess as well as observed data

- Further details and results can be found in  
Keller and Potthast (2024, <https://arxiv.org/abs/2406.00390>)



# The AI-Var approach

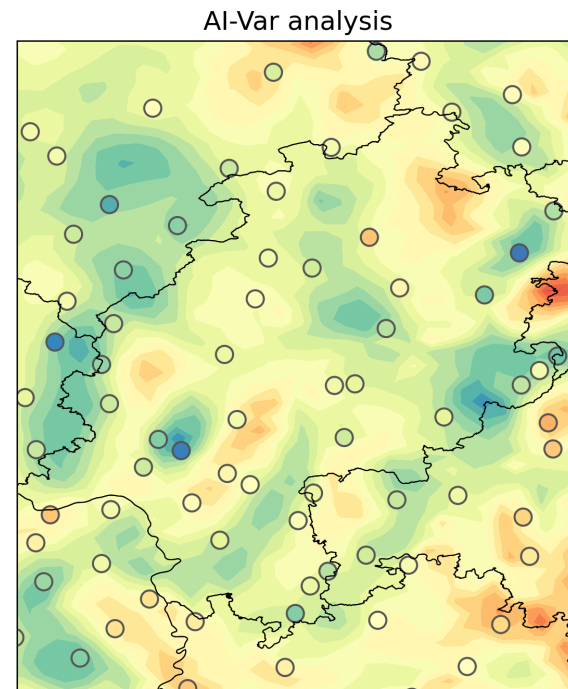
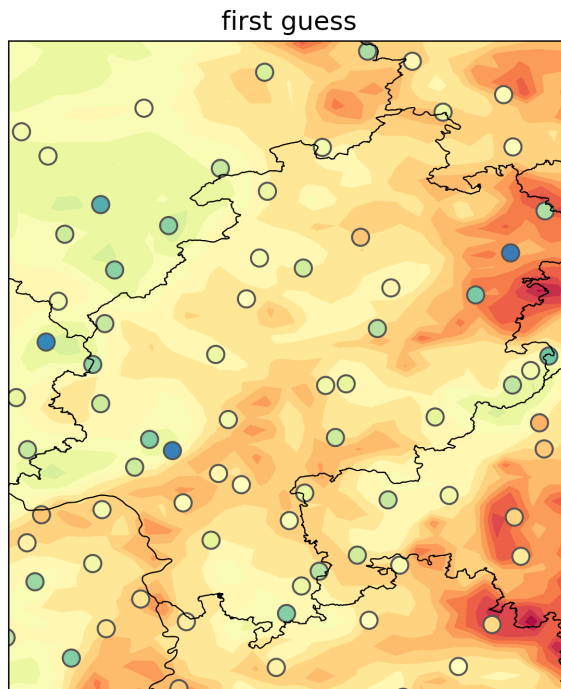
- Example of T2M assimilation with the old COSMO-REA6 reanalysis as first guess



Example T2M fields with  
corresponding observations

# The AI-Var approach

- Input for AI training
  - Observations:  
SYNOPSIS T2M
  - First guess:  
T2M from  
COSMO-REA6

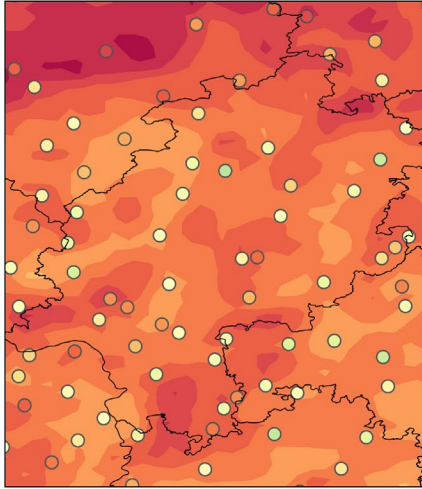




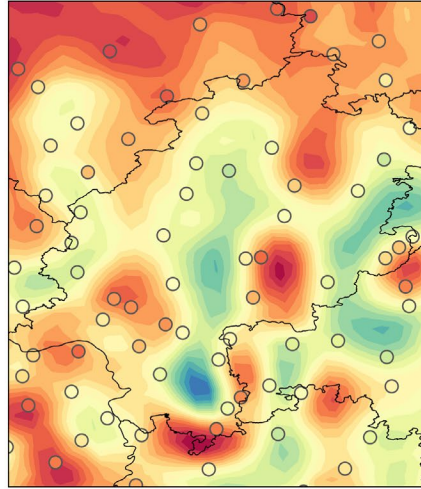
# The AI-Var approach

- Further examples

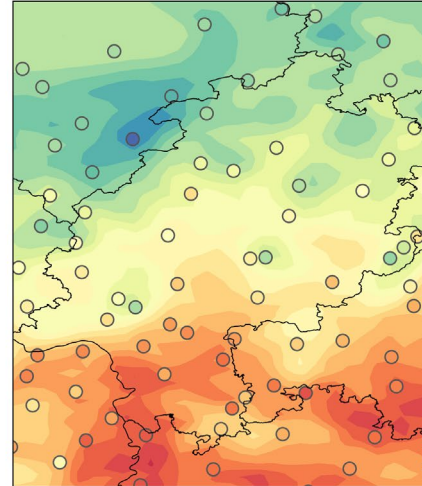
first guess



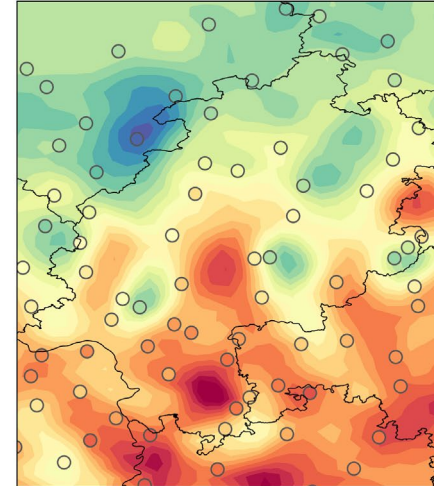
AI-Var analysis



first guess

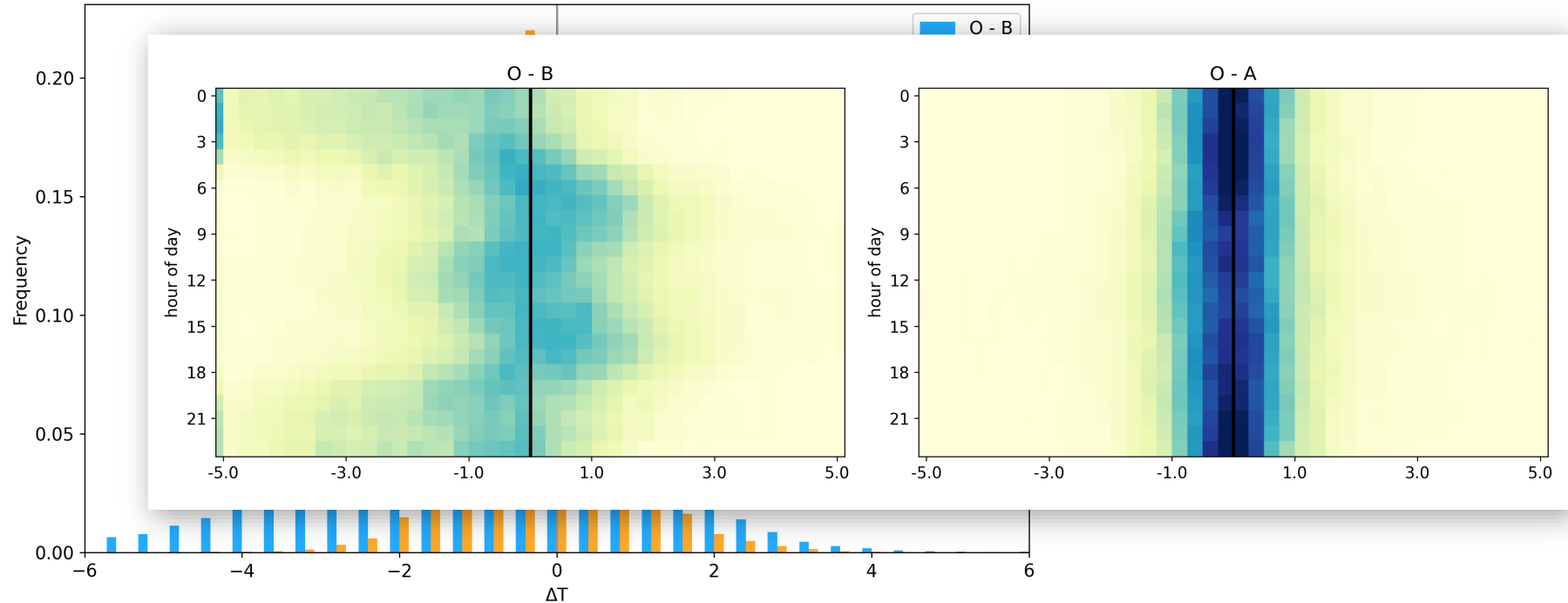


AI-Var analysis



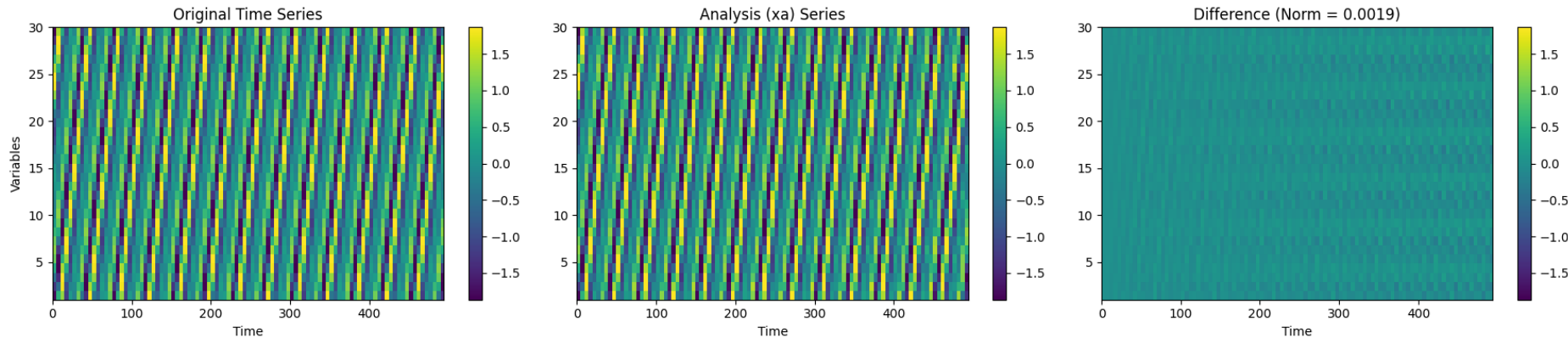
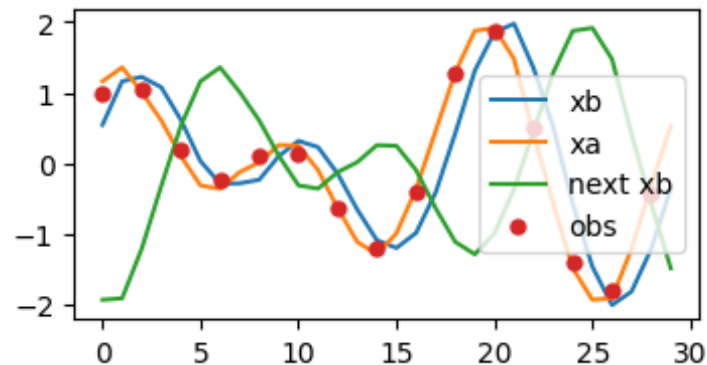


## ■ Evaluation



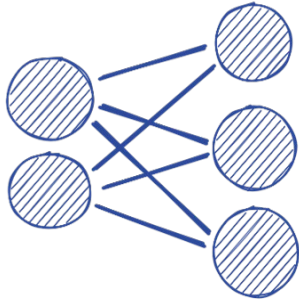
# The AI-Var approach

- Cycling with AI-Var
  - DA cycle was implemented and tested with Lorenz96

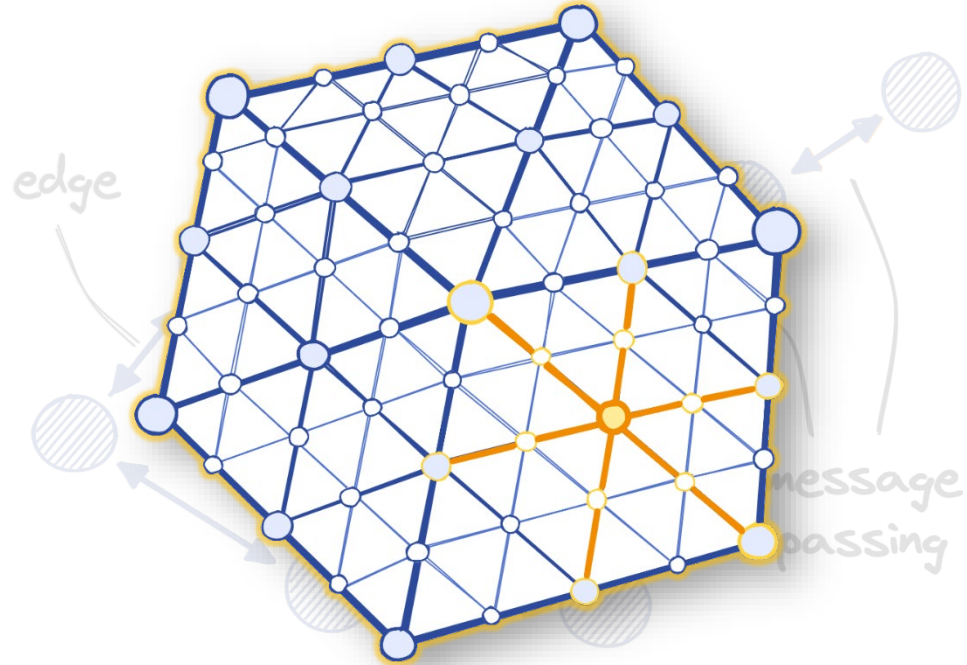


- Building a general framework for AI-based data assimilation → AIDA
  - Flexibility of
    - first guess structure (horizontal or vertical grid)
    - observation types
  - Built on a Graph Neural Network (GNN) architecture
  - First guess:  
T2M reanalysis

- The GNN approach



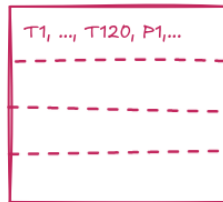
Fully connected  
Neural Network



Graph Neural Network

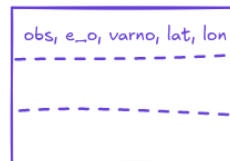
- Observations in the GNN
  - Information on the nodes through vector of data
    - model data
    - meta data
  - Observations
    - can be added to vector, e.g., nearest neighbor
    - can be added as separate nodes in graph

first guess



$n_{\text{grid}} \times n_{\text{layer}}$

observation

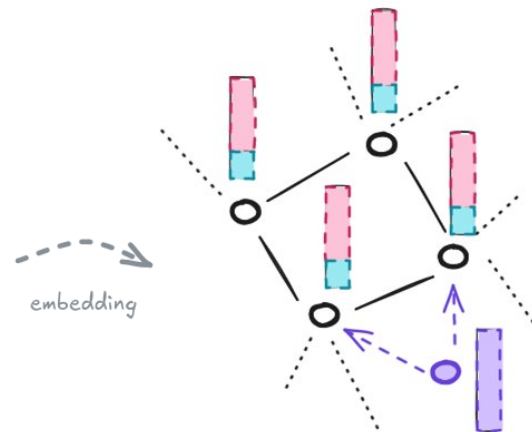


$n_{\text{obs}} \times n_{\text{obs\_meta}}$

meta data

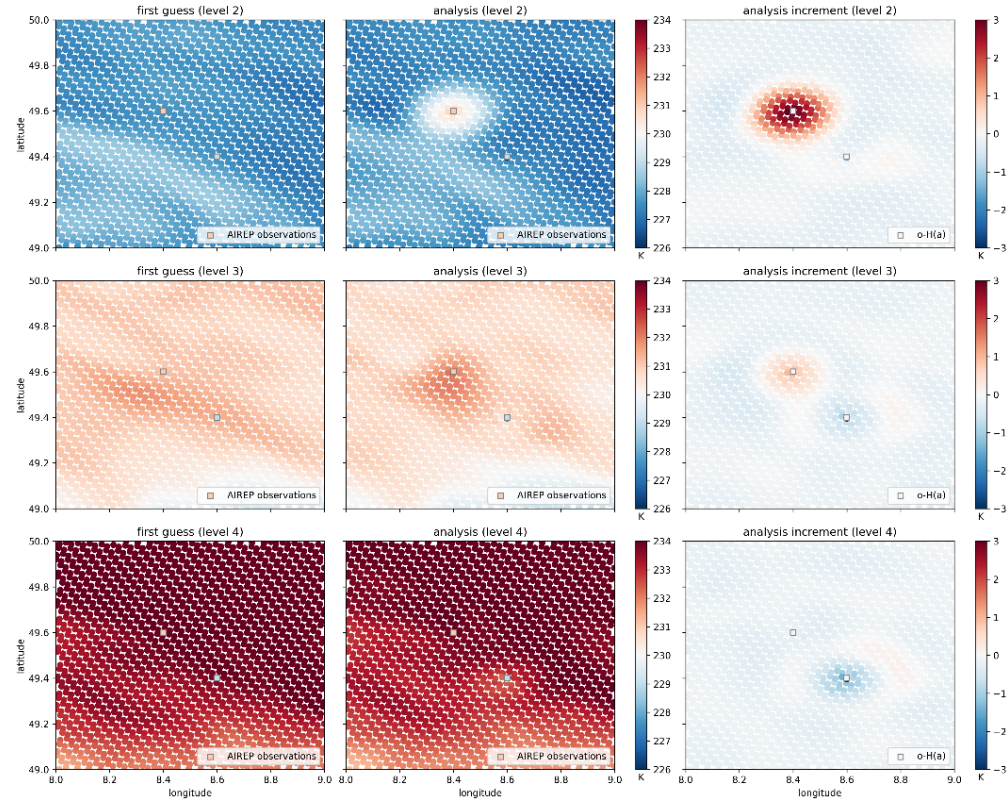


$n_{\text{meta}}$



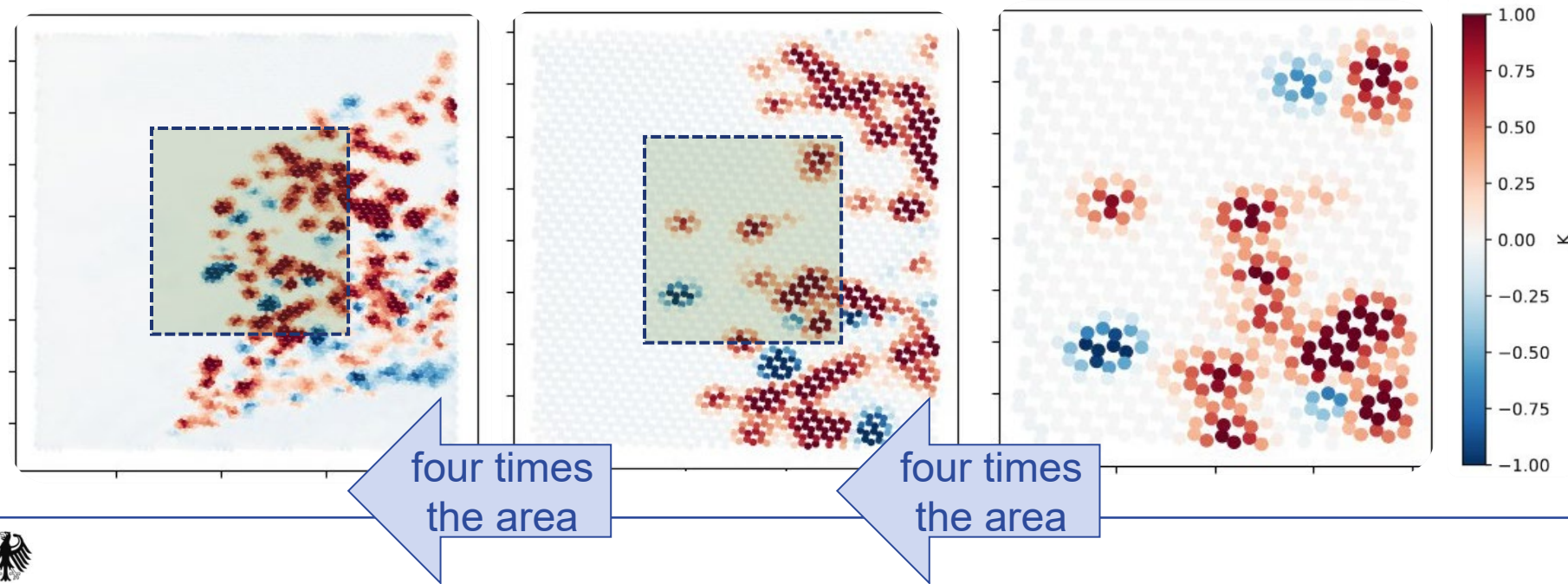
# From AI-Var to AIDA

- Test case AIREP assimilation



- Code has to be reimplemented - not an easy task
- Methods have to be reviewed and adapted
  - Observation operators
    - Conventional implemented
    - RTTOV also works for specific cases
  - Error covariances
  - Bias correction
  - Quality control
  - Localization

- Currently the main challenge: Scalability
  - At the moment the setup has a maximum number of grid points of 70.000 on 8xA100 GPUs



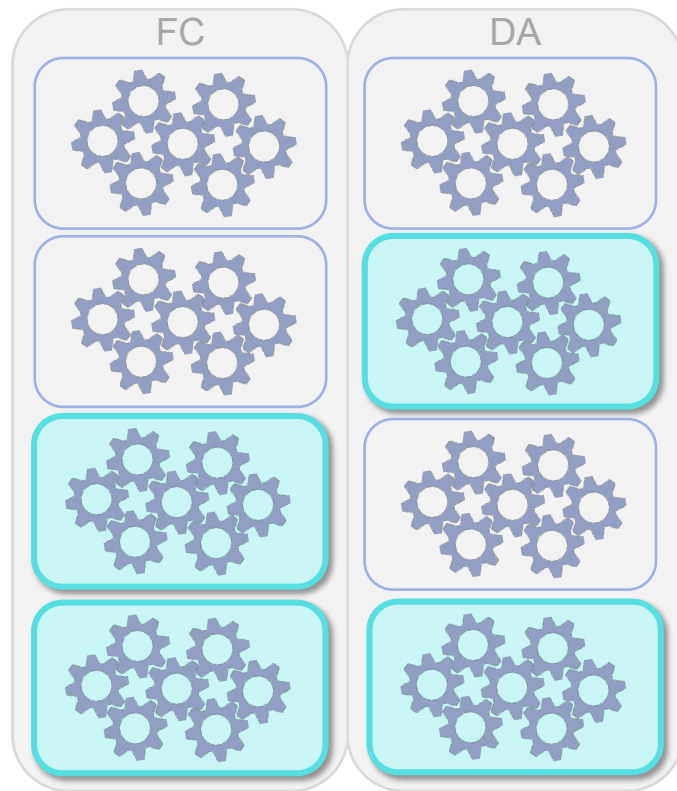


- Possible applications
  - AI-based NWP
  - Ultra rapid data assimilation
  - Reanalysis
  - Post-processing
- Framework is also intended as the backbone for tempo-spatial AI applications in DWD's AI development unit

# Plans for DWD's future DA

- Modular approach to allow for various combinations

- Physics-based model - classical DA
- Physics-based model – AI-based DA
- AI-based model - classical DA
- AI-based model - AI-based DA

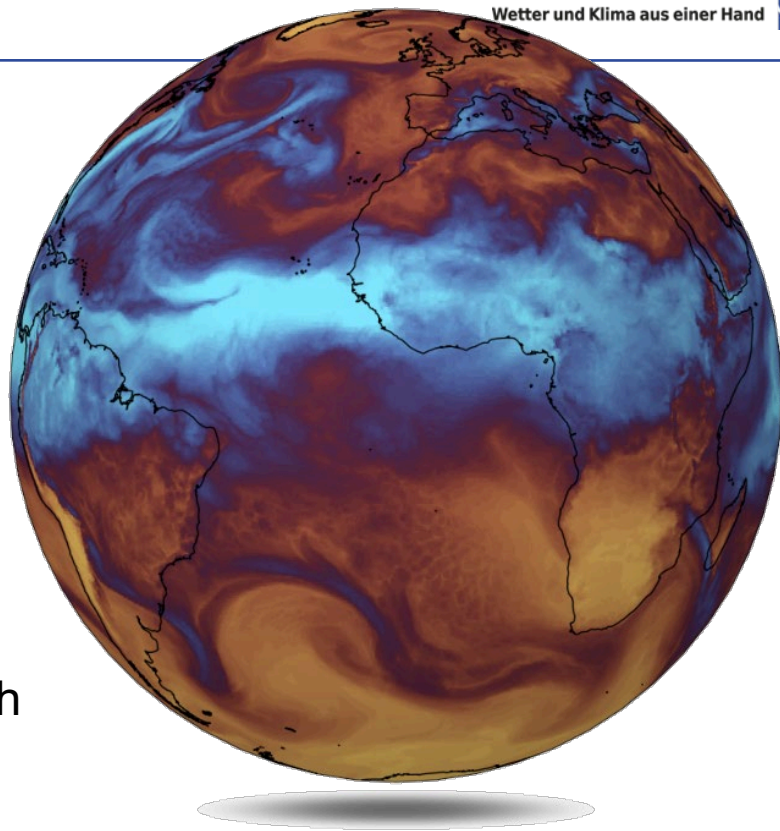


- ICON-DREAM

- Global reanalysis (13km) with additional refinement over Europe (6.5km)
- 20 member ensemble
- 15 years produced (2010-2024) with back-extension to 1978 in progress

- ICON-FORCE

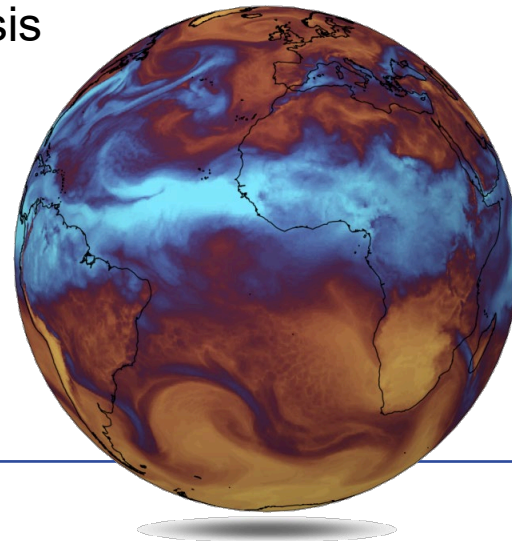
- Reanalysis for Central Europe (2km) with 20 member ensemble
- Aimed at a 10 year period (2016-2025)



Vertically integrated water vapor

- How will we produce new reanalysis data?
  1. Model resolution can be „easily“ increased for physical models  
→ Downscaling
  2. AI-based model will be trained on downscaled data (emulator for the model)
  3. AI model and AI data assimilation to create a reanalysis
  4. AI-based model will be trained on reanalysis data
  5. ...

→ Iterative approach



- Building an AI-based data assimilation framework together with an AI NWP model
  - Based on the AI-Var approach
- Enabling a fast data assimilation cycle and quick production of forecasts
  - Preferably with a higher forecasts quality
- Higher frequency allows for an ultra rapid data assimilation (URDA)
  - New forecasts possible every 15, 10 or even 5 minutes?
  - Important in severe weather situations
  - Blending of NWP and nowcasting through AIDA
- SynCast – AIDA-based platform for merging various data sets into one

- What about estimating background error covariances?
  - Will we use huge ensemble?
  - Will we use ensembles at all?
- How to generate ensembles?
  - Initial condition uncertainty, model uncertainty
- Which parts of the NWP chain will be replaced?
- Is there still the need for classical modelling / DA?

# DWD's vision for future NWP: A fully data-driven data assimilation approach

**Jan Keller**

Roland Potthast, Thomas Deppisch and the AI development team at DWD

