

# The detection and assimilation of cloud-affected infrared satellite radiances

ECMWF/EUMETSAT NWP-SAF Satellite Data Assimilation  
Training Course

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Tony McNally, Reima Eresmaa, **Chris Burrows**, Ethel Villeneuve

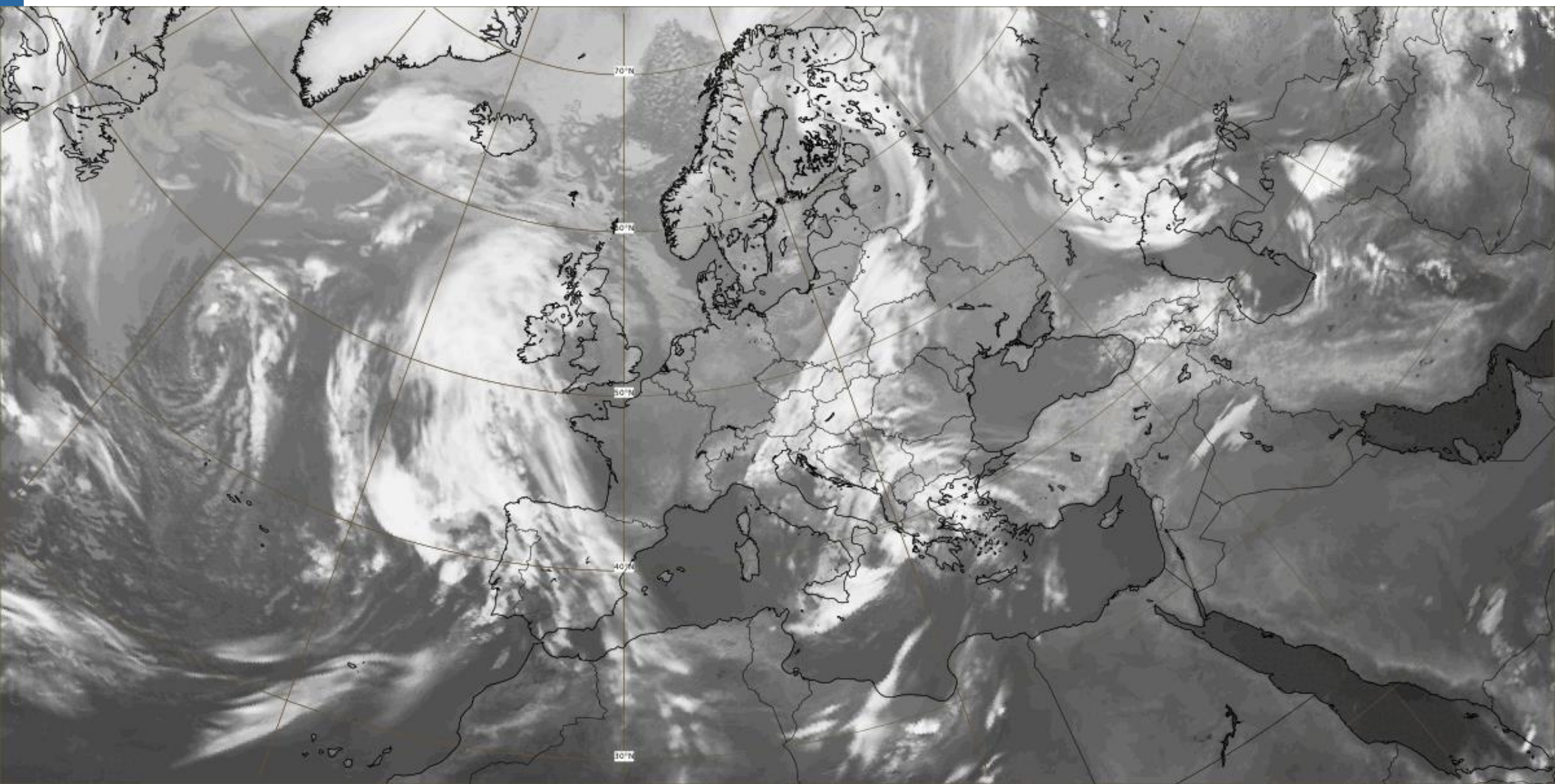
[chris.burrows@ecmwf.int](mailto:chris.burrows@ecmwf.int)



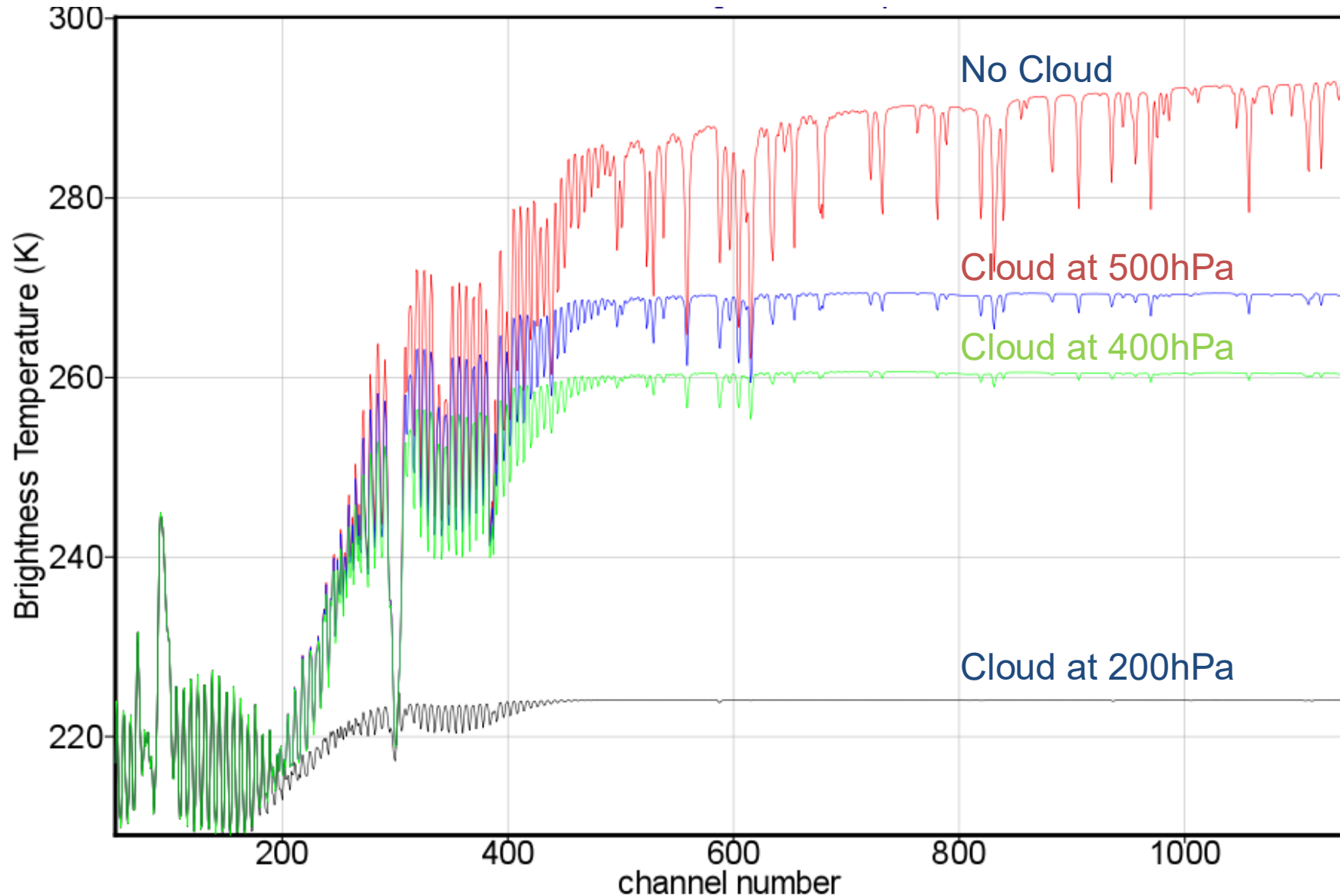
# Outline

- **The effect of cloud on infrared radiances**
- **Cloud detection/rejection methods:**
  - simple departure checks
  - co-located imager information
  - pattern recognition
  - hybrid approach
  - machine learning
- **“All-sky” infrared assimilation:**
  - simplified approach
  - recent progress

# The detection and assimilation of clouds in IR radiances



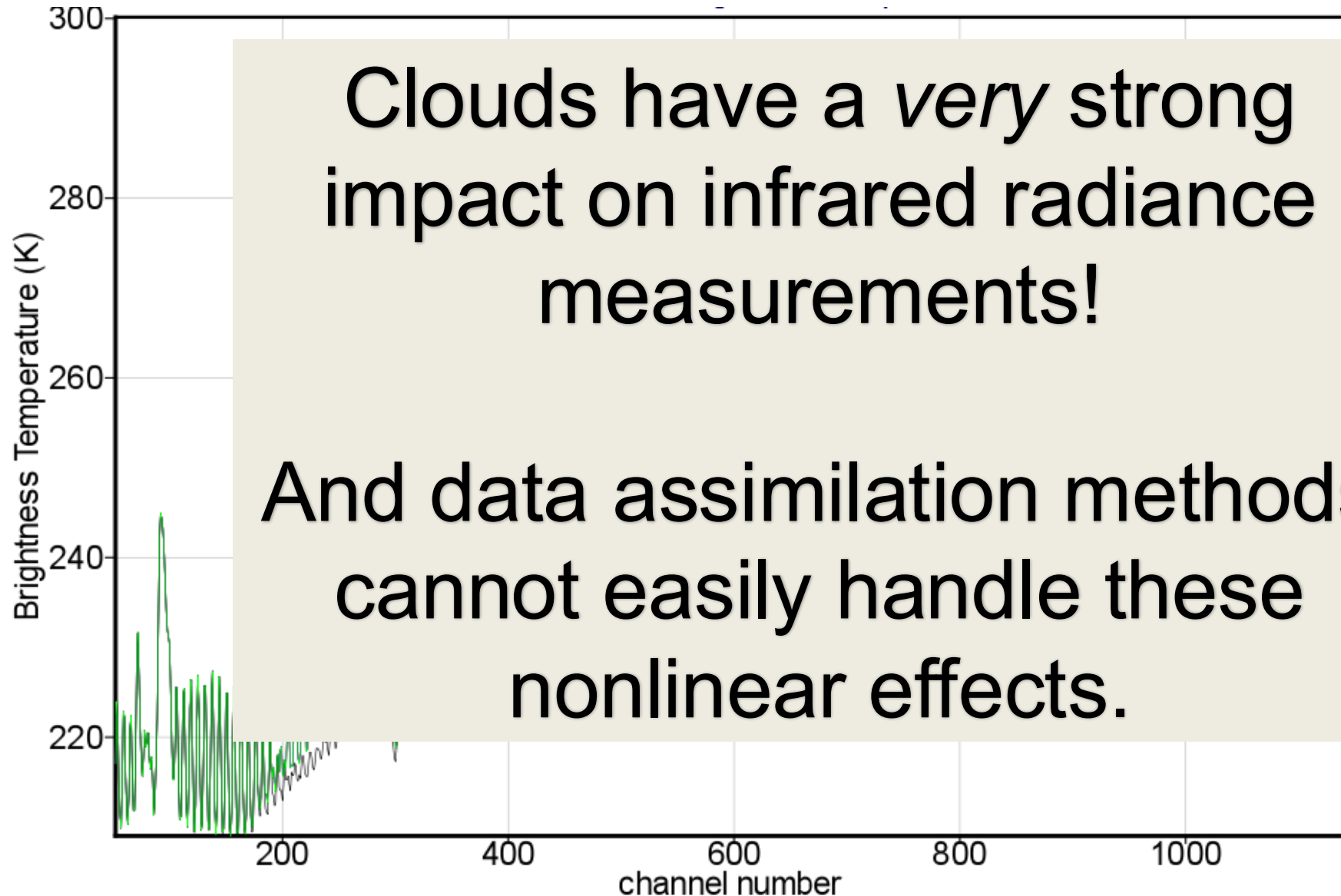
# IR spectra with (and without) clouds



Channels sensitive to the surface in clear scenes.

Same channels are strongly sensitive to cold high clouds!

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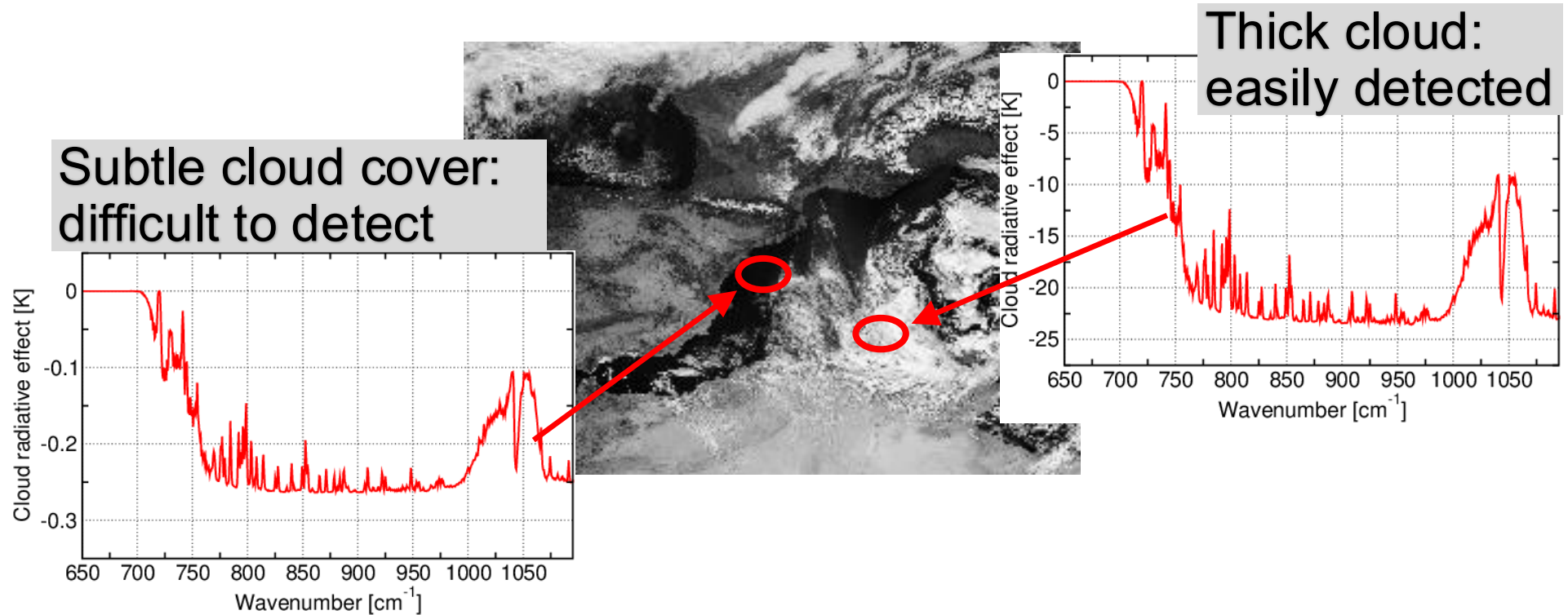
# Big question: How should we handle clouds when assimilating infrared radiance observations??

- **Option 1:** **detect and reject** cloud-contaminated observations.
- **Option 2:** **Explicitly estimate** cloud parameters from the radiances within the data assimilation (T, Q, O3 etc)

# Big question: How should we handle clouds when assimilating infrared radiance observations??

- **Option 1:** **detect and reject** cloud-contaminated observations.
- **Option 2:** Explicitly estimate cloud parameters from the radiances within the data assimilation (T, Q, O<sub>3</sub> etc)

# It is not trivial to get the cloud detection right in some IR sounder footprints



There is a “grey zone” where radiative effect of cloud is comparable with meteorological signals we’re looking for. Care is needed here, or the analysis can be degraded!

## Note!

For this section, most simulated observations use cloud-free model information.

So, cloudy scenes in the observations will (in general) look colder than the model equivalents.

# Cloud detection methods



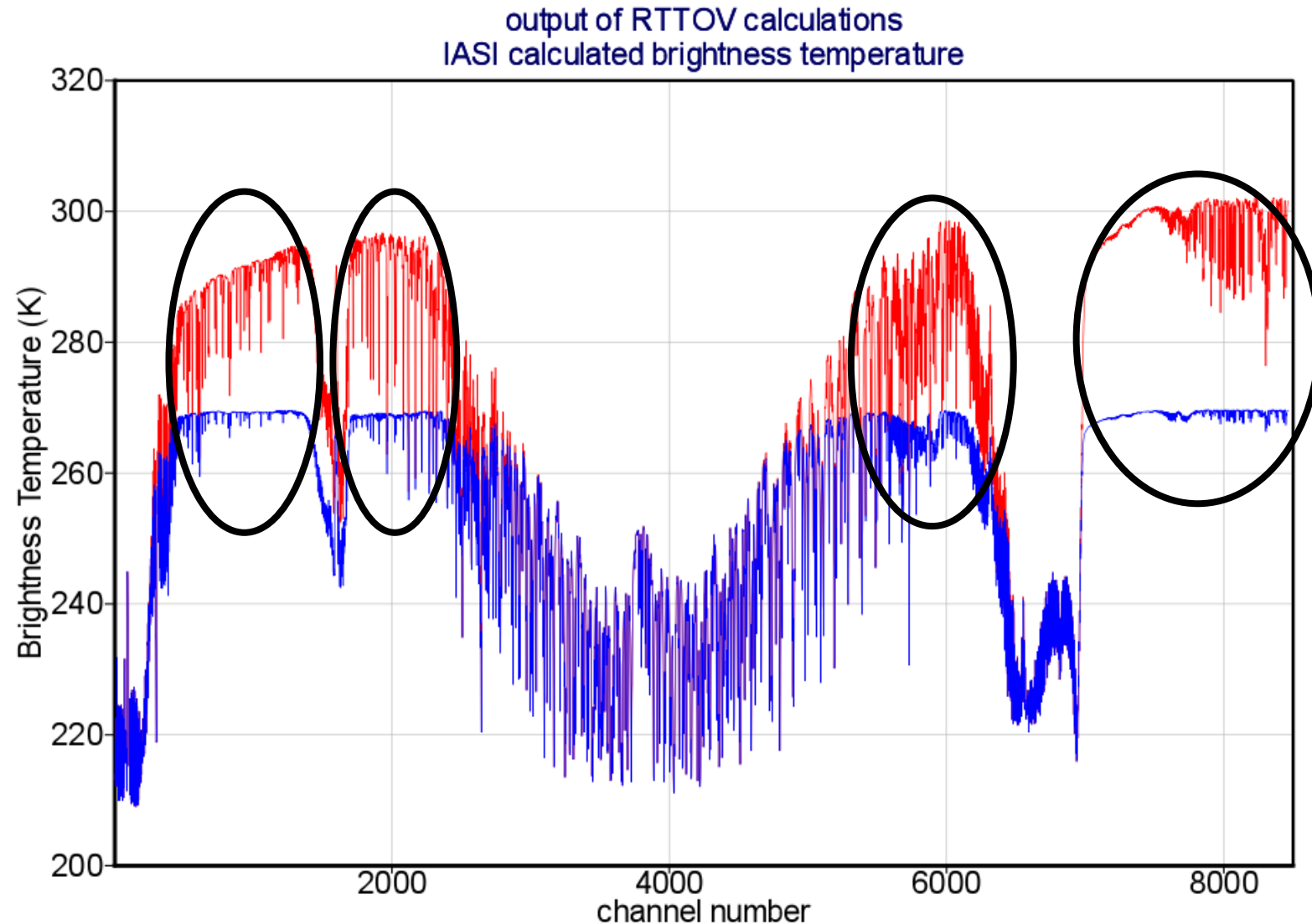
- Window channel departure (O-B) checks
- Co-located imager checks
- Pattern recognition algorithms
- Hybrid systems

# Cloud detection methods



- Window channel departure (O-B) checks
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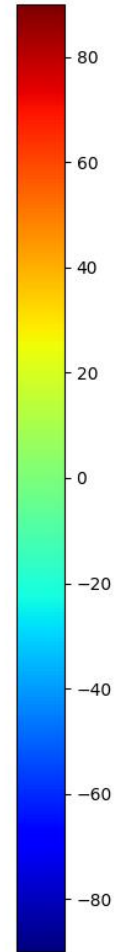
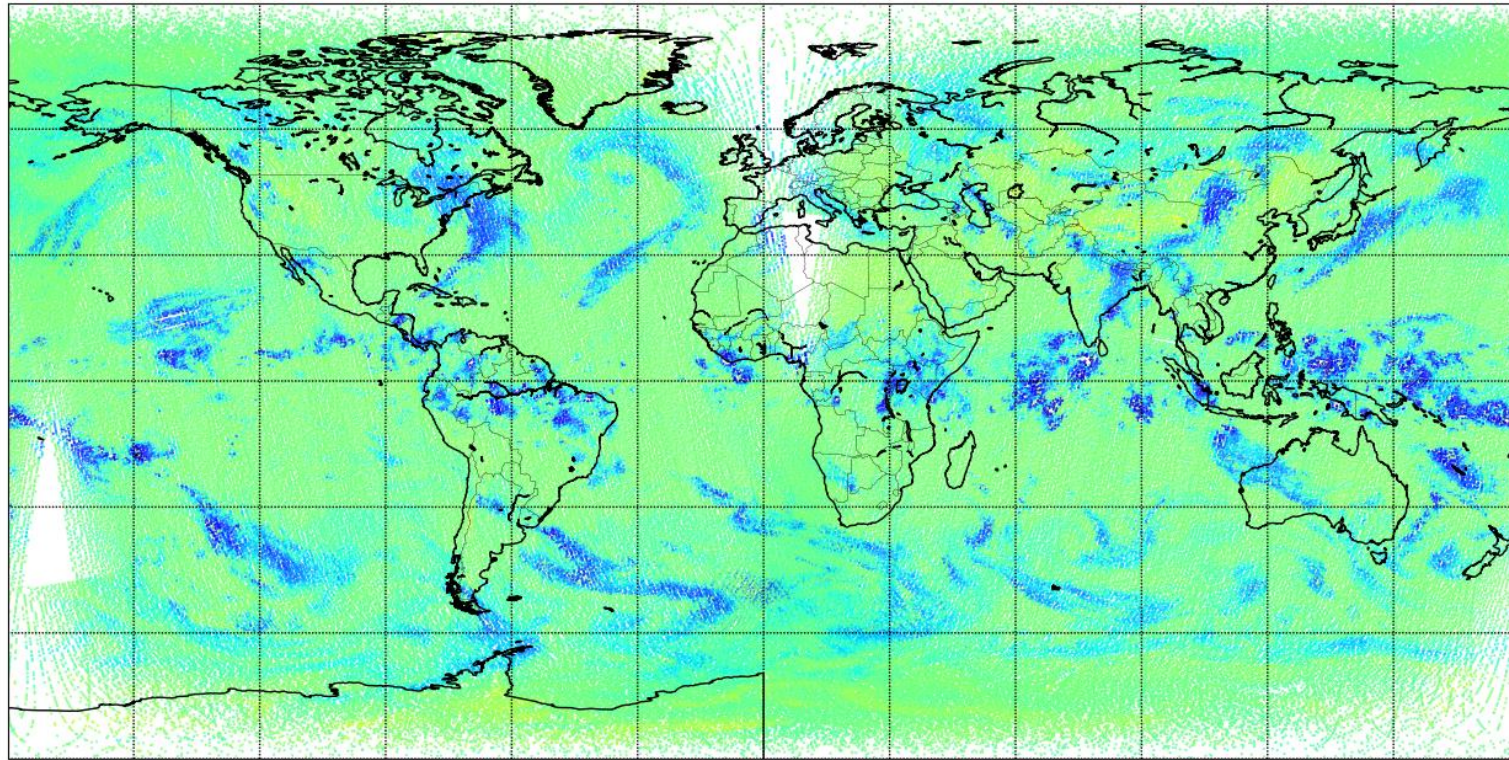
# Window channels have the highest sensitivity to cloud



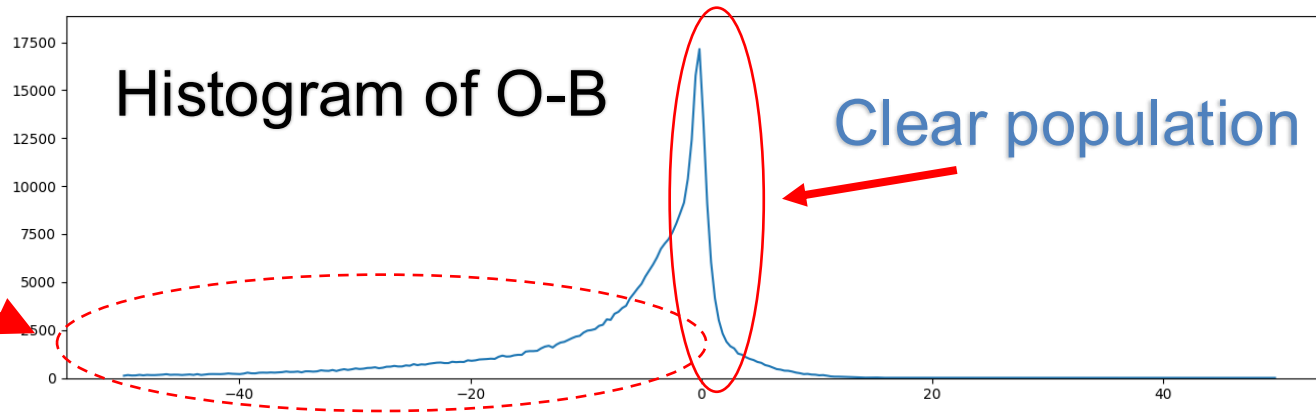
This is the classic spectral signature of the presence of cloud.

# Observed radiance at 11 $\mu\text{m}$ minus radiance calculated from background in *clear sky* (K)

Obs minus clear sky simulations



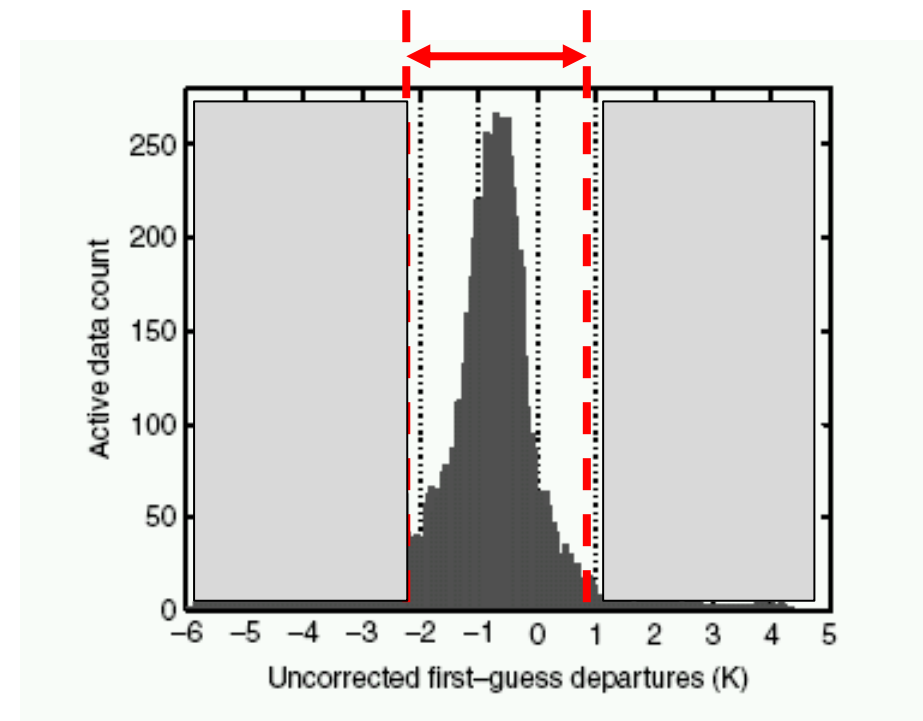
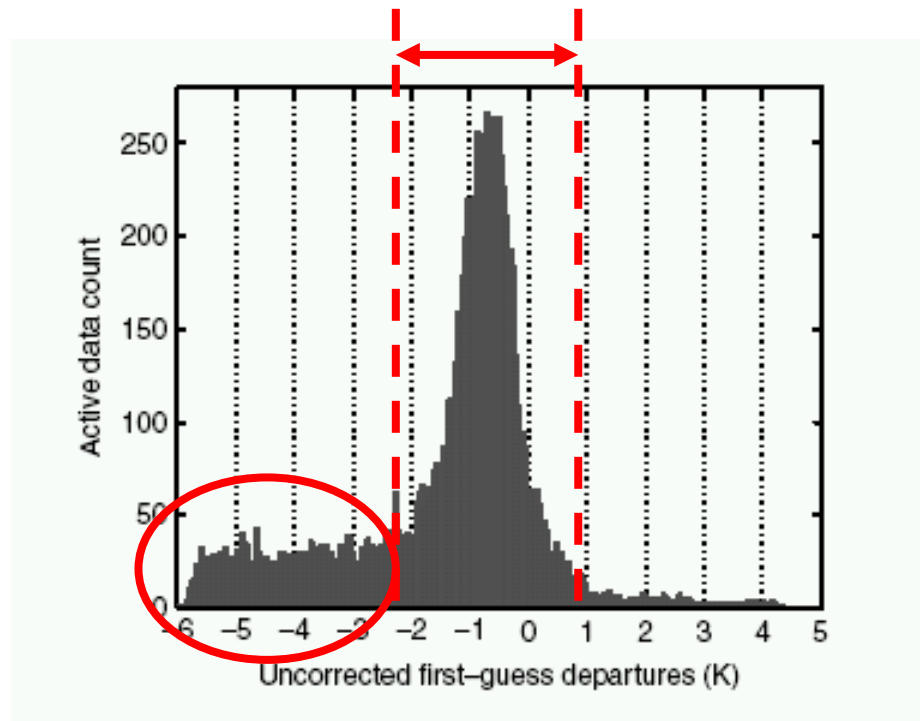
Cold departures indicating cloud contamination in obs. The “cold tail”.



# Simple window channel departure check

$$\Delta BT_{thresh1} < (y_{obs} - H(x_{clear})) < \Delta BT_{thresh2}$$

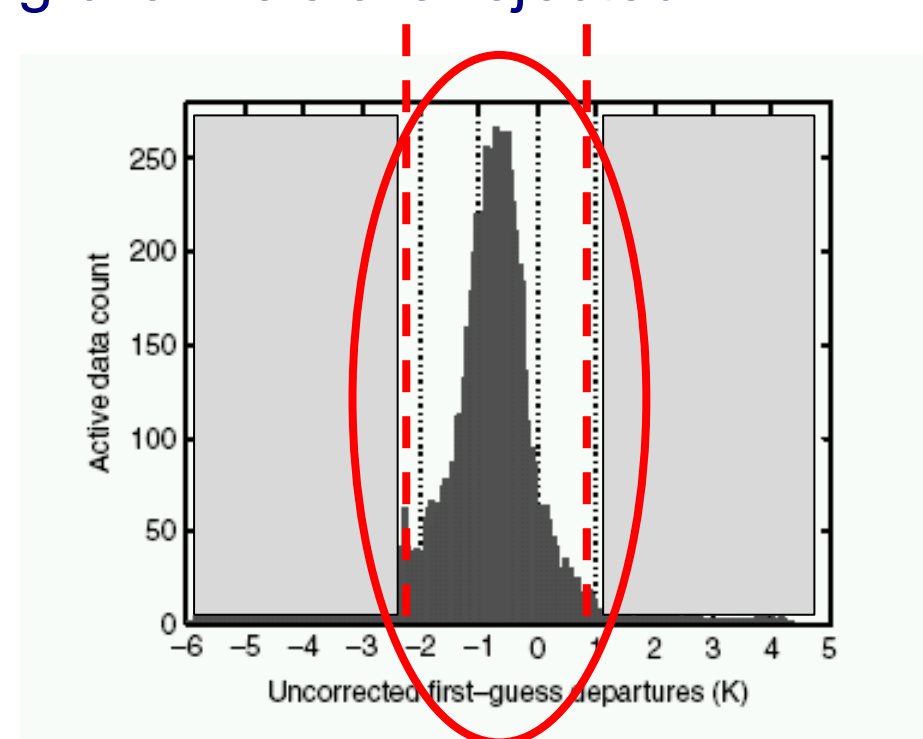
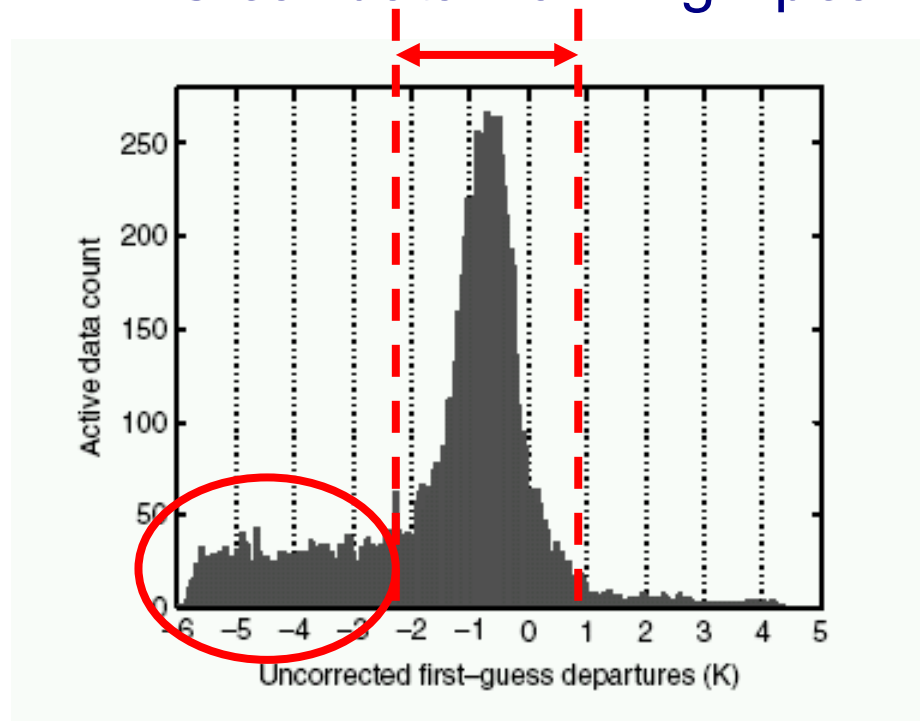
RT operator



# Simple window channel departure check

Removes most cloud-affected obs. However.....

- Some cloud contamination remains in the “**grey zone**”.
- The resulting histogram is very **non-Gaussian**.
- Clear data from high-peaking channels are rejected.

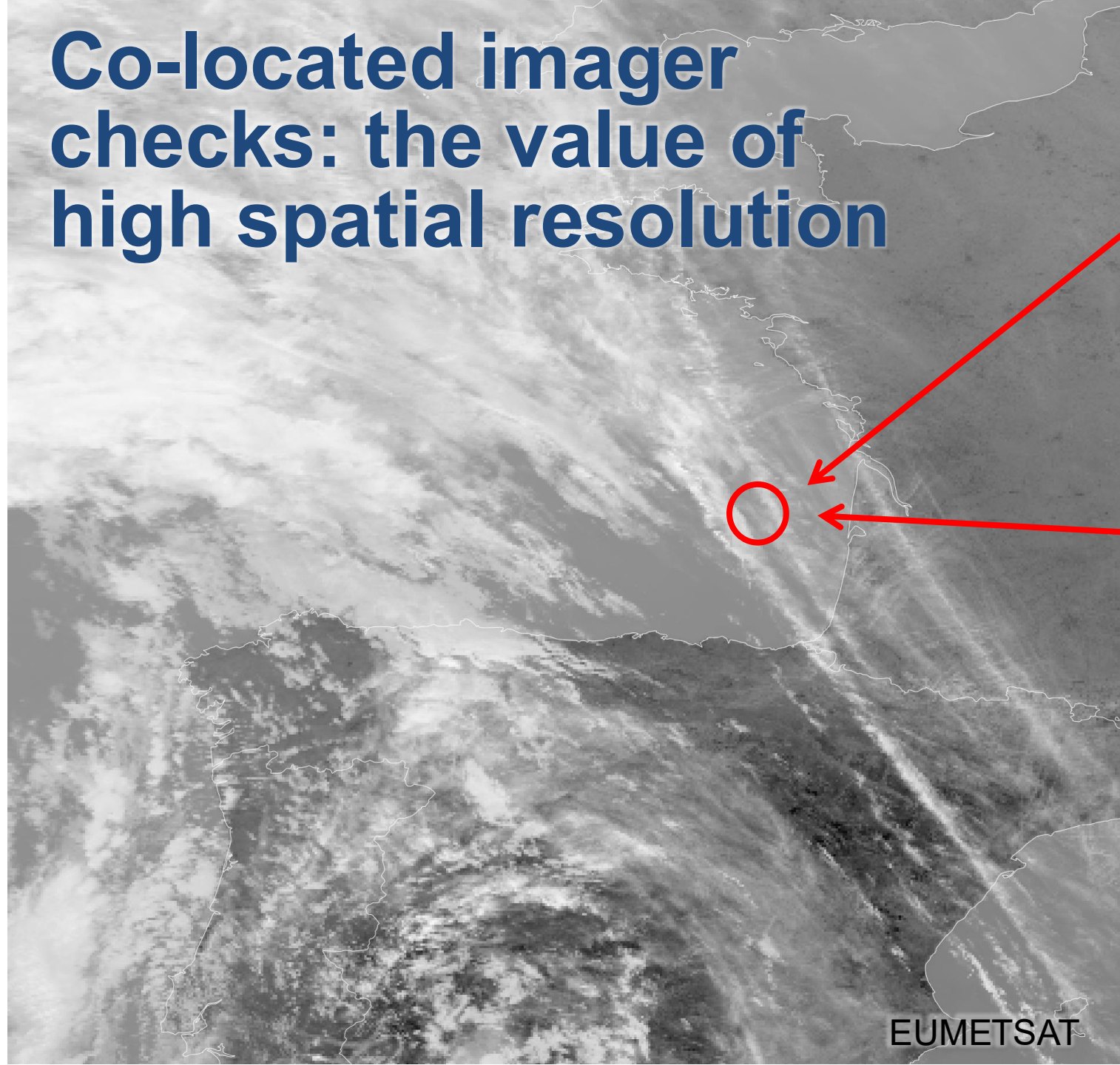


# Cloud detection methods

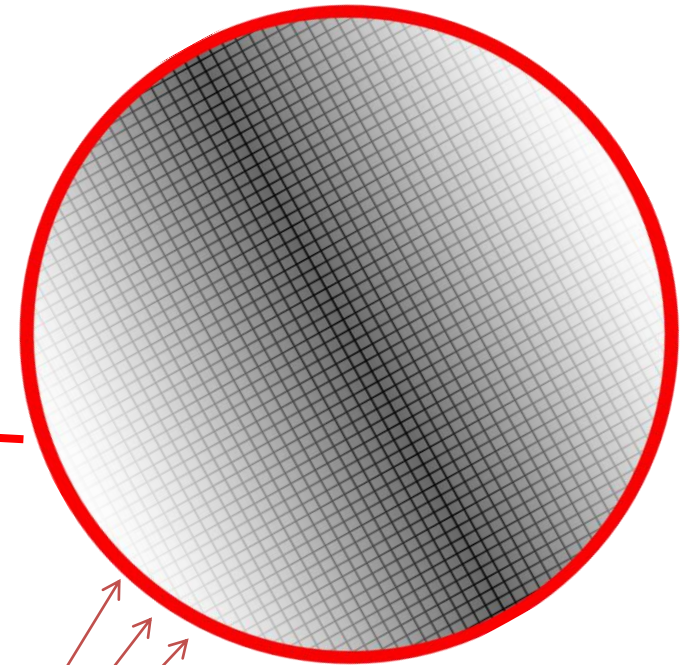


- Window channel departure (O-B) checks
- Co-located imager checks
- Pattern recognition algorithms
- Hybrid systems

# Co-located imager checks: the value of high spatial resolution



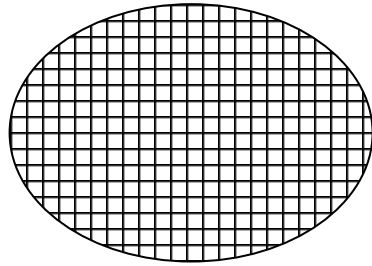
IASI (or CrIS) field of view ~10 km



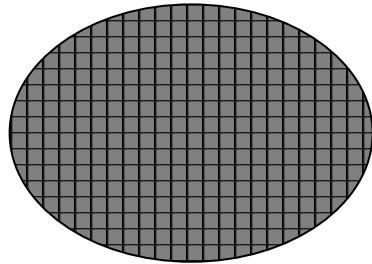
AVHRR (or VIIRS) imager pixels ~1 km

EUMETSAT

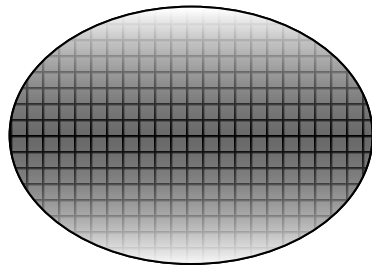
We can evaluate the mean and variance of Tb imager values inside the sounder field of view



**Homogenous cloudy**  
(low mean Tb, low variance)

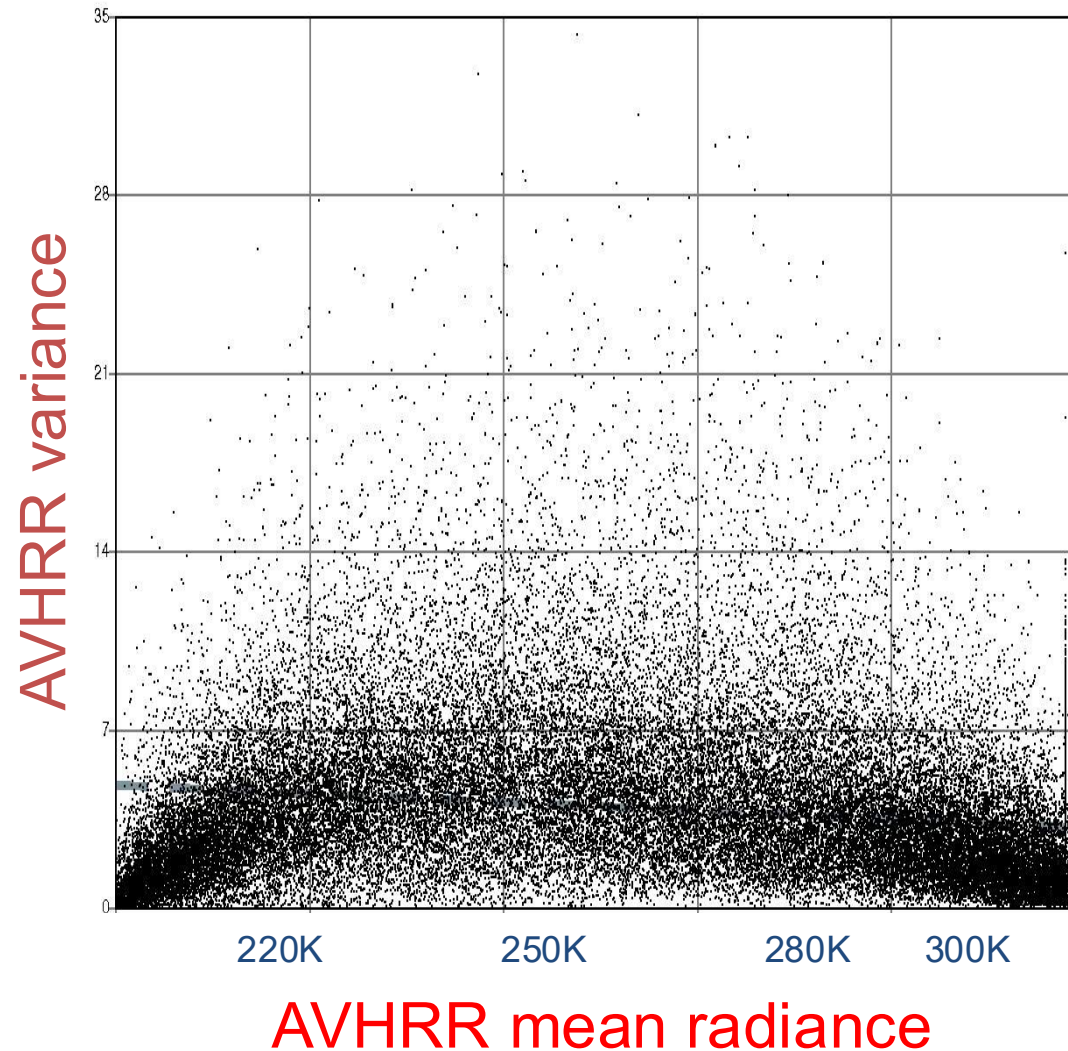


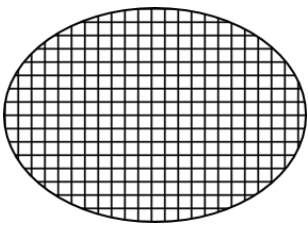
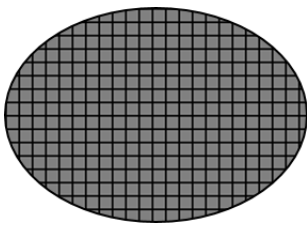
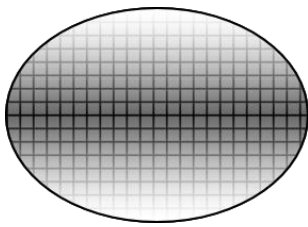
**Homogenous clear**  
(high mean, low variance)

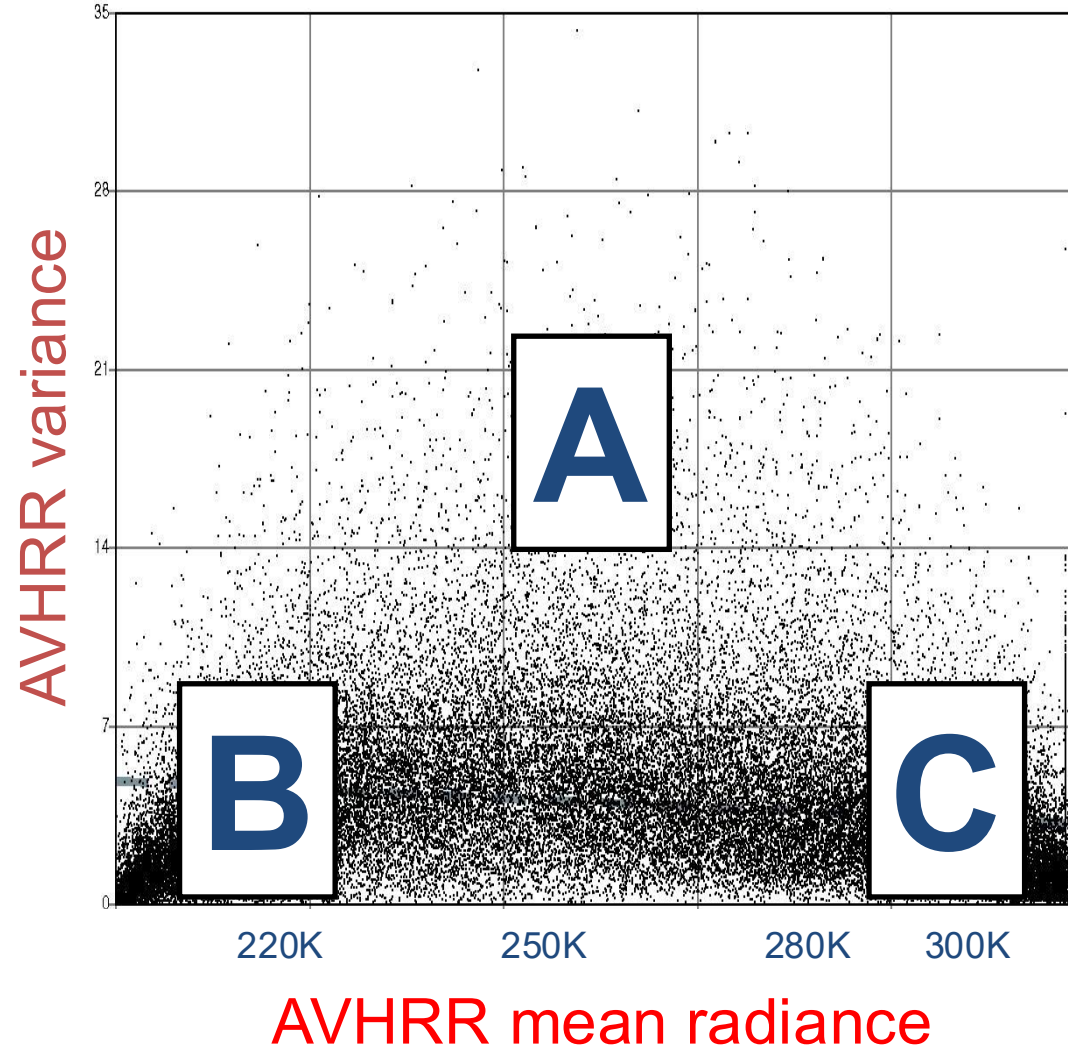


**Mixed cloud scene**  
(any mean, high variance)

# Scatter plot of variance of AVHRR imager pixels within the IASI footprint versus mean brightness temperature

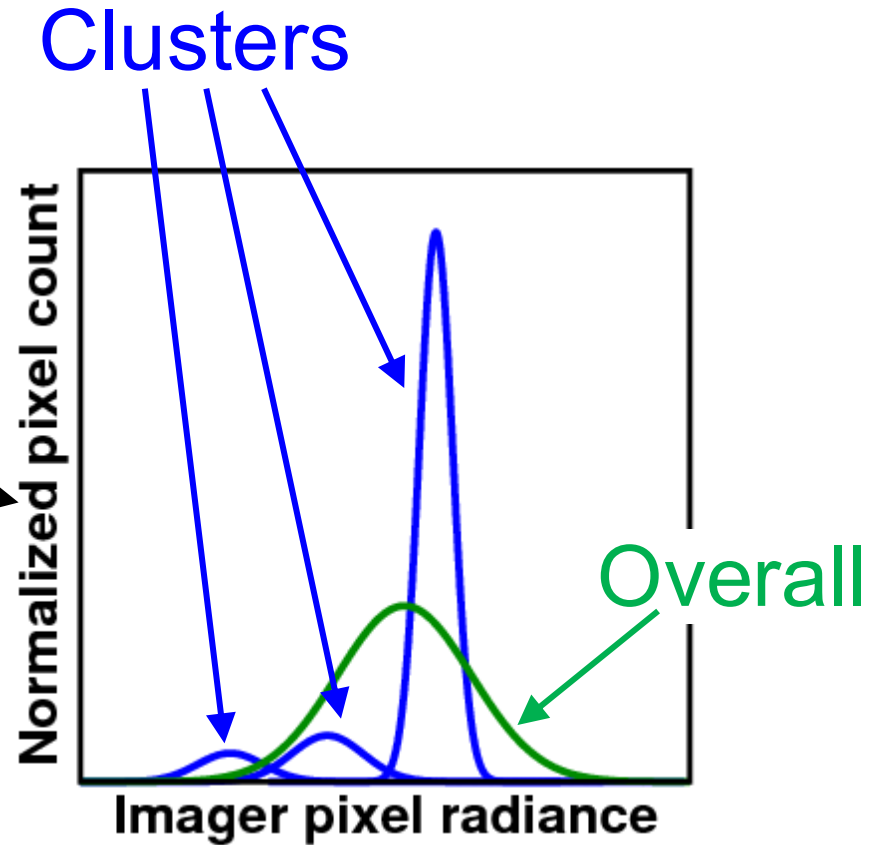
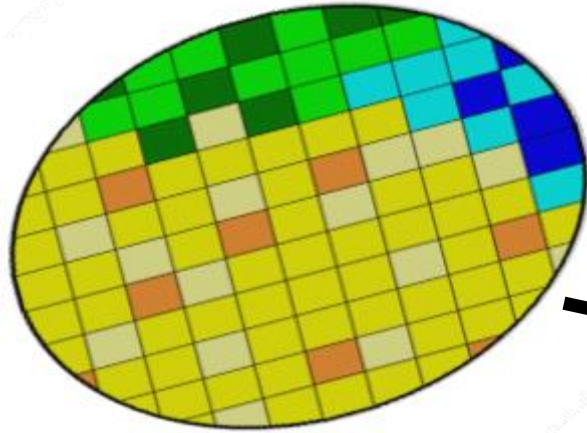


Where would , , and  be in this scatter plot ?



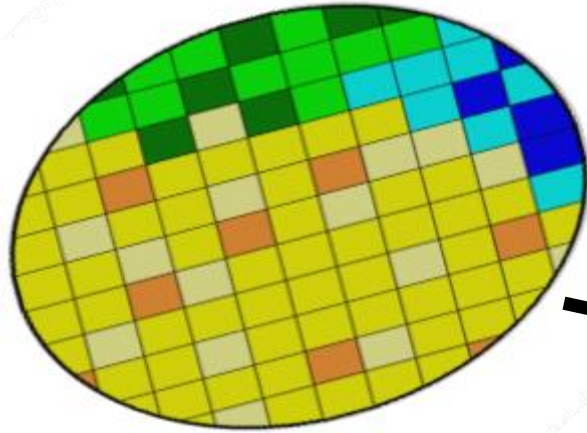
# More sophisticated: image *clustering*

Use of clustered imager Tb statistics

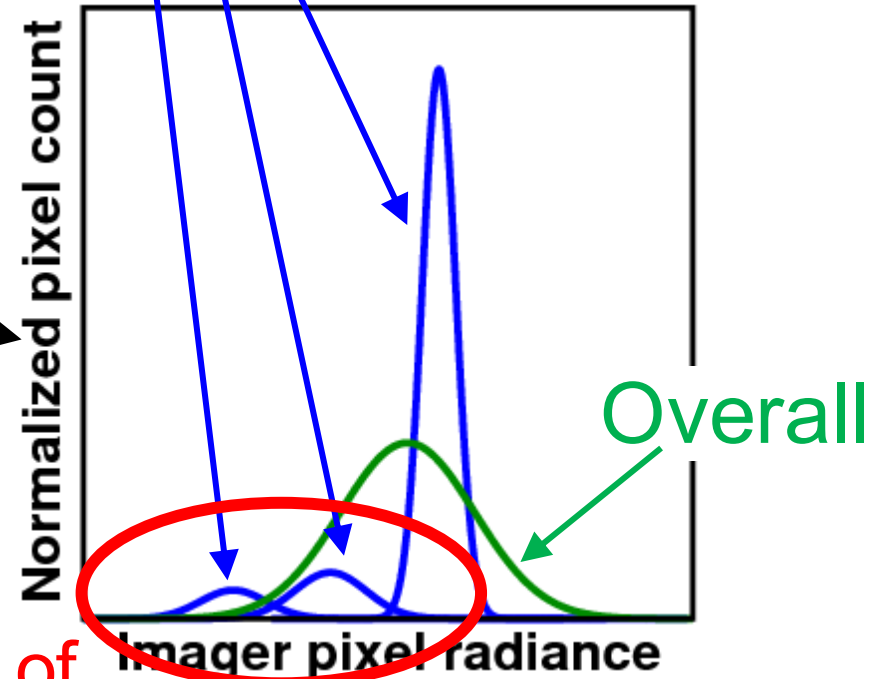


# More sophisticated: image *clustering*

Use of clustered imager Tb statistics



Clusters



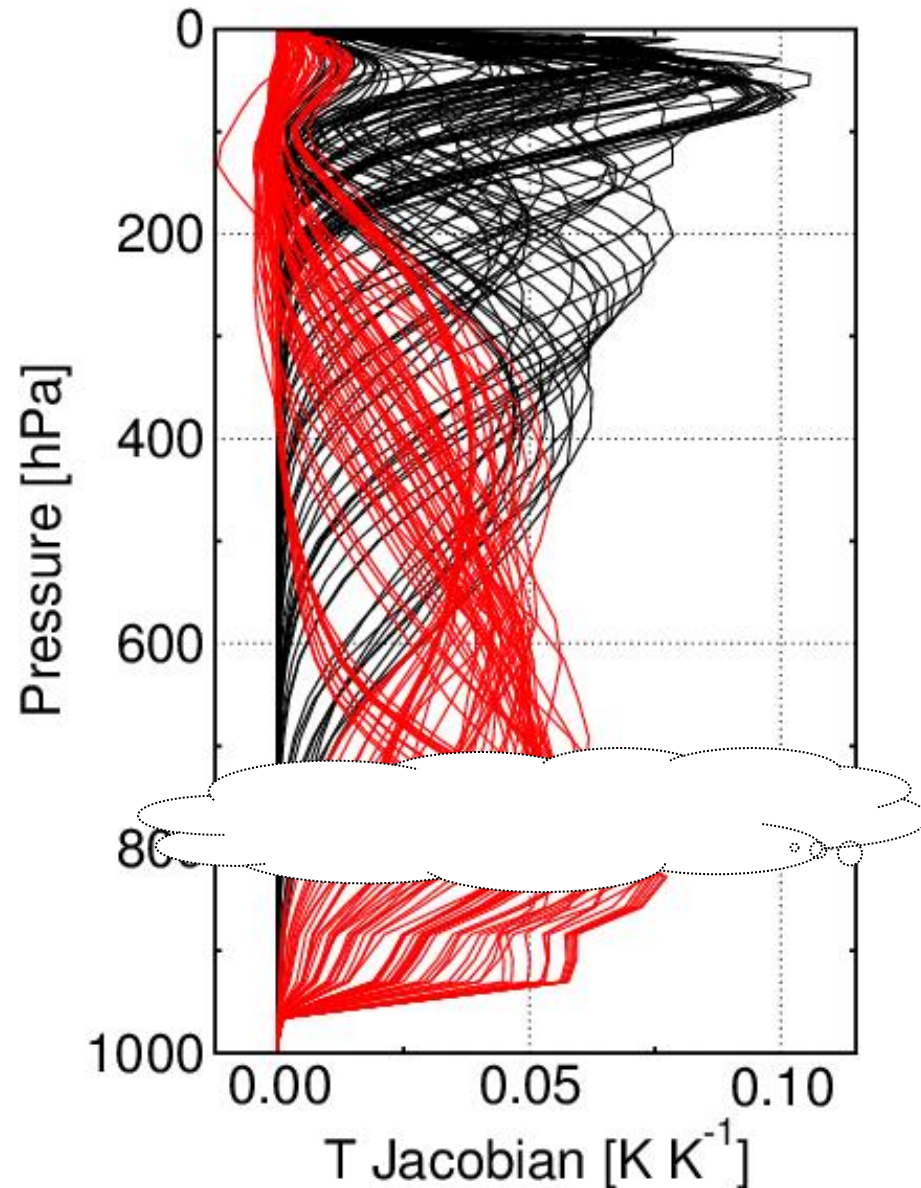
Clusters of cloudy pixels

# Cloud detection methods



- Window channel departure (O-B) checks
- Co-located imager checks
- **Pattern recognition algorithms**
- Hybrid systems

# Pattern recognition algorithms

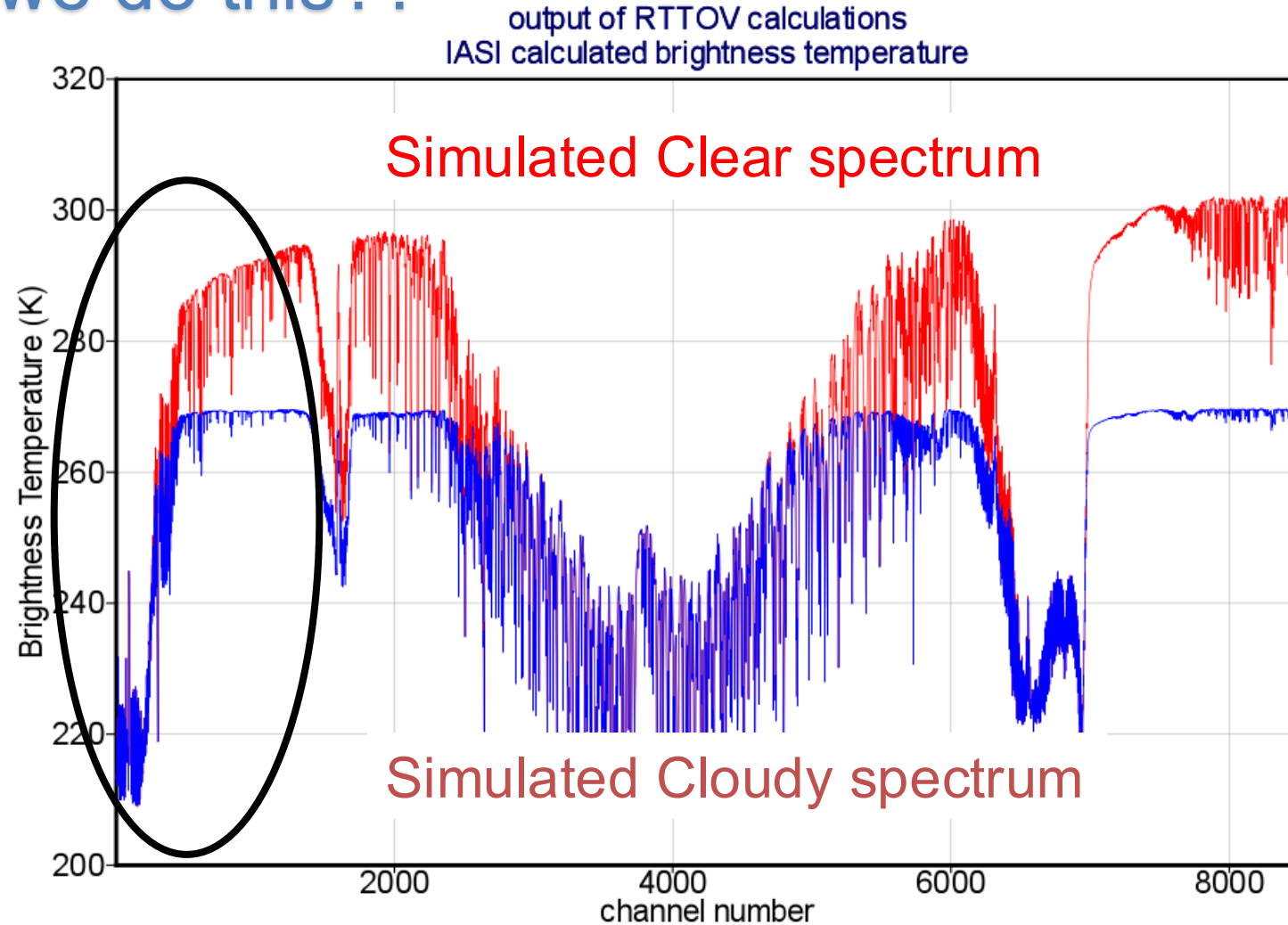


If channels are sensitive only to the atmosphere above a cloud (**black** lines), we would like to keep this data.

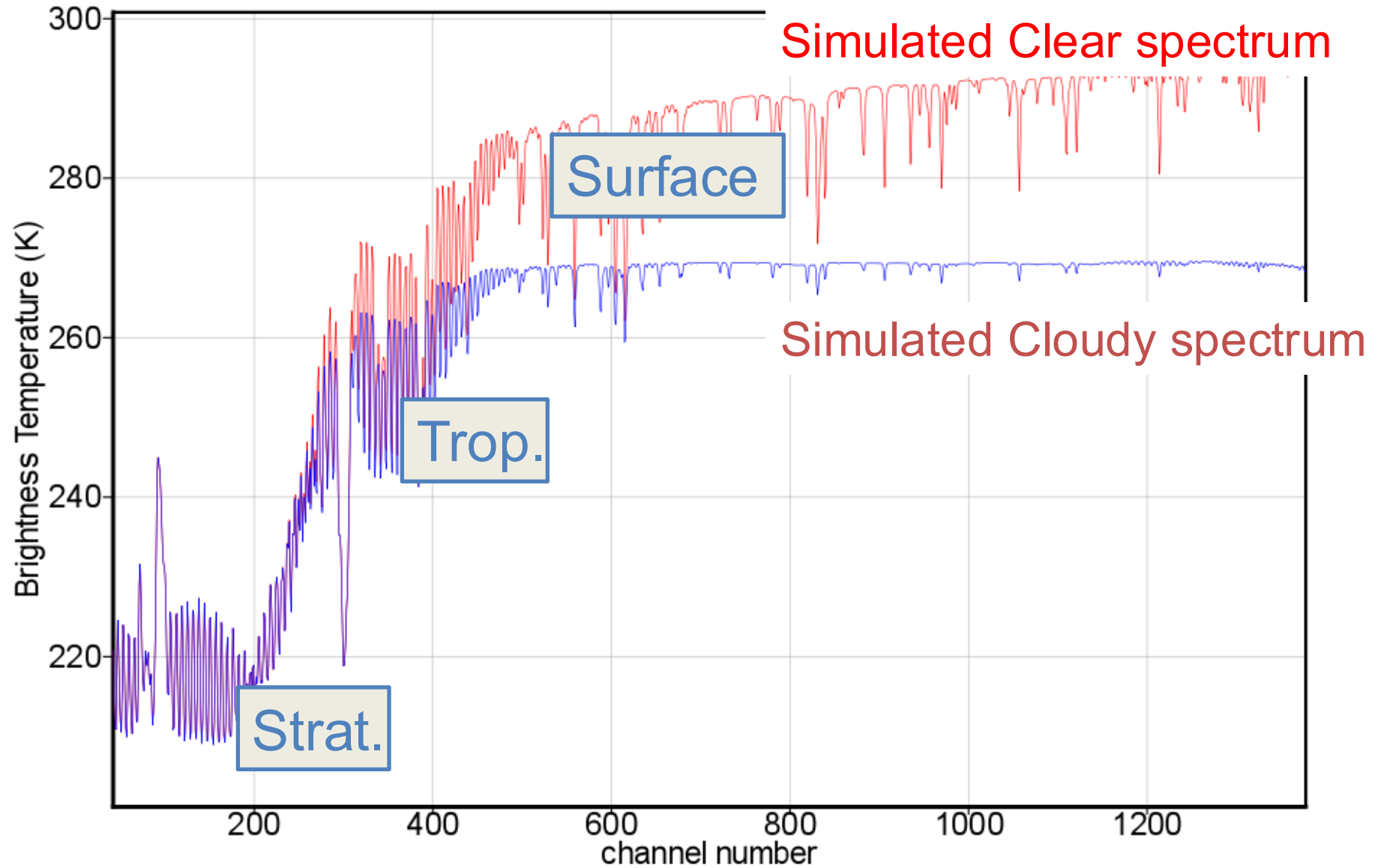
So here, we only reject cloud-contaminated channels (**red** lines), and keep the rest!

McNally & Watts 2003, <https://doi.org/10.1256/qj.02.208>

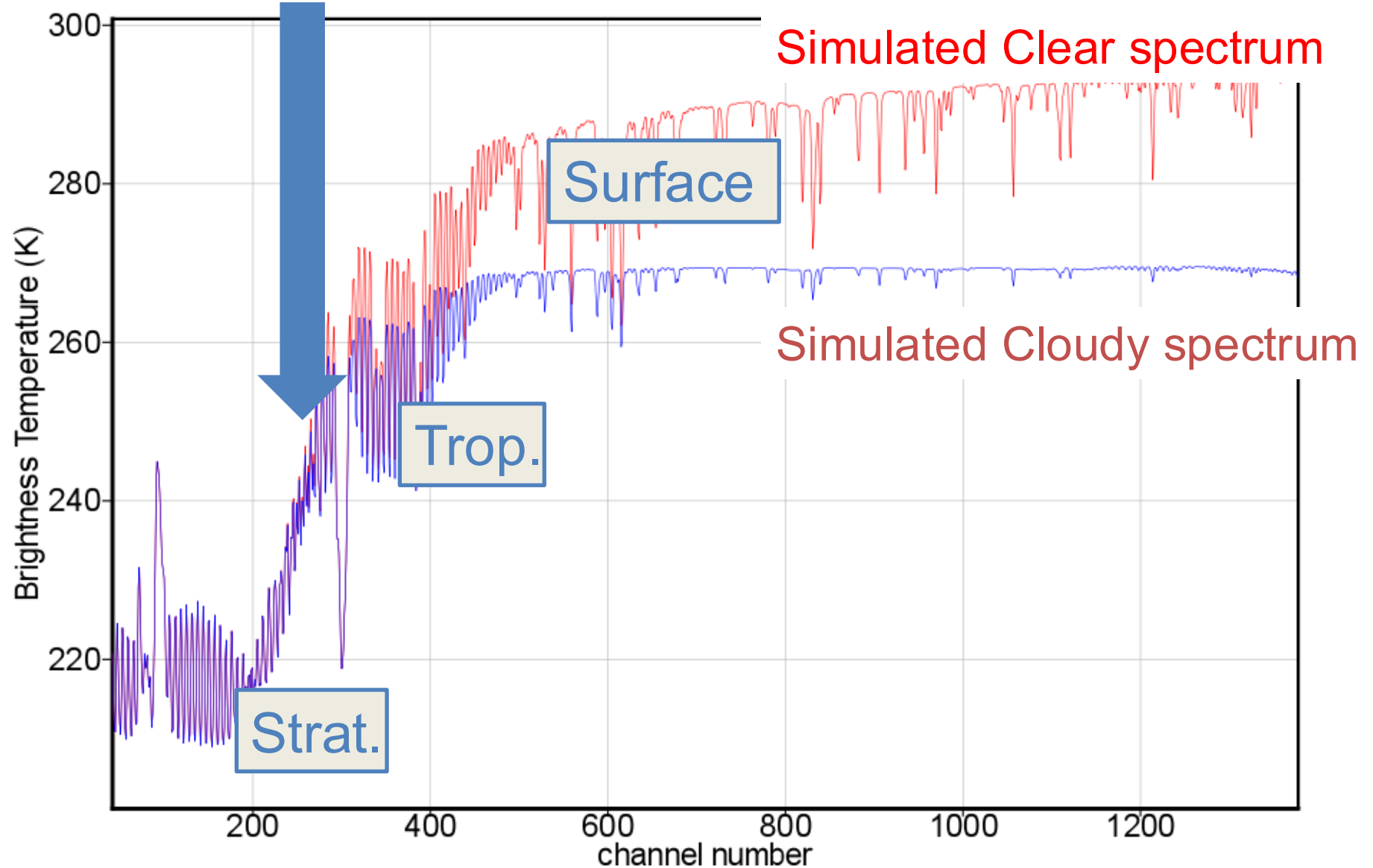
# How do we do this??



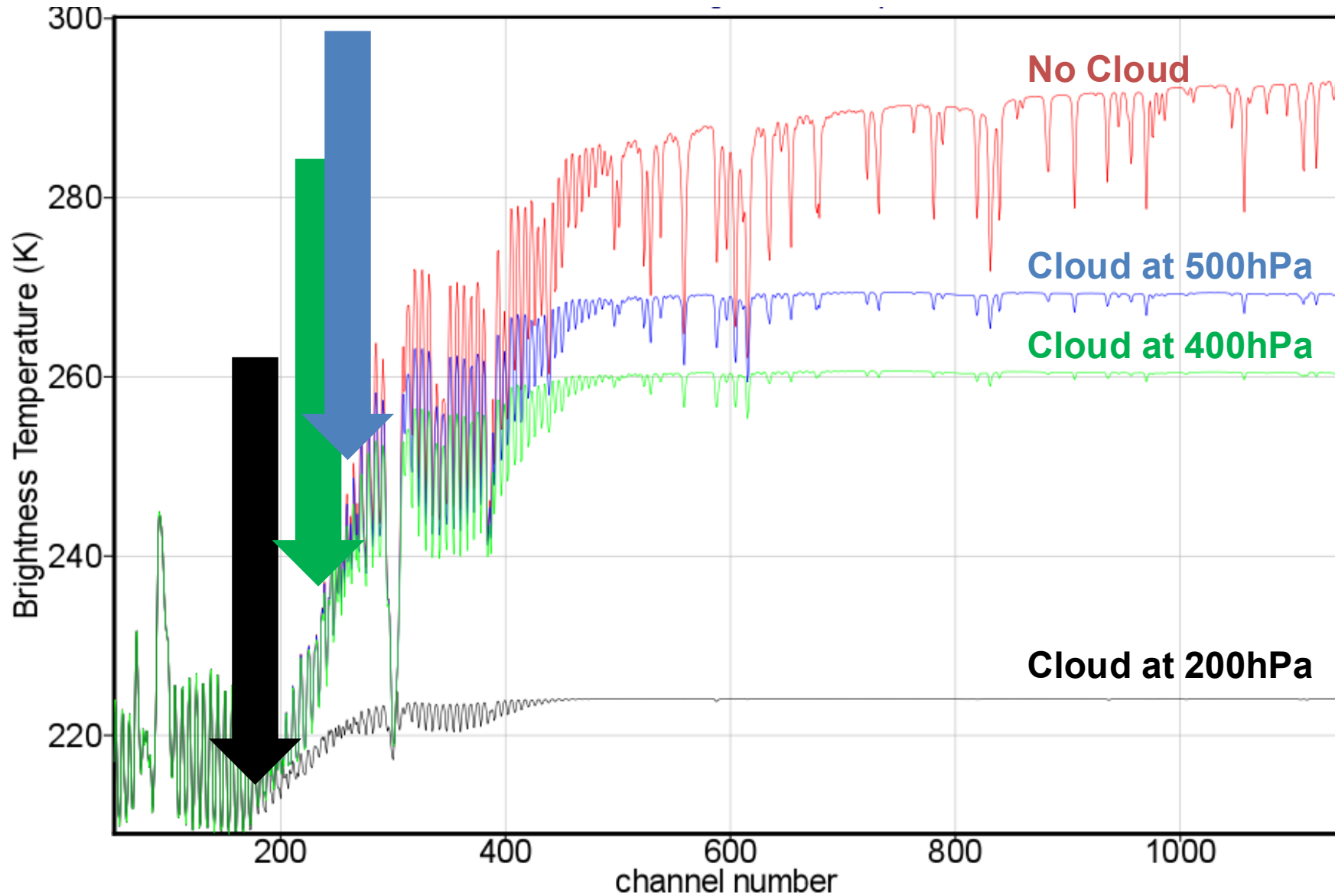
Let's zoom into the long-wave part of the spectrum which has good vertical resolution



# Break point



# Break point depends on cloud height ...



Break point depends on cloud height ...

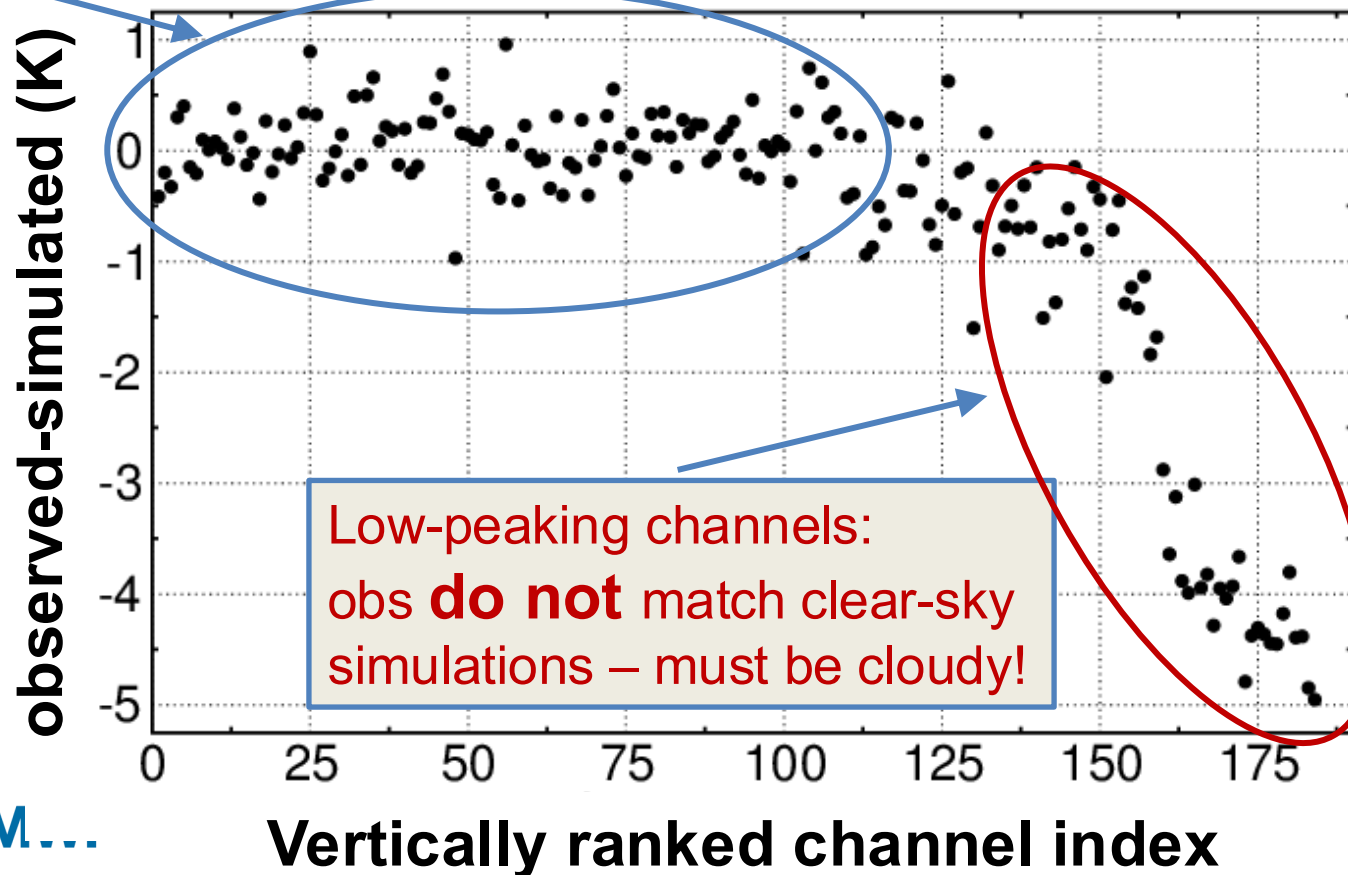


However, the spectra are spiky – the peak height of the channels do not vary monotonically with channel number, so we need to order them.

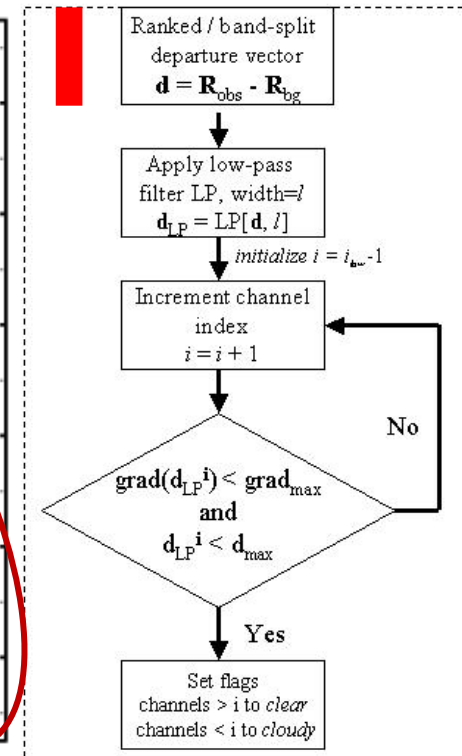


- First we compute the observations minus (clear-sky) simulations.
- Then re-order (rank) the channels according to their height of cloud sensitivity.

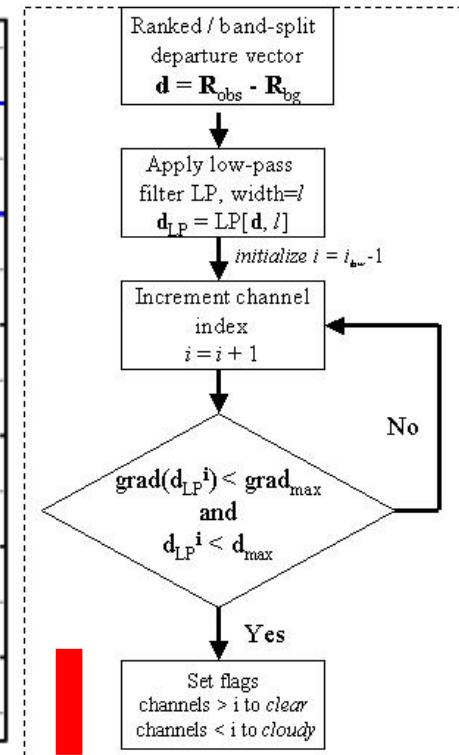
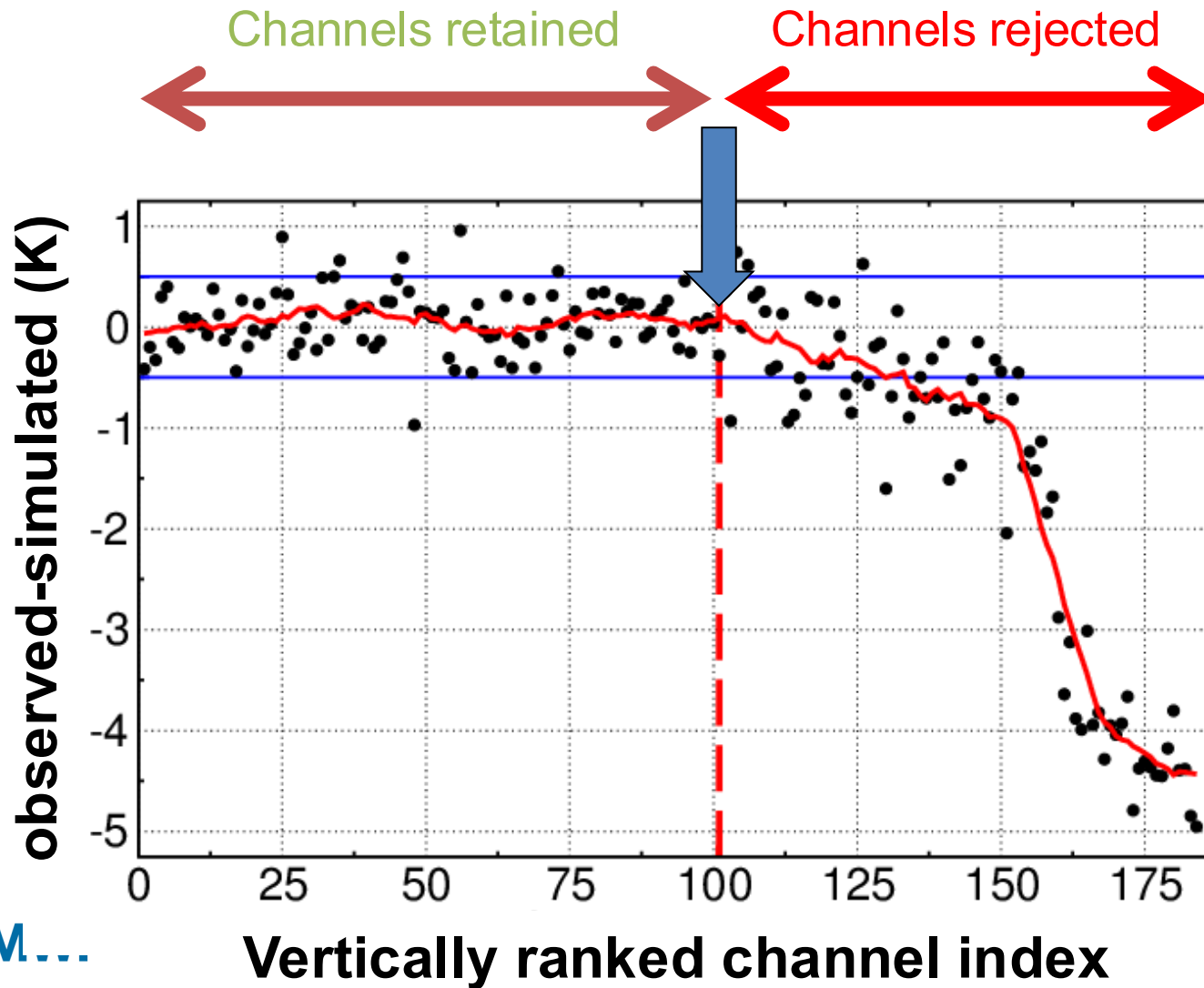
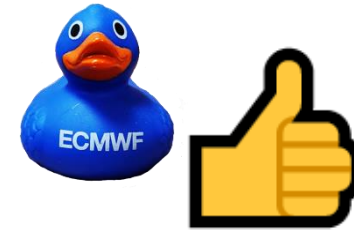
High-peaking channels:  
obs match clear-sky simulations.

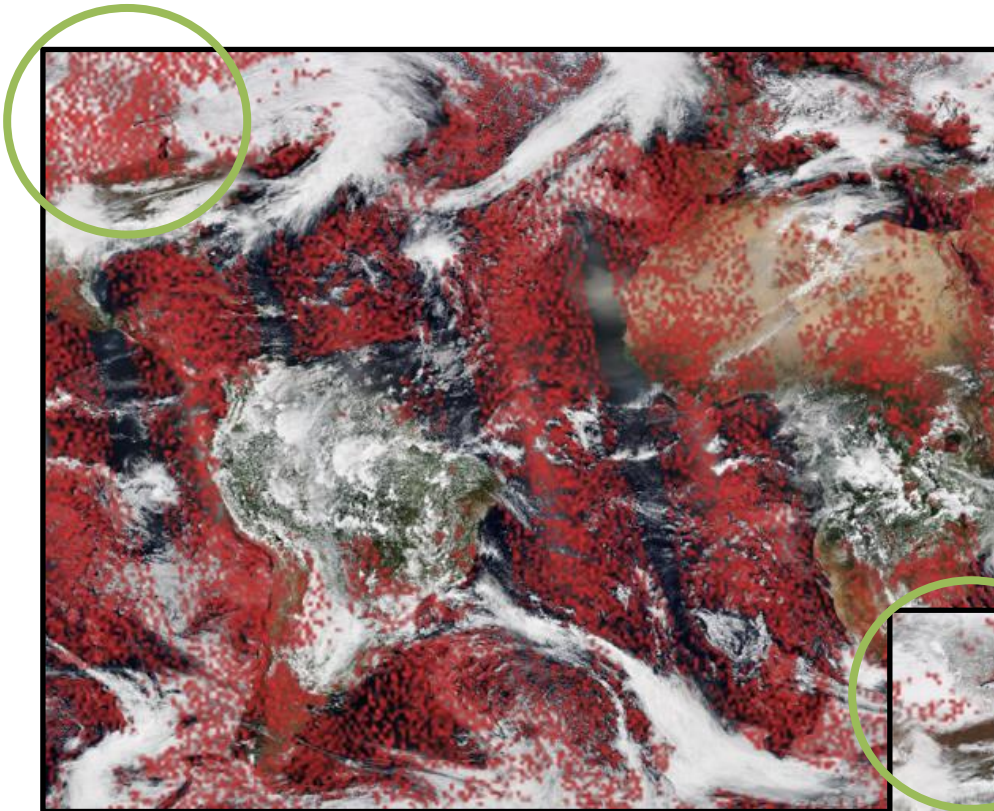


Low-peaking channels:  
obs **do not** match clear-sky  
simulations – must be cloudy!

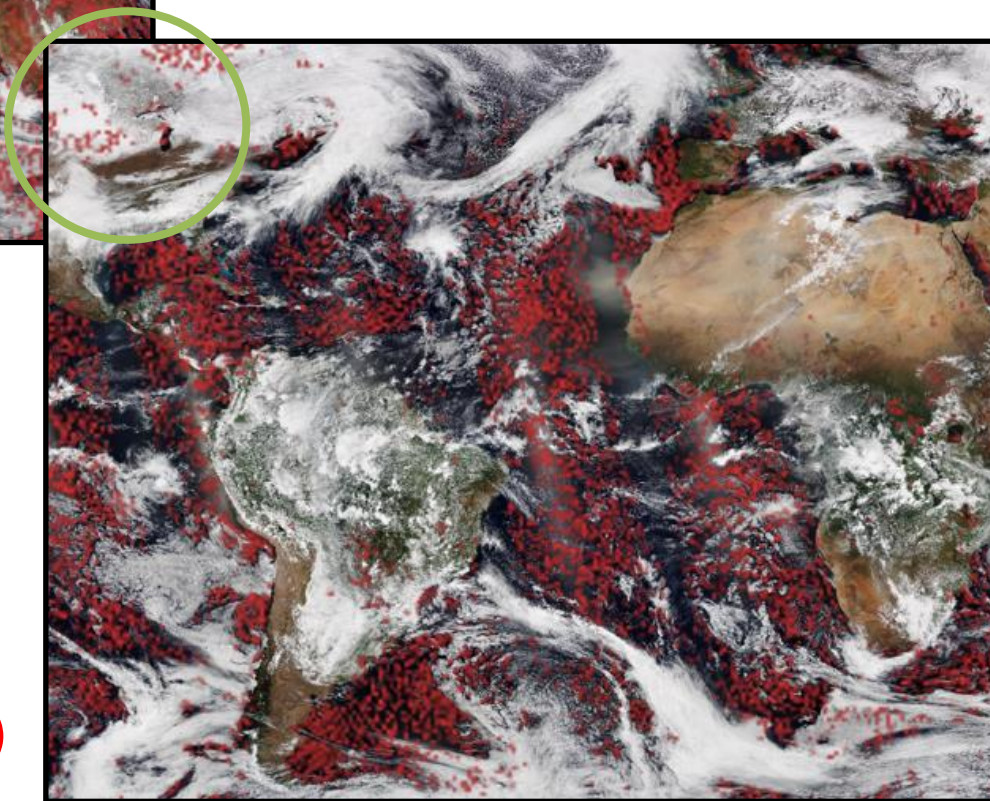


... and finally we set flags to indicate cloud-affected channels





CrIS channel at 14.2  $\mu\text{m}$   
(peak pressure 350 hPa)



CrIS channel at 13.6  $\mu\text{m}$   
(peak pressure 600 hPa)

# Cloud detection methods



- Window channel departure (O-B) checks
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The ECMWF implementation is a **hybrid** scheme that combines the use of co-located imager data with departure-based pattern recognition.

This is complementary and helps to prevent the misidentification of cloud.

*See Eresmaa (2014) QJRMS 140, 2342-2352 for details*

# Big question: How should we handle clouds when assimilating infrared radiance observations??

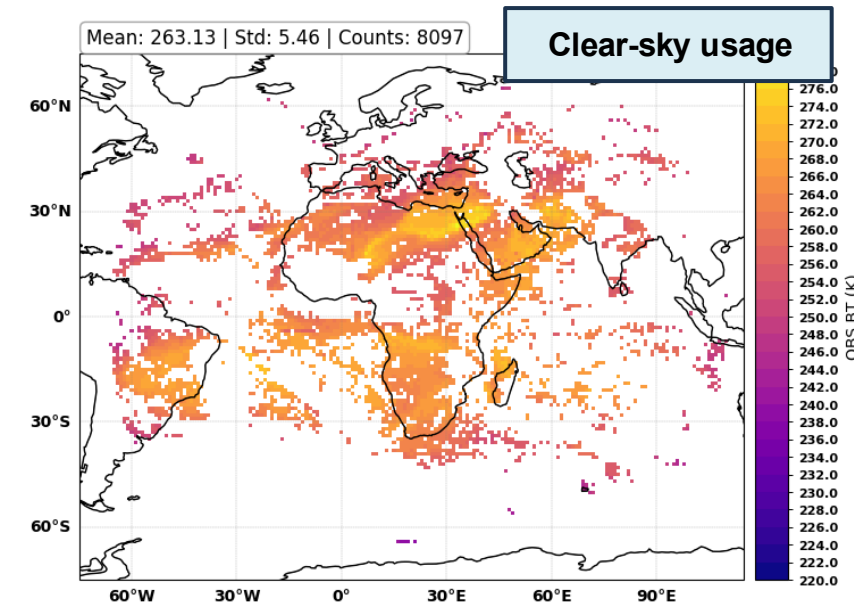
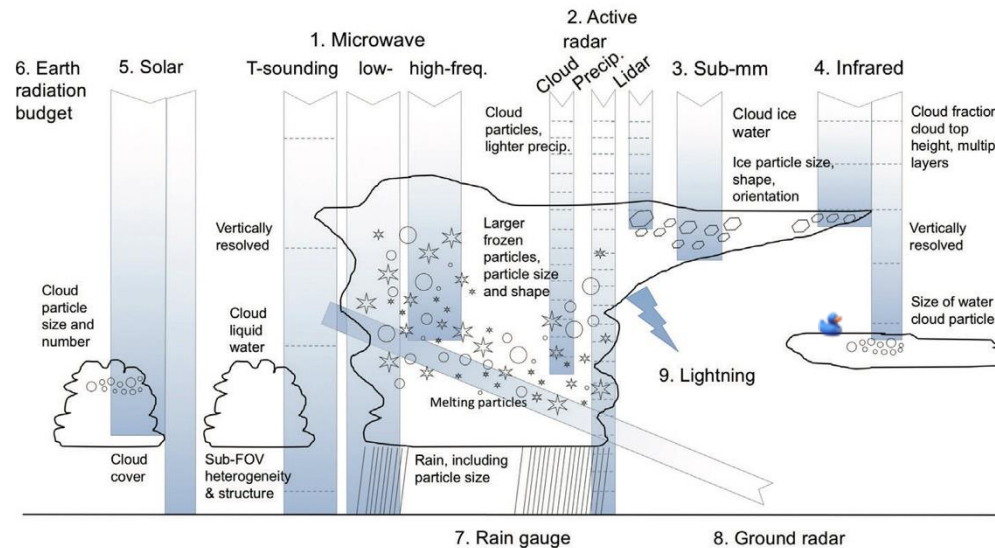
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# Allsky assimilation of IR radiances

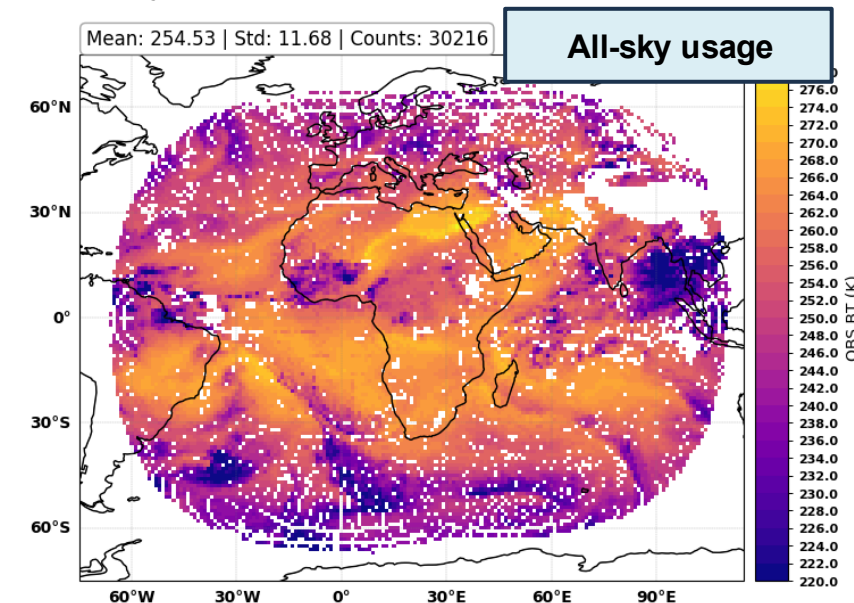
## Motivation:

- To further exploit the potential of IR sensors. Currently GEOS data are used over clear-sky only leading to massive rejections. By assimilating GEOS radiances in allsky we can expect to assimilate at least 60% more data for upper-troposphere sensitive channels and 3x more data for lower-peaking channels (mid- and low-troposphere).
- Complementary with other types of observations. MW allsky observations operationally assimilated in the IFS.
- Unified assimilation process with other satellite observations. Free from complex cloud-detection algorithm or external cloud masks.

*“The main measurement techniques for cloud and precipitation available in the global observing system and the micro- and macrophysical aspects to which they are most sensitive” -- from Geer et al. 2019 (ECMWF Newsletter)*



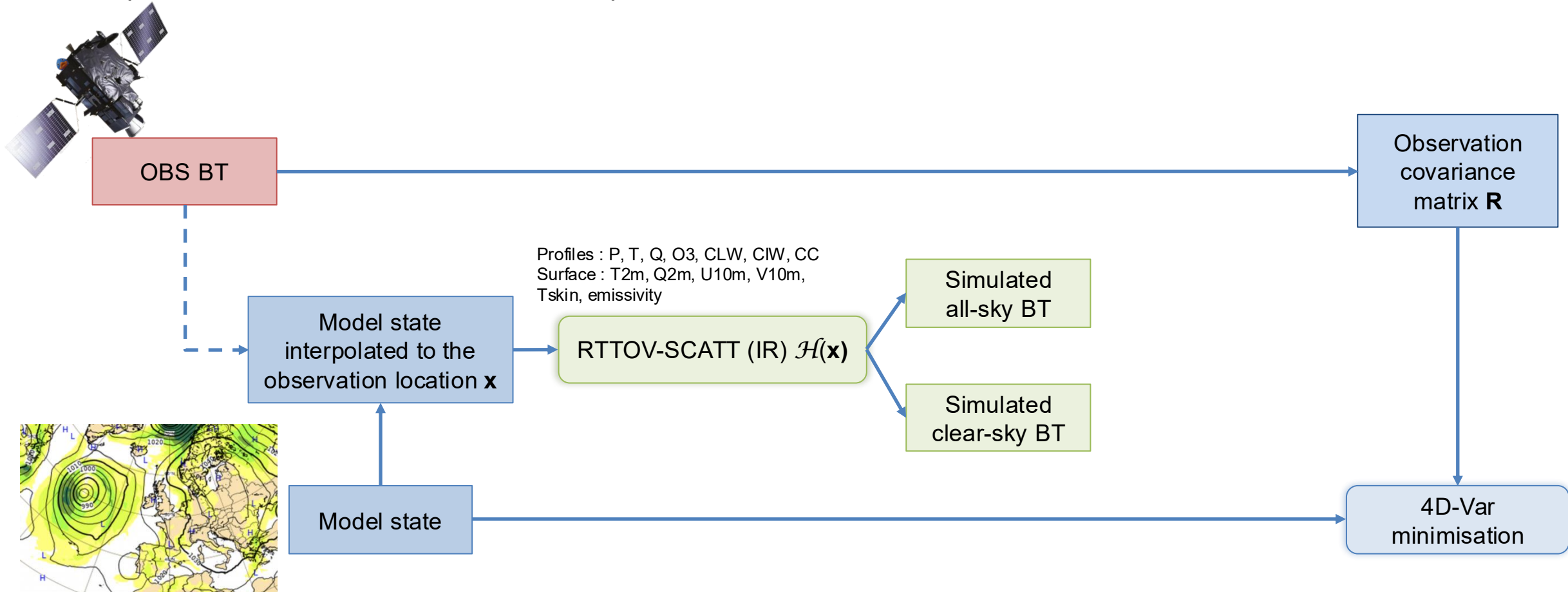
**MET-9 and MET-10 SEVIRI WV7.3 observation BT on 2024-06-10 at 09:45 UTC : use in clear-sky (top) and all-sky (bottom)**



# Allsky assimilation of IR radiances

## Challenges:

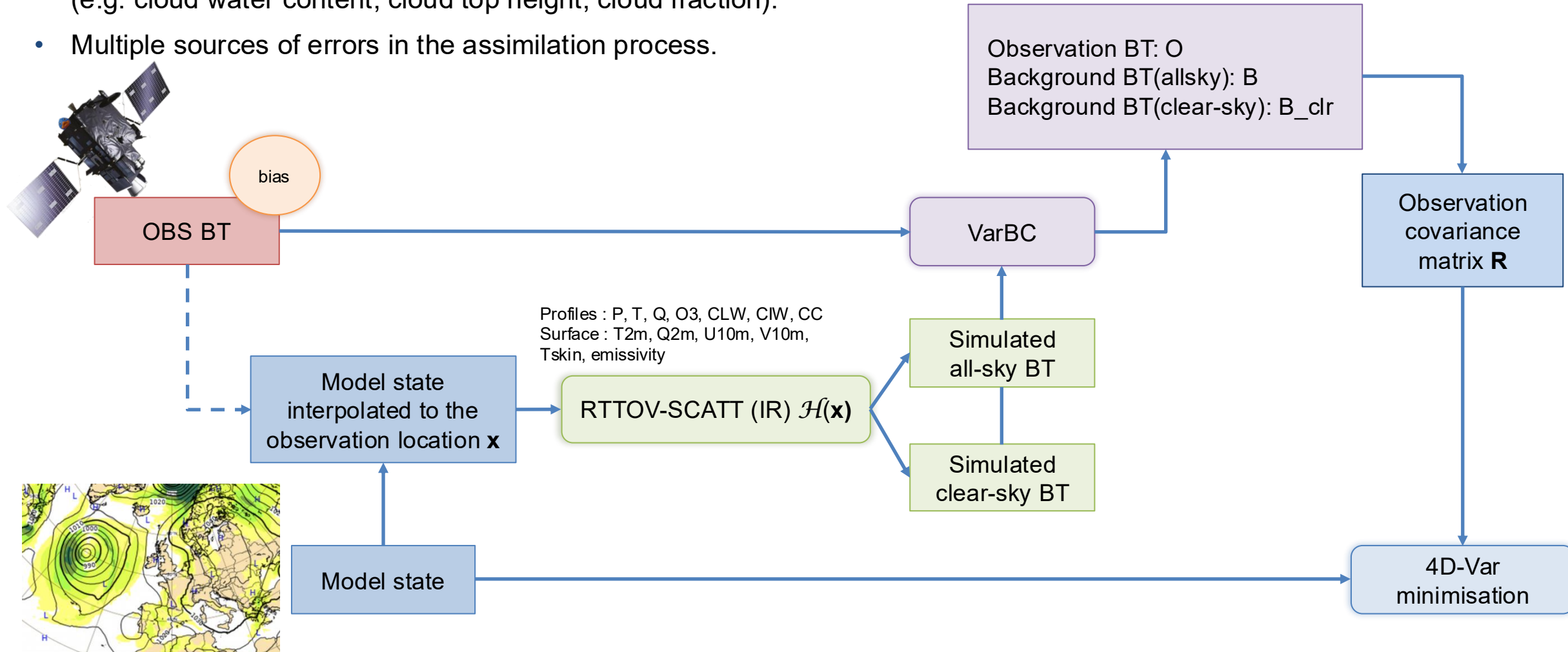
- Small errors in the representation of hydrometeors can induce large differences in the simulated BT (e.g. cloud water content, cloud top height, cloud fraction).
- Multiple sources of errors in the assimilation process.



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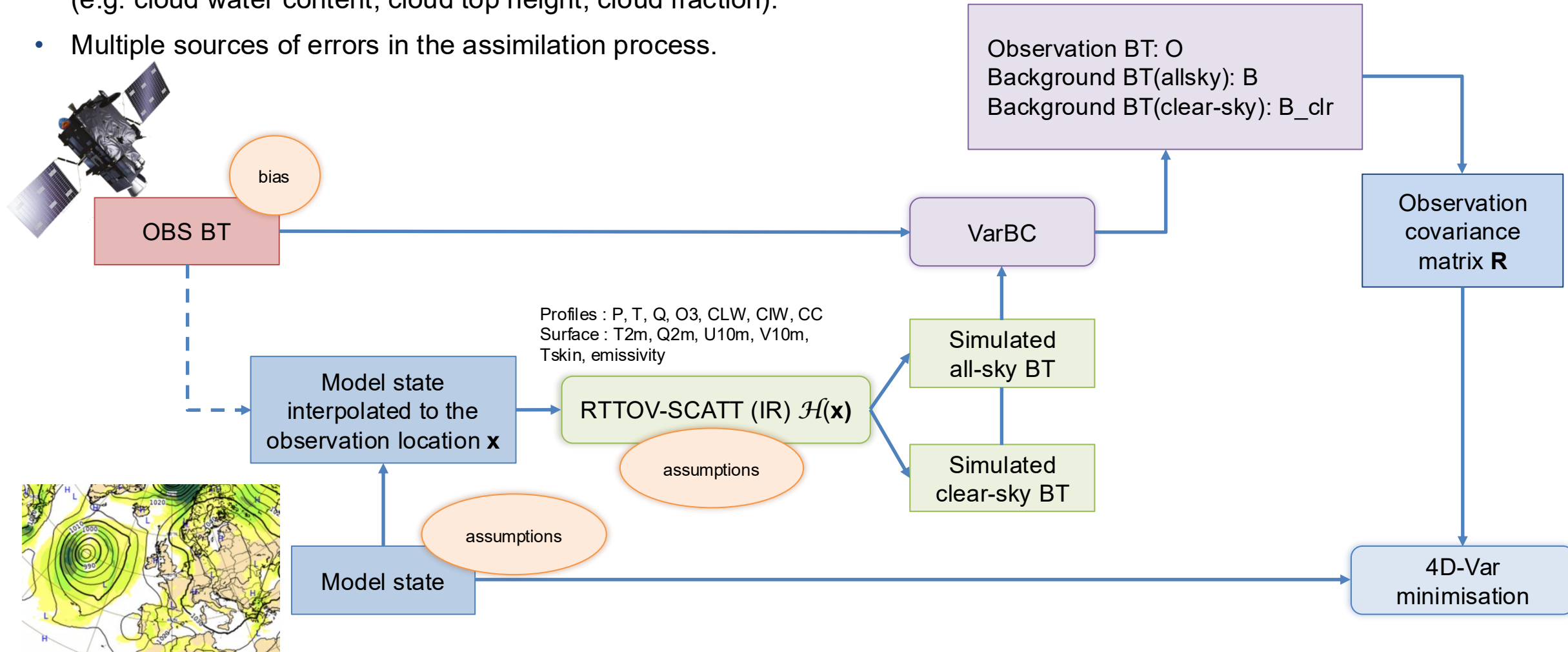
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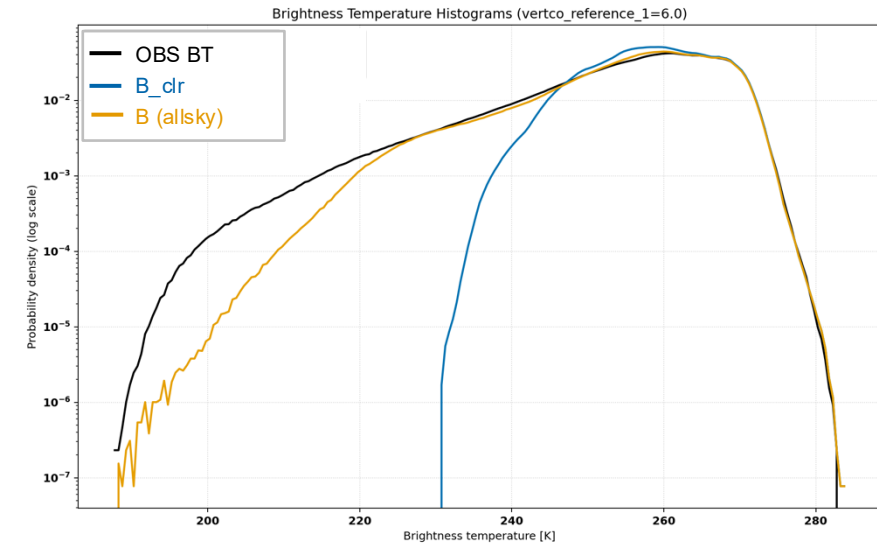
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# Allsky assimilation of IR radiances: observation operator

Radiative transfer model RTTOV-SCATT (v14):

- Input: model state at observation location.
- Output: simulated brightness temperature :
  - B\_clr: clear-sky simulation (neglects cloud scattering and absorption).
  - B: all-sky simulation (includes cloud properties).



Observed (black) and simulated brightness temperatures in clear-sky (blue) and all-sky (orange) by RTTOV for SEVIRI's WV channel 7.3  $\mu\text{m}$ .

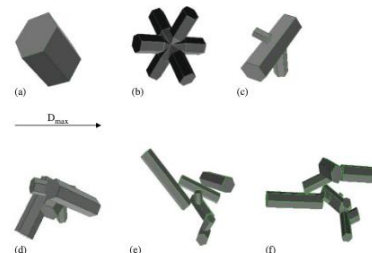
- Assumptions and parametrisations for IR simulations:

- Microphysics

Ice optical properties: shape and size distribution (**Baran et al. 2014, 2018**) parametrised in terms of temperature and ice content.

Cloud liquid water effective parameter (**Martin et al. 1994**).

Scattering parametrisation: Delta-Eddington solver (**Bauer et al. 2006**).



Ice shapes used in Baran et al. (2014)



- Macrophysics

Cloud overlap scheme: maximum overlap assumption.

Sub-grid variability, representativeness errors.

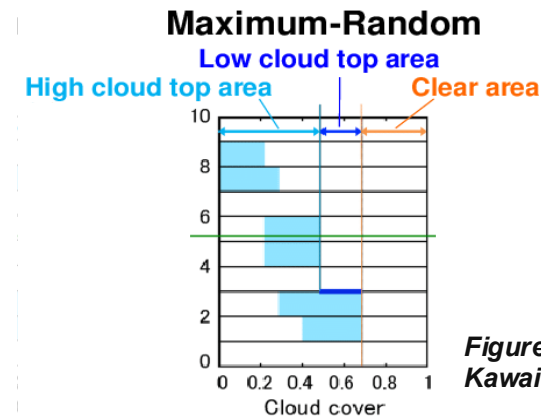
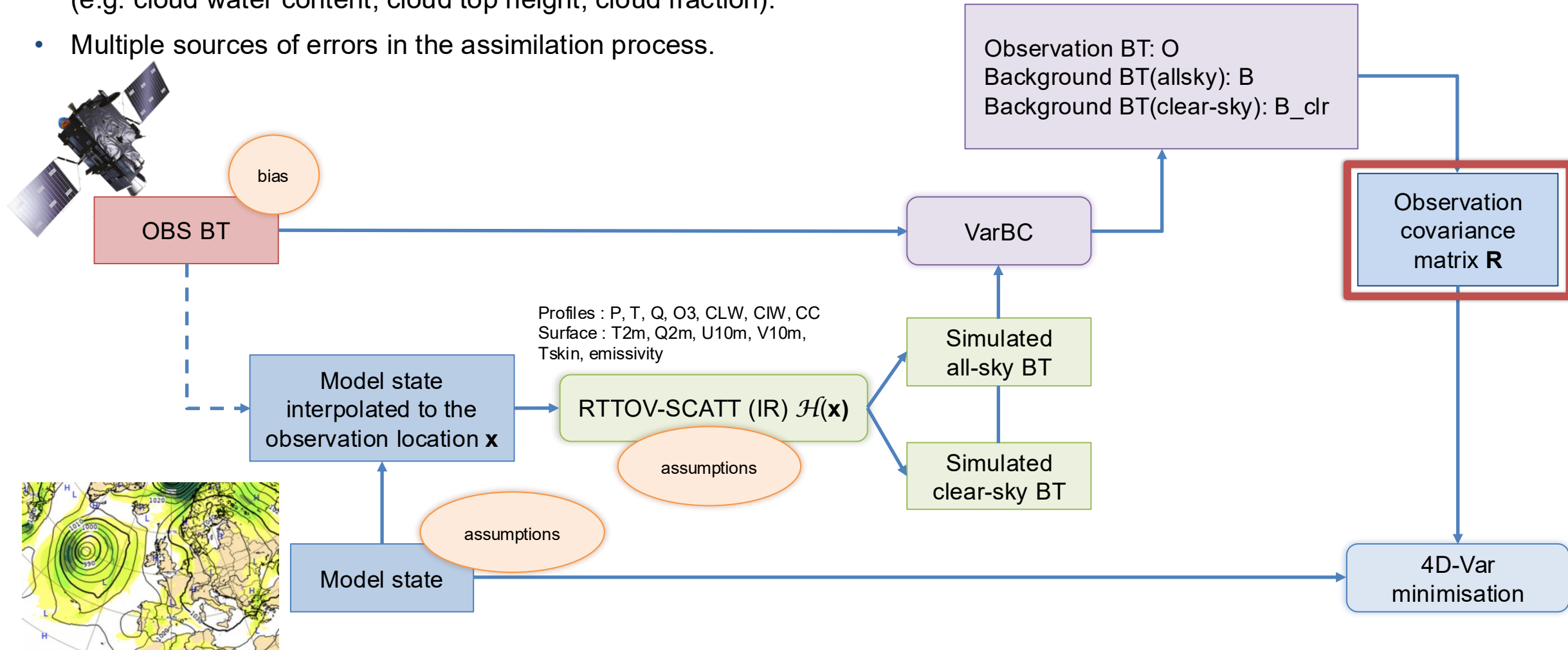


Figure from Kawai et al. (2014)

# Allsky assimilation of IR radiances

## Challenges:

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# Allsky assimilation of IR radiances: $\mathbf{R}$ matrix

Specification of observation error covariance matrix for all-sky assimilation:

- Observation errors are larger over cloudy scenes than over clear sky. For allsky assimilation, the  $\mathbf{R}$  matrix needs to account for RT uncertainties, cloud representation errors and representativeness errors.

# Allsky assimilation of IR radiances: R matrix

Specification of observation error covariance matrix for all-sky assimilation:

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- Following the operational microwave allsky assimilation approach at ECMWF (Geer and Bauer, 2010):
  1. Compute a cloud proxy to characterise the scene.

Quantify the impact of clouds on the *observed* BT:  $O\_cloudprox = B\_clr - O$

Quantify the impact of clouds on the *simulated* BT:  $B\_cloudprox = B\_clr - B$

The symmetric cloud proxy CSYM is defined as the average of the cloud impact on the background and on the observation (**Okamoto et al. 2014, Geer et al. 2019**).

$$CSYM = \frac{\overbrace{(B\_clr - B)}^{\text{Cloud impact on background}} + \overbrace{(B\_clr - O)}^{\text{Cloud impact on observation}}}{2}$$

Higher CSYM values indicate scenes where clouds have a stronger impact.

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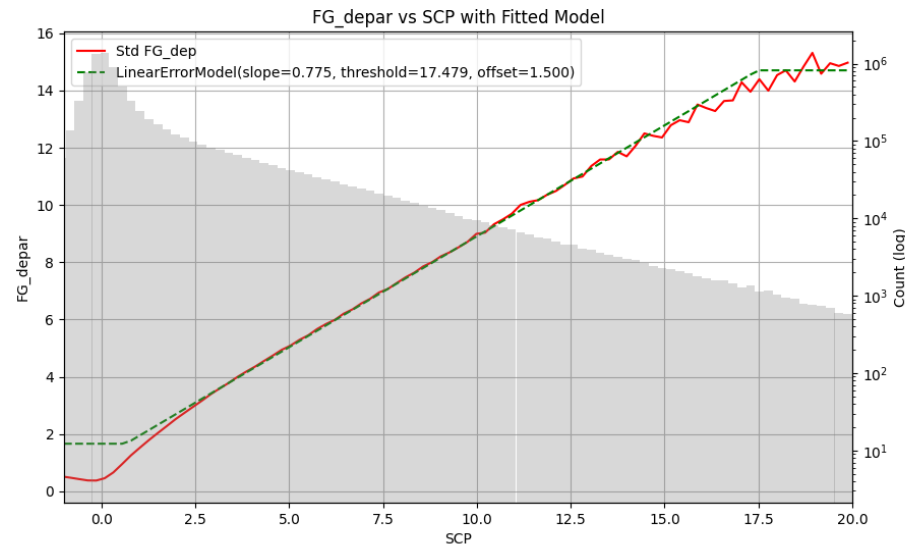
$$CSYM = \frac{(B_{clr} - B) + (B_{clr} - O)}{2}$$

2. Scene-dependent observation error model fit to first guess standard deviation error.

Almost linear-relation between the first guess departure standard deviation error and CSYM.

Inflated fixed value for low CSYM values (clear-sky). The error value grows linearly until a diagnosed maximum value (saturated scenes).

*First guess departure standard deviation (red) as function of CSYM - SEVIRI WV6.3. Linear fit in dashed green.*



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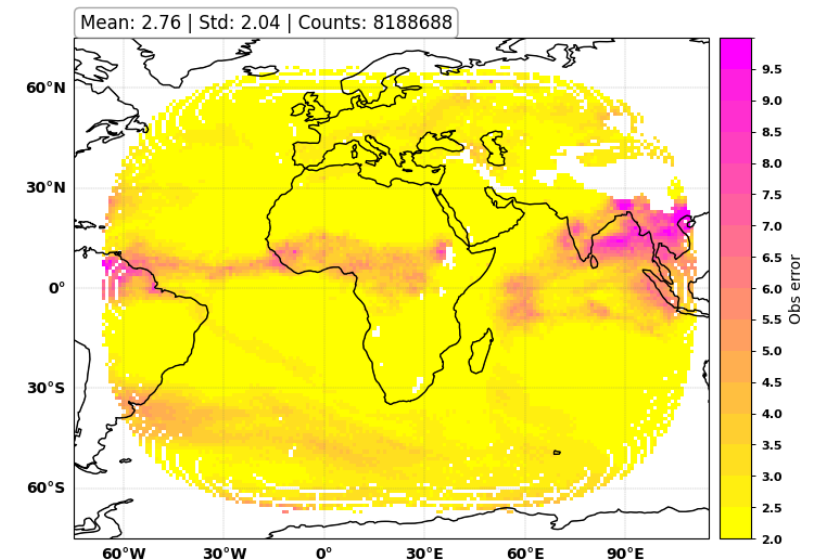
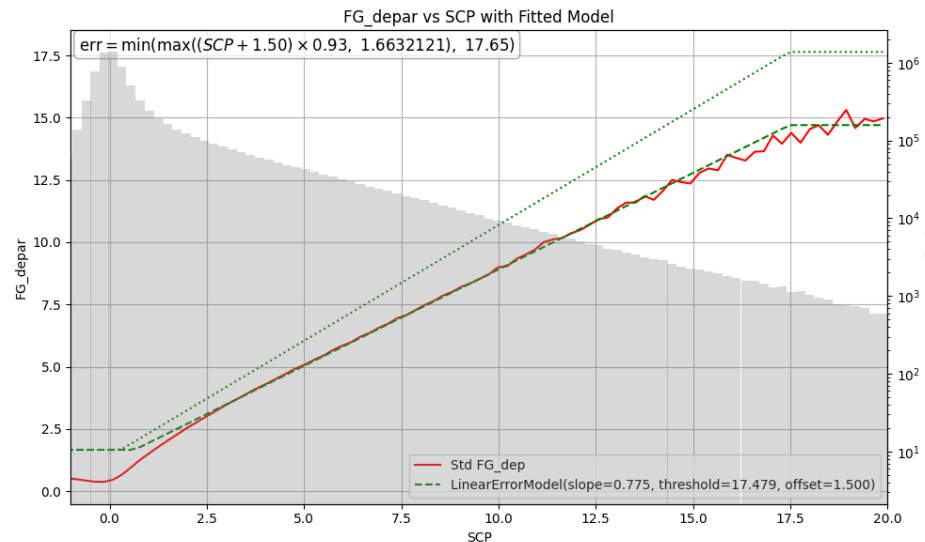
Almost linear-relation between the first guess departure standard deviation error and CSYM.

Inflated fixed value for low CSYM values (clear-sky). The error value grows linearly until a diagnosed maximum value (saturated scenes).

3. Inflation factor applied.

Left: First guess departure standard deviation (red) as function of CSYM - SEVIRI WV6.3. Linear fit in dashed green. Inflated model by a factor of 1.5 in dotted green

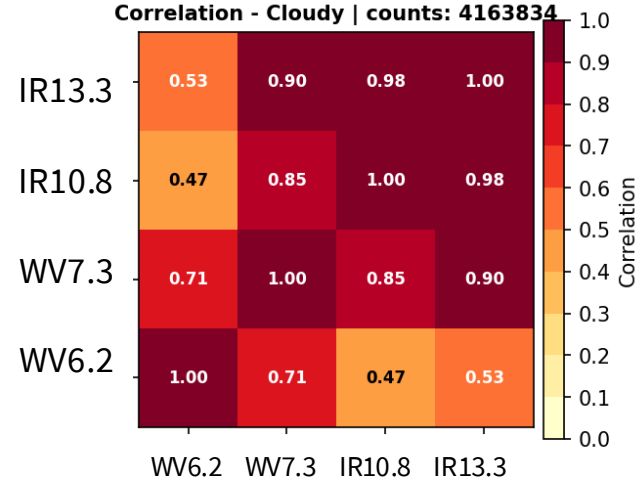
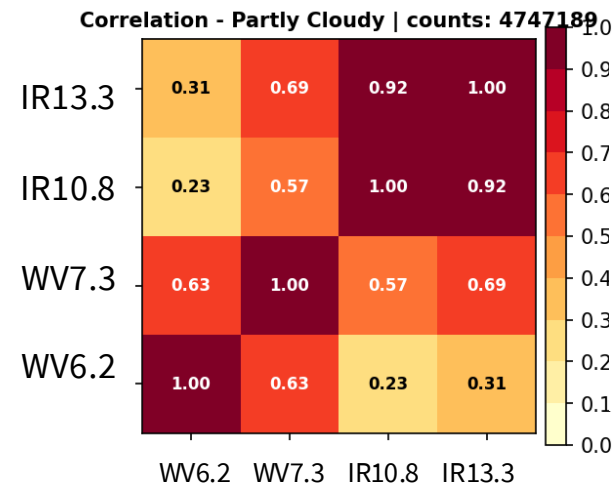
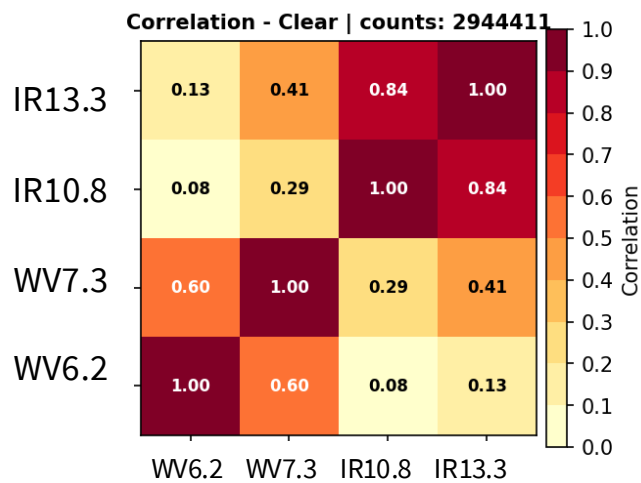
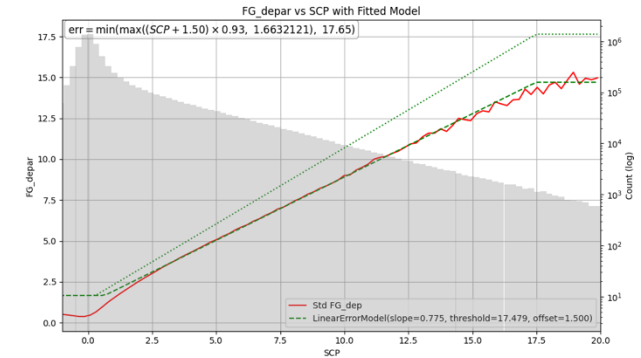
Right: Prescribed observation error



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$$CSYM = \frac{(B_{clr} - B) + (B_{clr} - O)}{2}$$
  2. Scene-dependent observation error model fit to first guess standard deviation error.
  3. Inflation factor applied.
- Interchannel correlation error varies with the cloudiness of the scene.



# Allsky assimilation of IR radiances: impacts

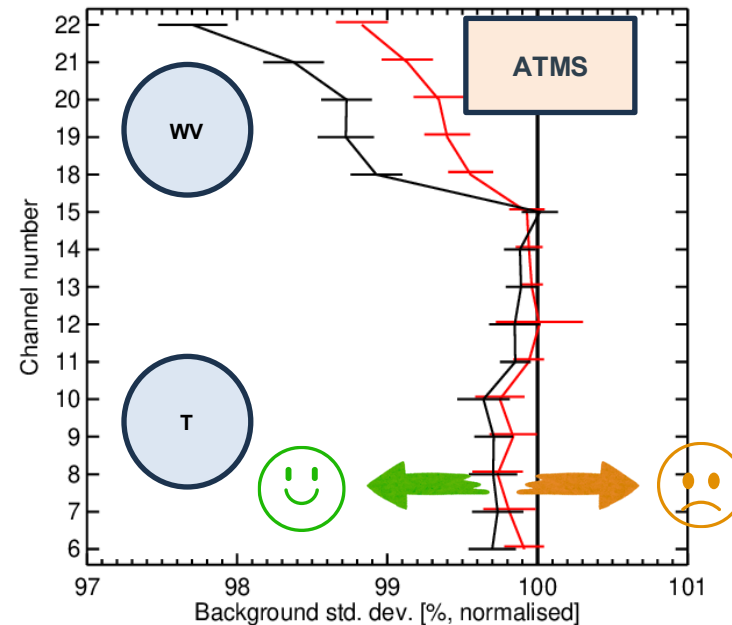
Experiment configuration:

Meteosat-SEVIRI water vapour channels (6.3  $\mu\text{m}$  and 7.3  $\mu\text{m}$ ) assimilated in clear-sky (current operational) or allsky.

- Control (100%) is an experiment where SEVIRI is fully rejected
- **Red** line: Control + SEVIRI assimilated in clear-sky
- **Black** line: Control + SEVIRI assimilated in all-sky

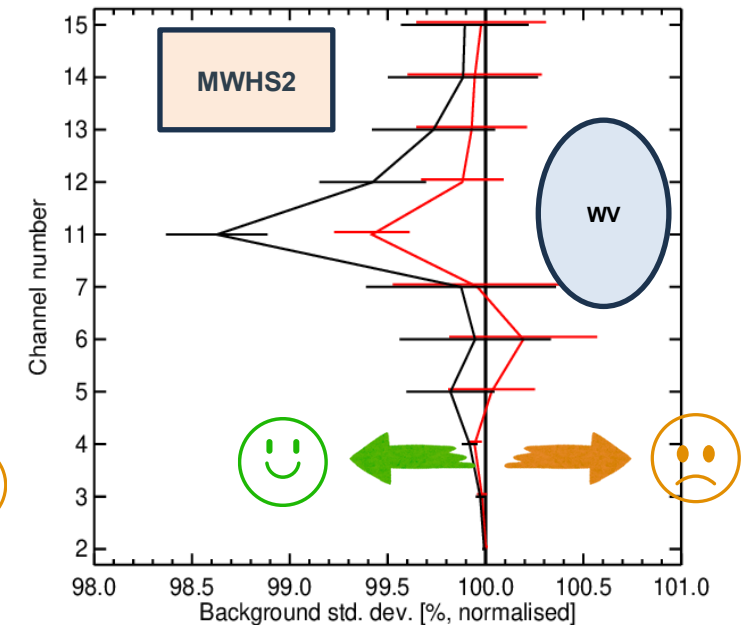
Improved fit to clear-sky (CrIS, ATMS) and allsky (MWHS2, SSMIS) observations.

Instrument(s): NOAA-20,21; NPP – ATMS – TB Area(s): METEOSAT  
From 00Z 1-Jun-2024 to 12Z 25-Jun-2024



— allsky  
— clear-sky  
100% = no SEVIRI

Instrument(s): FY-3C,3D,3E – MWHS2 (ALL-SKY) – TB Area(s): METEOSAT  
From 00Z 1-Jun-2024 to 12Z 25-Jun-2024



— allsky  
— clear-sky  
100% = no SEVIRI

# Summary



- Infrared satellite observations are **very** sensitive to cloud.
- Identifying cloud in “clear-sky” assimilation can be done in several ways:
  - Window channel departure checks
  - Co-located imager checks
  - Pattern recognition
  - Machine learning
- All-sky infrared assimilation is a difficult challenge. But fast progress is being made!
- If you want to do cloud detection, the CADS package developed by the NWP SAF is available here:  
<https://nwp-saf.eumetsat.int/site/software/cloud-and-aerosol-detection/>
- Feel free to speak to me later, or contact me by email!

# Questions ?